



UNIVERSITY
of ALASKA

Many Traditions One Alaska

Proposed
FY2016
Operating & Capital Budget
Distribution Plans

Board of Regents
June 23, 2015
Fairbanks, Alaska

Prepared by: University of Alaska Statewide Office of Strategy,
Planning and Budget
907.450.8191

<http://www.alaska.edu/swbir/>



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**Proposed
FY2016 Operating Budget Distribution Plan
Introduction**

The Board of Regents' requested a \$973.3 million (\$390.9 million in state and \$582.4 million in non-state funding) Operating Budget for the University of Alaska in FY2016. Final legislation decreased UA's overall operating budget state appropriation by \$14.8 million (4.0%) from \$370.6 million to \$355.8 million. The \$14.8 million net decrease includes a general fund reduction of \$26.4 million, a \$10.1 million one-time increment for compensation, and increments for a few specific programs. Although the legislation included one-time funding for pay raises for all state and university union and non-represented employees, it also included an unallocated reduction equal to the amount of those raises (\$29.8 million). It is unknown at this time how much of the \$29.8 million unallocated reduction, to be apportioned at the governor's discretion, will be passed on to UA (see intent language #2 below).

The regular session operating bills (HB72 & HB73), transmitted to the Governor on May 1, 2015, did not get the required votes to use the constitutional budget reserve, so the budget transmitted in HB72 for fiscal year 2016 provided state spending appropriations of \$5 billion but only provided \$2 billion in state funding. On May 19, 2015, the Governor vetoed FY2016 state appropriations to the \$2 billion funding level to focus available state funding on health, life, safety and debt service obligations. The impact to the University of Alaska was a state appropriation reduction of \$242.5 million from the amount included in HB72.

The second special session operating bill (HB2001) was passed by the legislature on June 11, 2015, but as of June 18, 2015 the bill has not been transmitted to the Governor. HB2001 restores the \$242.5 million in state appropriation vetoed by the Governor, adds \$5.0 million of state general funds and provides \$10.1 million of one-time state funding for compensation cost increases (see intent language #1 below).

The FY2016 compensation includes the following:

- FY2016 contract renewal amount for Local 6070, United Academics (UNAC), United Academic Adjuncts (UNAA), UA Federation of Teachers (UAFT), and Fairbanks Firefighters Association (FFA) (see intent language #3-5 below).
- The three university chancellors and President Gamble recommend a 2.5 percent salary increase for UA staff, an amount consistent with the increase for comparable state employees. This amount is .6 percent below the 3.1 percent agreed to last September, but believed to be reasonable given the current fiscal realities.
- The following furloughs will be implemented in FY2016: Officers of the University (10 days, 3.8%), Senior Administrators (7 days, 2.7%), and non-represented academic leaders (5 days, 1.9%). Officer and Senior Administrators will also not be receiving a salary increase in FY2016.
- Grid increases for temporary and student employees are not being recommended.

The regular session operating budget bill (HB72) restored the FY2015 one-time funding for facilities maintenance and repair (\$1,081.5) and student services (\$400.0). It also provides UAF with \$1.86 million to continue funding the Alaska Center for Unmanned Aircraft Systems Integration (ACUASI) program and \$250.0 thousand (\$125.0 general funds and \$125.0 mental health trust funds) for the UAA Alaska Justice Information Center. As in the past, the legislation included a provision for additional funding for utility cost increases, but only if the fiscal year-to-date price of Alaska North Slope crude oil exceeds \$70 per barrel, thus it is unlikely UA will receive additional one-time funding to cover utility cost increases in FY2016.

The operating bills include the follow intent language for FY2016 that encourages state agencies to send research to UA, instead of sending it to other contractors.

“It is the intent of the legislature that all state agencies and instrumentalities that intend to contract for basic or applied research, including consultation, undertaking a study, performing a needs assessment, or providing an analysis, pursue discussions and negotiations with the University's Vice-President for Academic Affairs and Research to determine if the University can provide that service to the agency, and if so, to obtain that service from the University unless contrary to the best interests of the State or contrary to another provision of law.”

The following intent language was added by the conference committee related to salary increase for all state agencies:

- (1) “It is the intent of the legislature that the following appropriations be one-time increments to the operating budget.”
- (2) “It is the intent of the legislature that the unallocated reduction be implemented in a manner that results in a minimum number of state employee layoffs and that is geared toward finding internal agency and department efficiencies. It is the intent of the legislature that no supplemental funding be requested during the next regular session to fill the unallocated reduction.”
- (3) “It is the intent of the legislature that there be no cost-of-living pay raises beginning with collective bargaining agreements negotiated in 2015.”
- (4) “It is the intent of the legislature that language in each of the negotiated collective bargaining agreements allow for the agreements to be re-opened if the oil price of Alaska North Slope West Coast reaches \$95.00 and if that price is maintained or increases over that amount for a period of 60 consecutive days; this language shall be reviewed at the end of the three-year negotiated agreements.”
- (5) “It is the intent of the legislature that language in each of the negotiated collective bargaining agreements allow for the agreements to be re-opened if the oil price of Alaska North Slope West Coast falls below \$45.00 and remains below that amount for a period of 60 consecutive days; this language shall be reviewed at the end of the three-year negotiated agreements.”

University of Alaska FY16 Operating Budget Summary (in thousands of \$)
UA Board of Regents' compared to Final Legislation (HB72, HB73, and HB2001)

	UA Board of Regents' Budget			Final Legislation (HB72 & HB73) (HB2001 ⁽⁵⁾ -pending Gov's signature)			Final over/ (under) BOR
	State Approp. (UGF)	Non-State Funding (DGF, Fed, Other)	Total	State Approp. (UGF)	Non-State Funding (DGF, Fed, Other)	Total	State Approp. (UGF)
FY15 Operating Budget	370,599.7	554,264.0	924,863.7	370,599.7	554,264.0	924,863.7	-
Rev. FY15 One-time Funded Items	(1,981.5)	(2,947.1)	(4,928.6)	(1,981.5)	(2,947.1)	(4,928.6)	-
Base - FY15 Operating Budget	368,618.2	551,316.9	919,935.1	368,618.2	551,316.9	919,935.1	-
Unallocated General Fund Reduction				(26,373.0)	-	(26,373.0)	(26,373.0)
FY16 Adjusted Base Requirements							
Compensation Increase ⁽⁶⁾	10,073.0	10,073.0	20,146.0	10,073.0	10,073.0	20,146.0	-
Additional Operating Cost Increases							
Utility Cost Increases ⁽¹⁾		1,600.0	1,600.0			-	-
Facility Maint. and Repair ⁽²⁾	2,028.5	2,028.5	4,057.0	1,081.5	1,081.5	2,163.0	(947.0)
New Facilities Est. Oper. Costs	2,742.8	1,652.0	4,394.8			-	(2,742.8)
UAA Alaska Airlines Center	1,120.0		1,120.0			-	(1,120.0)
UAA Engr & Industry Bldg	1,622.8		1,622.8			-	(1,622.8)
UAA Engr Bldg Parking Garage		902.0	902.0			-	-
UAF AK Satellite Fac (ASF)-O&M		750.0	750.0			-	-
Unfunded Federal Mandates ⁽²⁾	567.2		567.2	65.8	-	65.8	(501.4)
Title IX Compliance Coordinators	310.0		310.0			-	(310.0)
Disability Support Coordinators ⁽²⁾	257.2		257.2	65.8		65.8	(191.4)
FY16 Adj'd Base Subtotal	15,411.5	12,753.5	30,765.0	11,220.3	11,154.5	22,374.8	(4,191.2)
FY16 High Demand Program Requests (program descriptions begin on page 7)							
Student Advising and Completion ⁽²⁾	857.9	25.0	862.8	334.2		334.2	(503.6)
Teacher Education	2,781.8	278.1	3,059.9			-	(2,781.8)
Readying Alaska's Workforce						-	-
Fisheries, Seafood & Maritime Initiative (FSMI)	520.0	239.3	759.3			-	(520.0)
Economic Development Agency ⁽³⁾	1,652.0	1,600.0	3,252.0	1,862.3		1,862.3	210.3
Legislative Priority Programs for UA			-	125.0	125.0	250.0	125.0
FY16 High Demand Program Subtotal	6,521.6	2,483.4	9,005.0	2,321.5	125.0	2,446.5	(4,200.1)
FY16 Budget Adjustments							
Technical Vocational Ed. Program		403.1	403.1		403.1	403.1	-
Mental Health Trust Authority ⁽⁴⁾	355.0	1,806.9	2,161.9		1,806.9	1,806.9	(355.0)
UA Intra-Agency Receipt Authority		11,000.0	11,000.0			-	-
FY16 Budget Adjustments Subtotal	355.0	13,210.0	13,565.0	-	2,210.0	2,210.0	(355.0)
FY16 Operating Budget Changes	20,306.6	26,499.8	48,406.4	(14,812.7)	10,542.4	(4,270.3)	(35,119.3)
FY16 Operating Budget Total	390,906.3	580,763.8	973,270.1	355,787.0	564,806.4	920,593.4	(35,119.3)
% Chg. FY15-FY16 Operating Budget	5.5%	4.8%	5.2%	-4.0%	1.9%	-0.5%	

(1) Additional funding for utility cost increases will not apply unless the fiscal year-to-date price of Alaska North Slope crude oil exceeds \$70 a barrel;

(2) Partial one-time funding in FY15;

(3) Alaska Center for Unmanned Aircraft Systems Integration (ACUASI) funding will be used to partially fund both the operating and capital program needs;

(4) Program descriptions begin on page 10;

(5) Unallocated general fund reduction excludes UA's portion of the \$29.8M executive branch-wide unallocated reduction;

(6) See introduction for additional information.

University of Alaska FY16 Operating Budget Summary
State Appropriations Comparison
(in thousands of \$)

	UA BOR Budget	Governor's Proposed Budget	Conference Committee Budget (HB72 & HB73)	Enacted Budget (HB72 & HB73)	Conference Committee Budget ⁽⁴⁾ (HB2001)	FY2016 Operating Budget Total
FY15 Operating Budget	370,599.7	370,599.7	370,599.7	370,599.7	-	370,599.7
Rev. FY15 One-time Funded Items	(1,981.5)	(1,981.5)	(1,981.5)	(1,981.5)	-	(1,981.5)
Base - FY15 Operating Budget	368,618.2	368,618.2	368,618.2	368,618.2	-	368,618.2
Unallocated General Fund Reduction		(18,168.5)	(31,373.0)	(31,373.0)	5,000.0	(26,373.0)
FY16 Adjusted Base Requirements						
Compensation Increases	10,073.0	10,073.0			10,073.0	10,073.0
Utility Cost Increases ⁽¹⁾						
Facility Maintenance and Repair ⁽²⁾	2,028.5	1,081.5	1,081.5	1,081.5		1,081.5
New Facilities Estimated Operating Costs	2,742.8					
Unfunded Federal Mandates ⁽²⁾	567.2	65.8	65.8	65.8		65.8
FY16 Adjusted Base Requirements Subtotal	15,411.5	11,220.3	1,147.3	1,147.3	10,073.0	11,220.3
	4.2%	3.0%	0.3%	0.3%		3.0%
FY16 High Demand Program Requests						
Student Advising and Completion ⁽²⁾	857.8	334.2	334.2	334.2		334.2
Teacher Education	2,731.5					
Health Education	730.0					
Fisheries, Seafood and Maritime Initiative (FSMI)	520.0					
Economic Development Agenda ⁽³⁾	1,652.0		1,862.3	1,862.3		1,862.3
Legislative Priority Programs for UA			125.0	125.0		125.0
FY16 High Demand Programs Subtotal	6,521.6	334.2	2,321.5	2,321.5	-	2,321.5
	1.8%	0.1%	0.6%	0.6%		0.6%
FY16 Budget Adjustments						
Governor's Vetoes				(242,504.9)	242,504.9	
Mental Health Trust Authority	355.0					
FY16 Budget Adjustments Subtotal	355.0	-	-	(242,504.9)	242,504.9	-
FY16 Operating Budget Changes	20,306.6	(8,595.5)	(29,885.7)	(272,390.6)	257,577.9	(14,812.7)
FY16 Operating Budget Total	390,906.3	362,004.2	340,714.0	98,209.1	257,577.9	355,787.0
% Chg. FY15-FY16 Operating Budget	5.5%	-2.3%	-8.1%	-73.5%		-4.0%

(1) Additional funding for utility cost increases will not apply unless the fiscal year-to-date price of Alaska North Slope crude oil exceeds \$70 a barrel;

(2) Partial one-time funding in FY15;

(3) Alaska Center for Unmanned Aircraft Systems Integration (ACUASI) funding will be used to partially fund both the operating and capital program needs;

(4) Pending Governor's signature.

University of Alaska FY16 Operating Budget Summary
State Appropriations Comparison by University

(in thousands of \$)

	UA	SPS	UAA	UAF	UAS	SYSBRA
FY15 Operating Budget	370,599.7	28,368.9	133,787.5	177,291.4	29,151.9	-
Rev. FY15 One-time Funded Items	(1,981.5)	-	(468.2)	(1,186.8)	(326.5)	-
Base - FY15 Operating Budget	368,618.2	28,368.9	133,319.3	176,104.6	28,825.4	-
Unallocated General Fund Reduction	(26,373.0)	(4,624.5)	(8,725.7)	(11,038.2)	(1,910.6)	
FY16 Adjusted Base Requirements						
Compensation Increase	10,073.0	583.7	3,110.8	5,063.0	715.5	
Utility Cost Increases ⁽¹⁾						
Facility Maintenance and Repair ⁽²⁾	1,081.5	7.0	256.0	715.5	103.0	
New Facilities Estimated Operating Costs					-	
Unfunded Federal Mandates ⁽²⁾	65.8				65.8	
FY16 Adjusted Base Requirements Subtotal	11,220.3	590.7	3,966.8	5,778.5	884.3	-
	3.0%	2.1%	3.0%	3.2%	3.0%	
FY16 High Demand Program Requests						
Student Advising and Completion ⁽²⁾	234.2			218.5	115.7	
Teacher Education						
Health Education						
Fisheries, Seafood and Maritime Initiative (FSMI)						
Economic Development Agenda ⁽³⁾	1,862.3			1,862.3		
Legislative Priority Programs for UA	125.0		125.0			
FY16 High Demand Programs Subtotal	2,321.5	-	125.0	2,080.8	115.7	-
	0.6%	0.0%	0.1%	1.2%	0.4%	
FY16 Budget Adjustments						
Mental Health Trust Authority						
FY16 Budget Adjustments Subtotal	-	-	-	-	-	-
FY16 Operating Budget Changes	(14,812.7)	(4,033.8)	(5,176.1)	(4,365.7)	(1,237.1)	-
FY16 Operating Budget Total	355,787.0	24,335.1	128,611.4	174,925.7	27,914.8	-
% Chg. FY15-FY16 Operating Budget	-4.0%	-14.2%	-3.9%	-2.4%	-4.2%	

(1) Additional funding for utility cost increases will not apply unless the fiscal year-to-date price of Alaska North Slope crude oil exceeds \$70 a barrel;

(2) Partial one-time funding in FY15;

(3) Alaska Center for Unmanned Aircraft Systems Integration (ACUASI) funding will be used to partially fund both the operating and capital program needs.

**University of Alaska
FY16 High Demand Program Requests by Initiative**

University / Program Title	UA BOR Budget			Proposed Distribution		
	State Approp. (UGF)	Non-State Funding (DGF, Fed, Oher)	Total	State Approp. (UGF)	Non-State Funding (DGF, Fed, Oher)	Total
STUDENT ADVISING AND COMPLETION						
UAA Rural Student Transition Specialist (RSTS)	73.0		73.0			
UAA Center for Alaska Native Education Research	100.0		100.0			
UAF Comprehensive Rural Student Advising (BBC & KUS) Program Completion ⁽¹⁾	278.0		278.0	218.5		218.5
UAS Coordinator for Student First Year Experience Retention ⁽¹⁾	136.8		136.8	115.7		115.7
UA Degree Completion Initiative	250.0	25.0	275.0			
Student Advising and Completion Subtotal	837.8	25.0	862.8	334.2		334.2
TEACHER EDUCATION						
UAA Teacher Recruitment, Preparation and Mentoring	903.2	90.3	993.5			
UAF Teacher Recruitment, Preparation and Mentoring	224.4	22.4	246.8			
UAS Teacher Recruitment, Preparation and Mentoring	204.1	20.4	224.5			
SW Teacher Recruitment, Preparation and Mentoring	1,450.1	141.0	1,595.1			
Teacher Education Subtotal	2,781.8	273.1	3,059.9			
HEALTH EDUCATION						
UAA Alaska Health Education Center (AHEC) System: Health Workforce Pipeline	330.0		330.0			
UAF Complete the Establishment of the Collaborative 2+2 Alaska Veterinary Medicine Program with Colorado State University	200.0	241.0	441.0			
UAF Build Alaska's Undergraduate & Clinical Ph.D. Psychology Program	200.0	100.0	300.0			
Health Education Subtotal	730.0	341.0	1,071.0			
FISHERIES, SEAFOOD AND MARITIME INITIATIVE (FSMI)						
UAF Understanding Ocean Acidification Impact on Alaska	227.0	65.0	292.0			
UAA Vessel and Maritime Industries Workforce Coordinator	103.0	20.0	123.0			
UAS Joint Fisheries Degree UAS with UAF	77.0	19.3	96.3			
UAF Meet Alaska Commercial Seafood Processing Training	113.0	135.0	248.0			
Fisheries, Seafood and Maritime Initiative (FSMI) Subtotal	520.0	239.3	759.3			
ECONOMIC DEVELOPMENT AGENDA						
UAA "Innovation To Commercialization" Prototype Development	100.0		100.0			
UAF Support Core Infrastructure for Continuing the Unmanned Aircraft Systems (UAS) FAA Test Project ⁽²⁾	570.0	1,000.0	1,570.0	1,862.3		1,862.3
UAF Meet Chemical Engineering Degree Commercial Demand to Support Growth of Alaska LNG/Oil/Gas Refining Industries	400.0	450.0	850.0			
UAF Research To Open Up Alaska's Rare Earth Element	150.0	150.0	300.0			
UAF Support Alaska's Participation in Arctic Policy Development	200.0		200.0			
UAF Develop Film Industry Workforce	232.0		232.0			
Economic Development Agenda Subtotal	1,652.0	1,600.0	3,252.0	1,862.3		1,862.3
LEGISLATIVE PRIORITY PROGRAMS FOR UA						
UAA Alaska Justice Information Center				125.0	125.0	250.0
Legislative Priority Programs for UA Subtotal				125.0	125.0	250.0
FY16 High Demand Program Requests Total	6,521.6	2,483.4	9,005.0	2,321.5	125.0	2,446.5

(1) Partial one-time funding in FY15;

(2) Alaska Center for Unmanned Aircraft Systems Integration (ACUASI) funding will be used to partially fund both the operating and capital program needs.

FY16 Operating Budget Program Descriptions

FY16 High Demand Programs

Requested: (GF: \$6,521.6, NGF: \$2,483.4, Total: \$9,005.0)

Distribution: (GF: \$2,321.5, NGF: \$125.0, Total: \$2,446.5)

Student Advising and Completion

Requested: (GF: \$837.8, NGF: \$25.0, Total: \$862.8)

Distribution: (GF: \$334.2, NGF: \$0.0, Total: \$334.2)

- **UAF Comprehensive Rural Student Advising Bristol Bay and Kuskokwim Campuses ... Program Completion**

Requested: (GF: \$278.0, NGF: \$0.0, Total: \$278.0)

Distribution: (GF: \$218.5, NGF: \$0.0, Total: \$218.5)

This funding will replace the one-time funding provided by the Alaska Legislature in FY15. UAF rural campuses deliver place-based courses that allow students to receive training in or near their home community. "Gatekeeper" courses such as Developmental Mathematics and Developmental Science can be offered in a format that allows remedial students to complete their developmental work more quickly and move into a degree program. This project supports two student advisors to be housed at the Bristol Bay and Kuskokwim Campus. A Research Specialist will also be supported to perform degree audits, so that student advisors can contact non-completing, degree-seeking students to encourage them to complete their degree. The Research Specialist will be located in Fairbanks, in the Office of the Vice Chancellor for Rural Community & Native Education, in order to best serve all rural campuses across the state.

- **UAS Coordinator for Student First Year Experience Retention**

Requested: (GF: \$136.8, NGF: \$0.0, Total: \$136.8)

Distribution: (GF: \$115.7, NGF: \$0.0, Total: \$115.7)

This funding will replace the one-time funding provided by the Alaska Legislature in FY15. The first year experience (FYE) Advisor will work with faculty and staff to target classes, events and programs to involve the first time student in a variety of experiences. In addition, this position will teach classes within the residence hall facility specifically tailored to first year students. The FYE Advisor supports first year students through mentorship, programming, and significant interaction within the first year residence hall and campus events. UAS currently has a limited FYE program. The opening of the new 120-bed freshmen residence hall in fall 2014: Provides an excellent opportunity to build a FYE program among the freshmen residents, commuter freshmen and transfer and exchange students. A First Year Experience Advisor will assist in engaging these students in their programs and the university as a whole.

Teacher Education

Requested: (GF: \$2,781.8, NGF: \$278.1, Total: \$3,059.9)

Distribution: (GF: \$0.0, NGF: \$0.0, Total: \$0.0)

Health Education

Requested: (GF: \$730.0, NGF: \$341.0, Total: \$1,071.0)

Distribution: (GF: \$0.0, NGF: \$0.0, Total: \$0.0)

Fisheries, Seafood and Maritime Initiative (FSMI)

Requested: (GF: \$520.0, NGF: \$239.3, Total: \$759.3)

Distribution: (GF: \$0.0, NGF: \$0.0, Total: \$0.0)

FY16 Operating Budget Program Descriptions

Economic Development Agenda

Requested: (GF: \$1,652.0, NGF: \$1,600.0, Total: \$3,252.0)

Distribution: (GF: \$1,862.3, NGF: \$0.0, Total: \$1,862.3)

- **UAF Support Core Infrastructure for Continuing the Unmanned Aircraft Systems (UAS) FAA Test Project**

Requested: (GF: \$570.0, NGF: \$1,000.0, Total: \$1,570.0)

Distribution: (GF: \$1,862.3, NGF: \$0.0, Total: \$1,862.3)

This increment will support both base funding for the Alaska Center for Unmanned Aircraft Systems Integration (ACUASI) and a new workforce training position at the Community and Technical College (CTC). ACUASI provides science, research, and test and evaluation services and support to the unmanned aircraft system (UAS) user and manufacturer community. Much of its operational infrastructure was built with seed funding from the previous one-time capital investment from the State of Alaska Legislature.

A University of Alaska-led team, ACUASI is headquartered at the UAF Geophysical Institute and is one of six test centers selected by the Federal Aviation Administration (FAA) for the purpose of integrating Unmanned Aircraft Systems (UAS) into the national airspace system. This selection was partly due to the university's years of experience providing innovative UAS application and sensor support to scientific research for faculty projects, federal and state agencies, industry associations and industry groups. The team and the university are recognized nationwide as leaders in the industry, with the primary focus of UAS support for Arctic scientific research and the use of UAS to support community and industry needs. Most of the accomplishments of the program have been funded by small competitively awarded grants and contracts, as well as an important five million dollar state investment in 2012 that enabled growth in necessary infrastructure and personnel for the program. Additional potential users (the oil and gas industry, mining, forestry, etc.) are clamoring for UAS support, and the UAS industry is also eager to continue testing aircraft and systems in Alaska. UA's program is in a position to secure a significant portion of the explosive growth in national UAS related technical jobs, industry, operations and education for Alaska.

The state's initial investment helped garner national attention to Alaska's expertise in this area. This is already translating into more client-funded work, more high-technology jobs for Alaskans, and more industry interest in opening offices in Alaska. The program and test site are viewed as well ahead of others in the business.

In the future, it is anticipated that ACUASI will be able to seek user reimbursement for many of the costs associated with system development/integration, data product development and test flight services it provides. However, management and outreach is generally not fully funded by project sponsors, and is a necessary requirement for successful operation, continuation, and growth of the UAS program. The bulk of the funding in this increment would go toward providing base support for ACUASI's high-profile operations and core employees. This funding will assist expansion to the entire state, enable the university to participate in building a true technology cluster around UAS in partnership with the state, the borough and the military, and position Alaska once again as the leader in aviation technology.

Funds from this increment would also be used to fund an additional faculty member in CTC's Aviation and Maintenance Technology Program to develop and deliver a new occupational endorsement qualifying individuals to serve as UAS technicians. It is anticipated that the UAS industry will grow rapidly in Alaska, with one likely hub in Fairbanks, and this new program will meet workforce demand.

FY16 Operating Budget Program Descriptions

Legislative Priority Programs for UA

Requested: (GF: \$0.0, NGF: \$0.0, Total: \$0.0)

Distribution: (GF: \$125.0, NGF: \$125.0, Total: \$250.0)

- **Alaska Justice Information Center**

Requested: (GF: \$0.0, NGF: \$0.0, Total: \$0.0)

Distribution: (GF: \$125.0, NGF: \$125.0, Total: \$250.0)

This increment supports funding for the Alaska Justice Information Center/University of Alaska Anchorage Justice Center to compile, analyze, and report justice data for policymakers and practitioners in order to improve public safety, increase justice system accountability, and reduce recidivism. It will also make the criminal justice system more efficient, effective, and transparent. This Center was a key recommendation included in the “2015 Recidivism Reduction Plan” developed by the Alaska Mental Health Trust Authority, the Alaska Department of Corrections, the Alaska Housing Finance Corporation, the Alaska Court System, the Alaska Department of Health and Social Services, and the Alaska Department of Labor and Workforce Development. In addition, the Alaska Justice Information Center will complete a cost-benefit (Pew-MacArthur *Results First*) analysis. The Pew Trust offers the benefit of its modeling efforts, expertise and advice to states that are willing to gather and report data on evidence-based outcomes.

Approved by BOR 002315

**Operating Budget
References**

Approved by BOR 06/23/15

**FY16 Mental Health Trust Recommendations for UA
(GF-MH: \$0.0, MHTAAR: \$1,806.9*, Total: \$1,806.9)**

* excludes \$125.0 MHTAAR added by the Legislature for the Alaska Justice Information Center/UAA Justice Center (see page 9)

○ **UAA MH Trust: Workforce -Grant 1384.07 Trust Training Cooperatives/AK Rural Behavioral Health Training Academy**

(FY14-FY17) Temporary

(GF-MH: \$0.0, MHTAAR: \$955.0, Total: \$955.0)

The Trust Training Cooperative (TTC) was developed to promote career development opportunities for direct service workers (positions that require a bachelor's degree or less and work at least 75% of their time directly with consumers), supervisors and professionals in the field engaged with AK Mental Health Trust beneficiaries, by ensuring that technical assistance and training are accessible and coordinated. Project goals are: partnering with training entities, offering technical assistance to increase training opportunities that address workforce training needs, collecting training data, increasing evidenced based training deliver and providing quality training that equips the home & community based and behavioral health workforce to provide culturally attuned services throughout Alaska and to our unique AK Native populations.

In FY2013, the AK Rural Behavioral Health Training Academy merged under the TTC and is now represented through the TTC's Fairbanks office with a focus on professional approved continuing education training that support the behavior health licensed providers throughout the state.

In addition, the TTC continues in its statewide role of housing and supporting the Alaska core competencies for direct care workers. These ten core competencies will be embedded into training and specific training modules and technical assistance will be made available to provider agencies and communities to increase basic knowledge and skills of the direct service workers. In FY2013, the TTC was instrumental in piloting and implementing the core competencies training modules and will continue to expand support and technical assistance for agencies interested in incorporating and offering the modules for ongoing staff development.

TTC activities will result in: a comprehensive inventory of statewide training; provider satisfaction with training opportunities, relevance, effectiveness and accessibility of professional non-academic training; and increased knowledge and skill of direct service workers as well as increased capacity for communities to address behavioral health needs based on trained, knowledgeable behavioral health licensed providers, increased knowledge of serving rural communities through cultural attunement and continued participation in partnerships important to meeting the rural behavioral health workforce needs of Alaska.

○ **UAA MH Trust: Workforce Dev - Grant 2347.06 Workforce Development Coordinator (FY14-FY17) Temporary**

(GF-MH: \$0.0, MHTAAR: \$180.0, Total: \$180.0)

This director position is administratively housed within the University of Alaska Anchorage (UAA) Office of Health Program Development and fully funded by the Alaska Mental Health Trust Authority (The Trust). The position acts as the single point of contact for all Alaska Health Workforce Coalition (AHWC) projects, activities, and oversees and facilitates the AHWC Core Team. AHWC Core Team Members include Dept. of Health & Social Services (DHSS), The Trust, Department of Labor and Workforce Development (DOL/WD), Department of Education and Early

Mental Health Trust Program Descriptions FY2016

Development (DEED), UAA Office of Health Program Development, Alaska State Hospital and Nursing Home Association (ASHNHA), Alaska Workforce Investment Board (AWIB), Alaska Primary Care Association (AKPCA), the Area Health Education Centers, Alaska Behavioral Health Association and the Alaska Native Tribal Health Consortium.

The position assists or completes the following:

1. Provides direction and guidance and ensures coordination for the Trust Workforce Focus Area and the Alaska Health Workforce Coalition focusing on health and behavioral health workforce.
2. Ensures proper stewardship of public dollars and accountability for investments made.
3. Assists in finding and charting health workforce direction.
4. Oversees and acts as technical assistance for ongoing and new health workforce projects.

○ **MH Trust: Benef Employment - Grant 1291 Partners in Policymaking**

(GF-MH: \$0.0, MHTAAR: \$200.0, Total: \$200.0)

Partners in Policymaking (PIP) is a leadership and advocacy training program for Alaska Trust beneficiaries, their family members and caregivers from beneficiary groups. The goals of the project include:

- 1) To increase the numbers of individuals and family members who participate in local, state, a national advocacy activities
- 2) To support emerging leaders
- 3) To create a pool of mentors to offer peer support to other individuals with disabilities and family members
- 4) To provide access to information related to advocacy and disability issues
- 5) To promote citizen leadership skills including voter registration and voting activities, training on hosting candidate fundraisers, engaging in mobilizing with local campaign activities
- 6) To provide technical assistance in strategic (Midwest Academy) advocacy planning for Trust beneficiaries/groups

PIP blends training, opportunities to apply skills learned, mentorship, and ongoing support to achieve project goals. Training is offered via distance delivery modes to make it accessible to individuals across the state.

○ **MH Trust: Workforce - Grant 574 Specialized Skills and Services Training on Serving Cognitively Impaired Offenders**

(GF-MH: \$0.0, MHTAAR: \$65.0, Total: \$65.0)

This project coordinates a two-day statewide conference focusing on best-practice community treatment modalities, interventions, and supports for serving offenders in the community with cognitive impairments. The project will be managed by University of Alaska - Anchorage Campus through the Center for Human Development.

This project maintains a critical component of the Disability Justice Focus Area plan by enhancing our state's community behavioral health and developmental disability providers' skills and competencies for treating and supporting Trust beneficiary offenders. Data will be collected on how the funding was utilized and the increases of skills and clinical knowledge by attendees. The FY2016 Mental Health Trust Authority authorized receipts (MHTAAR) increment maintains the FY2015 momentum of effort.

○ **MH Trust: Workforce -Grant 574 Expand Specialized Skills and Services Training on Serving Cognitively Impaired Offenders**

(GF-MH: \$0.0, MHTAAR: \$7.5, Total: \$7.5)

This project coordinates a two-day statewide conference focusing on best-practice community treatment modalities, interventions, and supports for serving offenders in the community with cognitive impairments. The project will be managed by University of Alaska - Anchorage Campus through the Center for Human Development.

This project maintains a critical component of the Disability Justice Focus Area plan by enhancing our state's community behavioral health and developmental disability providers' skills and competencies for treating and supporting Trust beneficiary offenders. Data will be collected on how the funding was utilized and the increases of skills and clinical knowledge by attendees. In order to maintain the FY2015 momentum of effort, the FY2016 Mental Health Trust Authority authorized receipts (MHTAAR) increment is needed due to escalating conference space fees and travel costs.

○ **MH Trust: Workforce - Grant 1335 Vacancy Study**

(GF-MH: \$0.0, MHTAAR: \$25.0, Total: \$25.0)

The Trust Workforce Development Focus Area, in partnership with the University of Alaska, the Alaska Area Health Education Center and the Department of Labor and Workforce Development, is investing in a biannual health workforce vacancy study to determine the extent of openings within health-related occupations. Using purposive sampling, the study will survey health worker employers, including behavioral health facilities, hospitals, nursing homes, medical clinics, physician's offices, medical laboratories, diagnostic imaging facilities, school districts, dental offices, and the offices of physical, occupational, and speech therapists, representing every geographic region of the state. The data sought for over a hundred key health occupations, including 15 in behavioral health includes: 1) the total number of persons currently employed; 2) the total number of current vacancies; 3) if training is required beyond minimum education and training, and 4) how long the vacancies have been open. The vacancy study is completed semi-annually and used as a tool to evaluate current status of positions and in planning strategies to address the vacancy patterns. Funding for the vacancy study varies from year to year. The year of data collection the request is \$75.0 per year and the "off" year is reduced to \$25.0 for analysis and trend report writing.

○ **MH Trust: Workforce - Grant 2347 Expand Workforce Director (FY16-FY17)**

(GF-MH: \$0.0, MHTAAR: \$5.4, Total: \$5.4)

The \$5.4 increase is to ensure a cost of living increase for the Alaska Health Workforce Coalition Director (AHWC) position.

This director position is administratively housed within the University of Alaska Anchorage (UAA) Office of Health Program Development and fully funded by the Alaska Mental Health Trust Authority (The Trust). The position acts as the single point of contact for all AHWC projects, a catalyst for change, continued partnering and industry alignment, workforce activities, and oversees and facilitates the AHWC Core Team. AHWC Core Team Members include Department of Health & Social Services (DHSS), the Trust, Department of Labor and Workforce Development (DOLWD), Department of Education and Early Development (DEED), UAA Office of Health Program Development, Alaska State Hospital and Nursing Home Association (ASHNHA), Alaska Workforce Investment Board (AWIB), Alaska Primary Care Association (AKPCA), the Area

Mental Health Trust Program Descriptions FY2016

Health Education Centers, Alaska Behavioral Health Association and the Alaska Native Tribal Health Consortium.

The position assists or completes the following:

1. Provides direction and guidance and ensures coordination for the Trust Workforce Focus Area and the Alaska Health Workforce Coalition focusing on health and behavioral health, home and community-based services, and long-term support workforce.
2. Ensures proper stewardship of public dollars and accountability for investments made.
3. Assists in finding and charting health workforce direction.
4. Oversees and acts as technical assistance for ongoing and new health workforce projects.

○ **MH Trust: Workforce - Grant 573 Interpersonal Violence Prevention for Beneficiaries**
(GF-MH: \$0.0, MHTAAR: \$80.0, Total: \$80.0)

This project builds community behavioral health provider skills and capacity to assume additional risk and time serving offenders with cognitive impairments by using a train-the-trainer model to deliver a social skills curriculum to Trust beneficiaries. This project focuses on building capacity within the provider community to prevent interpersonal violence in the lives of adults with cognitive disabilities. On-going clinical technical assistance and support is provided to the trained facilitators on a bi-monthly basis to address issues on delivering the training to beneficiaries and on community capacity building to support beneficiaries to apply what they learn in their everyday lives. The FY2016 Mental Health Trust Authority authorized receipts (MHTAAR) increment maintains the FY2015 momentum of effort.

○ **MH Trust: Workforce - Grant 582 Technical Assistance & Implementation of D.A.R.T. in Targeted Communities**

(GF-MH: \$0.0, MHTAAR: \$210.0, Total: \$210.0)

This project will continue providing training and information to address the needs of Trust beneficiaries who are victims of crime. Funding will be used to increase victim advocacy services for beneficiaries; increase training collaboration with Alaska Network on Domestic Violence and Sexual Assault, Alaska Native Justice Center, criminal justice, and consumer groups; and collect baseline outcome data. Disability Abuse Response Teams (D.A.R.T.) will be developed in targeted communities. These teams will build capacity across multiple service delivery systems; and increase awareness and knowledge of beneficiaries, family members and service providers to reduce victimization. The Center for Human Development is a member of the Disability Justice Work Group and reports data on the number and type of training and technical assistance activities, training evaluation data, and baseline outcome data. The FY2016 Mental Health Trust Authority authorized receipts (MHTAAR) increment maintains the FY2015 momentum of effort.

○ **MH Trust: Workforce - Grant 1384 Trust Training Cooperatives/Alaska Rural Behavioral Health Training Academy (FY16-FY17)**

(GF-MH: \$0.0, MHTAAR: \$29.0, Total: \$29.0)

The Trust Training Cooperative (TTC) is requesting an FY2016 increase to help offset the rising cost for personnel and to continue to invest and provide programmatic activities/outcomes that benefit the workforce supporting Trust beneficiaries.

Trust Workforce Focus Area funding for the TTC was static at \$955.0 for FY2014 & FY2015 – In FY2014, personnel costs increased by 3.25 percent (\$23.8); in FY2015, personnel costs increased

Mental Health Trust Program Descriptions FY2016

by 2 percent (\$15.1) - this represents a 5.25 percent (\$38.9) increase to the cost of personnel, and decreasing funds available for program activities.

In FY2016, the TTC is estimating a 3 percent increase to personnel representing an additional \$22.6 increase to personnel costs.

Adding FY2014, FY2015 & potential FY2016 personnel increases totals out at 8.25 percent for a total potential increase of \$61.5 to personnel costs over 3 years. In addition, there will be another increase in FY2017, anywhere from 2 percent to 3 percent, that will have an impact on TTC program budget line items.

The financial impact is substantial and affects the funds available for program activities such as:

- Training technical assistance used to increase access to workforce training opportunities
- Training access funds that cover travel costs to help providers send staff to trainings held outside of their rural communities
- Co-sponsorship with other training entities to increase access by reducing training costs for service providers
- Develop web-based "anytime" trainings and other distance delivery options.

○ **MH Trust: SAPT - Center for Alcohol Policy Partnership**

(GF-MH: \$0.0, MHTAAR: \$50.0, Total: \$50.0)

The University of Alaska Anchorage College of Health (CAA/COH) and the Western Interstate Commission on Higher Education (WICHE) Mental Health Program in conjunction with the Alaska Mental Health Trust Authority are establishing a research collaborative in order to expand the capacity of the Alaska health and social services system to conduct research and analyze data designed to improve the performance of the Comprehensive Mental Health Program. There are three phases to this project: 1) characterize the current behavioral health education, research and funding environment; 2) development and submission of a research proposal using the identification of needs, opportunities for funding and identification of potential collaborators gathered as a result of completing phase one; and 3) utilize the funding obtained through research submission to build infrastructure of the research collaborative. This will focus on funding resources for post-doctoral fellows, research assistants and administrative staff to successfully undertake research and data analysis in Alaska and successfully compete for funds. This research collaborative will partner with the Trust and others on concurrent efforts to improve and address the Comprehensive Mental Health Program and alcohol policy for Trust beneficiaries.

FY13-FY15 Authorized Budget and FY16 Proposed Budget by University/Campus (in thousands of \$)

University/Campus	FY13 BOR Authorized			FY14 BOR Authorized			FY15 BOR Authorized			FY16 Proposed BOR Authorized		
	State Approp. (UGF) ⁽¹⁾	Non-State Funding (DGF, Fed, Other) ⁽²⁾	Total Funds	State Approp. (UGF) ⁽¹⁾	Non-State Funding (DGF, Fed, Other) ⁽²⁾	Total Funds	State Approp. (UGF) ⁽¹⁾	Non-State Funding (DGF, Fed, Other) ⁽²⁾	Total Funds	State Approp. (UGF) ⁽¹⁾	Non-State Funding (DGF, Fed, Other) ⁽²⁾	Total Funds
Systemwide Components Summary												
Reduct's & Addt's	1.0	15,001.1	15,002.1	0.8	(1,498.9)	(1,498.1)	0.5	0.6	0.6	992.7	992.7	992.7
Total Sysbra	1.0	15,001.1	15,002.1	0.8	(1,498.9)	(1,498.1)	0.6	0.6	0.6	992.7	992.7	992.7
Statewide Programs & Services												
Statewide Services	15,849.7	24,756.7	40,606.4	16,387.4	23,316.6	39,704.0	15,958.6	22,108.8	38,067.4	13,560.5	22,290.4	35,850.9
Office Info. Tech.	11,371.0	11,537.7	22,908.7	11,538.1	8,255.0	19,793.1	11,171.4	8,681.4	19,802.8	9,620.9	8,872.2	18,493.1
System Education & Outreach	1,830.1	11,912.4	13,742.5	1,500.3	9,919.7	11,420.0	1,288.9	10,902.1	12,191.0	1,153.7	10,434.6	11,588.3
Total SPS	29,050.8	48,206.8	77,257.6	29,425.8	41,491.3	70,917.1	28,368.9	41,692.3	70,061.2	24,335.1	41,597.2	65,932.3
University of Alaska Anchorage												
Anchorage Campus	111,725.6	157,911.9	269,637.5	114,920.6	158,753.8	273,674.4	113,309.3	161,457.1	274,766.4	108,786.1	164,143.8	272,929.9
Small Business Development Ctr	807.2	2,109.0	2,916.2	1,163.3	2,109.0	3,272.3	1,103.4	2,109.0	3,212.4	1,043.0	2,109.1	3,152.1
Kenai Peninsula College	7,339.5	6,718.6	14,058.1	7,902.5	8,661.9	16,564.4	7,651.8	9,305.4	16,957.2	7,428.9	9,634.0	17,062.9
Kodiak College	2,897.4	1,656.9	4,554.3	2,951.6	2,078.1	5,029.7	2,848.3	3,054.8	5,903.1	2,749.9	3,127.7	5,877.6
Mat-Su College	4,720.4	5,838.7	10,559.1	5,039.9	5,651.1	10,905.0	5,444.2	5,999.2	11,443.4	5,267.6	6,203.5	11,471.1
Prince William Sound College	3,399.6	4,070.0	7,469.6	3,746.9	4,028.7	7,775.6	3,430.5	4,388.8	7,819.3	3,335.9	4,500.1	7,836.0
Total UAA	130,889.7	178,305.1	309,194.8	135,724.8	181,476.6	317,221.4	133,787.5	186,314.3	320,101.8	128,611.4	189,718.2	318,329.6
University of Alaska Fairbanks												
Fairbanks Campus	119,647.1	143,183.6	262,830.7	124,659.8	136,241.1	260,900.9	127,916.8	143,749.5	271,666.3	122,232.0	147,086.9	269,318.9
Fairbanks Org. Res.	22,672.8	119,460.1	142,132.9	23,748.7	123,939.3	147,688.0	24,443.5	119,480.3	143,923.8	26,402.0	120,834.9	147,236.9
Coop. Ext. Service	5,062.3	6,024.3	11,086.6	5,183.0	6,145.0	11,328.0	4,499.9	6,235.9	10,735.8	4,463.1	6,408.6	10,871.7
Bristol Bay	1,456.1	2,403.8	3,859.9	1,718.7	2,512.7	4,231.4	1,550.2	2,607.5	4,157.7	1,530.1	2,673.2	4,203.3
Chukchi Campus	1,049.0	1,320.9	2,369.9	1,093.3	1,404.7	2,498.0	1,058.6	1,427.7	2,486.3	1,033.2	1,462.3	2,495.5
Interior Alaska Campus	1,904.0	4,305.6	6,209.6	1,977.4	4,298.0	6,275.4	1,916.6	3,869.6	5,786.2	1,877.9	4,033.8	5,911.7
Kuskokwim Campus	3,356.6	3,371.3	6,727.9	3,655.6	3,546.8	7,202.4	3,425.6	3,474.5	6,900.1	3,340.2	3,565.5	6,905.7
Northwest Campus	1,798.7	1,379.0	3,137.7	1,853.1	1,378.2	3,231.3	1,782.6	2,865.7	4,648.3	1,740.6	2,939.2	4,679.8
Col. of Rural & Comm. Dev.	6,262.0	7,107.1	13,369.1	6,467.9	5,724.0	12,191.9	6,434.7	5,188.7	11,623.4	6,176.1	5,245.3	11,421.4
UAF Comm. & Tech. College	6,205.3	7,912.3	14,147.6	6,471.4	8,130.4	14,601.8	6,262.9	8,194.1	14,457.0	6,130.5	8,439.6	14,570.1
Total UAF	169,413.9	196,158.0	465,871.9	176,828.9	293,320.2	470,149.1	179,291.4	297,093.5	476,384.9	174,925.7	302,689.3	477,615.0
University of Alaska Southeast												
Juneau Campus	22,681.2	21,866.7	44,553.9	23,360.9	20,474.8	43,835.7	22,921.9	21,556.4	44,478.3	21,866.0	22,124.3	43,990.3
Ketchikan Campus	2,758.3	3,034.2	5,792.5	2,789.9	2,867.0	5,656.9	2,697.4	2,883.3	5,580.7	2,604.3	2,946.9	5,551.2
Sitka Campus	3,463.8	4,691.4	8,155.2	3,655.6	4,746.8	8,402.4	3,532.6	4,723.6	8,256.2	3,444.5	4,738.8	8,183.3
Total UAS	28,909.3	29,592.3	58,501.6	29,806.4	28,088.6	57,895.0	29,151.9	29,163.3	58,315.2	27,914.8	29,810.0	57,724.8
Total University	358,264.7	567,563.3	925,828.0	376,703.9	537,518.8	914,222.7	370,599.7	554,264.0	924,863.7	355,787.0	564,807.4	920,594.4

(1) UGF - Unrestricted General Funds;

(2) DGF - Designated General Funds (University Receipts, Technical and Vocational Education Program); Fed - Federal Funds; and Other: State Inter-Agency Receipts, Mental Health Trust Authority Authorized Receipts, CIP Receipts, and UA Intra-Agency Receipts.

University of Alaska Revenue Summary
Budget Authority and Actual Revenue by Source FY14-FY16

	Authorized							Actuals				
	FY14 Base ⁽²⁾	FY15 Auth.	FY15 Adjustments	FY15 Base ⁽²⁾	FY16 Proposed	FY16 % chg	FY16 \$ chg	FY14 Actual	FY15 Projection	FY16 Projection	FY16 % chg	FY16 \$ chg
State Appropriations												
General Fund	365,800.0	363,185.1		363,185.1	350,353.9			365,800.0	363,185.1	350,353.9		(12,831.2)
General Fund-One-Time ⁽¹⁾	-	1,981.5	(1,981.5)	-	-			6,392.9	6,571.5			(6,571.5)
General Fund Match	4,777.3	4,777.3		4,777.3	4,777.3			4,777.3	4,777.3	4,777.3		-
Mental Health Trust	655.8	655.8		655.8	655.8			639.2	655.8	655.8		-
State Appr. Subtotal	371,233.1	370,599.7	(1,981.5)	368,618.2	355,787.0	-4.0%	(14,912.7)	377,609.4	375,189.7	355,787.0	-5.2%	(19,402.7)
License Plates ⁽³⁾	-	0.6	(0.6)	-	1.0	67%	0.4	0.8	0.6	1.0	67%	0.4
Technical Vocational Ed.	5,380.0	5,226.9		5,226.9	5,630.0	7.7%	403.1	5,380.0	5,226.9	5,630.0	7.7%	403.1
University Receipt Authority												
Interest Income								666.8	700.0	700.0		-
Auxiliary Receipts								39,450.2	41,800.0	41,800.0		-
Student Tuition/Fees (net)								125,115.1	130,100.0	136,600.0	5.0%	6,500.0
Indirect Cost Recovery								30,374.7	29,200.0	29,200.0		-
University Receipts								72,226.1	72,900.0	75,100.0	3.0%	2,200.0
University Rcpts. Subtotal	300,040.5	311,466.0	(1,081.5)	310,384.5	321,539.0	3.2%	10,073.0	267,832.9	274,700.0	283,400.0	3.2%	8,700.0
Federal Receipts	150,852.7	150,852.7		150,852.7	150,852.7		-	125,519.3	120,500.0	120,500.0		-
State Inter Agency Rcpts	16,201.1	16,201.1		16,201.1	16,201.1		-	12,197.2	12,900.0	13,300.0	3.1%	400.0
MHTAAR	-	1,865.0	(1,865.0)	-	1,931.9		66.9	1,675.8	1,865.0	1,931.9	3.6%	66.9
CIP Receipts	10,530.7	10,530.7		10,530.7	10,530.7		-	10,539.0	10,500.0	10,500.0		-
UA Intra Agency Receipts	58,121.0	58,121.0		58,121.0	58,121.0		-	52,507.4	53,000.0	53,000.0		-
Rcpt. Authority Subtotal	535,746.0	537,366.5	(2,946.5)	546,090.0	559,176.4	1.8%	10,139.9	470,271.6	473,465.0	482,631.9	1.9%	9,166.9
Revenue Total	912,359.1	924,863.7	(4,928.6)	919,935.1	920,594.4	-0.5%	(4,269.3)	853,261.8	853,882.2	844,049.9	-1.2%	(9,832.3)

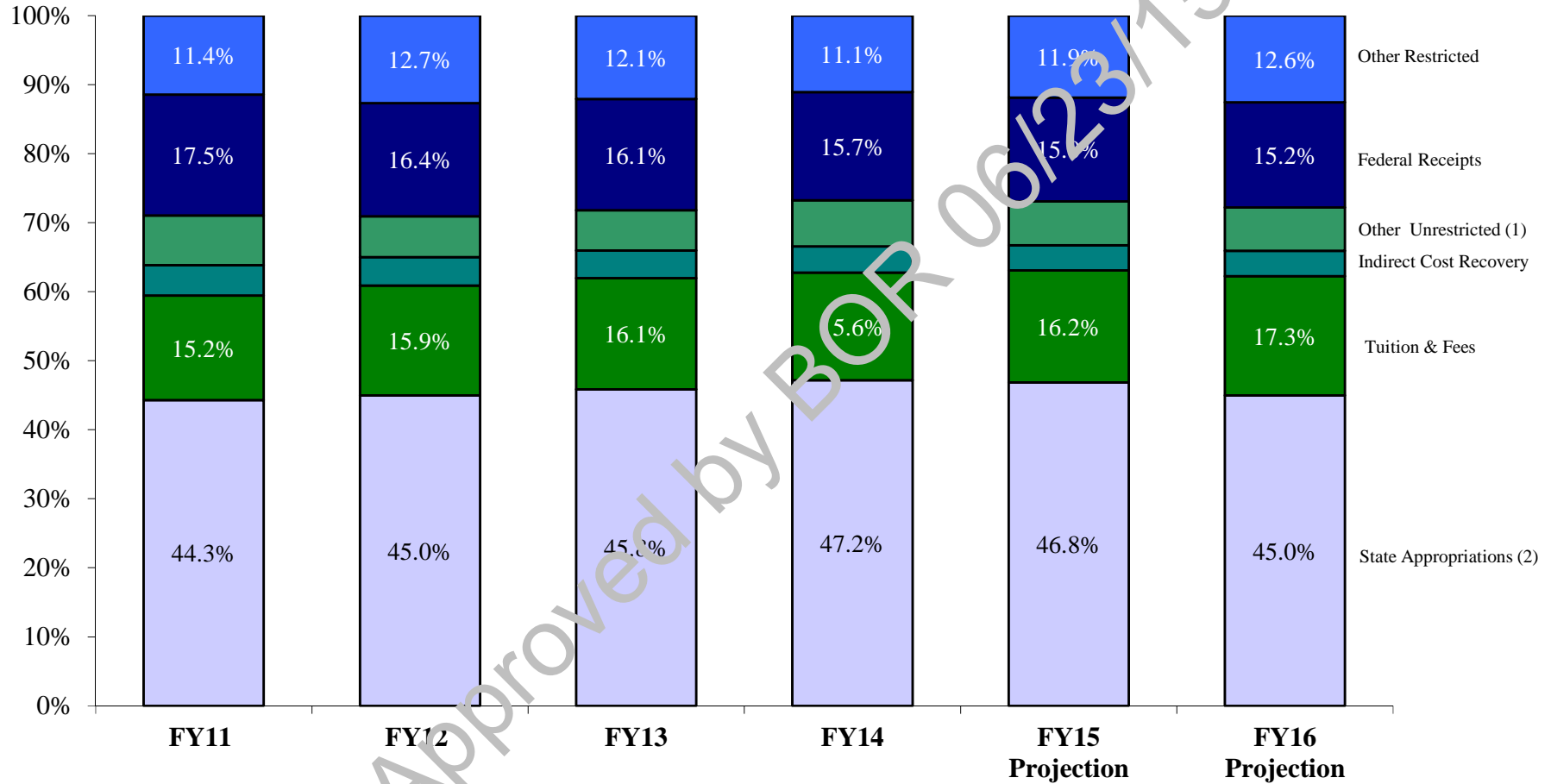
1. Actuals include the following one-time & supplemental items: FY14 \$6,280.0 for utility cost increases (\$4,680.0 trigger + \$1,600.0 supplemental); \$90.0 Juneau Center for Mine Training; and \$22.9 Local 6070 contract costs; and FY15 \$4,590.0 for utility cost increases; \$1,081.5 M&R; \$400.0 UAF/UAS Comprehensive Student Advising; and \$500.0 UAF Hydrocarbon Optimization.

Authorized excludes the following supplemental items: FY14 \$6,280.0 for utility cost increases (\$4,680.0 trigger + \$1,600.0 supplemental); and FY15 \$4,590.0 for utility cost increases.

2. Excludes one-time authorizations necessary to cover actual expenditures.

3. License Plate Revenue was included in General Fund prior to FY15.

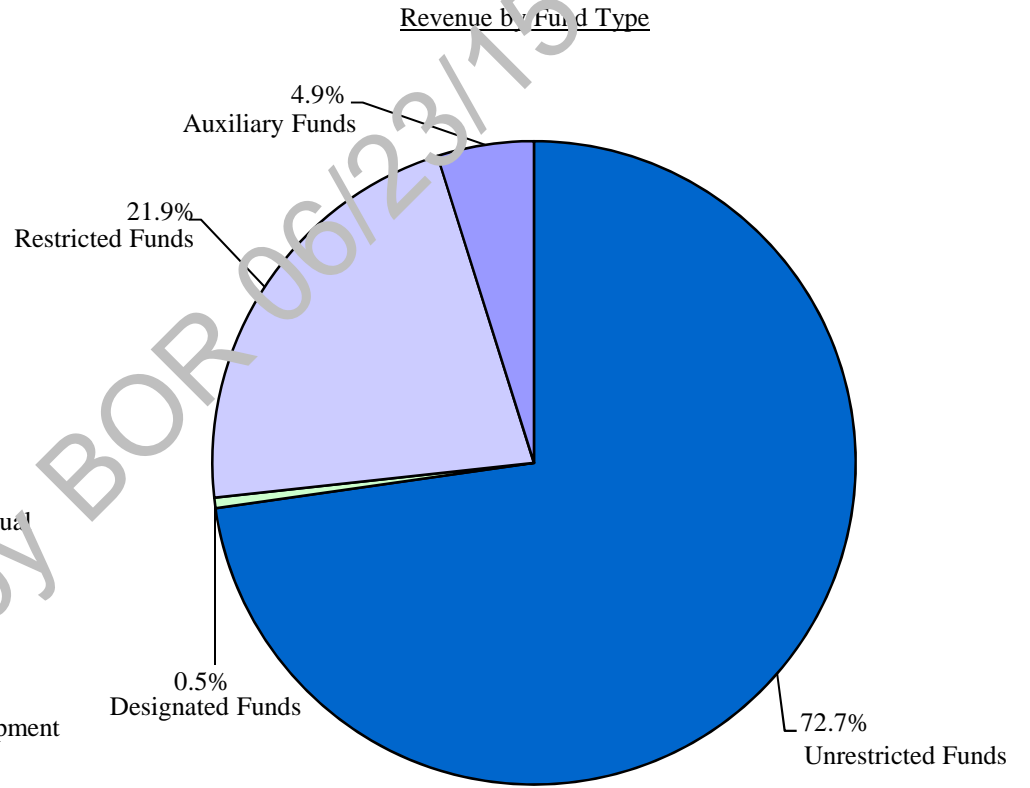
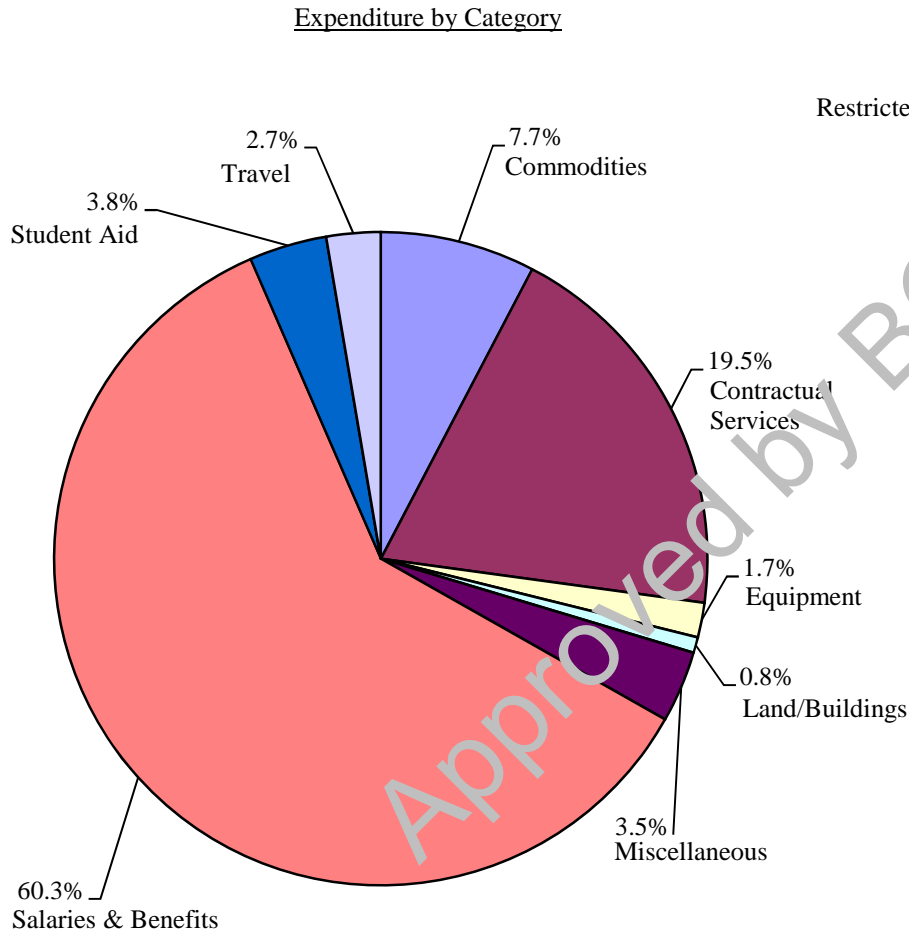
University of Alaska Revenue by Source FY11-FY14, FY15-FY16 est.



1. Other Unrestricted funds include Technical Vocational Education Program (TVEP) funds and exclude UA Intra Agency Receipts.

2. State Appropriations (unrestricted general funds) include one-time funding for utility cost increases: FY11 \$3,080.0; FY12 \$3,960.0; FY13 \$4,680.0; FY14 \$6,280.0; and FY15 \$4,590.0.

University of Alaska Expenditure by Category and Revenue by Fund Type FY15 Estimate



Unrestricted Funds	\$621.1
Restricted Funds	186.8
Designated Funds	4.6
Auxiliary Funds	<u>41.4</u>
Sub-Total	853.9
UA Intra-Agency (UAIAR)	<u>(53.0)</u>
Total (in millions)	\$800.9



UNIVERSITY
of ALASKA

Many Traditions One Alaska

Proposed
FY2016
Capital Budget
Distribution Plan

Board of Regents
June 23, 2015
Fairbanks, Alaska

Prepared by: University of Alaska Statewide Office of Strategy,
Planning and Budget
907.450.8191

<http://www.alaska.edu/swbir/>

**Proposed
FY2016 Capital Budget Distribution Plan
Introduction**

The capital bill (SB26) was passed by the legislature on April 27, 2015, but as of June 18, 2015 the bill has not been transmitted to the Governor. The information below assumes the capital bill will eventually be signed by the Governor.

The University's capital budget request totaled \$128.1 million with \$98.6 million requested from state funding and \$29.5 million requested from non-state funding. Of the \$50.0 million requested by the Board of Regents for its number one priority, deferred maintenance (DM) and renewal & repurposing (R&R), the legislature appropriated \$3.0 million. Funding will address the critical priority projects at UAA, UAF and UAS.

UAF received state funding of \$1.86 million in the FY16 Operating Budget for the Alaska Center for Unmanned Aircraft Systems Integration (ACUASI). A portion of this funding will go toward the \$5.0 million capital request for this program. The legislature did not include funding to continue work on the UAF Engineering Building, which leaves UAF short \$36.3 million to finish construction.

The administration requests that the Board accept the capital appropriation and approve the distribution as presented. The project budgets are estimated and project descriptions begin on page 5. As the exact project scope and costs become known, project approval will be obtained from the appropriate authority in accordance with Board of Regents' Policy. If a subsequent transfer of funding between projects or to a new project is requested, the Chief Strategy, Planning and Budget Officer shall determine the level of approval required, based on the size and nature of the transfer, in accordance with Board policy.

University of Alaska
FY16 Capital Budget Request Summary
UA Board of Regents' compared to Final Legislation SB26
(in thousands of \$)

	UA Board of Regents' Budget			Final Legislation (Pending Gov's Signature)		
	State	Non- State	Total	State	Non- State	Total
	Approp.	Funding		Approp.	Funding	
Deferred Maintenance (DM) / Renewal & Repurposing (R&R)	50,000.0		50,000.0	3,000.0		3,000.0
<i>UA DM/R&R for University Building Fund Facilities</i>	12,500.0		12,500.0			0.0
<i>UAA Main Campus</i>	8,983.0		8,983.0	450.0		450.0
<i>UAA Community Campuses</i>	1,915.6		1,915.6			0.0
<i>UAF Main Campus</i>	21,986.0		21,986.0	2,350.0		2,350.0
<i>UAF Community Campuses</i>	1,054.9		1,054.9			0.0
<i>UAS Main & Community Campuses</i>	2,651.0		2,651.0	200.0		200.0
<i>SW Statewide</i>	909.5		909.5			0.0
New Starts/Continuation						
<i>UAF Engineering Building Completion</i>	31,300.0	5,000.0	36,300.0			0.0
<i>UAF Alaska Center for Energy and Power (ACEP) Office Build-out</i>		6,500.0	6,500.0			0.0
<i>UAS Student Commons</i>		1,500.0	1,500.0			0.0
<i>UAF CTC Fire and Emergency Services Training and Education Facility</i>	1,250.0		1,250.0			0.0
<i>UAA ANC & PWSC Traffic, Parking & Security Improvements</i>	2,183.0		2,183.0			0.0
<i>UAA KPC Kachemak Bay Campus Gas Conversion</i>	210.0		210.0			0.0
<i>UAA Mat-Su Bridge Enclosure</i>	607.0		607.0			0.0
Research for Alaska						
<i>UAF Unmanned Aircraft Systems in the Arctic (ACUASI)</i>	5,000.0	5,000.0	10,000.0	*		0.0
<i>UAF Energy & Remote Power Partnerships for Alaska's Future</i>	3,000.0	8,000.0	11,000.0			0.0
<i>UAF Center for Arctic Sustainable Development</i>	3,000.0	1,500.0	4,500.0			0.0
<i>UAF Closing Alaska's Earthquake and Tsunami Safety Gap</i>	2,000.0	2,000.0	4,000.0			0.0
FY16 Capital Budget	98,550.0	29,500.0	128,050.0	3,000.0	0.0	3,000.0

* \$1.86M was appropriated through the FY16 Operating Budget for ACUASI and will be used to partially fund both the operating and capital program needs.

University of Alaska
FY16 Priority Deferred Maintenance (DM) and Renewal and Repurposing (R&R) Projects
State Appropriations (in thousands of \$)

Project Name	DM	R&R	Total	Budgeted
UAA Main Campus				
Emergency Infrastructure Repair/Replacement	2,000.0		2,000.0	
Campus Building Envelope & Roof Systems Renewal	800.0	200.0	1,000.0	450.0
Campus Building Interior & Systems Renewal	250.0	250.0	500.0	
Campus Exterior Infrastructure and Signage Renewal	200.0	50.0	250.0	
EM1 and EM2 Mechanical	3,000.0		3,000.0	
Consortium Library Old Core Mechanical Upgrades	7,176.0		7,176.0	
Fine Arts Mechanical System Renewal		74.0	74.0	
UAA Main Campus Subtotal	13,426.0	574.0	14,000.0	450.0
UAA Community Campuses				
KPC Campus Renewal	375.0	375.0	750.0	
Kodiak College Campus Renewal	215.6	400.0	615.6	
PWSC Campus Renewal	155.0	200.0	355.0	
Mat-Su Campus Renewal	300.0	392.0	692.0	
KPC Kachemak Bay Campus Renewal	95.0	95.0	190.0	
Mat-Su Parking/Road/Circulation Renewal	50.0	50.0	100.0	
KPC Kenai River Campus Brockel Building Renewal	150.0	200.0	350.0	
UAA Community Campuses Subtotal	1,485.6	1,712.0	3,052.6	
UAA DM and R&R Total	14,911.6	2,286.0	17,052.6	450.0
UAF Main Campus				
Critical Electrical Distribution	4,370.0	2,000.0	6,370.0	
Fairbanks Campus Main Waste Line Repairs	2,000.0		2,000.0	1,700.0
Campus Building Envelope & Roof Systems Renewal	2,500.0		2,500.0	650.0
West Ridge Facilities Deferred Maintenance and Revitalization	8,000.0	1,000.0	9,000.0	
ADA Compliance Campus Wide: Elevators, Ramps, Restrooms	600.0	400.0	1,000.0	
Elevator/Alarms Scheduled Upgrading and Replacement	50.0	450.0	500.0	
Fairbanks Campus Building Interior & Systems Renewal	500.0		500.0	
Cogen Heating Plant Required Upgrades to Maintain Service and Code Corrections	1,000.0	660.0	1,660.0	
Patty Center Revitalization	2,700.0	300.0	3,000.0	
Gruening Revitalization	1,500.0		1,500.0	
Campus Infrastructure	500.0	500.0	1,000.0	
Ski, Bike, and Pedestrian Safety	500.0		500.0	
UAF Main Campus Subtotal	24,220.0	5,310.0	29,530.0	2,350.0
UAF Community Campus				
Kuskokwim Campus Facility Critical Deferred and Voc-Tech Renewal -- Phase 2	1,054.9		1,054.9	
UAF Community Campus Subtotal	1,054.9		1,054.9	
UAF DM and R&R Total	25,274.9	5,310.0	30,584.9	2,350.0

University of Alaska
FY16 Priority Deferred Maintenance (DM) and Renewal and Repurposing (R&R) Projects
State Appropriations (in thousands of \$)

Project Name	DM	R&R	Total	Budgeted
UAS Main Campus				
Campus Building Envelope & Roof Systems Renewal				200.0
Whitehead/Hendrickson Renewal	4,485.0		4,485.0	
TEC Renewal Phase 3	1,800.0		1,800.0	
UAS DM and R&R Total	6,285.0		6,285.0	200.0
Statewide				
Butrovich Building Repairs	909.5		909.5	
Statewide DM and R&R Total	909.5		909.5	
UA FY16 DM and R&R Total	47,236.0	7,596.0	54,832.0	3,000.0
Additional DM and R&R				
UAA Main Campus	154,906.0	126,824.0	281,730.0	
UAA Community Campuses	20,144.2	13,438.9	33,583.1	
UAF Main Campus	547,694.4	125,316.5	673,010.9	
UAF Community Campuses	21,621.6	23,987.9	45,617.5	
UAS Main	956.6	1,342.7	2,299.2	
UAS Community Campuses	165.0		165.0	
UA System Additional DM and R&R Total	745,495.8	290,909.9	1,036,405.7	
UA DM and R&R Total	792,731.8	298,505.9	1,091,237.7	

Approved by BOR 06/23/15

UAA Main Campus

- **Campus Building Envelope & Roof Systems Renewal**

Requested: FY16 (GF: \$1,000.0, NGF: \$0.0, Total: \$1,000.0)
FY17-FY25 (GF: \$9,000.0, NGF: \$0.0, Total: \$9,000.0)
Distribution: GF: \$450.0

UAA FY16 Capital Budget request included deferred maintenance and renewal & renovation (DM/R&R) funding for UAA Campus Building Envelope & Roof System Renewal. The long range plan has been to target \$1 million from UAA’s annual DM/R&R allocation to cover the replacement of one or two building roofs each year and the design of one or two roofs for the following year. In FY15 all the DM/R&R funding had to be redirect to the UAA Auto Diesel Technology Roof Replacement project due to significant cost increases discovered during construction. FY16 DM/R&R funding will allow continued work on the next most critical roof projects.

UAF Main Campus

- **Fairbanks Campus Main Waste Line Repairs**

Requested: FY16 (GF: \$2,000.0, NGF: \$0.0, Total: \$2,000.0)
FY17-FY25 (GF: \$8,610.0, NGF: \$0.0, Total: \$8,610.0)
Distribution: GF: \$1,700.0

Much of the sanitary and storm sewer main piping on campus is original wood stave piping dating back nearly 60 years. These mains, though not at full capacity, have far exceeded their useable life and are failing. Campus growth and an ever-changing regulatory environment require the modification and upgrade of the waste water handling infrastructure. This funding will complete the two remaining wood stave pipe replacement projects by replacing several thousand feet of waste line main piping on campus with new, modern materials.

- **Campus Building Envelope & Roof Systems Renewal**

Requested: FY16 (GF: \$2,500.0, NGF: \$0.0, Total: \$2,500.0)
FY17-FY25 (GF: \$9,000.0, NGF: \$0.0, Total: \$9,000.0)
Distribution: GF: \$650.0

Building envelope and roof repairs are a priority for UAF. Keeping water out of buildings is critical to protecting the rest of the facility. A systematic approach to roof replacements has succeeded in protecting UAF assets. UAF has many large campus structures that still have original roof systems. As buildings on campus age and do not receive adequate renewal & renovation (R&R) funding, building envelope (including roofing) system repairs only offer a band-aid solution to a long-term problem. Funding is required for a multi-year project to rebuild envelopes and replace roofs that have surpassed their useable life and are at risk of significant failure.

UAS Main Campus

- **Campus Building Envelope & Roof Systems Renewal**

Requested: FY16 (GF: \$0.0, NGF: \$0.0, Total: \$0.0)
FY17-FY25 (GF: \$0.0, NGF: \$0.0, Total: \$0.0)
Distribution: GF: \$200.0

This project will replace the Whitehead building roof.

**Capital Budget
References**

Approved by BOR 06/23/15

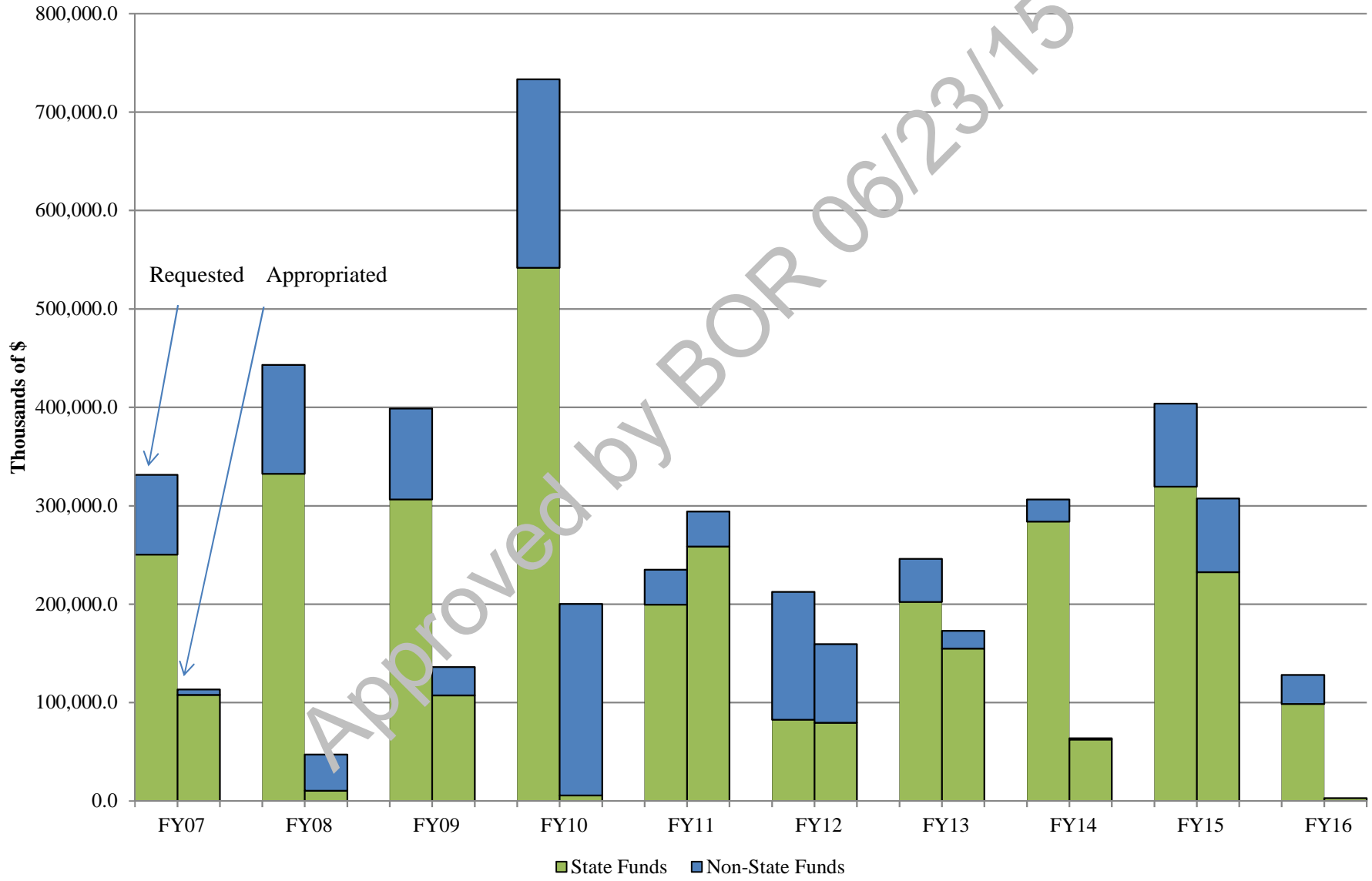
University of Alaska
 Capital Budget Request vs. State Appropriation
 FY07-FY16
 (in thousands of \$)

Request	Renewal and Repurposing	Add/Expand	New Facilities	Equipment	Other¹	Total
FY07	87,520.0	9,650.0	135,983.0	16,721.9	550.0	250,424.9
FY08	131,016.0	6,395.0	186,500.0	7,874.7	550.0	332,335.7
FY09	114,000.0	2,000.0	163,870.0	26,000.0	550.0	306,420.0
FY10	204,130.0		194,495.0	90,000.0	53,150.0	541,775.0
FY11	100,000.0		99,375.0			199,375.0
FY12	70,433.0				12,092.5	82,525.5
FY13	187,500.0				14,700.0	202,200.0
FY14	162,500.0		108,900.0		12,500.0	283,900.0
FY15	37,500.0		273,900.0		7,900.0	319,300.0
FY16	50,000.0		48,550.0			98,550.0
Total	1,144,599.0	18,045.0	1,211,573.0	140,526.6	101,992.5	2,616,806.1
10 yr. Avg	114,459.9	1,804.5	121,157.3	14,052.7	10,199.3	261,680.6

Approp.	Renewal and Repurposing	Add/Expand	New Facilities	Equipment	Other¹	Total
FY07	48,587.1		58,637.9		715.0	107,940.0
FY08	8,200.0		1,525.0		640.0	10,365.0
FY09	45,822.6		61,300.0		125.0	107,247.6
FY10	3,200.0		2,500.0			5,700.0
FY11	43,694.7		113,896.7	400.0	558.5	258,550.0
FY12	39,500.0	2,000.0	35,800.0		2,204.0	79,504.0
FY13	37,950.0		108,900.0		8,040.0	154,890.0
FY14	30,000.0		30,000.0		2,588.7	62,588.7
FY15	19,273.0		212,600.0	120.0	450.0	232,443.0
FY16	3,000.0					3,000.0
Total	279,227.5	2,000.0	725,159.6	520.0	15,321.2	1,022,228.3
10 yr. Avg	27,922.7	200.0	72,516.0	52.0	1,532.1	102,222.8

¹ Includes research, small business development center and other capital funding requests or appropriations

**University of Alaska
Capital Request and Appropriation Summary
FY07-FY16**

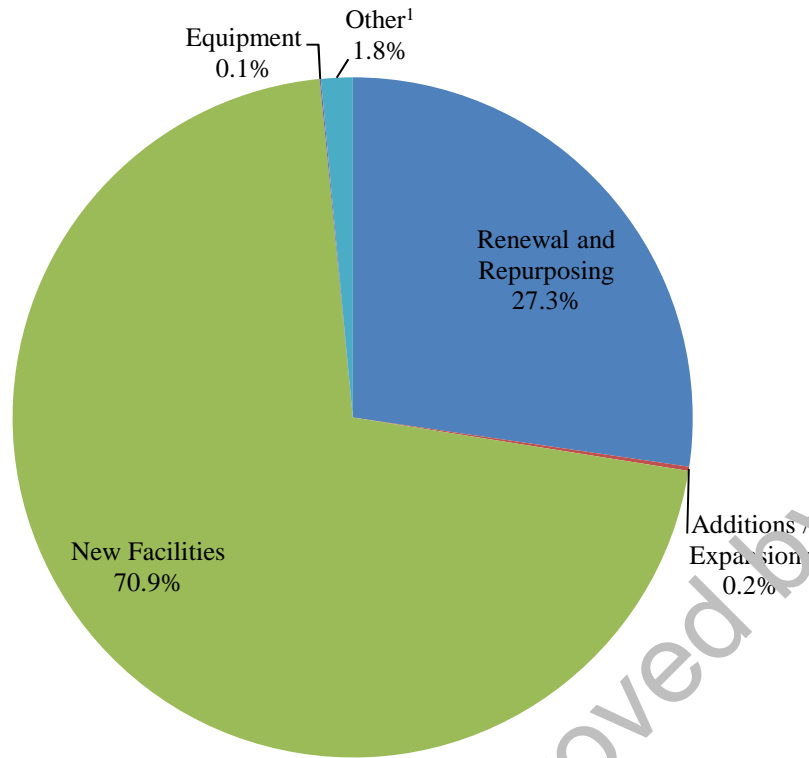


University of Alaska
State Appropriation Summary by Category
FY07-FY16
(in thousands of \$)

Campus	Location	Renewal and Repurposing	Expansions	Additions / New Facilities	Equipment	Other ¹	Total						
Anchorage Campus	Anchorage	68,058.3	24.4%	334,112.9	46.1%	3,500.0	405,671.1						
Kenai Peninsula College	Soldotna	7,906.6	8.7%	32,300.0	8.5%	50.0	40,256.6						
Kachemak Bay Homer	Homer	875.8		2,750.0		265.0	3,890.8						
Kodiak College	Kodiak	2,492.4		23,500.0		2,492.4	2,492.4						
Matanuska-Susitna College	Palmer	5,110.6		3,050.0		28,610.6	28,610.6						
Prince Wm. Sound College	Valdez	7,917.9		10,967.9		10,967.9	10,967.9						
UAA		92,361.6	33.1%	395,712.9	54.6%	3,815.0	491,889.5						
Fairbanks Campus	Fairbanks	121,640.3	43.7%	325,446.7	44.9%	10,728.3	457,815.3						
Fairbanks Campus	Juneau						70.0%	44.8%					
Fairbanks Campus	Palmer	300.0						300.0					
Fairbanks Campus	Seward												
Community Campuses	Various	1,144.0				53.5	1,197.5						
Bristol Bay Campus	Dillingham	193.0				16.8	209.8						
Chukchi Campus	Kotzebue												
Interior-Aleutians Campus	Tok	140.0	4.7%				140.0						
Interior-Aleutians Campus	Fort Yukon	7.3					0.9%	7.3					
Interior-Aleutians Campus	Fairbanks	47.7						11.4	59.1				
Kuskokwim Campus	Bethel	7,042.5						12.9	7,055.4				
Northwest Campus	Nome	4,433.0						5.1	4,438.1				
Fairbanks Campus (CES)	Kenai					90.0	90.0						
UAF Comm. & Tech. College	Fairbanks	16,863.1	6.0%			44.9	16,908.1						
UAF		151,811.6	54.4%	325,446.7	44.9%	10,962.8	488,220.5						
Juneau Campus	Juneau	26,351.9	9.5%	2,000.0	100.0%	4,000.0	0.6%	520.0	100.0%	394.0	2.6%	33,305.9	3.3%
Ketchikan Campus	Ketchikan	1,154.8	0.9%					30.4	0.4%	1,155.2	0.2%		
Sitka Campus	Sitka	1,60.2					30.4		1,390.6				
UAS		28,876.9	10.3%	2,000.0	100.0%	4,000.0	0.6%	520.0	100.0%	454.7	3.0%	35,851.6	3.5%
Statewide	Fairbanks	3,178.0	2.2%					88.7	0.6%	3,266.7	0.6%		
Systemwide	Systemwide	3,000.0							3,000.0				
SW		6,178.0	2.2%					88.7	0.6%	6,266.7	0.6%		
UA Grand Total		279,227.5	100.0%	2,000.0	100.0%	725,159.6	100.0%	520.0	100.0%	15,321.2	100.0%	1,022,228.3	100.0%
			27.3%		0.2%		70.9%		0.1%		1.5%		100.0%

¹ Includes research, small business development center and other capital appropriations

State Appropriation Summary by Category FY07 -FY16



New Facilities and Major Expansion

UAA

AK Cultural Center & PWSCC Training Center (FY07)
 Integrated Science Facility (FY06, FY07)
 Kachemak Bay Campus New Facility (FY08, Reapprop FY10, FY11)
 Health Sciences Building (FY09)
 Engineering Facility Planning, Design
 and Construction (FY11, FY13, FY14, FY15)
 Kenai Peninsula College Campus Student Housing (FY11, FY12)
 Kenai Peninsula College Campus Career
 & Technical Education Center (FY11)
 Matanuska-Susitna Campus Valley Center for Art & Learning (FY11)
 Community Sports Arena (FY09, FY11, FY12)

UAF

Museum of the North (FY07)
 Engineering & Technology Project Design,
 Development and Construction (FY11, FY13, FY14, FY15)
 Life Sciences Classroom and Laboratory Facility (FY11)
 Heat & Power Plant Major Upgrade (FY15)

UAS

Banfield Hall Dormitory Addition (FY12, FY13)

¹ Includes research, small business development center and other capital appropriations