

SUBAWARD COMPLIANCE & ASSURANCE STATEMENT

1. SUBAWARD INSTITUTION (COLLABORATOR)	2. SUBAWARD NO. UAF
3. PROJECT TITLE	

4. USE OF RECOMBINANT DNA (rDNA)

Project does not involve recombinant rDNA.

Project involves rDNA and *(check one of the following)*

was either approved or determined to be exempt from the National Institutes of Health (NIH) Guidelines by an Institutional Biosafety Committee (IBC) registered with the Office of Biotechnology Activities, NIH. Required for NIH funded activities.

has been reviewed or approved by the appropriate institutional officer or board.

5. CARE AND USE OF ANIMALS

Scope of Work does not involve the use of vertebrates.

Scope of Work involves the use of live vertebrates and *(check one of the following)*

was reviewed and approved by the Institutional Animal Care and Use Committee (Protocol # _____ ; Approval Date _____) having an approved Assurance on file with the Office of Laboratory Animal Welfare (Reg. # _____), an AAALAC accreditation (Acc. # _____), and/or USDA registration (Reg. # _____).

will follow the International Guiding Principles for Biomedical Research Involving Animals (http://www.cioms.ch/1985_texts_of_guidelines.htm) and the applicable animal welfare laws and regulations of the country in which the work will occur. *Required for non-US institutions; additional documentation may be needed to fulfill specific funding agency requirements.*

6. PROTECTION OF HUMAN SUBJECTS

Project does not involve human subject research.

Project involves human subject research and is subject to the approval of an Institutional Review Board (IRB) registered to an organization holding a Federalwide Assurance (FWA # _____) and *(check one of the following)*

was determined to be exempt (Category # _____).

was approved by the IRB (Protocol # _____ ; Approval Date _____).

the performing organization hereby certifies that no human subjects research will be conducted until the research has received full and unconditional approval from the IRB.

Project involves human subject research and the performing organization has obtained a Single Project Assurance (SPA # _____) to cover this project.

7. EXPORT COMPLIANCE

Project does not involve the use of export controlled technology, materials or data and does not involve the provision of defense services.

Project involves the use of export controlled technology, materials or data and/or includes the provision of defense services. The performing organization hereby asserts that a Technology Control Panel has been put in place to prevent unauthorized exports and that it will obtain any necessary licenses or other approvals prior to performing any export(s) for this project.

8. RESPONSIBLE CONDUCT OF RESEARCH (RCR) - *Required for National Science Foundation awards including trainee (postdoctoral researchers, graduate students, and undergraduates) support.*

Project does not include funding for trainees

Project includes funding for trainees and *(check one of the following)*

the performing organization has an RCR Training Program and will ensure that funded trainees complete training as required by institutional policy.

the performing organization will develop an RCR Training Plan and will ensure that funded trainees complete training during the first year of NSF support.

The performing organization agrees to assume responsibility for complying with all applicable laws, regulations, and policies related to the performance of activities involving rDNA, care and use of live vertebrates, human subjects of research, and export compliance. The performing organization must immediately report any suspension or revocation of the privileges related to the above activities on the part of the organization or individual(s) working on this subaward to the UAF Procurement and Contract Services authorized contact.

SIGNATURE OF AUTHORIZED SUBAWARD INSTITUTIONAL REPRESENTATIVE

TITLE

DATE