## Part I Summary

1. **Briefly describe the organization’s mission or most significant activities:**
   
   The mission of the University of Alaska Foundation is to seek, secure, and steward philanthropic support to build excellence at the University of Alaska.

2. **Check this box ☑ if the organization discontinued its operations or disposed of more than 25% of its net assets.**

3. **Number of voting members of the governing body (Part VI, line 1a):**

4. **Number of independent voting members of the governing body (Part VI, line 1b):**

5. **Total number of individuals employed in calendar year 2016 (Part V, line 2a):**

6. **Total number of volunteers (estimate if necessary):**

7a. **Total unrelated business revenue from Part VIII, column (C), line 12:**

7b. **Net unrelated business taxable income from Form 990-T, line 34:**

### Activities & Governance

<table>
<thead>
<tr>
<th>8</th>
<th>Contributions and grants (Part VIII, line 1h)</th>
<th>Prior Year</th>
<th>Current Year</th>
</tr>
</thead>
<tbody>
<tr>
<td>9</td>
<td>Program service revenue (Part VIII, line 2g)</td>
<td>735,739</td>
<td>8,553</td>
</tr>
<tr>
<td>10</td>
<td>Investment income (Part VIII, column (A), lines 3, 4, and 7d)</td>
<td>2,144,637</td>
<td>7,201,188</td>
</tr>
<tr>
<td>11</td>
<td>Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)</td>
<td>-43,386</td>
<td>-50,998</td>
</tr>
<tr>
<td>12</td>
<td>Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)</td>
<td>16,441,166</td>
<td>33,759,441</td>
</tr>
</tbody>
</table>

### Expenses

| 13 | Grants and similar amounts paid (Part IX, column (A), lines 1–3) | 14,989,334 | 17,719,275 |
| 14 | Benefits paid to or for members (Part IX, column (A), line 4) | 0 | 0 |
| 15 | Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10) | 0 | 0 |
| 16a | Professional fundraising fees (Part IX, column (A), line 11e) | 0 | 0 |
| 17 | Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e) | 3,917,426 | 4,304,668 |
| 18 | Total expenses. Add lines 13–17 (must equal Part IX, column (A), line 25) | 18,906,760 | 22,023,943 |
| 19 | Revenue less expenses. Subtract line 18 from line 12 | -2,465,594 | 11,735,498 |

### Net Assets or Fund Balances

<table>
<thead>
<tr>
<th>20</th>
<th>Beginning of Current Year</th>
<th>End of Year</th>
</tr>
</thead>
<tbody>
<tr>
<td>21</td>
<td>Total assets (Part X, line 16)</td>
<td>348,105,705</td>
</tr>
<tr>
<td>22</td>
<td>Total liabilities (Part X, line 26)</td>
<td>131,203,663</td>
</tr>
<tr>
<td>23</td>
<td>Net assets or fund balances. Subtract line 21 from line 20</td>
<td>216,902,042</td>
</tr>
</tbody>
</table>

## Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

**Signature of officer**

**Date**

**Signature Here**

**Stan Mishin, Director of Finance**

**Type or print name and title**

---

**Paid Preparer Use Only**

- **Print/Type preparer’s name**
- **Preparer’s signature**
- **Date**
- **Check ☐ if self-employed**
- **PTIN**

**Paid Preparer’s Signature**

**Firm’s name**

**Firm’s EIN**

**Firm’s address**

**Phone no.**

May the IRS discuss this return with the preparer shown above? (see instructions) **Yes ☐ No ☑**

---

**For Paperwork Reduction Act Notice, see the separate instructions.**

Cat. No. 11282Y

Form 990 (2016)
Part III  Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III  

1  Briefly describe the organization’s mission:  

The mission of the University of Alaska Foundation is to seek, secure and steward philanthropic support to build excellence at the University of Alaska.  

2  Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?  

If “Yes,” describe these new services on Schedule O.  

3  Did the organization cease conducting, or make significant changes in how it conducts, any program services?  

If “Yes,” describe these changes on Schedule O.  

4  Describe the organization’s program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.  

| Code | Academic Program Support: The Foundation is a partner with the University of Alaska (UA) in raising private funds to benefit programs and projects across UA’s 16-campus system. The Foundation provides strategic counsel, research, data, and technical assistance to campuses, helping to ensure coordinated and efficient fund development efforts for the UA system. |
| Code | Student Financial Aid: The Foundation receives scholarship applications and distributes awards to students of the University of Alaska System. Scholarships are available to students based on merit, financial need, outstanding achievement in specialized fields, or any combination of these criteria. In FY17, the Foundation provided scholarships to 1315 university students. |
| Code | Student and Public Support: The Foundation administers charitable gifts that benefit the University of Alaska (UA) statewide programs, events, and facilities designed to enhance student life, community engagement, and public learning. These include UA media, library, museum, and cooperative extension services among others. Support is accomplished through reimbursement of UA personnel costs, travel, operating expenses, and capital expenditures. |

4d  Other program services (Describe in Schedule O.)  

(Expenses $ 1,063,156 including grants of $ 1,063,156 ) (Revenue $ 0 )  

4e  Total program service expenses  

17,719,275
<table>
<thead>
<tr>
<th>Checklist of Required Schedules</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If &quot;Yes,&quot; complete Schedule A</td>
<td>✔️</td>
<td></td>
</tr>
<tr>
<td>2 Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)?</td>
<td>✔️</td>
<td></td>
</tr>
<tr>
<td>3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If &quot;Yes,&quot; complete Schedule C, Part I</td>
<td>✔️</td>
<td></td>
</tr>
<tr>
<td>4 <strong>Section 501(c)(3) organizations</strong>. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If &quot;Yes,&quot; complete Schedule C, Part II</td>
<td>✔️</td>
<td></td>
</tr>
<tr>
<td>5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If &quot;Yes,&quot; complete Schedule C, Part III</td>
<td>✔️</td>
<td></td>
</tr>
<tr>
<td>6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If &quot;Yes,&quot; complete Schedule D, Part I</td>
<td>✔️</td>
<td></td>
</tr>
<tr>
<td>7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If &quot;Yes,&quot; complete Schedule D, Part II</td>
<td>✔️</td>
<td></td>
</tr>
<tr>
<td>8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If &quot;Yes,&quot; complete Schedule D, Part III</td>
<td>✔️</td>
<td></td>
</tr>
<tr>
<td>9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If &quot;Yes,&quot; complete Schedule D, Part IV</td>
<td>✔️</td>
<td></td>
</tr>
<tr>
<td>10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If &quot;Yes,&quot; complete Schedule D, Part V</td>
<td>✔️</td>
<td></td>
</tr>
<tr>
<td>11 If the organization’s answer to any of the following questions is &quot;Yes,&quot; then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If &quot;Yes,&quot; complete Schedule D, Part VI</td>
<td>✔️</td>
<td></td>
</tr>
<tr>
<td>b Did the organization report an amount for investments—other securities in Part X, line 12a that is 5% or more of its total assets reported in Part X, line 16? If &quot;Yes,&quot; complete Schedule D, Part VII</td>
<td>✔️</td>
<td></td>
</tr>
<tr>
<td>c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If &quot;Yes,&quot; complete Schedule D, Part VIII</td>
<td>✔️</td>
<td></td>
</tr>
<tr>
<td>d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If &quot;Yes,&quot; complete Schedule D, Part IX</td>
<td>✔️</td>
<td></td>
</tr>
<tr>
<td>e Did the organization report an amount for other liabilities in Part X, line 25? If &quot;Yes,&quot; complete Schedule D, Part X</td>
<td>✔️</td>
<td></td>
</tr>
<tr>
<td>f Did the organization’s separate or consolidated financial statements for the tax year include a footnote that addresses the organization’s liability for uncertain tax positions under FIN 48 (ASC 740)? If &quot;Yes,&quot; complete Schedule D, Part X</td>
<td>✔️</td>
<td></td>
</tr>
<tr>
<td>g Did the organization report on Part VIII, line 9a? If &quot;Yes,&quot; complete Schedule D, Parts XI and XII</td>
<td>✔️</td>
<td></td>
</tr>
<tr>
<td>h Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? If &quot;Yes,&quot; complete Schedule D, Part III</td>
<td>✔️</td>
<td></td>
</tr>
<tr>
<td>i Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If &quot;Yes,&quot; complete Schedule G, Part II</td>
<td>✔️</td>
<td></td>
</tr>
<tr>
<td>j Did the organization report more than $15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If &quot;Yes,&quot; complete Schedule G, Part I (see instructions)</td>
<td>✔️</td>
<td></td>
</tr>
<tr>
<td>k Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If &quot;Yes,&quot; complete Schedule G, Part II</td>
<td>✔️</td>
<td></td>
</tr>
<tr>
<td>l Did the organization report a total of more than $15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If &quot;Yes,&quot; complete Schedule G, Part I (see instructions)</td>
<td>✔️</td>
<td></td>
</tr>
<tr>
<td>m Did the organization report more than $15,000 of aggregate grants or other assistance to or for foreign individuals? If &quot;Yes,&quot; complete Schedule F, Parts III and IV</td>
<td>✔️</td>
<td></td>
</tr>
<tr>
<td>n Did the organization report a total of more than $15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If &quot;Yes,&quot; complete Schedule G, Part I (see instructions)</td>
<td>✔️</td>
<td></td>
</tr>
<tr>
<td>o Did the organization report more than $15,000 of aggregate grants or other assistance to or for foreign individuals? If &quot;Yes,&quot; complete Schedule F, Parts III and IV</td>
<td>✔️</td>
<td></td>
</tr>
<tr>
<td>p Did the organization report a total of more than $15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If &quot;Yes,&quot; complete Schedule G, Part I (see instructions)</td>
<td>✔️</td>
<td></td>
</tr>
<tr>
<td>q Did the organization report more than $15,000 of aggregate grants or other assistance to or for foreign individuals? If &quot;Yes,&quot; complete Schedule F, Parts III and IV</td>
<td>✔️</td>
<td></td>
</tr>
</tbody>
</table>
### Part IV Checklist of Required Schedules (continued)

<table>
<thead>
<tr>
<th></th>
<th></th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>20</td>
<td>a</td>
<td>Did the organization operate one or more hospital facilities? If “Yes,” complete Schedule H.</td>
<td>✔</td>
</tr>
<tr>
<td></td>
<td>b</td>
<td>If “Yes” to line 20a, did the organization attach a copy of its audited financial statements to this return?</td>
<td>✔</td>
</tr>
<tr>
<td>21</td>
<td></td>
<td>Did the organization report more than $50,000 of grants or other assistance to any domestic organization or domestic government on Part IX, column (A), line 1? If “Yes,” complete Schedule I, Parts I and II.</td>
<td>✔</td>
</tr>
<tr>
<td>22</td>
<td></td>
<td>Did the organization report more than $50,000 of grants or other assistance to or for domestic individuals on Part IX, column (A), line 2? If “Yes,” complete Schedule I, Parts I and III.</td>
<td>✔</td>
</tr>
<tr>
<td>23</td>
<td></td>
<td>Did the organization answer “Yes” to Part VII, Section A, line 3, 4, or 5 about compensation of the organization’s current and former officers, directors, trustees, key employees, and highest compensated employees? If “Yes,” complete Schedule J.</td>
<td>✔</td>
</tr>
<tr>
<td>24</td>
<td>a</td>
<td>Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? If “Yes,” answer lines 24b through 24d and complete Schedule K. If “No,” go to line 25a.</td>
<td>✔</td>
</tr>
<tr>
<td></td>
<td>b</td>
<td>Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?</td>
<td>✔</td>
</tr>
<tr>
<td></td>
<td>c</td>
<td>Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?</td>
<td>✔</td>
</tr>
<tr>
<td></td>
<td>d</td>
<td>Did the organization act as an “on behalf of” issuer for bonds outstanding at any time during the year?</td>
<td>✔</td>
</tr>
<tr>
<td>25</td>
<td>a</td>
<td>Section 501(c)(3), 501(c)(4), and 501(c)(29) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If “Yes,” complete Schedule L, Part I.</td>
<td>✔</td>
</tr>
<tr>
<td></td>
<td>b</td>
<td>Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization’s prior Forms 990 or 990-EZ? If “Yes,” complete Schedule L, Part I.</td>
<td>✔</td>
</tr>
<tr>
<td>26</td>
<td></td>
<td>Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? If “Yes,” complete Schedule L, Part II.</td>
<td>✔</td>
</tr>
<tr>
<td>27</td>
<td></td>
<td>Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? If “Yes,” complete Schedule L, Part III.</td>
<td>✔</td>
</tr>
<tr>
<td>28</td>
<td></td>
<td>Was the organization a party to a business transaction with any of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):</td>
<td>✔</td>
</tr>
<tr>
<td></td>
<td>a</td>
<td>A current or former officer, director, trustee, or key employee? If “Yes,” complete Schedule L, Part IV.</td>
<td>✔</td>
</tr>
<tr>
<td></td>
<td>b</td>
<td>A family member of a current or former officer, director, trustee, or key employee? If “Yes,” complete Schedule L, Part IV.</td>
<td>✔</td>
</tr>
<tr>
<td></td>
<td>c</td>
<td>An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If “Yes,” complete Schedule L, Part IV.</td>
<td>✔</td>
</tr>
<tr>
<td>29</td>
<td></td>
<td>Did the organization receive more than $25,000 in non-cash contributions? If “Yes,” complete Schedule M.</td>
<td>✔</td>
</tr>
<tr>
<td>30</td>
<td></td>
<td>Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If “Yes,” complete Schedule M.</td>
<td>✔</td>
</tr>
<tr>
<td>31</td>
<td></td>
<td>Did the organization liquidate, terminate, or dissolve and cease operations? If “Yes,” complete Schedule N, Part I.</td>
<td>✔</td>
</tr>
<tr>
<td>32</td>
<td></td>
<td>Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If “Yes,” complete Schedule N, Part II.</td>
<td>✔</td>
</tr>
<tr>
<td>33</td>
<td></td>
<td>Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If “Yes,” complete Schedule R, Part I.</td>
<td>✔</td>
</tr>
<tr>
<td>34</td>
<td></td>
<td>Was the organization related to any tax-exempt or taxable entity? If “Yes,” complete Schedule R, Part II, III, or IV, and Part V, line 1.</td>
<td>✔</td>
</tr>
<tr>
<td>35</td>
<td>a</td>
<td>Did the organization have a controlled entity within the meaning of section 512(b)(13)?</td>
<td>✔</td>
</tr>
<tr>
<td></td>
<td>b</td>
<td>If “Yes” to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If “Yes,” complete Schedule R, Part V, line 2.</td>
<td>✔</td>
</tr>
<tr>
<td>36</td>
<td></td>
<td>Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If “Yes,” complete Schedule R, Part V, line 2.</td>
<td>✔</td>
</tr>
<tr>
<td>37</td>
<td></td>
<td>Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If “Yes,” complete Schedule R, Part VI.</td>
<td>✔</td>
</tr>
<tr>
<td>38</td>
<td></td>
<td>Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O.</td>
<td>✔</td>
</tr>
</tbody>
</table>
## Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V.

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>Requirement</th>
<th>Status</th>
</tr>
</thead>
<tbody>
<tr>
<td>1a</td>
<td>Enter the number reported in Box 3 of Form 1096.</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>1b</td>
<td>Enter the number of Forms W-2G included in line 1a.</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>2a</td>
<td>Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>2b</td>
<td>Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>3a</td>
<td>Did the organization have unrelated business gross income of $1,000,000 or more during the year?</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>3b</td>
<td>If “Yes,” has it filed a Form 990-T for this year?</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>4a</td>
<td>Did any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>5a</td>
<td>Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>5b</td>
<td>Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>6a</td>
<td>Did the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>Organizations that may receive deductible contributions under section 170(c).</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>Sponsoring organizations maintaining donor advised funds. Did a donor advised fund maintained by the sponsoring organization have excess business holdings at any time during the year?</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>9</td>
<td>Sponsoring organizations maintaining donor advised funds.</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>10</td>
<td>Section 501(c)(7) organizations. Enter:</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>11</td>
<td>Section 501(c)(12) organizations. Enter:</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>12</td>
<td>Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?</td>
<td>Yes</td>
<td></td>
</tr>
<tr>
<td>13</td>
<td>Section 501(c)(29) qualified nonprofit health insurance issuers.</td>
<td>Yes</td>
<td></td>
</tr>
</tbody>
</table>

Note. See the instructions for additional information the organization must report on Schedule O.
Section A. Governing Body and Management

1a. Enter the number of voting members of the governing body at the end of the tax year. If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.

1b. Enter the number of voting members included in line 1a, above, who are independent.

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

10a. Did the organization have local chapters, branches, or affiliates?

11a. Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?

12a. Did the organization have a written conflict of interest policy? If “No,” go to line 13

12b. If “Yes,” did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization’s exempt purposes?

13. Did the organization have a written whistleblower policy?

14. Did the organization have a written document retention and destruction policy?

15. Did the process for determining compensation of the following persons include a review and approval by one or more members of the governing body?

Section C. Disclosure

17. List the states with which a copy of this Form 990 is required to be filed.

18. Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.

19. Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20. State the name, address, and telephone number of the person who possesses the organization’s books and records.

Stan Mishin, (907)450-8030

2025 Yukon Drive Suite 208, Fairbanks, AK 99775
### Section A.   Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization’s tax year.

- List all of the organization’s **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization’s **current** key employees, if any. See instructions for definition of “key employee.”
- List the organization’s five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations.
- List all of the organization’s **former** officers, key employees, and highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization’s **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

- **Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.**

<table>
<thead>
<tr>
<th>(A) Name and Title</th>
<th>(B) Average hours per week</th>
<th>(C) Position</th>
<th>(D) Reportable compensation from the organization (W-2/1099-MISC)</th>
<th>(E) Reportable compensation from related organizations (W-2/1099-MISC)</th>
<th>(F) Estimated amount of other compensation from the organization and related organizations</th>
</tr>
</thead>
<tbody>
<tr>
<td>Susan Anderson</td>
<td>0.99</td>
<td>✔️</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Director</td>
<td></td>
<td></td>
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<tr>
<td>Leo Bustad</td>
<td>1.44</td>
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<tr>
<td>Director</td>
<td></td>
<td></td>
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<tr>
<td>Laura Bruce</td>
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<td>Cynthia Cartledge</td>
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<tr>
<td>Tom Case</td>
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<td>261,210</td>
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<td>Heather Cavanaugh</td>
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<td>Director</td>
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<td>Latosha Frye</td>
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<td>Director</td>
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<td></td>
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<tr>
<td>Grace Green</td>
<td>0</td>
<td>✔️</td>
<td>0</td>
<td>0</td>
<td>0</td>
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<tr>
<td>Director</td>
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</tr>
<tr>
<td>J o Heckman</td>
<td>0</td>
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<td>Mary Hughes</td>
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<tr>
<td>Linda Hulbert</td>
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<td>Thomas Jensen</td>
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<tr>
<td>Director</td>
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Form 990 (2016)
<table>
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<tr>
<th>(A) Name and Title</th>
<th>(B) Average hours per week</th>
<th>(C) Position</th>
<th>(D) Reportable compensation from the organization (W-2/1099-MISC)</th>
<th>(E) Reportable compensation from related organizations (W-2/1099-MISC)</th>
<th>(F) Estimated amount of other compensation from the organization and related organizations</th>
</tr>
</thead>
<tbody>
<tr>
<td>Scott Jepsen</td>
<td>1.96</td>
<td></td>
<td>0</td>
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<tr>
<td>Board Chair</td>
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<tr>
<td>Jim Johnsen</td>
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<td>0</td>
<td>347,267</td>
<td>63,530</td>
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<td>50</td>
<td>✔ ✔</td>
<td>0</td>
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<tr>
<td>Cary Keller</td>
<td>0.7</td>
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<tr>
<td>Secretary</td>
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<tr>
<td>Jim Kostka</td>
<td>0</td>
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<tr>
<td>Director</td>
<td></td>
<td>✔ ✔</td>
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<tr>
<td>Stephanie Madsen</td>
<td>0</td>
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<td>0</td>
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<tr>
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<td>✔ ✔</td>
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<tr>
<td>Lisa Parker</td>
<td>0.8</td>
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<td>0</td>
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<tr>
<td>Director</td>
<td>3.63</td>
<td>✔ ✔</td>
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<tr>
<td>Frank Paskvan</td>
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<tr>
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<td>✔ ✔</td>
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<tr>
<td>Karen Polley</td>
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<tr>
<td>Director</td>
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<td>✔ ✔</td>
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<tr>
<td>Michael Powers</td>
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<td>✔ ✔</td>
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<tr>
<td>Director</td>
<td>4.0</td>
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<td>John Pugh</td>
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<tr>
<td>Director</td>
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<td>✔ ✔</td>
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<tr>
<td>Marilyn Romano</td>
<td>0.5</td>
<td>✔ ✔</td>
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<tr>
<td>Director</td>
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<td>✔ ✔</td>
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<tr>
<td>Grace Schaible</td>
<td>0</td>
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<td>0</td>
<td></td>
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</tr>
<tr>
<td>Director</td>
<td></td>
<td>✔ ✔</td>
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<tr>
<td>Alex Slivka</td>
<td>1.51</td>
<td>✔ ✔</td>
<td>0</td>
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</tr>
<tr>
<td>Vice Chairman</td>
<td></td>
<td>✔ ✔</td>
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</tr>
<tr>
<td>Arliss Sturgulewski</td>
<td>0</td>
<td></td>
<td>0</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Director</td>
<td></td>
<td>✔ ✔</td>
<td></td>
<td></td>
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</tr>
</tbody>
</table>
### Part VII

**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)**

<table>
<thead>
<tr>
<th>(A) Name and title</th>
<th>(B) Average hours per week (list any hours for related organizations below dotted line)</th>
<th>(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)</th>
<th>(D) Reportable compensation from the organization (W-2/1099-MISC)</th>
<th>(E) Reportable compensation from related organizations (W-2/1099-MISC)</th>
<th>(F) Estimated amount of other compensation from the organization and related organizations</th>
</tr>
</thead>
<tbody>
<tr>
<td>Dana Thomas</td>
<td>0.19</td>
<td>Individual trustee</td>
<td>0</td>
<td>122,675</td>
<td>9,845</td>
</tr>
<tr>
<td>Director</td>
<td>40</td>
<td>✔</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Alesha Towns-Bain</td>
<td>0</td>
<td>Individual trustee</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Director</td>
<td>✓</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Susan Foley</td>
<td>30</td>
<td>Individual trustee</td>
<td>0</td>
<td>52,290</td>
<td>13,036</td>
</tr>
<tr>
<td>President</td>
<td>30</td>
<td>✔</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Jim Lynch</td>
<td>4</td>
<td>Individual trustee</td>
<td>0</td>
<td>137,845</td>
<td>26,202</td>
</tr>
<tr>
<td>Treasurer</td>
<td>48</td>
<td>✔</td>
<td></td>
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<td></td>
</tr>
<tr>
<td>Tammi Weaver</td>
<td>30</td>
<td>Individual trustee</td>
<td>0</td>
<td>129,575</td>
<td>27,070</td>
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<tr>
<td>Chief Investment Officer</td>
<td>13</td>
<td>✔</td>
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<td></td>
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</tr>
<tr>
<td>David Woodley</td>
<td>50</td>
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<tr>
<td>Advancement Services Director</td>
<td>10</td>
<td>✔</td>
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<tr>
<td>Megan Riebe</td>
<td>25</td>
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<td></td>
<td></td>
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<tr>
<td>Executive Director</td>
<td>25</td>
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<td></td>
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<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1b Sub-total</td>
<td></td>
<td></td>
<td>0</td>
<td>1,689,829</td>
<td>353,358</td>
</tr>
<tr>
<td>1c Total from continuation sheets to Part VII, Section A</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1d Total (add lines 1b and 1c)</td>
<td></td>
<td></td>
<td>0</td>
<td>1,689,829</td>
<td>353,358</td>
</tr>
<tr>
<td>2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 of reportable compensation from the organization</td>
<td></td>
<td>0</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3 Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a? If “Yes,” complete Schedule J for such individual</td>
<td></td>
<td>3</td>
<td>✔</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? If “Yes,” complete Schedule J for such individual</td>
<td></td>
<td>4</td>
<td>✔</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If “Yes,” complete Schedule J for such person</td>
<td></td>
<td>5</td>
<td>✔</td>
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</tbody>
</table>

**Section B. Independent Contractors**

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization’s tax year.

<table>
<thead>
<tr>
<th>(A) Name and business address</th>
<th>(B) Description of services</th>
<th>(C) Compensation</th>
</tr>
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<tbody>
<tr>
<td>University of Alaska, PO Box 756540, Fairbanks, AK 99775-6540</td>
<td>Procurement of Staff</td>
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<tr>
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<tr>
<td></td>
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</tr>
</tbody>
</table>

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 of compensation from the organization | 1 |
### Part VIII Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII.

<table>
<thead>
<tr>
<th>Contributions, Gifts, Grants and Other Similar Amounts</th>
<th>(A) Total revenue</th>
<th>(B) Related or exempt function revenue</th>
<th>(C) Unrelated business revenue</th>
<th>(D) Revenue excluded from tax under sections 512-514</th>
</tr>
</thead>
<tbody>
<tr>
<td>1a Federated campaigns</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b Membership dues</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>c Fundraising events</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>d Related organizations</td>
<td></td>
<td></td>
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<td></td>
</tr>
<tr>
<td>e Government grants (contributions)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>f All other contributions, gifts, grants, and similar</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>g Noncash contributions included in lines 1a-1f:</td>
<td></td>
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<tr>
<td>h Total.</td>
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<td>26,600,698</td>
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<table>
<thead>
<tr>
<th>Program Service Revenue</th>
<th>Business Code</th>
<th>Total revenue</th>
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<tr>
<td>2a Membership Revenue</td>
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<td>8,553</td>
<td>8,553</td>
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<tr>
<td>b</td>
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<td></td>
<td>0</td>
</tr>
<tr>
<td>c</td>
<td></td>
<td></td>
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<td>0</td>
</tr>
<tr>
<td>d</td>
<td></td>
<td></td>
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<tr>
<td>e</td>
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<td>f All other program service revenue</td>
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<td>g Total.</td>
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<td>8,553</td>
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<table>
<thead>
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<th>Other Revenue</th>
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<tr>
<td>3 Investment income (including dividends, interest,</td>
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</tr>
<tr>
<td>and other similar amounts)</td>
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<tr>
<td>4 Income from investment of tax-exempt bond proceeds</td>
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<tr>
<td>5 Royalties</td>
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<tr>
<td>6a Gross rents</td>
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</tr>
<tr>
<td>b Less: rental expenses</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>c Rental income or (loss)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>d Net rental income or (loss)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7a Gross amount from sales of assets other than</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>inventory</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b Less: cost or other basis and sales expenses</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>c Gain or (loss)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>d Net gain or (loss)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>8a Gross income from fundraising events (not including</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>$344,218 of contributions reported on line 1c)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b Less: direct expenses</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>c Net income or (loss) from fundraising events</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>9a Gross income from gaming activities.</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b Less: direct expenses</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>c Net income or (loss) from gaming activities</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10a Gross sales of inventory, less returns and</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>allowances</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b Less: cost of goods sold</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>c Net income or (loss) from sales of inventory</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Miscellaneous Revenue</th>
<th>Business Code</th>
<th>Total revenue</th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>11a</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>c</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>d All other revenue</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>e Total.</td>
<td></td>
<td></td>
<td></td>
<td>7,275,606</td>
</tr>
<tr>
<td>12 Total revenue. See instructions.</td>
<td></td>
<td>33,759,441</td>
<td>8,552</td>
<td>-125,415</td>
</tr>
</tbody>
</table>
### Part IX - Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX

<table>
<thead>
<tr>
<th></th>
<th>(A) Total expenses</th>
<th>(B) Program service expenses</th>
<th>(C) Management and general expenses</th>
<th>(D) Fundraising expenses</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Grants and other assistance to domestic organizations and domestic governments. See Part IV, line 21</td>
<td>17,719,275</td>
<td>17,719,275</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Grants and other assistance to domestic individuals. See Part IV, line 22</td>
<td>0</td>
<td>0</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Grants and other assistance to foreign organizations, foreign governments, and foreign individuals. See Part IV, lines 15 and 16</td>
<td>0</td>
<td>0</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>Benefits paid to or for members</td>
<td>0</td>
<td>0</td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>Compensation of current officers, directors, trustees, and key employees</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>6</td>
<td>Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>7</td>
<td>Other salaries and wages</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>8</td>
<td>Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>9</td>
<td>Other employee benefits</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>10</td>
<td>Payroll taxes</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>11</td>
<td>Fees for services (non-employees):</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>a</td>
<td>Management</td>
<td>463,897</td>
<td>0</td>
<td>378,832</td>
</tr>
<tr>
<td>b</td>
<td>Legal</td>
<td>8,197</td>
<td>0</td>
<td>8,197</td>
</tr>
<tr>
<td>c</td>
<td>Accounting</td>
<td>604,921</td>
<td>0</td>
<td>604,921</td>
</tr>
<tr>
<td>d</td>
<td>Lobbying</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>e</td>
<td>Professional fundraising services. See Part IV, line 17</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>f</td>
<td>Investment management fees</td>
<td>527,301</td>
<td>0</td>
<td>527,301</td>
</tr>
<tr>
<td>g</td>
<td>Other. If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O.</td>
<td>1,715,304</td>
<td>0</td>
<td>1,163,752</td>
</tr>
<tr>
<td>12</td>
<td>Advertising and promotion</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>13</td>
<td>Office expenses</td>
<td>43,811</td>
<td>0</td>
<td>35,774</td>
</tr>
<tr>
<td>14</td>
<td>Information technology</td>
<td>217,510</td>
<td>0</td>
<td>196,274</td>
</tr>
<tr>
<td>15</td>
<td>Royalties</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>16</td>
<td>Occupancy</td>
<td>85,061</td>
<td>0</td>
<td>85,061</td>
</tr>
<tr>
<td>17</td>
<td>Travel</td>
<td>70,412</td>
<td>0</td>
<td>50,809</td>
</tr>
<tr>
<td>18</td>
<td>Payments of travel or entertainment expenses for any federal, state, or local public officials</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>19</td>
<td>Conferences, conventions, and meetings</td>
<td>15,887</td>
<td>0</td>
<td>6,866</td>
</tr>
<tr>
<td>20</td>
<td>Interest</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>21</td>
<td>Payments to affiliates</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>22</td>
<td>Depreciation, depletion, and amortization</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>23</td>
<td>Insurance</td>
<td>6,434</td>
<td>0</td>
<td>6,434</td>
</tr>
<tr>
<td>24</td>
<td>Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>a</td>
<td>Investment Expense</td>
<td>477,033</td>
<td>0</td>
<td>477,033</td>
</tr>
<tr>
<td>b</td>
<td>Tuition and Registration</td>
<td>24,032</td>
<td>0</td>
<td>8,663</td>
</tr>
<tr>
<td>c</td>
<td>Dues, memberships, subscriptions</td>
<td>33,074</td>
<td>0</td>
<td>25,265</td>
</tr>
<tr>
<td>d</td>
<td>Special Event Expenses, Indirect</td>
<td>11,794</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>e</td>
<td>All other expenses</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

25 Total functional expenses. Add lines 1 through 24e

26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here [ ] if following SOP 98-2 (ASC 958-720)
### Balance Sheet

#### Part X

#### Check if Schedule O contains a response or note to any line in this Part X

<table>
<thead>
<tr>
<th></th>
<th></th>
<th>Beginning of year</th>
<th>End of year</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Cash—non-interest-bearing</td>
<td>0</td>
<td>1</td>
</tr>
<tr>
<td>2</td>
<td>Savings and temporary cash investments</td>
<td>32,886,168</td>
<td>2</td>
</tr>
<tr>
<td>3</td>
<td>Pledges and grants receivable, net</td>
<td>2,741,559</td>
<td>3</td>
</tr>
<tr>
<td>4</td>
<td>Accounts receivable, net</td>
<td>0</td>
<td>4</td>
</tr>
<tr>
<td>5</td>
<td>Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L</td>
<td>0</td>
<td>5</td>
</tr>
<tr>
<td>6</td>
<td>Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L</td>
<td>0</td>
<td>6</td>
</tr>
<tr>
<td>7</td>
<td>Notes and loans receivable, net</td>
<td>2,047,675</td>
<td>7</td>
</tr>
<tr>
<td>8</td>
<td>Inventories for sale or use</td>
<td>23,360</td>
<td>8</td>
</tr>
<tr>
<td>9</td>
<td>Prepaid expenses and deferred charges</td>
<td>141,252</td>
<td>9</td>
</tr>
<tr>
<td>10a</td>
<td>Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D</td>
<td>10a</td>
<td></td>
</tr>
<tr>
<td>10b</td>
<td>Less: accumulated depreciation</td>
<td>10b</td>
<td></td>
</tr>
<tr>
<td>11</td>
<td>Investments—publicly traded securities</td>
<td>23,648,493</td>
<td>11</td>
</tr>
<tr>
<td>12</td>
<td>Investments—other securities. See Part IV, line 11</td>
<td>284,983,479</td>
<td>12</td>
</tr>
<tr>
<td>13</td>
<td>Investments—program-related. See Part IV, line 11</td>
<td>1,160,558</td>
<td>13</td>
</tr>
<tr>
<td>14</td>
<td>Intangible assets</td>
<td>0</td>
<td>14</td>
</tr>
<tr>
<td>15</td>
<td>Other assets. See Part IV, line 11</td>
<td>473,161</td>
<td>15</td>
</tr>
<tr>
<td>16</td>
<td><strong>Total assets.</strong> Add lines 1 through 15 (must equal line 34)</td>
<td>348,105,705</td>
<td>16</td>
</tr>
<tr>
<td>17</td>
<td>Accounts payable and accrued expenses</td>
<td>333,520</td>
<td>17</td>
</tr>
<tr>
<td>18</td>
<td>Grants payable</td>
<td>1,720,298</td>
<td>18</td>
</tr>
<tr>
<td>19</td>
<td>Deferred revenue</td>
<td>48,483</td>
<td>19</td>
</tr>
<tr>
<td>20</td>
<td>Tax-exempt bond liabilities</td>
<td>0</td>
<td>20</td>
</tr>
<tr>
<td>21</td>
<td>Escrow or custodial account liability. Complete Part IV of Schedule D</td>
<td>0</td>
<td>21</td>
</tr>
<tr>
<td>22</td>
<td>Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L</td>
<td>0</td>
<td>22</td>
</tr>
<tr>
<td>23</td>
<td>Secured mortgages and notes payable to unrelated third parties</td>
<td>1,000,000</td>
<td>23</td>
</tr>
<tr>
<td>24</td>
<td>Unsecured notes and loans payable to unrelated third parties</td>
<td>275,685</td>
<td>24</td>
</tr>
<tr>
<td>25</td>
<td>Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D</td>
<td>127,825,677</td>
<td>25</td>
</tr>
<tr>
<td>26</td>
<td><strong>Total liabilities.</strong> Add lines 17 through 25</td>
<td>131,203,663</td>
<td>26</td>
</tr>
<tr>
<td>27</td>
<td>Organizations that follow SFAS 117 (ASC 958), check here ▶ and complete lines 27 through 29, and lines 33 and 34.</td>
<td>24,188,450</td>
<td>27</td>
</tr>
<tr>
<td>28</td>
<td>Unrestricted net assets</td>
<td>89,025,930</td>
<td>28</td>
</tr>
<tr>
<td>29</td>
<td>Permanently restricted net assets</td>
<td>103,687,662</td>
<td>29</td>
</tr>
<tr>
<td>30</td>
<td>Capital stock or trust principal, or current funds</td>
<td>30</td>
<td></td>
</tr>
<tr>
<td>31</td>
<td>Paid-in or capital surplus, or land, building, or equipment fund</td>
<td>31</td>
<td></td>
</tr>
<tr>
<td>32</td>
<td>Retained earnings, endowment, accumulated income, or other funds</td>
<td>32</td>
<td></td>
</tr>
<tr>
<td>33</td>
<td><strong>Total net assets or fund balances.</strong></td>
<td>216,902,042</td>
<td>33</td>
</tr>
<tr>
<td>34</td>
<td>Total liabilities and net assets/fund balances</td>
<td>348,105,705</td>
<td>34</td>
</tr>
</tbody>
</table>
**Part XI Reconciliation of Net Assets**

Check if Schedule O contains a response or note to any line in this Part XI.

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Total revenue (must equal Part VIII, column (A), line 12)</td>
<td>33,759,441</td>
</tr>
<tr>
<td>2</td>
<td>Total expenses (must equal Part IX, column (A), line 25)</td>
<td>22,023,943</td>
</tr>
<tr>
<td>3</td>
<td>Revenue less expenses. Subtract line 2 from line 1</td>
<td>11,735,498</td>
</tr>
<tr>
<td>4</td>
<td>Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))</td>
<td>216,902,042</td>
</tr>
<tr>
<td>5</td>
<td>Net unrealized gains (losses) on investments</td>
<td>16,968,083</td>
</tr>
<tr>
<td>6</td>
<td>Donated services and use of facilities</td>
<td>0</td>
</tr>
<tr>
<td>7</td>
<td>Investment expenses</td>
<td>0</td>
</tr>
<tr>
<td>8</td>
<td>Prior period adjustments</td>
<td>0</td>
</tr>
<tr>
<td>9</td>
<td>Other changes in net assets or fund balances (explain in Schedule O)</td>
<td>4,848</td>
</tr>
<tr>
<td>10</td>
<td>Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))</td>
<td>245,610,471</td>
</tr>
</tbody>
</table>

**Part XII Financial Statements and Reporting**

Check if Schedule O contains a response or note to any line in this Part XII.

<table>
<thead>
<tr>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td></td>
</tr>
<tr>
<td>2a</td>
<td></td>
</tr>
<tr>
<td>2b</td>
<td></td>
</tr>
<tr>
<td>2c</td>
<td></td>
</tr>
<tr>
<td>3a</td>
<td></td>
</tr>
<tr>
<td>3b</td>
<td></td>
</tr>
</tbody>
</table>
Part I  Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 12, check only one box.)

1  ☐ A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i).
2  ☐ A school described in section 170(b)(1)(A)(ii). (Attach Schedule E (Form 990 or 990-EZ).)
3  ☐ A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii).
4  ☐ A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state:
5  ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II.)
6  ☐ A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v).
7  ☐ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II.)
8  ☐ A community trust described in section 170(b)(1)(A)(vi). (Complete Part II.)
9  ☐ An agricultural research organization described in section 170(b)(1)(A)(ix) operated in conjunction with a land-grant college or university or a non-land-grant college of agriculture (see instructions). Enter the name, city, and state of the college or university:
10 ☐ An organization that normally receives: (1) more than 33⅓% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33⅓% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Complete Part III.)
11 ☐ An organization organized and operated exclusively to test for public safety. See section 509(a)(4).
12 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3).

a  ☐ Type I. A supporting organization operated, supervised, or controlled by its supported organization(s), typically by giving the supported organization(s) the power to regularly appoint or elect a majority of the directors or trustees of the supporting organization. You must complete Part IV, Sections A and B.

b  ☐ Type II. A supporting organization supervised or controlled in connection with its supported organization(s), by having control or management of the supporting organization vested in the same persons that control or manage the supported organization(s). You must complete Part IV, Sections A and C.

c  ☐ Type III functionally integrated. A supporting organization operated in connection with, and functionally integrated with, its supported organization(s) (see instructions). You must complete Part IV, Sections A, D, and E.

d  ☐ Type III non-functionally integrated. A supporting organization operated in connection with its supported organization(s) that is not functionally integrated. The organization generally must satisfy a distribution requirement and an attentiveness requirement (see instructions). You must complete Part IV, Sections A and D, and Part V.

e  ☐ Check this box if the organization received a written determination from the IRS that it is a Type I, Type II, Type III functionally integrated, or Type III non-functionally integrated supporting organization.

f  Enter the number of supported organizations . . . . . . . . . . . . . . . . . . . . . . . . . . .

g  Provide the following information about the supported organization(s).

<table>
<thead>
<tr>
<th>(i) Name of supported organization</th>
<th>(ii) EIN</th>
</tr>
</thead>
<tbody>
<tr>
<td>(A)</td>
<td></td>
</tr>
<tr>
<td>(B)</td>
<td></td>
</tr>
<tr>
<td>(C)</td>
<td></td>
</tr>
<tr>
<td>(D)</td>
<td></td>
</tr>
<tr>
<td>(E)</td>
<td></td>
</tr>
</tbody>
</table>

Total

<table>
<thead>
<tr>
<th>(iv) Is the organization listed in your governing document?</th>
<th>(v) Amount of monetary support (see instructions)</th>
<th>(vi) Amount of other support (see instructions)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Yes</td>
<td></td>
<td></td>
</tr>
<tr>
<td>No</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Part II  Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)  
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

<table>
<thead>
<tr>
<th>Calendar year (or fiscal year beginning in)</th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Gifts, grants, contributions, and membership fees received. (Do not include any &quot;unusual grants.&quot;)</td>
<td>15,488,842</td>
<td>21,427,086</td>
<td>17,340,707</td>
<td>13,604,027</td>
<td>25,948,385</td>
</tr>
<tr>
<td>2</td>
<td>Tax revenues levied for the organization’s benefit and either paid to or expended on its behalf</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>3</td>
<td>The value of services or facilities furnished by a governmental unit to the organization without charge</td>
<td>49,503</td>
<td>44,092</td>
<td>42,993</td>
<td>35,568</td>
<td>39,910</td>
</tr>
<tr>
<td>4</td>
<td><strong>Total.</strong> Add lines 1 through 3</td>
<td>15,538,345</td>
<td>21,471,178</td>
<td>17,383,700</td>
<td>13,639,595</td>
<td>25,988,295</td>
</tr>
<tr>
<td>5</td>
<td>The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6</td>
<td><strong>Public support.</strong> Subtract line 5 from line 4</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Section B. Total Support

<table>
<thead>
<tr>
<th>Calendar year (or fiscal year beginning in)</th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>7</td>
<td><strong>Amounts from line 4</strong></td>
<td>15,538,345</td>
<td>21,471,178</td>
<td>17,383,700</td>
<td>13,639,595</td>
<td>25,988,295</td>
</tr>
<tr>
<td>8</td>
<td>Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources</td>
<td>1,183,208</td>
<td>2,213,241</td>
<td>1,740,475</td>
<td>1,629,193</td>
<td>2,004,717</td>
</tr>
<tr>
<td>9</td>
<td>Net income from unrelated business activities, whether or not the business is regularly carried on</td>
<td>0</td>
<td>8,987</td>
<td>136,778</td>
<td>201,856</td>
<td>0</td>
</tr>
<tr>
<td>10</td>
<td>Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>11</td>
<td><strong>Total support.</strong> Add lines 7 through 10</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>12</td>
<td>Gross receipts from related activities, etc. (see instructions)</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>13</td>
<td><strong>First five years.</strong> If the Form 990 is for the organization’s first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and <strong>stop here</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Section C. Computation of Public Support Percentage

<table>
<thead>
<tr>
<th></th>
<th>Public support percentage for 2016 (line 6, column (f) divided by line 11, column (f))</th>
<th>14</th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
</table>
| 14 |   | 14 |   |   | 81.22% |%
| 15 | Public support percentage from 2015 Schedule A, Part II, line 14 | 15 |   |   |   | 86.31% |%

16a  **33 1/3% support test—2016.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization.

17a  **10%-facts-and-circumstances test—2016.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the “facts-and-circumstances” test, check this box and **stop here.** Explain in Part VI how the organization meets the “facts-and-circumstances” test. The organization qualifies as a publicly supported organization.

18a  **Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions.
### Part III  Support Schedule for Organizations Described in Section 509(a)(2)
(Complete only if you checked the box on line 10 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

#### Section A. Public Support

<table>
<thead>
<tr>
<th>Calendar year (or fiscal year beginning in)</th>
<th>(a) 2012</th>
<th>(b) 2013</th>
<th>(c) 2014</th>
<th>(d) 2015</th>
<th>(e) 2016</th>
<th>(f) Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>1 Gifts, grants, contributions, and membership fees received. (Do not include any “unusual grants.”)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization’s tax-exempt purpose</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3 Gross receipts from activities that are not an unrelated trade or business under section 513</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4 Tax revenues levied for the organization’s benefit and either paid to or expended on its behalf</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5 The value of services or facilities furnished by a governmental unit to the organization without charge</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6 Total. Add lines 1 through 5</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7a Amounts included on lines 1, 2, and 3 received from disqualified persons</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>c Add lines 7a and 7b</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>8 Public support. (Subtract line 7c from line 6)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

#### Section B. Total Support

<table>
<thead>
<tr>
<th>Calendar year (or fiscal year beginning in)</th>
<th>(a) 2012</th>
<th>(b) 2013</th>
<th>(c) 2014</th>
<th>(d) 2015</th>
<th>(e) 2016</th>
<th>(f) Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>9 Amounts from line 6</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>c Add lines 10a and 10b</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part VI)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>13 Total support. (Add lines 9, 10c, 11, and 12)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>14 First five years. If the Form 990 is for the organization’s first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

#### Section C. Computation of Public Support Percentage

<table>
<thead>
<tr>
<th>(f) Total</th>
<th>15</th>
<th>%</th>
</tr>
</thead>
<tbody>
<tr>
<td>15 Public support percentage for 2016 (line 8, column (f) divided by line 13, column (f))</td>
<td>15</td>
<td>%</td>
</tr>
<tr>
<td>16 Public support percentage from 2015 Schedule A, Part III, line 15</td>
<td>16</td>
<td>%</td>
</tr>
</tbody>
</table>

#### Section D. Computation of Investment Income Percentage

<table>
<thead>
<tr>
<th>(f) Total</th>
<th>17</th>
<th>%</th>
</tr>
</thead>
<tbody>
<tr>
<td>17 Investment income percentage for 2016 (line 10c, column (f) divided by line 13, column (f))</td>
<td>17</td>
<td>%</td>
</tr>
<tr>
<td>18 Investment income percentage from 2015 Schedule A, Part III, line 17</td>
<td>18</td>
<td>%</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>(f) Total</th>
<th>19</th>
<th>%</th>
</tr>
</thead>
<tbody>
<tr>
<td>19a 33⅓% support tests—2016. If the organization did not check the box on line 14, and line 15 is more than 33⅓%, and line 17 is not more than 33⅓%, check this box and stop here. The organization qualifies as a publicly supported organization</td>
<td>19</td>
<td>%</td>
</tr>
<tr>
<td>19b 33⅓% support tests—2015. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33⅓%, and line 18 is not more than 33⅓%, check this box and stop here. The organization qualifies as a publicly supported organization</td>
<td>19</td>
<td>%</td>
</tr>
<tr>
<td>20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions</td>
<td>20</td>
<td>%</td>
</tr>
</tbody>
</table>
**Section A. All Supporting Organizations**

<table>
<thead>
<tr>
<th>Question</th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Are all of the organization’s supported organizations listed by name in the organization’s governing documents? If “No,” describe in Part VI how the supported organizations are designated. If designated by class or purpose, describe the designation. If historic and continuing relationship, explain.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2. Did the organization have any supported organization that does not have an IRS determination of status under section 509(a)(1) or (2)? If “Yes,” explain in Part VI how the organization determined that the supported organization was described in section 509(a)(1) or (2).</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3a. Did the organization have a supported organization described in section 501(c)(4), (5), or (6)? If “Yes,” answer (b) and (c) below.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3b. Did the organization confirm that each supported organization qualified under section 501(c)(4), (5), or (6) and satisfied the public support tests under section 509(a)(2)? If “Yes,” describe in Part VI when and how the organization made the determination.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3c. Did the organization ensure that all support to such organizations was used exclusively for section 170(c)(2)(B) purposes? If “Yes,” explain in Part VI what controls the organization put in place to ensure such use.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4a. Was any supported organization not organized in the United States (“foreign supported organization”)? If “Yes,” and if you checked 12a or 12b in Part I, answer (b) and (c) below.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4b. Did the organization have ultimate control and discretion in deciding whether to make grants to the foreign supported organization? If “Yes,” describe in Part VI how the organization had such control and discretion despite being controlled or supervised by or in connection with its supported organizations.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4c. Did the organization support any foreign supported organization that does not have an IRS determination under sections 501(c)(3) and 509(a)(1) or (2)? If “Yes,” explain in Part VI what controls the organization used to ensure that all support to the foreign supported organization was used exclusively for section 170(c)(2)(B) purposes.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5a. Did the organization add, substitute, or remove any supported organizations during the tax year? If “Yes,” answer (b) and (c) below (if applicable). Also, provide detail in Part VI, including (i) the names and EIN numbers of the supported organizations added, substituted, or removed; (ii) the reasons for each such action; (iii) the reason the organization’s organizing document authorizing such action; and (iv) how the action was accomplished (such as by amendment to the organizing document).</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5b. Type I or Type II only. Was any added or substituted supported organization part of a class already designated in the organization’s organizing document?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5c. Substitutions only. Was the substitution the result of an event beyond the organization’s control?</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6. Did the organization provide support (whether in the form of grants or the provision of services or facilities) to anyone other than (i) its supported organizations, (ii) individuals that are part of the charitable class benefited by one or more of its supported organizations, or (iii) other supporting organizations that also support or benefit one or more of the filing organization’s supported organizations? If “Yes,” provide detail in Part VI.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7. Did the organization provide a grant, loan, compensation, or other similar payment to a substantial contributor (defined in section 4958(c)(3)(C)), a family member of a substantial contributor, or a 35% controlled entity with regard to a substantial contributor? If “Yes,” complete Part I of Schedule L (Form 990 or 990-EZ).</td>
<td></td>
<td></td>
</tr>
<tr>
<td>8. Did the organization make a loan to a disqualified person (as defined in section 4958) not described in line 7? If “Yes,” complete Part I of Schedule L (Form 990 or 990-EZ).</td>
<td></td>
<td></td>
</tr>
<tr>
<td>9a. Was the organization controlled directly or indirectly at any time during the tax year by one or more disqualified persons as defined in section 4946 (other than foundation managers and organizations described in section 509(a)(1) or (2))? If “Yes,” provide detail in Part VI.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>9b. Did one or more disqualified persons (as defined in line 9a) hold a controlling interest in any entity in which the supporting organization had an interest? If “Yes,” provide detail in Part VI.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>9c. Did a disqualified person (as defined in line 9a) have an ownership interest in, or derive any personal benefit from, assets in which the supporting organization also had an interest? If “Yes,” provide detail in Part VI.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>10a. Was the organization subject to the excess business holdings rules of section 4943 because of section 4943(f) (regarding certain Type II supporting organizations, and all Type III non-functionally integrated supporting organizations)? If “Yes,” answer 10b below.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>10b. Did the organization have any excess business holdings in the tax year? (Use Schedule C, Form 4720, to determine whether the organization had excess business holdings.)</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Part IV  Supporting Organizations (continued)

11  Has the organization accepted a gift or contribution from any of the following persons?
    a  A person who directly or indirectly controls, either alone or together with persons described in (b) and (c)
        below, the governing body of a supported organization?
    b  A family member of a person described in (a) above?
    c  A 35% controlled entity of a person described in (a) or (b) above? If “Yes” to a, b, or c, provide detail in Part VI.

Section B. Type I Supporting Organizations

1  Did the directors, trustees, or membership of one or more supported organizations have the power to
    regularly appoint or elect at least a majority of the organization’s directors or trustees at all times during the
    tax year? If “No,” describe in Part VI how the supported organization(s) effectively operated, supervised, or
    controlled the organization’s activities. If the organization had more than one supported organization,
    describe how the powers to appoint and/or remove directors or trustees were allocated among the supported
    organizations and what conditions or restrictions, if any, applied to such powers during the tax year.

2  Did the organization operate for the benefit of any supported organization other than the supported
    organization(s) that operated, supervised, or controlled the supporting organization? If “Yes,” explain in Part
    VI how providing such benefit carried out the purposes of the supported organization(s) that operated,
    supervised, or controlled the supporting organization.

Section C. Type II Supporting Organizations

1  Were a majority of the organization’s directors or trustees during the tax year also a majority of the directors
    or trustees of each of the organization’s supported organization(s)? If “No,” describe in Part VI how control
    or management of the supporting organization was vested in the same persons that controlled or managed
    the supported organization(s).

Section D. All Type III Supporting Organizations

1  Did the organization provide to each of its supported organizations, by the last day of the fifth month of the
    organization’s tax year, (i) a written notice describing the type and amount of support provided during the prior tax
    year, (ii) a copy of the Form 990 that was most recently filed as of the date of notification, and (iii) copies of the
    organization’s governing documents in effect on the date of notification, to the extent not previously provided?

2  Were any of the organization’s officers, directors, or trustees either (i) appointed or elected by the supported
    organization(s) or (ii) serving on the governing body of a supported organization? If “No,” explain in Part VI
    how the organization maintained a close and continuous working relationship with the supported organization(s).

3  By reason of the relationship described in (2), did the organization’s supported organizations have a
    significant voice in the organization’s investment policies and in directing the use of the organization’s
    income or assets at all times during the tax year? If “Yes,” describe in Part VI the role the organization’s
    supported organizations played in this regard.

Section E. Type III Functionally Integrated Supporting Organizations

1  Check the box next to the method that the organization used to satisfy the Integral Part Test during the year (see instructions).
    a  The organization satisfied the Activities Test. Complete line 2 below.
    b  The organization is the parent of each of its supported organizations. Complete line 3 below.
    c  The organization supported a governmental entity. Describe in Part VI how you supported a government entity (see instructions).

2  Activities Test. Answer (a) and (b) below.
    a  Did substantially all of the organization’s activities during the tax year directly further the exempt purposes of
        the supported organization(s) to which the organization was responsive? If “Yes,” then in Part VI identify
        those supported organizations and explain how these activities directly furthered their exempt purposes,
        how the organization was responsive to those supported organizations, and how the organization determined
        that these activities constituted substantially all of its activities.
    b  Did the activities described in (a) constitute activities that, but for the organization’s involvement, one or more
        of the organization’s supported organization(s) would have been engaged in? If “Yes,” explain in Part VI the
        reasons for the organization’s position that its supported organization(s) would have engaged in these
        activities but for the organization’s involvement.

3  Parent of Supported Organizations. Answer (a) and (b) below.
    a  Did the organization have the power to regularly appoint or elect a majority of the officers, directors, or
        trustees of each of the supported organizations? Provide details in Part VI.
    b  Did the organization exercise a substantial degree of direction over the policies, programs, and activities of each
        of its supported organizations? If “Yes,” describe in Part VI the role played by the organization in this regard.
### Part V  Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

1. Check here if the organization satisfied the Integral Part Test as a qualifying trust on Nov. 20, 1970 (explain in Part VI). See instructions. All other Type III non-functionally integrated supporting organizations must complete Sections A through E.

#### Section A - Adjusted Net Income

<table>
<thead>
<tr>
<th>Description</th>
<th>(A) Prior Year</th>
<th>(B) Current Year (optional)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Net short-term capital gain</td>
<td>1</td>
<td></td>
</tr>
<tr>
<td>2. Recoveries of prior-year distributions</td>
<td>2</td>
<td></td>
</tr>
<tr>
<td>3. Other gross income (see instructions)</td>
<td>3</td>
<td></td>
</tr>
<tr>
<td>4. Add lines 1 through 3.</td>
<td>4</td>
<td></td>
</tr>
<tr>
<td>5. Depreciation and depletion</td>
<td>5</td>
<td></td>
</tr>
<tr>
<td>6. Portion of operating expenses paid or incurred for production or collection of gross income or for management, conservation, or maintenance of property held for production of income (see instructions)</td>
<td>6</td>
<td></td>
</tr>
<tr>
<td>7. Other expenses (see instructions)</td>
<td>7</td>
<td></td>
</tr>
<tr>
<td><strong>8. Adjusted Net Income</strong> (subtract lines 5, 6, and 7 from line 4).</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

#### Section B - Minimum Asset Amount

<table>
<thead>
<tr>
<th>Description</th>
<th>(A) Prior Year</th>
<th>(B) Current Year (optional)</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Aggregate fair market value of all non-exempt-use assets (see instructions for short tax year or assets held for part of year):</td>
<td></td>
<td></td>
</tr>
<tr>
<td>a. Average monthly value of securities</td>
<td></td>
<td></td>
</tr>
<tr>
<td>b. Average monthly cash balances</td>
<td></td>
<td></td>
</tr>
<tr>
<td>c. Fair market value of other non-exempt-use assets</td>
<td></td>
<td></td>
</tr>
<tr>
<td>d. Total (add lines 1a, 1b, and 1c)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>e. Discount claimed for blockage or other factors (explain in detail in Part VI):</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2. Acquisition indebtedness applicable to non-exempt-use assets</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3. Subtract line 2 from line 1d.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4. Cash deemed held for exempt use. Enter 1-1/2% of line 3 (for greater amount, see instructions)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>5. Net value of non-exempt-use assets (subtract line 4 from line 3)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>6. Multiply line 5 by .035.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>7. Recoveries of prior-year distributions</td>
<td></td>
<td></td>
</tr>
<tr>
<td>8. Minimum Asset Amount (add line 7 to line 6)</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

#### Section C - Distributable Amount

<table>
<thead>
<tr>
<th>Description</th>
<th>Current Year</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Adjusted net income for prior year (from Section A, line 8, Column A)</td>
<td></td>
</tr>
<tr>
<td>2. Enter 85% of line 1.</td>
<td></td>
</tr>
<tr>
<td>3. Minimum asset amount for prior year (from Section B, line 8, Column A)</td>
<td></td>
</tr>
<tr>
<td>4. Enter greater of line 2 or line 3.</td>
<td></td>
</tr>
<tr>
<td>5. Income tax imposed in prior year</td>
<td></td>
</tr>
<tr>
<td>6. <strong>Distributable Amount.</strong> Subtract line 5 from line 4, unless subject to emergency temporary reduction (see instructions).</td>
<td></td>
</tr>
<tr>
<td>7. Check here if the current year is the organization’s first as a non-functionally integrated Type III supporting organization (see instructions).</td>
<td></td>
</tr>
</tbody>
</table>
### Type III Non-Functionally Integrated 509(a)(3) Supporting Organizations

#### Part V - Distributions

<table>
<thead>
<tr>
<th>Section D - Distributions</th>
<th>Current Year</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Amounts paid to supported organizations to accomplish exempt purposes</td>
</tr>
<tr>
<td>2</td>
<td>Amounts paid to perform activity that directly furthers exempt purposes of supported organizations, in excess of income from activity</td>
</tr>
<tr>
<td>3</td>
<td>Administrative expenses paid to accomplish exempt purposes of supported organizations</td>
</tr>
<tr>
<td>4</td>
<td>Amounts paid to acquire exempt-use assets</td>
</tr>
<tr>
<td>5</td>
<td>Qualified set-aside amounts (prior IRS approval required)</td>
</tr>
<tr>
<td>6</td>
<td>Other distributions (describe in Part VI). See instructions.</td>
</tr>
<tr>
<td>7</td>
<td><strong>Total annual distributions.</strong> Add lines 1 through 6.</td>
</tr>
<tr>
<td>8</td>
<td>Distributions to attentive supported organizations to which the organization is responsive (provide details in Part VI). See instructions.</td>
</tr>
<tr>
<td>9</td>
<td>Distributable amount for 2016 from Section C, line 6</td>
</tr>
<tr>
<td>10</td>
<td>Line 8 amount divided by Line 9 amount</td>
</tr>
</tbody>
</table>

#### Section E - Distribution Allocations (see instructions)

<table>
<thead>
<tr>
<th>(i) Excess Distributions</th>
<th>(ii) Underdistributions Pre-2016</th>
<th>(iii) Distributable Amount for 2016</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Distributable amount for 2016 from Section C, line 6</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Underdistributions, if any, for years prior to 2016 (reasonable cause required—explain in Part VI). See instructions.</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Excess distributions carryover, if any, to 2016:</td>
<td></td>
</tr>
<tr>
<td>a</td>
<td></td>
<td></td>
</tr>
<tr>
<td>b</td>
<td></td>
<td></td>
</tr>
<tr>
<td>c</td>
<td>From 2013 . . . . . .</td>
<td></td>
</tr>
<tr>
<td>d</td>
<td>From 2014 . . . . . .</td>
<td></td>
</tr>
<tr>
<td>e</td>
<td>From 2015 . . . . . .</td>
<td></td>
</tr>
<tr>
<td>f</td>
<td>Total of lines 3a through e</td>
<td></td>
</tr>
<tr>
<td>g</td>
<td>Applied to underdistributions of prior years</td>
<td></td>
</tr>
<tr>
<td>h</td>
<td>Applied to 2016 distributable amount</td>
<td></td>
</tr>
<tr>
<td>i</td>
<td>Carryover from 2011 not applied (see instructions)</td>
<td></td>
</tr>
<tr>
<td>j</td>
<td>Remainder. Subtract lines 3g, 3h, and 3i from 3f.</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>Distributions for 2016 from Section D, line 7:</td>
<td></td>
</tr>
<tr>
<td>a</td>
<td>Applied to underdistributions of prior years</td>
<td></td>
</tr>
<tr>
<td>b</td>
<td>Applied to 2016 distributable amount</td>
<td></td>
</tr>
<tr>
<td>c</td>
<td>Remainder. Subtract lines 4a and 4b from 4.</td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>Remaining underdistributions for years prior to 2016, if any. Subtract lines 3g and 4a from line 2. For result greater than zero, explain in Part VI. See instructions.</td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>Remaining underdistributions for 2016. Subtract lines 3h and 4b from line 1. For result greater than zero, explain in Part VI. See instructions.</td>
<td></td>
</tr>
<tr>
<td>7</td>
<td><strong>Excess distributions carryover to 2017.</strong> Add lines 3j and 4c.</td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>Breakdown of line 7:</td>
<td></td>
</tr>
<tr>
<td>a</td>
<td></td>
<td></td>
</tr>
<tr>
<td>b</td>
<td>Excess from 2013 . . . .</td>
<td></td>
</tr>
<tr>
<td>c</td>
<td>Excess from 2014 . . . .</td>
<td></td>
</tr>
<tr>
<td>d</td>
<td>Excess from 2015 . . . .</td>
<td></td>
</tr>
<tr>
<td>e</td>
<td>Excess from 2016 . . . .</td>
<td></td>
</tr>
</tbody>
</table>
Part VI **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; Part III, line 12; Part IV, Section A, lines 1, 2, 3b, 3c, 4b, 4c, 5a, 6, 9a, 9b, 9c, 11a, 11b, and 11c; Part IV, Section B, lines 1 and 2; Part IV, Section C, line 1; Part IV, Section D, lines 2 and 3; Part IV, Section E, lines 1c, 2a, 2b, 3a, and 3b; Part V, line 1; Part V, Section B, line 1e; Part V, Section D, lines 5, 6, and 8; and Part V, Section E, lines 2, 5, and 6. Also complete this part for any additional information. (See instructions.)
### Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

Complete if the organization is described below. 

Attach to Form 990 or Form 990-EZ. 

Information about Schedule C (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

If the organization answered “Yes,” on Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered “Yes,” on Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered “Yes,” on Form 990, Part IV, line 5 (Proxy Tax) (see separate instructions) or Form 990-EZ, Part V, line 35c (Proxy Tax) (see separate instructions), then

- Section 501(c)(4), (5), or (6) organizations: Complete Part III.

#### Name of organization

**UNIVERSITY OF ALASKA FOUNDATION**

**Employer identification number**

23-7394620

#### Part I-A

Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1. Provide a description of the organization’s direct and indirect political campaign activities in Part IV. (see instructions for definition of “political campaign activities”) 

2. Political campaign activity expenditures (see instructions) 

3. Volunteer hours for political campaign activities (see instructions)

#### Part I-B

Complete if the organization is exempt under section 501(c)(3).

1. Enter the amount of any excise tax incurred by the organization under section 4955

2. Enter the amount of any excise tax incurred by organization managers under section 4955

3. If the organization incurred a section 4955 tax, did it file Form 4720 for this year? 

   - No

4a. Was a correction made? 

   - Yes

   - No

b. If “Yes,” describe in Part IV.

#### Part I-C

Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1. Enter the amount directly expended by the filing organization for section 527 exempt function activities

2. Enter the amount of the filing organization’s funds contributed to other organizations for section 527 exempt function activities

3. Total exempt function expenditures. Add lines 1 and 2. Enter here and on Form 1120-POL, line 17b

4. Did the filing organization file Form 1120-POL for this year? 

   - Yes

   - No

5. Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments. For each organization listed, enter the amount paid from the filing organization’s funds. Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC). If additional space is needed, provide information in Part IV.

<table>
<thead>
<tr>
<th>(a) Name</th>
<th>(b) Address</th>
<th>(c) EIN</th>
<th>(d) Amount paid from filing organization’s funds, if none, enter -0-.</th>
<th>(e) Amount of political contributions received and promptly and directly delivered to a separate political organization, if none, enter -0-.</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(2)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(3)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(4)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(5)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(6)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Cat. No. 50084S  Schedule C (Form 990 or 990-EZ) 2016
A **Check ▶** if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member’s name, address, EIN, expenses, and share of excess lobbying expenditures).

B **Check ▶** if the filing organization checked box A and “limited control” provisions apply.

### Limits on Lobbying Expenditures
(The term “expenditures” means amounts paid or incurred.)

<table>
<thead>
<tr>
<th>Line</th>
<th>Description</th>
<th>(a) Filing organization’s totals</th>
<th>(b) Affiliated group totals</th>
</tr>
</thead>
<tbody>
<tr>
<td>1a</td>
<td>Total lobbying expenditures to influence public opinion (grass roots lobbying)</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>1b</td>
<td>Total lobbying expenditures to influence a legislative body (direct lobbying)</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>1c</td>
<td>Total lobbying expenditures (add lines 1a and 1b)</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>1d</td>
<td>Other exempt purpose expenditures</td>
<td>22,023,943</td>
<td>22,023,943</td>
</tr>
<tr>
<td>1f</td>
<td>Lobbying nontaxable amount. Enter the amount from the following table in both columns.</td>
<td>1,000,000</td>
<td></td>
</tr>
</tbody>
</table>

#### If the amount on line 1e, column (a) or (b) is:

<table>
<thead>
<tr>
<th>Description</th>
<th>Percentage</th>
</tr>
</thead>
<tbody>
<tr>
<td>Not over $500,000,000</td>
<td>20%</td>
</tr>
<tr>
<td>Over $500,000,000 but not over $1,000,000</td>
<td>20%</td>
</tr>
<tr>
<td>Over $1,000,000,000 but not over $1,500,000</td>
<td>20%</td>
</tr>
<tr>
<td>Over $1,500,000,000 but not over $17,000,000</td>
<td>20%</td>
</tr>
<tr>
<td>Over $17,000,000</td>
<td>20%</td>
</tr>
<tr>
<td>Grassroots nontaxable amount (enter 25% of line 1f)</td>
<td>25%</td>
</tr>
<tr>
<td>Subtract line 1g from line 1a. If zero or less, enter -0-</td>
<td>0</td>
</tr>
<tr>
<td>Subtract line 1f from line 1c. If zero or less, enter -0-</td>
<td>0</td>
</tr>
<tr>
<td>If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?</td>
<td>Yes No</td>
</tr>
</tbody>
</table>

### 4-Year Averaging Period Under section 501(h)
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the separate instructions for lines 2a through 2f.)

#### Lobbying Expenditures During 4-Year Averaging Period

<table>
<thead>
<tr>
<th>Calendar year (or fiscal year beginning in)</th>
<th>(a) 2013</th>
<th>(b) 2014</th>
<th>(c) 2015</th>
<th>(d) 2016</th>
<th>(e) Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>2a Lobbying nontaxable amount</td>
<td>974,529</td>
<td>1,000,000</td>
<td>1,000,000</td>
<td>1,000,000</td>
<td>3,974,529</td>
</tr>
<tr>
<td>2b Lobbying ceiling amount (150% of line 2a, column (e))</td>
<td>5,961,794</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2c Total lobbying expenditures</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>2d Grassroots nontaxable amount</td>
<td>243,632</td>
<td>250,000</td>
<td>250,000</td>
<td>250,000</td>
<td>993,632</td>
</tr>
<tr>
<td>2e Grassroots ceiling amount (150% of line 2d, column (e))</td>
<td>1,490,448</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2f Grassroots lobbying expenditures</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>
### Part II-B

Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each “Yes,” response on lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.

<table>
<thead>
<tr>
<th></th>
<th>(a)</th>
<th>(b)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Yes</td>
<td>No</td>
</tr>
</tbody>
</table>

1. During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:
   - a. Volunteers?
   - b. Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?
   - c. Media advertisements?
   - d. Mailings to members, legislators, or the public?
   - e. Publications, or published or broadcast statements?
   - f. Grants to other organizations for lobbying purposes?
   - g. Direct contact with legislators, their staffs, government officials, or a legislative body?
   - h. Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?
   - i. Other activities?

j. Total. Add lines 1c through 1i.

2a. Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?
   - b. If “Yes,” enter the amount of any tax incurred under section 4912.
   - c. If “Yes,” enter the amount of any tax incurred by organization managers under section 4912.
   - d. If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?

### Part III-A

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

<table>
<thead>
<tr>
<th></th>
<th>Yes</th>
<th>No</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Part III-B

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered “No,” OR (b) Part III-A, line 3, is answered “Yes.”

1. Dues, assessments and similar amounts from members.

2. Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).
   - b. Carryover from last year.
   - c. Total.

3. Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues.

4. If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?

5. Taxable amount of lobbying and political expenditures (see instructions).

### Part IV

**Supplemental Information**

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, lines 1 and 2 (see instructions); and Part II-B, line 1. Also, complete this part for any additional information.
**SCHEDULE D (Form 990)**

**Supplemental Financial Statements**

Complete if the organization answered “Yes” on Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

Attach to Form 990.

Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization

**UNIVERSITY OF ALASKA FOUNDATION**

**Employer identification number**

23-7394620

---

### Part I

**Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts.**

Complete if the organization answered “Yes” on Form 990, Part IV, line 6.

<table>
<thead>
<tr>
<th></th>
<th>(a) Donor advised funds</th>
<th>(b) Funds and other accounts</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Total number at end of year</td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Aggregate value of contributions to (during year)</td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Aggregate value of grants from (during year)</td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>Aggregate value at end of year</td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>Did the organization inform all grantees, donors, and donor advisors in writing that the assets held in donor advised funds are the organization’s property, subject to the organization’s exclusive legal control?</td>
<td>Yes</td>
</tr>
<tr>
<td>6</td>
<td>Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?</td>
<td>Yes</td>
</tr>
</tbody>
</table>

---

### Part II

**Conservation Easements.**

Complete if the organization answered “Yes” on Form 990, Part IV, line 7.

1. Purpose(s) of conservation easements held by the organization (check all that apply).
   - Preservation of land for public use (e.g., recreation or education)
   - Protection of natural habitat
   - Preservation of open space

2. Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

<table>
<thead>
<tr>
<th></th>
<th>Held at the End of the Tax Year</th>
</tr>
</thead>
<tbody>
<tr>
<td>2a</td>
<td>Total number of conservation easements</td>
</tr>
<tr>
<td>2b</td>
<td>Total acreage restricted by conservation easements</td>
</tr>
<tr>
<td>2c</td>
<td>Number of conservation easements on a certified historic structure included in (a)</td>
</tr>
<tr>
<td>2d</td>
<td>Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register</td>
</tr>
</tbody>
</table>

3. Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ➤

4. Number of states where property subject to conservation easement is located ➤

5. Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ➤

6. Staff and volunteer hours devoted to monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ➤

7. Amount of expenses incurred in monitoring, inspecting, handling of violations, and enforcing conservation easements during the year ➤ $

8. Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ➤

9. In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization’s financial statements that describes the organization’s accounting for conservation easements.

---

### Part III

**Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.**

Complete if the organization answered “Yes” on Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items.

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

   | (i) Revenue included on Form 990, Part VIII, line 1 ➤ $ |
   | (ii) Assets included in Form 990, Part X ➤ $ |

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

   | (a) Revenue included on Form 990, Part VIII, line 1 ➤ $ |
   | (b) Assets included in Form 990, Part X ➤ $ |
Part III  Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):
   a  □ Public exhibition
   b  □ Scholarly research
   c  □ Preservation for future generations

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?  □ Yes  □ No

Part IV  Escrow and Custodial Arrangements.

Complete if the organization answered “Yes” on Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?  □ Yes  □ No

b If “Yes,” explain the arrangement in Part XIII and complete the following table:

<table>
<thead>
<tr>
<th></th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>1c</td>
<td></td>
</tr>
<tr>
<td>1d</td>
<td></td>
</tr>
<tr>
<td>1e</td>
<td></td>
</tr>
<tr>
<td>1f</td>
<td></td>
</tr>
</tbody>
</table>

2a Did the organization include an amount on Form 990, Part X, line 21, for escrow or custodial account liability?  □ Yes  □ No

b If “Yes,” explain the arrangement in Part XIII. Check here if the explanation has been provided on Part XIII  □ Yes  □ No

Part V  Endowment Funds.

Complete if the organization answered “Yes” on Form 990, Part IV, line 10.

<table>
<thead>
<tr>
<th></th>
<th>(a) Current year</th>
<th>(b) Prior year</th>
<th>(c) Two years back</th>
<th>(d) Three years back</th>
<th>(e) Four years back</th>
</tr>
</thead>
<tbody>
<tr>
<td>1a</td>
<td>Beginning of year balance</td>
<td>157,164,851</td>
<td>170,798,533</td>
<td>176,183,504</td>
<td>157,160,895</td>
</tr>
<tr>
<td>1b</td>
<td>Contributions</td>
<td>14,224,864</td>
<td>2,342,193</td>
<td>4,210,753</td>
<td>5,947,369</td>
</tr>
<tr>
<td>1c</td>
<td>Net investment earnings, gains, and losses</td>
<td>22,742,779</td>
<td>-8,568,377</td>
<td>-2,621,207</td>
<td>19,276,289</td>
</tr>
<tr>
<td>1d</td>
<td>Grants or scholarships</td>
<td>5,613,949</td>
<td>5,720,992</td>
<td>5,306,615</td>
<td>4,709,970</td>
</tr>
<tr>
<td>1e</td>
<td>Other expenditures for facilities and programs</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>1f</td>
<td>Administrative expenses</td>
<td>1,606,504</td>
<td>1,686,506</td>
<td>1,667,902</td>
<td>1,491,079</td>
</tr>
<tr>
<td>1g</td>
<td>End of year balance</td>
<td>186,912,041</td>
<td>157,164,851</td>
<td>170,798,533</td>
<td>176,183,504</td>
</tr>
</tbody>
</table>

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:
   a Board designated or quasi-endowment  ▶ 21 %
   b Permanent endowment  ▶ 63 %
   c Temporarily restricted endowment  ▶ 16 %

The percentages on lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:
   (i) unrelated organizations  □ Yes  □ No
   (ii) related organizations  □ Yes  □ No

b If “Yes” on line 3a(ii), are the related organizations listed as required on Schedule R?  □ Yes  □ No

4 Describe in Part XIII the intended uses of the organization’s endowment funds.

Part VI  Land, Buildings, and Equipment.

Complete if the organization answered “Yes” on Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

<table>
<thead>
<tr>
<th>Description of property</th>
<th>(a) Cost or other basis (investment)</th>
<th>(b) Cost or other basis (other)</th>
<th>(c) Accumulated depreciation</th>
<th>(d) Book value</th>
</tr>
</thead>
<tbody>
<tr>
<td>1a Land</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b Buildings</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>c Leasehold improvements</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>d Equipment</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>e Other</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Total. Add lines 1a through 1e. (Column (d) must equal Form 990, Part X, column (B), line 10c.)  ▶
### Part VII  Investments—Other Securities.

Complete if the organization answered “Yes” on Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

<table>
<thead>
<tr>
<th>(a) Description of security or category (including name of security)</th>
<th>(b) Book value</th>
<th>(c) Method of valuation: Cost or end-of-year market value</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1) Financial derivatives</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(2) Closely-held equity interests</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(3) Other [UAFCEF LP](Non Marketable Securities)</td>
<td><strong>320,703,122</strong></td>
<td>End-of-Year Market Value</td>
</tr>
<tr>
<td>(A)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(B)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(C)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(D)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(E)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(F)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(G)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(H)</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Total.</strong> (Column (b) must equal Form 990, Part X, col. (B) line 12.)</td>
<td><strong>320,703,123</strong></td>
<td></td>
</tr>
</tbody>
</table>

### Part VIII  Investments—Program Related.

Complete if the organization answered “Yes” on Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

<table>
<thead>
<tr>
<th>(a) Description of investment</th>
<th>(b) Book value</th>
<th>(c) Method of valuation: Cost or end-of-year market value</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(2)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(3)</td>
<td></td>
<td></td>
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<tr>
<td>(4)</td>
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<tr>
<td>(5)</td>
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<td>(6)</td>
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<td>(7)</td>
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<td>(8)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>(9)</td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Total.</strong> (Column (b) must equal Form 990, Part X, col. (B) line 13.)</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### Part IX  Other Assets.

Complete if the organization answered “Yes” on Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

<table>
<thead>
<tr>
<th>(a) Description</th>
<th>(b) Book value</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1)</td>
<td></td>
</tr>
<tr>
<td>(2)</td>
<td></td>
</tr>
<tr>
<td>(3)</td>
<td></td>
</tr>
<tr>
<td>(4)</td>
<td></td>
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<tr>
<td>(5)</td>
<td></td>
</tr>
<tr>
<td>(6)</td>
<td></td>
</tr>
<tr>
<td>(7)</td>
<td></td>
</tr>
<tr>
<td>(8)</td>
<td></td>
</tr>
<tr>
<td>(9)</td>
<td></td>
</tr>
<tr>
<td><strong>Total.</strong> (Column (b) must equal Form 990, Part X, col. (B) line 15.)</td>
<td></td>
</tr>
</tbody>
</table>

### Part X  Other Liabilities.

Complete if the organization answered “Yes” on Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability | (b) Book value |
<table>
<thead>
<tr>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>(1) Federal income taxes</td>
<td>0</td>
</tr>
<tr>
<td>(2) Charitable Remainder Trust</td>
<td><strong>19,667</strong></td>
</tr>
<tr>
<td>(3) Assets held in trust for the University of Alaska</td>
<td><strong>141,126,461</strong></td>
</tr>
<tr>
<td>(4)</td>
<td></td>
</tr>
<tr>
<td>(5)</td>
<td></td>
</tr>
<tr>
<td>(6)</td>
<td></td>
</tr>
<tr>
<td>(7)</td>
<td></td>
</tr>
<tr>
<td>(8)</td>
<td></td>
</tr>
<tr>
<td>(9)</td>
<td></td>
</tr>
<tr>
<td><strong>Total.</strong> (Column (b) must equal Form 990, Part X, col. (B) line 25.)</td>
<td><strong>141,146,128</strong></td>
</tr>
</tbody>
</table>

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization’s financial statements that reports the organization’s liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII.
Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered “Yes” on Form 990, Part IV, line 12a.

<table>
<thead>
<tr>
<th>1</th>
<th>Total revenue, gains, and other support per audited financial statements</th>
<th>1</th>
<th>49,954,994</th>
</tr>
</thead>
<tbody>
<tr>
<td>2</td>
<td>Amounts included on line 1 but not on Form 990, Part VIII, line 12:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>a</td>
<td>Net unrealized gains (losses) on investments</td>
<td>2a</td>
<td>16,968,083</td>
</tr>
<tr>
<td>b</td>
<td>Donated services and use of facilities</td>
<td>2b</td>
<td>0</td>
</tr>
<tr>
<td>c</td>
<td>Recoveries of prior year grants</td>
<td>2c</td>
<td>0</td>
</tr>
<tr>
<td>d</td>
<td>Other (Describe in Part XIII.)</td>
<td>2d</td>
<td>-7,210</td>
</tr>
<tr>
<td>e</td>
<td>Add lines 2a through 2d</td>
<td>2e</td>
<td>16,960,873</td>
</tr>
<tr>
<td>3</td>
<td>Subtract line 2e from line 1</td>
<td>3</td>
<td>32,994,121</td>
</tr>
<tr>
<td>4</td>
<td>Amounts included on Form 990, Part VIII, line 12 but not on line 1:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>a</td>
<td>Investment expenses not included on Form 990, Part VIII, line 7b</td>
<td>4a</td>
<td>1,004,334</td>
</tr>
<tr>
<td>b</td>
<td>Other (Describe in Part XIII.)</td>
<td>4b</td>
<td>-239,014</td>
</tr>
<tr>
<td>c</td>
<td>Add lines 4a and 4b</td>
<td>4c</td>
<td>765,320</td>
</tr>
<tr>
<td>5</td>
<td>Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.)</td>
<td>5</td>
<td>33,759,441</td>
</tr>
</tbody>
</table>

Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered “Yes” on Form 990, Part IV, line 12a.

<table>
<thead>
<tr>
<th>1</th>
<th>Total expenses and losses per audited financial statements</th>
<th>1</th>
<th>21,246,565</th>
</tr>
</thead>
<tbody>
<tr>
<td>2</td>
<td>Amounts included on line 1 but not on Form 990, Part IX, line 25:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>a</td>
<td>Donated services and use of facilities</td>
<td>2a</td>
<td>0</td>
</tr>
<tr>
<td>b</td>
<td>Prior year adjustments</td>
<td>2b</td>
<td>0</td>
</tr>
<tr>
<td>c</td>
<td>Other losses</td>
<td>2c</td>
<td>0</td>
</tr>
<tr>
<td>d</td>
<td>Other (Describe in Part XIII.)</td>
<td>2d</td>
<td>248,053</td>
</tr>
<tr>
<td>e</td>
<td>Add lines 2a through 2d</td>
<td>2e</td>
<td>248,053</td>
</tr>
<tr>
<td>3</td>
<td>Subtract line 2e from line 1</td>
<td>3</td>
<td>20,998,512</td>
</tr>
<tr>
<td>4</td>
<td>Amounts included on Form 990, Part IX, line 25, but not on line 1:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>a</td>
<td>Investment expenses not included on Form 990, Part VIII, line 7b</td>
<td>4a</td>
<td>1,004,334</td>
</tr>
<tr>
<td>b</td>
<td>Other (Describe in Part XIII.)</td>
<td>4b</td>
<td>21,097</td>
</tr>
<tr>
<td>c</td>
<td>Add lines 4a and 4b</td>
<td>4c</td>
<td>1,025,431</td>
</tr>
<tr>
<td>5</td>
<td>Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)</td>
<td>5</td>
<td>22,023,943</td>
</tr>
</tbody>
</table>

Supplemental Information.

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Schedule D, Part V, Line 4 - Earnings on endowments are used to support the University of Alaska as specified by our donors including, but not limited to, scholarships, fellowships, professorships and department support. The University of Alaska and the Foundation are invested jointly in the Consolidated Endowment Fund. The University has 44% ownership and the Foundation has 56% ownership.

Schedule D, Part X, Line 2 - The foundation is an organization exempt from income tax under Section 501(c)(3) of the Internal Revenue Code and is generally not subject to federal income taxes. Contributions to the foundation are deductible for tax purposes by the donor, subject to the limitations imposed by the taxing authorities. However, the foundation is subject to income taxes on any net income that is derived from a trade or business, regularly carried on, and not in furtherance of the purposes for which it was granted exemption.

Accordingly, the foundation recorded federal and state income tax liabilities of $0 and $41,708 for the years ended June 30, 2017 and 2016, respectively, primarily originating from certain investments in private capital. The income tax expense is reported on the Consolidated Statements of Activities as a reduction in investment income. The foundation recognizes the effect of income tax positions only if those positions are more likely than not of being sustained. Recognized income tax positions are measured at the largest amount that is greater than 50% likely of being realized. Changes in recognition or measurement are reflected in the period in which the change in judgment occurs.

Schedule D, Part XI, Line 1a - Total revenue, gains, and other support per audited financial statements is $116 and was reported in the revenue section, and gift in kind write down reversals totaled <$12,058>.

Schedule D, Part XI, Line 2d - Direct event expenses totaled $259,995 and were reported in the revenue section, cost of goods sold totaled $21,246,565, and gifts in kind write down reversals totaled <$12,058>.

Schedule D, Part XII, Line 4b - Event expenses paid by outside sources totaled $21,097.
**Part I - Fundraising Activities.** Complete if the organization answered “Yes” on Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

1. Indicate whether the organization raised funds through any of the following activities. Check all that apply.
   - a  [ ] Mail solicitations
   - b  [ ] Internet and email solicitations
   - c  [ ] Phone solicitations
   - d  [ ] In-person solicitations
   - e  [ ] Solicitation of non-government grants
   - f  [ ] Solicitation of government grants
   - g  [ ] Special fundraising events

2a. Did the organization have a written or oral agreement with any individual (including officers, directors, trustees, or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services?  
   - [ ] Yes  [ ] No

2b. If “Yes,” list the 10 highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least $5,000 by the organization.

<table>
<thead>
<tr>
<th>(i) Name and address of individual or entity (fundraiser)</th>
<th>(ii) Activity</th>
<th>(iii) Did fundraiser have custody or control of contributions?</th>
<th>(iv) Gross receipts from activity</th>
<th>(v) Amount paid to (or retained by) fundraiser listed in col. (i)</th>
<th>(vi) Amount paid to (or retained by) organization</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td></td>
<td>Yes</td>
<td>No</td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td></td>
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<td>4</td>
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<tr>
<td>9</td>
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<tr>
<td>10</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

3. List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

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--------------------------------------------------
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--------------------------------------------------
--------------------------------------------------
### Part II  
**Fundraising Events.** Complete if the organization answered “Yes” on Form 990, Part IV, line 18, or reported more than $15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than $5,000.

<table>
<thead>
<tr>
<th></th>
<th>(a) Event #1</th>
<th>(b) Event #2</th>
<th>(c) Other events</th>
<th>(d) Total events</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>(event type)</td>
<td>(event type)</td>
<td>(total number)</td>
<td></td>
</tr>
<tr>
<td>1</td>
<td>Gross receipts</td>
<td>134,170</td>
<td>106,248</td>
<td>326,061</td>
</tr>
<tr>
<td>2</td>
<td>Less: Contributions</td>
<td>93,850</td>
<td>76,098</td>
<td>169,203</td>
</tr>
<tr>
<td>3</td>
<td>Gross income (line 1 minus line 2)</td>
<td>40,320</td>
<td>30,150</td>
<td>156,858</td>
</tr>
<tr>
<td>4</td>
<td>Cash prizes</td>
<td>0</td>
<td>0</td>
<td>250</td>
</tr>
<tr>
<td>5</td>
<td>Noncash prizes</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>6</td>
<td>Rent/facility costs</td>
<td>2,500</td>
<td>0</td>
<td>10,964</td>
</tr>
<tr>
<td>7</td>
<td>Food and beverages</td>
<td>21,829</td>
<td>15,007</td>
<td>74,398</td>
</tr>
<tr>
<td>8</td>
<td>Entertainment</td>
<td>10,520</td>
<td>4,500</td>
<td>7,676</td>
</tr>
<tr>
<td>9</td>
<td>Other direct expenses</td>
<td>16,264</td>
<td>17,894</td>
<td>69,819</td>
</tr>
<tr>
<td>10</td>
<td>Direct expense summary. Add lines 4 through 9 in column (d)</td>
<td></td>
<td></td>
<td>251,621</td>
</tr>
<tr>
<td>11</td>
<td>Net income summary. Subtract line 10 from line 3, column (d)</td>
<td></td>
<td></td>
<td>-24,293</td>
</tr>
</tbody>
</table>

### Part III  
**Gaming.** Complete if the organization answered “Yes” on Form 990, Part IV, line 19, or reported more than $15,000 on Form 990-EZ, line 6a.

<table>
<thead>
<tr>
<th></th>
<th>(a) Bingo</th>
<th>(b) Pull tabs/instant bingo/progressive bingo</th>
<th>(c) Other gaming</th>
<th>(d) Total gaming (add col. (a) through col. (c))</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Gross revenue</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Cash prizes</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Noncash prizes</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>Rent/facility costs</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>Other direct expenses</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>Volunteer labor</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>Direct expense summary. Add lines 2 through 5 in column (d)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>Net gaming income summary. Subtract line 7 from line 1, column (d)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>9</td>
<td>Enter the state(s) in which the organization conducts gaming activities:</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>a</td>
<td>Is the organization licensed to conduct gaming activities in each of these states?</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b</td>
<td>If “No,” explain:</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>10a</td>
<td>Were any of the organization’s gaming licenses revoked, suspended, or terminated during the tax year?</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>b</td>
<td>If “Yes,” explain:</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
11 Does the organization conduct gaming activities with nonmembers? □ Yes □ No

12 Is the organization a grantor, beneficiary or trustee of a trust, or a member of a partnership or other entity formed to administer charitable gaming? □ Yes □ No

13 Indicate the percentage of gaming activity conducted in:
   a The organization’s facility 13a %
   b An outside facility 13b %

14 Enter the name and address of the person who prepares the organization’s gaming/special events books and records:

Name ► 
Address ►

15a Does the organization have a contract with a third party from whom the organization receives gaming revenue? □ Yes □ No

   b If “Yes,” enter the amount of gaming revenue received by the organization ▶ $ and the amount of gaming revenue retained by the third party ▶ $

   c If “Yes,” enter name and address of the third party:

Name ►
Address ►

16 Gaming manager information:

Name ►
Gaming manager compensation ► $ 
Description of services provided ►

Director/officer □ Employee □ Independent contractor

17 Mandatory distributions:
   a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? □ Yes □ No
   b Enter the amount of distributions required under state law to be distributed to other exempt organizations or spent in the organization’s own exempt activities during the tax year ▶ $

Part IV Supplemental Information. Provide the explanations required by Part I, line 2b, columns (ii) and (iv); and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also provide any additional information. See instructions
## Part I  
**General Information on Grants and Assistance**

1. **Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?**  
   - [ ] Yes  
   - [ ] No

2. **Describe in Part IV the organization’s procedures for monitoring the use of grant funds in the United States.**

## Part II  
**Grants and Other Assistance to Domestic Organizations and Domestic Governments.** Complete if the organization answered “Yes” on Form 990, Part IV, line 21, for any recipient that received more than $5,000. Part II can be duplicated if additional space is needed.

<table>
<thead>
<tr>
<th>(1) Sch I, Stmt 1</th>
</tr>
</thead>
<tbody>
<tr>
<td>(2)</td>
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<tr>
<td>(3)</td>
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<tr>
<td>(4)</td>
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<tr>
<td>(5)</td>
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<tr>
<td>(6)</td>
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<tr>
<td>(7)</td>
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<td>(8)</td>
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<tr>
<td>(9)</td>
</tr>
<tr>
<td>(10)</td>
</tr>
<tr>
<td>(11)</td>
</tr>
<tr>
<td>(12)</td>
</tr>
</tbody>
</table>

1. **Enter total number of section 501(c)(3) and government organizations listed in the line 1 table.**

2. **Enter total number of other organizations listed in the line 1 table.**

---

**Name of the organization:** UNIVERSITY OF ALASKA FOUNDATION  
**Employer identification number:** 23-7394620
### Part III  Grants and Other Assistance to Domestic Individuals

Complete if the organization answered “Yes” on Form 990, Part IV, line 22.

Part III can be duplicated if additional space is needed.

<table>
<thead>
<tr>
<th>(a) Type of grant or assistance</th>
<th>(b) Number of recipients</th>
<th>(c) Amount of cash grant</th>
<th>(d) Amount of noncash assistance</th>
<th>(e) Method of valuation (book, FMV, appraisal, other)</th>
<th>(f) Description of noncash assistance</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>2</td>
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<td>6</td>
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<tr>
<td>7</td>
<td></td>
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<td></td>
</tr>
</tbody>
</table>

### Part IV  Supplemental Information

Provide the information required in Part I, line 2; Part III, column (b); and any other additional information.

Schedule I, Part I, Line 2 - All grant funds provided to the university are required to include appropriate documentation providing details on expenditures, including authorized signature authority. All scholarship requests are monitored by review of the criteria related to the scholarship, which provides assurance that the recipient meets eligibility requirements. Student progress is monitored to assure continued compliance with established criteria.
**Schedule I, Part IV, Statement 1**

**UNIVERSITY OF ALASKA FOUNDATION**

**Form:** Schedule I (2016)  
**EIN:** 23-7394620  
**Page:** 1

<table>
<thead>
<tr>
<th>Description of Grants and Other Assistance to Governments and Organizations in the United States</th>
<th>Recipient EIN</th>
<th>Amt. of cash grant</th>
<th>Amt. of non-cash asst.</th>
</tr>
</thead>
</table>
| **Name and address** | University of Alaska  
PO Box 755000  
Fairbanks, AK 99775-5000 | 92-6000147 | 17,553,099 | 166,176 |
| **IRC code section** |  
**Method of valuation** | FMV on date property received  
**Desc. of Non-Cash Asst.** | Airline Vouchers and Auction Items  
**Purpose of grant** | Student, Program and other departmental support for the University |
## Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

Complete if the organization answered “Yes” on Form 990, Part IV, line 23.

Attach to Form 990.

Information about Schedule J (Form 990) and its instructions is at www.irs.gov/form990.

### Part I Questions Regarding Compensation

<p>| | | | | | |</p>
<table>
<thead>
<tr>
<th></th>
<th></th>
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<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>1a</td>
<td>Check the appropriate box(es) if the organization provided any of the following to or for a person listed on Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.</td>
<td>Yes</td>
<td>No</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>First-class or charter travel</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Travel for companions</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Tax indemnification and gross-up payments</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Discretionary spending account</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Housing allowance or residence for personal use</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Payments for business use of personal residence</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Health or social club dues or initiation fees</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Personal services (such as, maid, chauffeur, chef)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>b</th>
<th>If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If “No,” complete Part III to explain.</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>2</th>
<th>Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked on line 1a?</th>
</tr>
</thead>
</table>

| 3 | Indicate which, if any, of the following the filing organization used to establish the compensation of the organization’s CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III. |
|   | Compensation committee |   |
|   | Independent compensation consultant |   |
|   | Form 990 of other organizations |   |

| 4 | During the year, did any person listed on Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization: |
|   | a | Receive a severance payment or change-of-control payment? |
|   | b | Participate in, or receive payment from, a supplemental nonqualified retirement plan? |
|   | c | Participate in, or receive payment from, an equity-based compensation arrangement? |

If “Yes” to any of lines 4a–c, list the persons and provide the applicable amounts for each item in Part III.

Only section 501(c)(3), 501(c)(4), and 501(c)(29) organizations must complete lines 5–9.

| 5 | For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of: |
|   | a | The organization? |
|   | b | Any related organization? |

If “Yes” on line 5a or 5b, describe in Part III.

| 6 | For persons listed on Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of: |
|   | a | The organization? |
|   | b | Any related organization? |

If “Yes” on line 6a or 6b, describe in Part III.

<table>
<thead>
<tr>
<th>7</th>
<th>For persons listed on Form 990, Part VII, Section A, line 1a, did the organization provide any nonfixed payments not described on lines 5 and 6? If “Yes,” describe in Part III.</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>8</th>
<th>Were any amounts reported on Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If “Yes,” describe in Part III.</th>
</tr>
</thead>
</table>

<table>
<thead>
<tr>
<th>9</th>
<th>If “Yes” on line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?</th>
</tr>
</thead>
</table>

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Cat. No. 50053T

Schedule J (Form 990) 2016
For each individual whose compensation must be reported on Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that aren’t listed on Form 990, Part VII.

Note: The sum of columns (B)(i)–(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

<table>
<thead>
<tr>
<th>(A) Name and Title</th>
<th>(B) Breakdown of W-2 and/or 1099-MISC compensation</th>
<th>(C) Retirement and other deferred compensation</th>
<th>(D) Nontaxable benefits</th>
<th>(E) Total of columns (B)(i)–(D)</th>
<th>(F) Compensation in column (B) reported as deferred on prior Form 990</th>
</tr>
</thead>
<tbody>
<tr>
<td>Tom Case, Trustee</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1</td>
<td>(i) 0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td>(ii) 247,335</td>
<td>13,875</td>
<td>38,743</td>
<td>27,594</td>
<td>327,547</td>
</tr>
<tr>
<td>Richard Caulfield, Trustee</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>(i) 171,830</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Jim Johnsen, Trustee</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>(i) 310,388</td>
<td>23,279</td>
<td>40,313</td>
<td>23,217</td>
<td>410,797</td>
</tr>
<tr>
<td>Michael Powers, Trustee</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>(i) 195,255</td>
<td>12,385</td>
<td>27,238</td>
<td>18,737</td>
<td>253,615</td>
</tr>
<tr>
<td>Jim Lynch, Treasurer</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>(i) 137,845</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td></td>
<td>(ii) 137,845</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>1,406</td>
</tr>
<tr>
<td>Tammi Weaver, Chief Investment</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Officer</td>
<td>(i) 128,975</td>
<td>600</td>
<td>3,213</td>
<td>23,857</td>
<td>156,645</td>
</tr>
<tr>
<td>6</td>
<td>(ii) 137,001</td>
<td>1,332</td>
<td>20,233</td>
<td>32,893</td>
<td>191,459</td>
</tr>
<tr>
<td>Megan Riebe, Executive Director</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>7</td>
<td>(i) 121,164</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>David Woodley, Advancement Services</td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>Director</td>
<td>(i)</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>(ii) 121,164</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
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<tr>
<td></td>
<td>(ii)</td>
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<td>16</td>
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</tbody>
</table>
Schedule J, Part I, Line 3 - Compensation for the President and the Executive Director of the University of Alaska Foundation is determined at the University of Alaska. Positions are benchmarked to align with the College and University Professional Association for Human Resources (CUPA-HR). Positions that do not have matches with CUPA are reviewed with Western Management EDUComp survey. The local market is considered, and benchmarking for UA positions is 90% of the target market median salary as reported in the annual CUPA-HR Administrative Compensation Survey. Although the target is 90%, other considerations are experience, education and skill levels.
# SCHEDULE M  
(Form 990)

Noncash Contributions

- Complete if the organizations answered “Yes” on Form 990, Part IV, lines 29 or 30.
- Attach to Form 990.
- Information about Schedule M (Form 990) and its instructions is at www.irs.gov/form990.

**Part I  Types of Property**

<table>
<thead>
<tr>
<th></th>
<th>(a) Check if applicable</th>
<th>(b) Number of contributions or items contributed</th>
<th>(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g</th>
<th>(d) Method of determining noncash contribution amounts</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>Art—Works of art</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2</td>
<td>Art—Historical treasures</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>3</td>
<td>Art—Fractional interests</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4</td>
<td>Books and publications</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>5</td>
<td>Clothing and household goods</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6</td>
<td>Cars and other vehicles</td>
<td></td>
<td></td>
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<tr>
<td>7</td>
<td>Boats and planes</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>8</td>
<td>Intellectual property</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>9</td>
<td>Securities—Publicly traded</td>
<td></td>
<td>✓ 17 260,297 Market Quote</td>
<td></td>
</tr>
<tr>
<td>10</td>
<td>Securities—Closely held stock</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>11</td>
<td>Securities—Partnership, LLC, or trust interests</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>12</td>
<td>Securities—Miscellaneous</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>13</td>
<td>Qualified conservation contribution—Historic structures</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>14</td>
<td>Qualified conservation contribution—Other</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>15</td>
<td>Real estate—Residential</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>16</td>
<td>Real estate—Commercial</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>17</td>
<td>Real estate—Other</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>18</td>
<td>Collectibles</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>19</td>
<td>Food inventory</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>20</td>
<td>Drugs and medical supplies</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>21</td>
<td>Taxidermy</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>22</td>
<td>Historical artifacts</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>23</td>
<td>Scientific specimens</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>24</td>
<td>Archeological artifacts</td>
<td></td>
<td></td>
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</tr>
<tr>
<td>25</td>
<td>Other (Alaska Airlines Voucher)</td>
<td></td>
<td>✓ 2 59,491 Average ticket price at time of</td>
<td></td>
</tr>
<tr>
<td>26</td>
<td>Other (Silver and Platinum)</td>
<td></td>
<td>✓ 1 29,595 Fair market value at date of donation</td>
<td></td>
</tr>
<tr>
<td>27</td>
<td>Other (Various Auction Item)</td>
<td></td>
<td>✓ 297 41,648 Fair market value at date of donation</td>
<td></td>
</tr>
<tr>
<td>28</td>
<td>Other</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 823, Part IV, Donee Acknowledgement: 29

30a During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which isn’t required to be used for exempt purposes for the entire holding period?

- Yes
- No

30b If “Yes,” describe the arrangement in Part II.

31 Does the organization have a gift acceptance policy that requires the review of any nonstandard contributions?

- Yes
- No

32a Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions?

- Yes
- No

33 If the organization didn’t report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II.

**Name of the organization**

UNIVERSITY OF ALASKA FOUNDATION

**Employer identification number**

23-7394620

**Average ticket price at time of donation**

59,491

**Fair market value at date of donation**

29,595
**Part II  ** Supplemental Information. Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

Schedule M, Part I - Our organization is reporting the number of contributions received in column (b).
Form 990, Part VI, Section A, Line 1a - The Executive Committee consists of no more than 6 members, who are also members of the members, all committees, subcommittees, officers, employees and volunteers having board-delegated powers. This policy is distributed organization during calendar year 2016.

Form 990, Part VI, Section A, Line 2 - Mary and John Hughes have a family relationship as well as a business relationship.

Form 990, Part VI, Section A, Line 19 - The articles of incorporation and financial statements are available to the general public on the Foundation website, www.alaska.edu/foundation. The conflict of interest policy is available to the general public upon request.

Form 990, Part VI, Section A, Line 2 - The University of Alaska Foundation has a Conflict of Interest Policy which applies to board and members, all committees, subcommittees, officers, employees and members of board-delegated powers. This policy is distributed annually and each recipient reviews the policy, signs it and returns it to the Board Coordinator indicating either no conflicts or disclosing any existing or foreseeable conflicts. Any disclosures are then forwarded to the Executive Committee for review and action. The policy provides that potential conflicts are to be disclosed to the board, committee, officer or supervisor as soon as practicable after becoming aware of a potential conflict. If a conflict is disclosed in a meeting, the person of interest is asked to leave the meeting during the discussion of, and the vote on, the transaction or arrangement that results in the conflict. If appropriate, another person or committee may be appointed to investigate alternatives to the proposed transaction or arrangement. The nature of the potential conflict, the determination by the board or committee, and the details of any votes taken are documented in the minutes of the meeting. Any person violating the Conflict of Interest Policy shall be subject to appropriate discipline, including dismissal or removal from office.

Form 990, Part VI, Section B, Line 12c - The University of Alaska Foundation has a Conflict of Interest Policy which applies to board and members, all committees, subcommittees, officers, employees and members of board-delegated powers. This policy is distributed annually and each recipient reviews the policy, signs it and returns it to the Board Coordinator indicating either no conflicts or disclosing any existing or foreseeable conflicts. Any disclosures are then forwarded to the Executive Committee for review and action. The policy provides that potential conflicts are to be disclosed to the board, committee, officer or supervisor as soon as practicable after becoming aware of a potential conflict. If a conflict is disclosed in a meeting, the person of interest is asked to leave the meeting during the discussion of, and the vote on, the transaction or arrangement that results in the conflict. If appropriate, another person or committee may be appointed to investigate alternatives to the proposed transaction or arrangement. The nature of the potential conflict, the determination by the board or committee, and the details of any votes taken are documented in the minutes of the meeting. Any person violating the Conflict of Interest Policy shall be subject to appropriate discipline, including dismissal or removal from office.

Form 990, Part VI, Section B, Line 15 - Compensation for the President and the Executive Director of the University of Alaska Foundation is determined by the University of Alaska. Positions are benchmarked to align with the College and University Professional Association for Human Resources (CUPA-HR). Positions that do not have matches within CUPA are reviewed with Western Management EDUComp survey. The local market is considered, and benchmarking for UA positions is 90% of the target market median salary as reported in the annual CUPA-HR Administrative Compensation Survey. Although the target is 90%, other considerations are experience, education and skill levels.
University of Alaska. These scholarships are funding the students at the University of Alaska.

Form 990, Part XI, Line 9 - Actuarial adjustment of remainder trust liability was reclassified for $76,456. Uncollectible pledges were reclassified for <$12,305>. The Foundation refunded one contribution for <$59,303>. 
Other program service expenditures include payments to support student athletics and operating expenses of the Foundation. In FY17, the Foundation had 32 employees with offices in Fairbanks and Anchorage, Alaska. The Board of Regents of the University of Alaska has recognized the University of Alaska Foundation as the entity to manage private gifts made to support all campuses of the University of Alaska system. In addition, the Foundation manages the investable assets of UA's Land Grant Trust Fund. The Foundation is responsible for gift acceptance, investment and disbursement to UA, ensuring private contributions are used in accordance with donors' wishes. More than 1600 separate funds are managed and invested for the benefit of the University. The Foundation's operations are funded by a one-time administrative fee of 1% on new gifts, an annual 1% fee on the endowment funds, and an annual .5% fee on the University of Alaska Land Grant Trust Fund.

<table>
<thead>
<tr>
<th>Activity Code</th>
<th>Description</th>
<th>Expense</th>
<th>Grants</th>
<th>Revenue</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Other program service expenditures</td>
<td>1,063,156</td>
<td>1,063,156</td>
<td>0</td>
</tr>
</tbody>
</table>

**Total:** 1,063,156  1,063,156  0
**Part I** Identification of Disregarded Entities. Complete if the organization answered “Yes” on Form 990, Part IV, line 33.

<table>
<thead>
<tr>
<th>(a) Name, address, and EIN (if applicable) of disregarded entity</th>
<th>(b) Primary activity</th>
<th>(c) Legal domicile (state or foreign country)</th>
<th>(d) Total income</th>
<th>(e) End-of-year assets</th>
<th>(f) Direct controlling entity</th>
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</thead>
<tbody>
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</tbody>
</table>

**Part II** Identification of Related Tax-Exempt Organizations. Complete if the organization answered “Yes” on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

<table>
<thead>
<tr>
<th>(a) Name, address, and EIN of related organization</th>
<th>(b) Primary activity</th>
<th>(c) Legal domicile (state or foreign country)</th>
<th>(d) Exempt Code section</th>
<th>(e) Public charity status (if section 501(c)(3))</th>
<th>(f) Direct controlling entity</th>
<th>(g) Section 512(b)(13) controlled entity?</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1) University of Alaska (92-6000147)</td>
<td></td>
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<td>Yes</td>
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<tr>
<td>PO Box 756540, Fairbanks, AK 99775-6540</td>
<td>Education through teaching, research</td>
<td>AK</td>
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</tbody>
</table>
### Part III Identification of Related Organizations Taxable as a Partnership

Complete if the organization answered “Yes” on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

<table>
<thead>
<tr>
<th>(a) Name, address, and EIN of related organization</th>
<th>(b) Primary activity</th>
<th>(c) Legal domicile (state or foreign country)</th>
<th>(d) Direct controlling entity</th>
<th>(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)</th>
<th>(f) Share of total income</th>
<th>(g) Share of end-of-year assets</th>
<th>(h) Disproportionate allocations?</th>
<th>(i) Code V—UBI amount in box 20 of Schedule K-1 (Form 1065)</th>
<th>(j) General or managing partner?</th>
<th>(k) Percentage ownership</th>
</tr>
</thead>
<tbody>
<tr>
<td>University of Alaska Foundation Investment Management</td>
<td>DE</td>
<td>University of AK Foundation</td>
<td>Excluded</td>
<td>$8,694,265</td>
<td>301,352,652</td>
<td>Yes</td>
<td>-136,452</td>
<td>Yes</td>
<td>✔</td>
<td>99.999%</td>
</tr>
<tr>
<td>125 High Street, Boston, MA 0211</td>
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</tbody>
</table>

### Part IV Identification of Related Organizations Taxable as a Corporation or Trust

Complete if the organization answered “Yes” on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

<table>
<thead>
<tr>
<th>(a) Name, address, and EIN of related organization</th>
<th>(b) Primary activity</th>
<th>(c) Legal domicile (state or foreign country)</th>
<th>(d) Direct controlling entity</th>
<th>(e) Type of entity (C corp, S corp, or trust)</th>
<th>(f) Share of total income</th>
<th>(g) Share of end-of-year assets</th>
<th>(h) Percentage ownership</th>
<th>(i) Section 512(b)(13) controlled entity?</th>
</tr>
</thead>
<tbody>
<tr>
<td>Charitable Remainder Trust (2)</td>
<td>Charitable Remainder</td>
<td>AK</td>
<td>N/A</td>
<td>T</td>
<td>Yes</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>207 Butrovich Building, Fairbanks, AK 99775</td>
<td></td>
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</tbody>
</table>
Part V  Transactions With Related Organizations. Complete if the organization answered “Yes” on Form 990, Part IV, line 34, 35b, or 36.

**Note:** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule.

### 1. Transactions Between Related Organizations

<p>| | | | |</p>
<table>
<thead>
<tr>
<th></th>
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</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II–IV?</td>
<td>Yes</td>
<td>No</td>
</tr>
<tr>
<td>a</td>
<td>Receipt of (i) interest, (ii) annuities, (iii) royalties, or (iv) rent from a controlled entity</td>
<td></td>
<td></td>
</tr>
<tr>
<td>b</td>
<td>Gift, grant, or capital contribution to related organization(s)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>c</td>
<td>Gift, grant, or capital contribution from related organization(s)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>d</td>
<td>Loans or loan guarantees to or for related organization(s)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>e</td>
<td>Loans or loan guarantees by related organization(s)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>f</td>
<td>Dividends from related organization(s)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>g</td>
<td>Sale of assets to related organization(s)</td>
<td></td>
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</tr>
<tr>
<td>h</td>
<td>Purchase of assets from related organization(s)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>i</td>
<td>Exchange of assets with related organization(s)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>j</td>
<td>Lease of facilities, equipment, or other assets to related organization(s)</td>
<td></td>
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</tr>
<tr>
<td>k</td>
<td>Lease of facilities, equipment, or other assets from related organization(s)</td>
<td></td>
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<tr>
<td>l</td>
<td>Performance of services or membership or fundraising solicitations for related organization(s)</td>
<td></td>
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<tr>
<td>m</td>
<td>Performance of services or membership or fundraising solicitations by related organization(s)</td>
<td></td>
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<tr>
<td>n</td>
<td>Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)</td>
<td></td>
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<tr>
<td>o</td>
<td>Sharing of paid employees with related organization(s)</td>
<td></td>
<td></td>
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<tr>
<td>p</td>
<td>Reimbursement paid to related organization(s) for expenses</td>
<td></td>
<td></td>
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<tr>
<td>q</td>
<td>Reimbursement paid by related organization(s) for expenses</td>
<td></td>
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<tr>
<td>r</td>
<td>Other transfer of cash or property to related organization(s)</td>
<td></td>
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<tr>
<td>s</td>
<td>Other transfer of cash or property from related organization(s)</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

### 2. Details of Transactions

<table>
<thead>
<tr>
<th></th>
<th>Name of related organization</th>
<th>Transaction type (a–s)</th>
<th>Amount involved</th>
<th>Method of determining amount involved</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>University of Alaska Foundation Consolidated Endowment Fund LP</td>
<td>a-i</td>
<td>923,091</td>
<td>Fair Market Value</td>
</tr>
<tr>
<td>2</td>
<td>University of Alaska Foundation Consolidated Endowment Fund LP</td>
<td>b</td>
<td>8,597,500</td>
<td>Fair Market Value</td>
</tr>
<tr>
<td>3</td>
<td>University of Alaska Foundation Consolidated Endowment Fund LP</td>
<td>c</td>
<td>13,483,962</td>
<td>Fair Market Value</td>
</tr>
<tr>
<td>4</td>
<td>University of Alaska Foundation Consolidated Endowment Fund LP</td>
<td>f</td>
<td>1,698,798</td>
<td>Fair Market Value</td>
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</table>
### Part VI  Unrelated Organizations Taxable as a Partnership

Complete if the organization answered “Yes” on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

<table>
<thead>
<tr>
<th></th>
<th>Name, address, and EIN of entity</th>
<th>Primary activity</th>
<th>Legal domicile (state or foreign country)</th>
<th>Predominant income (related, unrelated, excluded from tax under sections 512-514)</th>
<th>Are all partners section 501(c)(3) organizations?</th>
<th>Share of total income</th>
<th>Share of end-of-year assets</th>
<th>Disproportionate allocations?</th>
<th>Code V—UBI amount in box 20 of Schedule K-1 (Form 1065)</th>
<th>General or managing partner?</th>
<th>Percentage ownership</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
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Part VII  Supplemental Information.
Provide additional information for responses to questions on Schedule R. See Instructions.

Schedule R, Part II - The University of Alaska does not meet the definition of a 'related organization' for required reporting on Form 990, Schedule R. Although the University is supported by the University of Alaska Foundation, the Foundation is a public charity under Section 170(b)(1)(A)(iv) rather than under Section 509(a)(3). The University of Alaska Foundation is voluntarily reporting data upon Part VII and Schedule R as though there were a 509(a)(3) supporting/supported relationship in place with the University because management believes that donors and other readers of the Form 990 have an expectation that this information will be included on this Form 990.