UNIVERSITY OF ALASKA

(A Component Unit of the State of Alaska)

Single Audit Report

For the Year Ended June 30, 2016

UNIVERSITY OF ALASKA
(A Component Unit of the State of Alaska)
Audit in Accordance with 2 CFR 200
June 30, 2016

Table of Contents

	PAGE
Report of Independent Auditors on Internal Control Over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance with Government Auditing Standards	1-2
Report of Independent Auditors on Compliance for each Major Federal Program and Report on Internal Control over Compliance; and Report on the Schedule of Expenditures of Federal Awards Required by the *Uniform Guidance*	3-5
Schedule of Expenditures of Federal Awards	6-13
Notes to the Schedule of Expenditures of Federal Awards	14
Schedule of Findings and Questioned Costs	15-16

This page intentionally left blank.

MOSS-ADAMS LLP
Certified Public Accountants | Business Consultants

REPORT OF INDEPENDENT AUDITORS ON INTERNAL CONTROL OVER FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS*

The Board of Regents
University of Alaska

We have audited the respective financial statements of the University of Alaska (University) and its discretely presented component unit, University of Alaska Foundation, Inc. (Foundation), as of and for the year ended June 30, 2016, and the related notes to the financial statements, which collectively comprise the University's basic financial statements, and have issued our report thereon dated November 3, 2016. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States. The financial statements of the Foundation were not audited in accordance with *Government Auditing Standards*, and accordingly this report does not include reporting on internal control over financial reporting or instances of reportable noncompliance associated with the discretely presented component unit.

Internal Control over Financial Reporting

In planning and performing our audit of the financial statements, we considered the University's internal control over financial reporting (internal control) to determine the audit procedures that are appropriate in the circumstances for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the University's internal control. Accordingly, we do not express an opinion on the effectiveness of the University's internal control.

A *deficiency in internal control* exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, misstatements on a timely basis. A *material weakness* is a deficiency, or a combination of deficiencies, in internal control such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected, on a timely basis. A *significant deficiency* is a deficiency, or a combination of deficiencies, in internal control that is less severe than a material weakness, yet important enough to merit attention by those charged with governance.

Praxity MEMBER GLOBAL ALLIANCE OF INDEPENDENT FIRMS

REPORT OF INDEPENDENT AUDITORS ON INTERNAL CONTROL OVER FINANCIAL REPORTING AND ON COMPLIANCE AND OTHER MATTERS BASED ON AN AUDIT OF FINANCIAL STATEMENTS PERFORMED IN ACCORDANCE WITH *GOVERNMENT AUDITING STANDARDS* (continued)

Our consideration of internal control was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control that might be material weaknesses or significant deficiencies. Given these limitations, during our audit we did not identify any deficiencies in internal control that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the University's financial statements are free from material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

Purpose of this Report

The purpose of this report is solely to describe the scope of our testing of internal control and compliance and the results of that testing, and not to provide an opinion on the effectiveness of the University's internal control or on compliance. This report is an integral part of an audit performed in accordance with *Government Auditing Standards* in considering the entity's internal control and compliance. Accordingly, this communication is not suitable for any other purpose.

Moss Adams LLP

Spokane, Washington
November 3, 2016

MOSS-ADAMS LLP
Certified Public Accountants | Business Consultants

REPORT OF INDEPENDENT AUDITORS ON COMPLIANCE FOR EACH MAJOR FEDERAL PROGRAM AND REPORT ON INTERNAL CONTROL OVER COMPLIANCE; AND REPORT ON THE SCHEDULE OF EXPENDITURES OF FEDERAL AWARDS REQUIRED BY THE UNIFORM GUIDANCE

The Board of Regents
University of Alaska

Report on Compliance for Each Major Federal Program

We have audited University of Alaska's (University) compliance with the types of compliance requirements described in the *OMB Compliance Supplement* that could have a direct and material effect on each of the University's major federal programs for the year ended June 30, 2016. The University's major federal programs are identified in the summary of auditor's results section of the accompanying schedule of findings and questioned costs.

Management's Responsibility

Management is responsible for compliance with the requirements of laws, regulations, contracts, and grants applicable to its federal programs.

Auditor's Responsibility

Our responsibility is to express an opinion on compliance for each of the University's major federal programs based on our audit of the types of compliance requirements referred to above. We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and the audit requirements of Title 2 U.S. *Code of Federal Regulations* Part 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards* (Uniform Guidance). Those standards and the Uniform Guidance require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on the major federal program occurred. An audit includes examining, on a test basis, evidence about the University's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances.

We believe that our audit provides a reasonable basis for our opinion on compliance for each major federal program. However, our audit does not provide a legal determination of the University's compliance.

Praxity MEMBER GLOBAL ALLIANCE OF INDEPENDENT FIRMS

Opinion on Each Major Federal Program

In our opinion, the University of Alaska complied, in all material respects, with the types of compliance requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2016.

Report on Internal Control over Compliance

Management of the University is responsible for establishing and maintaining effective internal control over compliance with the types of compliance requirements referred to above. In planning and performing our audit of compliance, we considered the University's internal control over compliance with the types of requirements that could have a direct and material effect on each major federal program to determine the auditing procedures that are appropriate in the circumstances for the purpose of expressing an opinion on compliance for each major federal program and to test and report on internal control over compliance in accordance with the Uniform Guidance, but not for the purpose of expressing an opinion on the effectiveness of internal control over compliance. Accordingly, we do not express an opinion on the effectiveness of the University's internal control over compliance.

A *deficiency in internal control over compliance* exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. A *material weakness in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis. A *significant deficiency in internal control over compliance* is a deficiency, or a combination of deficiencies, in internal control over compliance with a type of compliance requirement of a federal program that is less severe than a material weakness in internal control over compliance, yet important enough to merit attention by those charged with governance.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be material weaknesses or significant deficiencies and therefore, material weaknesses or significant deficiencies may exist that were not identified. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses. However, material weaknesses may exist that have not been identified.

The purpose of this report on internal control over compliance is solely to describe the scope of our testing of internal control over compliance and the results of that testing based on the requirements of the Uniform Guidance. Accordingly, this report is not suitable for any other purpose.

Report on Schedule of Expenditures of Federal Awards Required by the Uniform Guidance

We have audited the financial statements of the University of Alaska as of and for the year ended June 30, 2016, and have issued our report thereon dated November 3, 2016, which contained an unmodified opinion on those financial statements. Our audit was conducted for the purpose of forming an opinion on the financial statements as a whole. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by the Uniform Guidance and is not a required part of the financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the financial statements. The information has been subjected to the auditing procedures applied in the audit of the financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the schedule of expenditures of federal awards is fairly stated in all material respects in relation to the financial statements as a whole.

Moss Adams LLP

Spokane, Washington
November 3, 2016

University of Alaska
Schedule of Expenditures of Federal Awards
Year Ended June 30, 2016

AGENCY	CFDA NUMBER	GRANT NUMBER	CLUSTER	TITLE/(PASS THROUGH)/PASS THROUGH ID#	SUB-RECIPIENT	SUB AWARD	EXPENDITURES
USDOE	84.007	G00009467	SFAC	Federal Supplemental Educational Opportunity Grants			$ 576,284.25
USDOE	84.033	G00009469	SFAC	**ARRA** Federal Work-Study Program			$ 424,780.54
USDOE	84.063	G00009494	SFAC	**ARRA** Federal Pell Grant Program			$ 21,522,539.01
USDOE	84.268		SFAC	Federal Direct Student Loans			$ 55,892,631.00
USDOE	84.379	G00010173	SFAC	Teacher Education Assistance for College and Higher Education Grants (TEACH Grants)			$ 54,308.50
USDOE	84.408	G00009325	SFAC	Postsecondary Education Scholarships for Veteran's Dependents			$ (1,701.24)
					SFAC TOTAL		$ 78,468,842.06
USDA	10.000	G00008893	R&DC	Carbon Fluxex in the PCTR YR2			$ 23,737.92
USDA	10.000	G00008916	R&DC	Specialty Wood Products in SE AK			$ 3,241.43
USDA	10.000	G00009133	R&DC	Monitoring TV State Forest			$ 28,376.60
USDA	10.000	G00009170	R&DC	Wood Energy in AK			$ 31,373.07
USDA	10.000	G00009612	R&DC	Cofiring wood pellets with coal			$ 17,580.36
USDA	10.000	G00009667	R&DC	AKEPIC Upgrade			$ (40.36)
USDA	10.000	G00009709	R&DC	Tongass Rare Plant Species Assessme			$ 4,681.49
USDA	10.000	G00010109	R&DC	Bridge Inspection IARC			$ 7,568.63
USDA	10.000	G00010109	R&DC	Placer Bridge			$ 1,702.46
USDA	10.000	G00010250	R&DC	Carbon Cycle in AK PCTR G10250			$ 58,689.53
USDA	10.000	G00010255	R&DC	Stream Discharge G10255			$ 24,668.51
USDA	10.000	G00010530	R&DC	Waterbirds on the CopperRiver Delta			$ 3,122.56
USDA	10.000	G00010854	R&DC	Wicking Fabric-Forest Service Lands			$ 801.00
USDA	10.025	G00010253	R&DC	Plant and Animal Disease, Pest Control, and Animal Care			$ 14,836.94
USDA	10.156	G00010524	R&DC	Federal-State Marketing Improvement Program			$ 30,762.90
USDA	10.200	G00010734	R&DC	Grants for Agricultural Research, Special Research Grants (Pass-through Colorado State University) 2014-48757-22607			$ 7,100.10
USDA	10.202	G00008984	R&DC	Cooperative Forestry Research			$ 803,989.72
USDA	10.203	G00008980	R&DC	Payments to Agricultural Experiment Stations Under the Hatch Act			$ 971,130.48
USDA	10.215	G00009646	R&DC	Sustainable Agriculture Research and Education (Pass-through Utah State University) 2012-38640-19581			$ 47,188.79
USDA	10.228	G00009631	R&DC	Alaska Native Serving and Native Hawaiian Serving Institutions Education Grants	Sitka Sound Science Center Inc	$ 90,108.35	$ 1,400,827.35
USDA	10.310	G00007266	R&DC	Agriculture and Food Research Initiative (AFRI)	California State University Fresno	$ 3,852.43	$ 474,792.84
USDA	10.652	G00010840	R&DC	Forestry Research (Pass-through University of Montana) PG 16-65035-01			$ 41,717.95
USDA	10.674	G00010240	R&DC	Wood Utilization Assistance			$ 13,986.34
USDA	10.680	G00010155	R&DC	Forest Health Protection			$ 218,698.85
USDA	10.693	G00010375	R&DC	Watershed Restoration and Enhancement Agreement Authority			$ 4,947.95
USDA	10.902	G00010481	R&DC	Soil and Water Conservation			$ 14,201.58
USDA	10.903	G00008208	R&DC	Soil Survey			$ 6,140.70
USDOC	11.000	G00010263	R&DC	NOAA Mission-Related Education Awards			$ 158,098.13
USDOC	11.008	G00008342	R&DC	NOAA Mission-Related Education Awards			$ 18,285.74
USDOC	11.011	G00010432	R&DC	Ocean Exploration	Univ of Connecticut	$ 14,006.59	$ 486,398.83
USDOC	11.012	G00009871	R&DC	Integrated Ocean Observing System (IOOS)	Axiom Data Science LLC	$ 40,953.41	
USDOC	11.012	G00009871	R&DC	Integrated Ocean Observing System (IOOS)	State of Maryland	$ 157,829.50	$ 1,683,961.79
USDOC	11.012	G00009871	R&DC	Integrated Ocean Observing System (IOOS)	University of Washington	$ 21,232.58	
USDOC	11.417	G00009215	R&DC	Sea Grant Support	Alaska Historical Society	$ 6,650.00	$ 1,700,848.90
USDOC	11.417	G00009215	R&DC	Sea Grant Support	Alaska Marine Conservation Council	$ 18,123.71	
USDOC	11.417	G00009215	R&DC	Sea Grant Support	Farallon Institute	$ 30,073.51	
USDOC	11.417	G00009215	R&DC	Sea Grant Support	Idaho State University	$ 9,038.05	
USDOC	11.417	G00009215	R&DC	Sea Grant Support	Newell	$ 7,246.00	
USDOC	11.417	G00009215	R&DC	Sea Grant Support	OceansAlaska	$ 5,111.00	
USDOC	11.417	G00009215	R&DC	Sea Grant Support	Pacific Shellfish Institute	$ 39,211.46	
USDOC	11.417	G00009215	R&DC	Sea Grant Support	Sitka Sound Science Center Inc	$ 7,408.55	
USDOC	11.417	G00009215	R&DC	Sea Grant Support	Sitka Sound Science Center Inc	$ 21,991.22	
USDOC	11.420	G00010209	R&DC	Coastal Zone Management Estuarine Research Reserves (Pass-through Alaska Dept. of Fish and Game) RSA # 1166116 / PO3# 160001138			$ 518,899.29
USDOC	11.427	G00010555	R&DC	Fisheries Development and Utilization Research and Development Grants and Cooperative Agreements Program (Pass-through Sitka Sound Science Center Inc) Lisa Busch, SSSC 907-747-8878 Ext. 5			$ 129,328.92
USDOC	11.431	G00007710	R&DC	Climate and Atmospheric Research			$ 1,635,913.72
USDOC	11.432	G00008751	R&DC	National Oceanic and Atmospheric Administration (NOAA) Cooperative Institutes			$ 1,443,194.22
USDOC	11.437	G00010691	R&DC	Pacific Fisheries Data Program (Pass-through Pacific States Marine Fisheries Commission) PSMFC CONTRACT NO 16-109C			$ 51,290.00

University of Alaska
Schedule of Expenditures of Federal Awards
Year Ended June 30, 2016

AGENCY	CFDA NUMBER	GRANT NUMBER	CLUSTER	TITLE/(PASS THROUGH)/PASS THROUGH ID#	SUB-RECIPIENT	SUB AWARD	EXPENDITURES
USDOC	11.438	G00009305	R&DC	Pacific Coast Salmon Recovery_Pacific Salmon Treaty Program (Pass-through Alaska Dept. of Fish and Game) NA13NMF4380259			$ 118,059.19
USDOC	11.439	G00010287	R&DC	Marine Mammal Data Program (Pass-through Alaska Native Harbor Seal Commission) FFO#NOAA-NMFS-AK-2015-2004372			$ 430,325.65
USDOC	11.452	G00010640	R&DC	Unallied Industry Projects (Pass-through Pacific States Marine Fisheries Commission) 16-103G			$ 156,486.39
USDOC	11.460	G00004916	R&DC	Special Oceanic and Atmospheric Projects	Victoria Univ of	$ 6,763.97	$ 6,752.49
USDOC	11.463	G00010365	R&DC	Habitat Conservation			$ 34,469.20
USDOC	11.467	G00010473	R&DC	Meteorologic and Hydrologic Modernization Development (Pass-through Department of Military and Veterans Affairs (DMVA)) 965024			$ 191,683.57
USDOC	11.472	G00007908	R&DC	Unallied Science Program (Pass-through Prince William Sound Science Center) NA12NMF4720028			$ 1,848,750.29
USDOC	11.483	G00009379	R&DC	NOAA Programs for Disaster Relief Appropriations Act - Non-construction and Construction	Colorado State Univ	$ 34,999.99	$ 415,227.08
USDOC	11.483	G00009379	R&DC	NOAA Programs for Disaster Relief Appropriations Act - Non-construction and Construction	University of Wisconsin	$ 67,678.13	
USDOD	12.000	G00009950	R&DC	Nitrate and EDOM Sensors			$ 54,766.29
USDOD	12.000	G00010031	R&DC	CLOSED - NACT 15OM			$ 2,489,960.07
USDOD	12.000	G00010031	R&DC	CLOSED - NACT 15RS			$ 13,486.09
USDOD	12.000	G00010031	R&DC	CLOSED - NACT 15RI			$ 49,009.86
USDOD	12.000	G00010031	R&DC	NACT 16OM			$ 343,868.64
USDOD	12.000	G00010031	R&DC	NACT 16RS			$ 11,109.06
USDOD	12.000	G00010213	R&DC	AFRLMT			$ 89,290.02
USDOD	12.000	G00007315	R&DC	2014 OMK thru Army (Pass-through University of Florida) W912HQ-11-0015			$ 420,868.28
USDOD	12.300	G00009371	R&DC	Basic and Applied Scientific Research	University of Hawaii	$ 19,535.56	$ 3,319,548.88
USDOD	12.630	G00009741	R&DC	Basic, Applied, and Advanced Research in Science and Engineering			$ 85,916.39
USDOD	12.800	G00008200	R&DC	Air Force Defense Research Sciences Program			$ 36,773.46
USDOI	15.000	G00007288	R&DC	Consequences of a Changing Climate for Alaska's Boreal Forests (A Continuation of the Bonanza Creek Long-Term Ecological Research Program)			$ 314,459.39
USDOI	15.000	G00010161	R&DC	Cook Inlet Characterization			$ 5,069.48
USDOI	15.000	G00009575	R&DC	Stream discharge in NPLCC of SE AK			$ 28,828.82
USDOI	15.000	G00009562	R&DC	USGS AVO Satellite Support - GI			$ 34,572.54
USDOI	15.000	G00010218	R&DC	USGS Walrus Survey 2015 - Task 1			$ 55,553.00
USDOI	15.000	G00010218	R&DC	USGS Walrus Survey 2015 - Task 2			$ 3,618.00
USDOI	15.156	G00010525	R&DC	Tribal Climate Resilience			$ 35,291.65
USDOI	15.225	G00008074	R&DC	Recreation Resource Management	Colorado Mesa University	$ 7,326.80	$ 344,229.39
USDOI	15.230	G00009666	R&DC	Invasive and Noxious Plant Management			$ 140.41
USDOI	15.231	G00008043	R&DC	Fish, Wildlife and Plant Conservation Resource Management (Pass-through National Fish & Wildlife Foundation) BLM (FA.A056)			$ 1,113,194.36
USDOI	15.232	G00009722	R&DC	Wildland Fire Research and Studies Program	Chugachmiut	$ 36,631.00	$ 333,226.61
USDOI	15.232	G00009722	R&DC	Wildland Fire Research and Studies Program	Tanana Chiefs Conference	$ 40,770.99	
USDOI	15.236	G00009721	R&DC	Environmental Quality and Protection Resource Management			$ 91,554.86
USDOI	15.237	G00006019	R&DC	Rangeland Resource Management			$ 32,669.99
USDOI	15.421	G00008679	R&DC	Alaska Coastal Marine Institute	Oregon State University	$ 1,807.35	$ 2,171,122.78
USDOI	15.421	G00010108	R&DC	Alaska Coastal Marine Institute	Oregon State University	$ 13,659.70	
USDOI	15.421	G00008896	R&DC	Alaska Coastal Marine Institute	Rutgers, The State University	$ 32,687.62	
USDOI	15.421	G00008688	R&DC	Alaska Coastal Marine Institute	Seward Assc for the Advancement of Marine Science	$ 4,863.25	
USDOI	15.421	G00007920	R&DC	Alaska Coastal Marine Institute	Woods Hole Oceanographic Inst	$ 151,216.18	
USDOI	15.423	G00009186	R&DC	Bureau of Ocean Energy Management (BOEM) Environmental Studies Program (ESP)	Rutgers, The State University	$ 93,003.14	
USDOI	15.423	G00007318	R&DC	Bureau of Ocean Energy Management (BOEM) Environmental Studies Program (ESP)	University of Texas	$ 31,281.84	$ 1,550,406.41
USDOI	15.608	G00008041	R&DC	Fish and Wildlife Management Assistance (Pass-through National Fish & Wildlife Foundation) US fish & Wildlife Service (FC.A037)			$ 393,278.39
USDOI	15.630	G00010673	R&DC	Coastal Program			$ 47,228.97
USDOI	15.634	G00010072	R&DC	State Wildlife Grants (Pass-through Alaska Department of Fish & Game) RSA # 1166087 / GAE160005642			$ 666,068.27
USDOI	15.636	G00009301	R&DC	Alaska Subsistence Management			$ 161,856.45
USDOI	15.649	G00010003	R&DC	Service Training and Technical Assistance (Generic Training)	Nature Conservancy	$ 10,035.00	$ 59,524.45
USDOI	15.650	G00007202	R&DC	Research Grants (Generic)			$ 10,937.44

University of Alaska
Schedule of Expenditures of Federal Awards
Year Ended June 30, 2016

AGENCY	CFDA NUMBER	GRANT NUMBER	CLUSTER	TITLE/(PASS THROUGH)/PASS THROUGH ID#	SUB-RECIPIENT	SUB AWARD	EXPENDITURES
USDOI	15.655	G00010827	R&DC	Migratory Bird Monitoring, Assessment and Conservation			$ 115,493.82
USDOI	15.663	G00007654	R&DC	National Fish and Wildlife Foundation			$ 18,153.75
USDOI	15.668	G00007317	R&DC	Coastal Impact Assistance Program (Pass-through Alaska Department of Natural Resources) M11AF00096			$ 668,896.02
USDOI	15.669	G00009376	R&DC	Cooperative Landscape Conservation	State of Alaska	$ 12,207.24	$ 394,081.53
USDOI	15.670	G00009073	R&DC	Adaptive Science			$ 97,140.01
USDOI	15.805	G00007107	R&DC	Assistance to State Water Resources Research Institutes			$ 105,831.70
USDOI	15.807	G00010021	R&DC	Earthquake Hazards Research and Monitoring Assistance			$ 171,837.18
USDOI	15.808	G00009710	R&DC	U.S. Geological Survey_ Research and Data Collection			$ 2,400,081.82
USDOI	15.812	G00007003	R&DC	Cooperative Research Units Program			$ 2,032,317.86
USDOI	15.815	G00010217	R&DC	National Land Remote Sensing_Education Outreach and Research (Pass-through America View, Inc.) G14AP00002			$ 23,497.15
USDOI	15.817	G00009398	R&DC	National Geospatial Program: Building The National Map			$ 115,149.82
USDOI	15.818	G00008699	R&DC	Volcano Hazards Program Research and Monitoring			$ 721,006.35
USDOI	15.820	G00009784	R&DC	National Climate Change and Wildlife Science Center			$ 82,472.87
USDOI	15.945	G00009840	R&DC	Cooperative Research and Training Programs – Resources of the National Park System			$ 820,903.12
USDOJ	16.550	G00009723	R&DC	State Justice Statistics Program for Statistical Analysis Centers			$ 166,992.28
USDOJ	16.560	G00008988	R&DC	National Institute of Justice Research, Evaluation, and Development Project Grants			$ 143,540.12
USDOJ	16.582	G00008553	R&DC	Crime Victim Assistance/Discretionary Grants (Pass-through Alaska Immigration Justice Project) 2012-VF-GX-K023			$ 27,865.84
USDOS	19.900	G00010492	R&DC	AEECA/ESF PD Programs			$ 61,518.47
USDOT	20.000	G00010457	R&DC	Steel Fiber Reinforced (Department of Transportation & Public Facilities) ADN # 2563602			$ 9,013.63
USDOT	20.200	G00008347	R&DC	Highway Planning and Construction (Pass-through AK Department of Transportation & Public Facilites) EN 2558049			$ 324,159.58
USDOT	20.701	G00009212	R&DC	University Transportation Centers Program	Montana State University	$ 128,018.56	$ 957,149.62
USDOT	20.701	G00009212	R&DC	University Transportation Centers Program	Washington State University	$ 71,399.78	
USDOT	20.724	G00010526	R&DC	Pipeline Safety Research Competitive Academic Agreement Program (CAAP)			$ 34,465.78
USDOT	20.931	G00009367	R&DC	Transportation Planning, Research and Education			$ 652,713.24
NASA	43.000	G00010608	R&DC	A Cepheid-Based Distance Delivery			$ 1,781.62
NASA	43.000	G00006590	R&DC	Climate Change: NASA's Eyes on the Arctic			$ 8,979.82
NASA	43.001	G00010378	R&DC	Science	AK Dept of Fish & Game	$ 4,851.61	
NASA	43.001	G00006335	R&DC	Science	Alaska Challenger Ctr for Space Science Tech Inc	$ 14,523.50	$ 14,759,697.05
NASA	43.001	G00006335	R&DC	Science	Alaska Pacific University	$ 20,094.95	
NASA	43.001	G00010587	R&DC	Science	Assoc of Interior Native Educators	$ 13,693.63	
NASA	43.001	G00008981	R&DC	Science	Colorado State Univ	$ 22,604.46	
NASA	43.001	G00006335	R&DC	Science	Juneau Economic Development Council	$ 30,000.00	
NASA	43.001	G00009414	R&DC	Science	Rector and Visitors of the University of Virginia	$ 28,111.92	
NASA	43.001	G00010570	R&DC	Science	University of Texas	$ 674.36	
NASA	43.001	G00009879	R&DC	Science	University System of New Hampshire	$ 12,187.80	
NASA	43.008	G00008884	R&DC	Education			$ 698,274.96
NSF	47.000	G00010627	R&DC	IOS: Circannual Rhythms			$ 12,133.66
NSF	47.041	G00010112	R&DC	New Techniques Solar Wind Structure (Space Science Institute) AGS-1261659			$ 15,584.81
NSF	47.041	G00009527	R&DC	Engineering Grants			$ 126,082.99
NSF	47.049	G00009337	R&DC	Mathematical and Physical Sciences			$ 163,502.90
NSF	47.050	G00005058	R&DC	Geosciences	Alaska Ocean Observing System	$ 35,455.07	$ 22,896,535.27
NSF	47.050	G00008872	R&DC	Geosciences	Board of Trustees of the Univ of Alabama	$ 15,796.21	
NSF	47.050	G00009052	R&DC	Geosciences	Ilisagvik College	$ 45,949.77	
NSF	47.050	G00010199	R&DC	Geosciences	Manchester Univ of	$ 102,785.94	
NSF	47.050	G00008113	R&DC	Geosciences	New Mexico Inst Mining & Tech	$ 1,427.24	
NSF	47.050	G00009585	R&DC	Geosciences	Northern Arizona University	$ 46,771.95	
NSF	47.050	G00009585	R&DC	Geosciences	Northern Arizona University	$ 152,732.19	

University of Alaska
Schedule of Expenditures of Federal Awards
Year Ended June 30, 2016

AGENCY	CFDA NUMBER	GRANT NUMBER	CLUSTER	TITLE/(PASS THROUGH)/PASS THROUGH ID#	SUB-RECIPIENT	SUB AWARD	EXPENDITURES
NSF	47.050	G00009585	R&DC	Geosciences	Regents of University of Colorado	$ 14,278.06	
NSF	47.050	G00009585	R&DC	Geosciences	Regents of University of Colorado	$ 12,943.95	
NSF	47.050	G00010510	R&DC	Geosciences	Regents of University of Colorado	$ 14,297.97	
NSF	47.050	G00009585	R&DC	Geosciences	Rutgers, The State University	$ 25,477.21	
NSF	47.050	G00009585	R&DC	Geosciences	Rutgers, The State University	$ 140,587.59	
NSF	47.050	G00005058	R&DC	Geosciences	Seward Assc for the Advancement of Marine Science	$ 607.97	
NSF	47.050	G00010283	R&DC	Geosciences	State of Alaska	$ 193,750.40	
NSF	47.050	G00009052	R&DC	Geosciences	UIC Science LLC	$ 35,593.19	
NSF	47.050	G00009052	R&DC	Geosciences	Ukpeagvik Inupiat Corporation	$ 11,912.16	
NSF	47.050	G00008797	R&DC	Geosciences	University of Maine	$ 30,597.32	
NSF	47.050	G00009054	R&DC	Geosciences	University of Saskatchewan	$ 7,396.13	
NSF	47.050	G00009585	R&DC	Geosciences	Woods Hole Oceanographic Inst	$ 32,364.46	
NSF	47.070	G00007744	R&DC	Computer and Information Science and Engineering			$ 51,740.13
NSF	47.074	G00008083	R&DC	Biological Sciences	Board of Trustees of Michigan State Univ	$ 73,839.62	
NSF	47.074	G00009439	R&DC	Biological Sciences	Board of Trustees of Southern Illinois Univ	$ 28,263.87	
NSF	47.074	G00009309	R&DC	Biological Sciences	California Berkeley Univ of	$ 68,378.68	
NSF	47.074	G00007543	R&DC	Biological Sciences	Mississippi Univ of	$ 2,583.12	
NSF	47.074	G00009175	R&DC	Biological Sciences	PACIFIC UNIVERSITY	$ 13,848.62	
NSF	47.074	G00006941	R&DC	Biological Sciences	President & Fellows of Middlebury College	$ 15,382.52	$ 2,604,901.78
NSF	47.074	G00006941	R&DC	Biological Sciences	University of Saskatchewan	$ 28,677.64	
NSF	47.075	G00010323	R&DC	Social, Behavioral, and Economic Sciences	Duke University	$ 17,727.50	$ 201,341.27
NSF	47.076	G00008958	R&DC	Education and Human Resources	Assn of Univ for Research in Astronomy Inc (AURA)	$ 129,516.04	$ 3,083,238.49
NSF	47.076	G00008356	R&DC	Education and Human Resources	Assoc of Interior Native Educators	$ 82,424.74	
NSF	47.076	G00008478	R&DC	Education and Human Resources	University of Hawaii	$ 71,716.78	
NSF	47.078	G00008643	R&DC	Polar Programs	Memphis Museums Inc	$ 23,842.00	
NSF	47.078	G00006863	R&DC	Polar Programs	North Carolina Agricultural & Technical State University	$ 37,573.54	$ 3,510,253.23
NSF	47.078	G00007460	R&DC	Polar Programs	North-East Sci Sta	$ 4,082.00	
NSF	47.078	G00007460	R&DC	Polar Programs	North-East Sci Sta	$ 9,999.00	
NSF	47.078	G00007357	R&DC	Polar Programs	Regents of University of Colorado	$ 1,943.38	
NSF	47.079	G00008958	R&DC	Office of International Science and Engineering			$ 284,583.89
NSF	47.080	G00008075	R&DC	Office of Cyberinfrastructure	Regents of the University of Idaho	$ 12,980.10	$ 5,214,635.30
NSF	47.082	G00005750	R&DC	Trans-NSF Recovery Act Reasearch Support			$ 3,236,751.15
EPA	66.461	G00010516	R&DC	Regional Wetland Program Development Grants			$ 18,627.18
EPA	66.509	G00007814	R&DC	Science To Achieve Results (STAR) Research Program (Pass-through Arizona State University) SUBAWARD 12-866 MOD 3			$ 127,176.78
EPA	66.514	G00009759	R&DC	Science To Achieve Results (STAR) Fellowship Program			$ 13,000.01
USDOEnergy	81.000	G00009529	R&DC	Design Basis Framework (Alliance for Sustainable Energy, LLC - NREL) DE-AC36-08GO28308			$ 2,354.64
USDOEnergy	81.000	G00010104	R&DC	(Pass-through Desert Research Institute) SUBAWARD 649.5249.01 AMEND #1			$ 35,843.72
USDOEnergy	81.049	G00006529	R&DC	Office of Science Financial Assistance Program			$ 381,301.21
USDOEnergy	81.087	G00009930	R&DC	Renewable Energy Research and Development (Pass-through Oregon State University) G0152A-A			$ 139,765.27
USDOEnergy	81.089	G00001492	R&DC	Fossil Energy Research and Development			$ 140,059.66
ATBC	88.001	G00009388	R&DC	Architectural and Transportation Barriers Compliance Board (Pass-through University of San Francisco) 201121454-19-2			$ 1,649.18
DC	90.100	G00010604	R&DC	Denali Commission Program			$ 31,964.32
USDHHS	93.000	G00010599	R&DC	FY16 Pediatric Principles of Care (Div of Pub Hlth-Women Chldrn & Fm) ADN#06-6-0405			$ 2,600.00
USDHHS	93.000	G00009368	R&DC	(Pass-through Mount Sinai School of Medicine) HHSN272201400008C			$ 75,800.03
USDHHS	93.048	G00008130	R&DC	Special Programs for the Aging_Title IV_and Title II_Discretionary Projects			$ 265,131.17
USDHHS	93.136	G00010327	R&DC	Injury Prevention and Control Research and State and Community Based Programs (Pass-through Division of Public Health) ADN # 06-6-0079			$ 51,735.34
USDHHS	93.172	G00006875	R&DC	Human Genome Research			$ 1,875.44

University of Alaska
Schedule of Expenditures of Federal Awards
Year Ended June 30, 2016

AGENCY	CFDA NUMBER	GRANT NUMBER	CLUSTER	TITLE/(PASS THROUGH)/PASS THROUGH ID#	SUB-RECIPIENT	SUB AWARD	EXPENDITURES
USDHHS	93.184	G00010416	R&DC	Disabilities Prevention (Pass-through Division of Public Health) ADN# 06-6-0196			$ 74,806.35
USDHHS	93.242	G00005628	R&DC	Mental Health Research Grants	PACIFIC UNIVERSITY	$ 103,004.98	$ 175,735.85
USDHHS	93.262	G00005814	R&DC	Occupational Safety and Health Program			$ 274,600.21
USDHHS	93.273	G00010333	R&DC	Alcohol Research Programs			$ 392,168.78
USDHHS	93.279	G00007879	R&DC	Drug Abuse and Addiction Research Programs (Pass-through Northwest Indian College) 5R01DA029002-03			$ (1.00)
USDHHS	93.297	G00010268	R&DC	Teenage Pregnancy Prevention Program (Pass-through Division of Public Health) ADN # 06-6-0180			$ 25,000.00
USDHHS	93.307	G00008798	R&DC	Minority Health and Health Disparities Research	Regents of the University of Minnesota	$ 76,961.73	$ 544,587.27
USDHHS	93.310	G00009790	R&DC	Trans-NIH Research Support	Ilisagvik College	$ 65,024.00	$ 4,546,426.69
USDHHS	93.393	G00009293	R&DC	Cancer Cause and Prevention Research	Fred Hutchinson Cancer Research Ctr	$ 20,130.36	$ 140,138.74
USDHHS	93.398	G00010148	R&DC	Cancer Research Manpower (Pass-through Alaska Native Tribal Health Consortium) ANTHC 15-U-61682 MOD 1			$ 17,023.89
USDHHS	93.433	G00009878	R&DC	ACL National Institute on Disability, Independent Living, and Rehabilitation Research (Pass-through Univ. of Illinois at Chicago) 487842 E2379			$ 10,158.21
USDHHS	93.632	G00008782	R&DC	University Centers for Excellence in Developmental Disabilities Education, Research, and Service			$ 396,682.12
USDHHS	93.648	G00009787	R&DC	Child Welfare Research Training or Demonstration (Pass-through State University of New York) 90CT7002-02-00			$ 112,177.83
USDHHS	93.659	G00010868	R&DC	Adoption Assistance (Pass-through University of Nevada, Las Vegas) 16-746Q-UAA-BS15-00			$ 12,149.50
USDHHS	93.838	G00010293	R&DC	Lung Diseases Research			$ 2,992.28
USDHHS	93.846	G00009347	R&DC	Arthritis, Musculoskeletal and Skin Diseases Research			$ 113,316.38
USDHHS	93.853	G00007938	R&DC	Extramural Research Programs in the Neurosciences and Neurological Disorders			$ 207,510.81
USDHHS	93.855	G00010652	R&DC	Allergy and Infectious Diseases Research			$ 28,746.87
USDHHS	93.859	G00010301	R&DC	Biomedical Research and Research Training	Board of Trustees of the Leland Stanford Junior University	$ 112,463.03	
USDHHS	93.859	G00010301	R&DC	Biomedical Research and Research Training	University of New Mexico	$ 6,647.98	
USDHHS	93.859	G00009658	R&DC	Biomedical Research and Research Training	University of Oklahoma	$ 10,200.63	
USDHHS	93.859	G00008221	R&DC	Biomedical Research and Research Training	Yukon Kuskokwim Health Corp	$ 8,542.33	$ 5,766,713.38
USDHHS	93.865	G00008863	R&DC	Child Health and Human Development Extramural Research			$ 46,280.08
USDHHS	93.866	G00010151	R&DC	Aging Research (Pass-through Colorado State University) R01AG046811-01			$ 12,542.22
USDHHS	93.933	G00007356	R&DC	Demonstration Projects for Indian Health (Pass-through Alaska Native Tribal Health Consortium) HHS-2010-IHS-NARCH-0001			$ 28,460.16
USDOHS	97.061	G00009634	R&DC	Centers for Homeland Security	Maine Maritime Academy	$ 34,361.23	$ 1,895,308.80
USDOHS	97.061	G00009634	R&DC	Centers for Homeland Security	Maine Maritime Academy	$ 67,689.54	
USDOHS	97.061	G00009634	R&DC	Centers for Homeland Security	Regents of the University of Idaho	$ 25,379.91	
USDOHS	97.061	G00009634	R&DC	Centers for Homeland Security	Regents of the University of Idaho	$ 79,189.00	
USDOHS	97.061	G00009634	R&DC	Centers for Homeland Security	University of Washington	$ 11,516.65	
USDOHS	97.061	G00009634	R&DC	Centers for Homeland Security	University of Washington	$ 86,041.80	
USDOHS	97.061	G00009634	R&DC	Centers for Homeland Security	Woods Hole Oceanographic Inst	$ 52,264.84	
USDOHS	97.061	G00009634	R&DC	Centers for Homeland Security	Woods Hole Oceanographic Inst	$ 53,182.31	
					R&DC Total	$ 3,739,568.94	$ 112,777,937.05
USDA	10.000	G00007725	OTHER	GIS Library & SEAK Hydro YR4			$ 44,537.69
USDA	10.000	G00007725	OTHER	GIS Library & SEAK Hydro YR5			$ 4,760.46
USDA	10.000	G00008142	OTHER	ACRC Director Support YR3			$ 3,397.35
USDA	10.000	G00008142	OTHER	ACRC Director Support YR4			$ 19,210.52
USDA	10.000	G00010394	OTHER	Interior Reindeer Herding			$ 29,681.75
USDA	10.000	G00010394	OTHER	Interior AK Reindeer Feasibility SN			$ 20,844.21
USDA	10.000	G00010406	OTHER	Plant ID Chugach USDA FS			$ 2,500.00
USDA	10.217	G00009366	OTHER	Higher Education - Institution Challenge Grants Program (Pass-through University of Missouri) 2013-70003-20921			$ 28,527.44
USDA	10.304	G00008479	OTHER	Homeland Security_Agricultural (Pass-through University of California Davis) 2012-37620-19626			$ 13,738.06
USDA	10.329	G00009765	OTHER	Crop Protection and Pest Management Competitive Grants Program			$ 146,769.40

University of Alaska
Schedule of Expenditures of Federal Awards
Year Ended June 30, 2016

AGENCY	CFDA NUMBER	GRANT NUMBER	CLUSTER	TITLE/(PASS THROUGH)/PASS THROUGH ID#	SUB-RECIPIENT	SUB AWARD	EXPENDITURES
USDA	10.443	G00010390	OTHER	Outreach and Assistance for Socially Disadvantaged and Veteran Farmers and Ranchers			$ 38,876.48
USDA	10.500	G00009743	OTHER	Cooperative Extension Service (Pass-through Kansas State University) 2010-48661-21868			$ 2,088,697.63
USDA	10.561	G00010308	OTHER	State Administrative Matching Grants for the Supplemental Nutrition Assistance Program (Pass-through Division of Public Assistance) RSA DTD 9/8/15			$ 299,946.55
USDA	10.577	G00009407	OTHER	SNAP Partnership Grant (Pass-through Oklahoma State Department of Health) 12-3505-0-1-605			$ 13,800.00
USDA	10.683	G00008042	OTHER	National Fish and Wildlife Foundation (Pass-through National Fish & Wildlife Foundation) US Forest Svc (FC.A037)			$ (49,999.70)
USDA	10.771	G00010519	OTHER	Rural Cooperative Development Grants			$ 86,967.85
USDOC	11.000	G00010374	OTHER	Facilitating a Workshop (ECS Federal) 209465			$ 8,944.69
USDOC	11.303	G00008357	OTHER	Economic Development_Technical Assistance			$ 120,915.91
USDOD	12.000	G00010756	OTHER	Arctic Collaborative Workshop 2016			$ 20,107.00
USDOD	12.002	G00010137	OTHER	Procurement Technical Assistance For Business Firms			$ 457,644.85
USDOD	12.900	G00010880	OTHER	Language Grant Program			$ 2,740.18
USDOD	12.902	G00009698	OTHER	Information Security Grants			$ 198,980.86
HUD	14.000	G00008210	OTHER	Partners in Growth			$ 20,723.96
USDOI	15.224	G00006717	OTHER	Cultural Resource Management			$ 1,373,184.87
USDOI	15.238	G00009440	OTHER	Challenge Cost Share			$ 63,171.01
USDOI	15.611	G00010262	OTHER	Wildlife Restoration and Basic Hunter Education (Pass-through Alaska Dept of Fish and Game Wildlife Conservation) 14-5029-0-2-303 Department of the Interior			$ 21,799.88
USDOI	15.922	G00009965	OTHER	Native American Graves Protection and Repatriation Act			$ 12,300.00
USDOI	15.954	G00010191	OTHER	National Park Service Conservation, Protection, Outreach, and Education			$ 49,991.36
USDOJ	16.000	G00006407	OTHER	Federal Equitable Sharing UAFPD			$ 85,467.33
USDOJ	16.726	G00009863	OTHER	Juvenile Mentoring Program (Pass-through National 4H Council) 4-H NMP 5 - LETTER 12/18/14			$ 201,636.08
USDOL	17.235	G00010339	OTHER	Senior Community Service Employment Program (Pass-through AK Dept. of Labor and Workforce Dev.) ADN 765000			$ 22,930.68
USDOL	17.277	G00010757	OTHER	WIOA National Dislocated Worker Grants / WIA National Emergency Grants (Pass-through Alaska Department of Labor and Workplace Development) ADN #766114			$ 30,113.57
USDOL	17.282	G00009100	OTHER	Trade Adjustment Assistance Community College and Career Training (TAACCCT) Grants			$ 3,807,452.85
USDOL	17.600	G00009793	OTHER	Mine Health and Safety Grants			$ 218,370.45
USDOS	19.000	G00009792	OTHER	Fullbright Arctic Initiative (Institute of International Education, Inc.) S-ECAGD-14-CA-1032			$ 12,307.12
USDOS	19.000	G00010632	OTHER	ARCTIC COUNCIL SAO MEETINGS			$ 22,540.72
USDOT	20.205	G00009996	OTHER	Highway Planning and Construction (Pass-through AK Department of Transportation & Public Facilites) EN 2558049			$ 118,182.44
NASA	43.007	G00008389	OTHER	Space Operations			$ 55,521.35
NEA	45.024	G00010828	OTHER	Promotion of the Arts_Grants to Organizations and Individuals			$ 15,002.43
NEH	45.129	G00010042	OTHER	Promotion of the Humanities_Federal/State Partnership (Pass-through Alaska Humanities Forum) SO-5057914			$ 774.46
NEH	45.149	G00010744	OTHER	Promotion of the Humanities_Division of Preservation and Access			$ 477.18
USSBA	59.037	G00009733	OTHER	Small Business Development Centers			$ 751,156.59
USSBA	59.058	G00009704	OTHER	Federal and State Technology Partnership Program			$ 28,598.80
USDOE	84.002	G00010290	OTHER	Adult Education - Basic Grants to States (Pass-through AK Dept. of Labor and Workforce Dev.) 91-0400-0-1-501			$ 131,227.27
USDOE	84.027	G00010532	OTHER	Special Education_Grants to States (Pass-through AK Dept Education & Early Development) ADN # 0560103			$ 87,962.52
USDOE	84.031	G00006887	OTHER	Higher Education_Institutional Aid			$ 6,406,462.38
USDOE	84.041	G00010761	OTHER	Impact Aid (Pass-through Alaska Housing Finance Corporation) DE-EE0006977/SEPFY16/81.041			$ 8,799.03

University of Alaska
Schedule of Expenditures of Federal Awards
Year Ended June 30, 2016

AGENCY	CFDA NUMBER	GRANT NUMBER	CLUSTER	TITLE/(PASS THROUGH)/PASS THROUGH ID#	SUB-RECIPIENT	SUB AWARD	EXPENDITURES
USDOE	84.048	G00010196	OTHER	Career and Technical Education -- Basic Grants to States (Pass-through AK Dept Education & Early Development) 0560007 EL 16.157.01			$ 510,495.65
USDOE	84.101	G00009109	OTHER	Career and Technical Education - Grants to Native Americans and Alaska Natives (Pass-through Cook Inlet Tribal Council) V101A130014			$ 24,718.13
USDOE	84.356	G00009677	OTHER	Alaska Native Educational Programs	Sealaska Heritage Institute	$ 184,000.00	$ 3,511,267.09
USDOE	84.365	G00008005	OTHER	English Language Acquisition State Grants			$ 270,486.34
USDOE	84.367	G00009637	OTHER	Improving Teacher Quality State Grants (Pass-through National Writing Project Corporation) AGREEMENT 14-AK01-SEED2014			$ 16,424.08
USDOE	84.378	G00010796	OTHER	College Access Challenge Grant Program (Pass-through AK Commission on Postsecondary Education) 566020			$ 2,400.00
USDOE	84.382	G00007571	OTHER	Strengthening Minority-Serving Institutions			$ 347,021.54
USDOE	84.407	G00006807	OTHER	Transition Programs for Students with Intellectual Disabilities into Higher Education			$ 337,078.37
USDOE	84.411	G00007733	OTHER	Investing in Innovation (i3) Fund	Anchorage School District	$ 225,698.27	$ 2,745,803.24
USDOE	84.411	G00007733	OTHER	Investing in Innovation (i3) Fund	Anchorage School District	$ 225,105.09	
USDOE	84.411	G00007733	OTHER	Investing in Innovation (i3) Fund	Fairbanks North Star Borough School District	$ 73,254.60	
USDOE	84.411	G00007733	OTHER	Investing in Innovation (i3) Fund	Fairbanks North Star Borough School District	$ 85,635.85	
USDOE	84.411	G00007733	OTHER	Investing in Innovation (i3) Fund	Kenai Peninsula Borough School District	$ 138,009.46	
USDOE	84.411	G00007733	OTHER	Investing in Innovation (i3) Fund	Kenai Peninsula Borough School District	$ 103,664.76	
USDOE	84.411	G00007733	OTHER	Investing in Innovation (i3) Fund	Matanuska-Susitna Borough School Dist	$ 144,622.31	
USDOE	84.411	G00007733	OTHER	Investing in Innovation (i3) Fund	Matanuska-Susitna Borough School Dist	$ 132,799.94	
USDOE	84.411	G00007733	OTHER	Investing in Innovation (i3) Fund	Sitka School District	$ 51,338.32	
USDOE	84.411	G00007733	OTHER	Investing in Innovation (i3) Fund	Sitka School District	$ 24,519.98	
USDOE	84.411	G00007733	OTHER	Investing in Innovation (i3) Fund	State of Alaska	$ -	
USDOE	84.411	G00007733	OTHER	Investing in Innovation (i3) Fund	State of Alaska	$ 175,200.00	
USDHHS	93.000	G00009767	OTHER	2014 Env Health Info Part (EnHIP)			$ 69.70
USDHHS	93.000	G00010302	OTHER	FY16 Early Childhood Mental Health (Office of Children's Services) ADN# 45-6-0088 06-6-0738			$ 16,559.39
USDHHS	93.073	G00007510	OTHER	Birth Defects and Developmental Disabilities - Prevention and Surveillance			$ 360,328.70
USDHHS	93.107	G00007653	OTHER	Area Health Education Centers Point of Service Maintenance and Enhancement Awards	APCA Inc	$ 43,312.31	$ 658,666.96
USDHHS	93.107	G00007653	OTHER	Area Health Education Centers Point of Service Maintenance and Enhancement Awards	Banner Health	$ 24,260.57	
USDHHS	93.107	G00007653	OTHER	Area Health Education Centers Point of Service Maintenance and Enhancement Awards	Banner Health	$ 49,337.22	
USDHHS	93.107	G00007653	OTHER	Area Health Education Centers Point of Service Maintenance and Enhancement Awards	Ilisagvik College	$ 61,144.09	
USDHHS	93.107	G00007653	OTHER	Area Health Education Centers Point of Service Maintenance and Enhancement Awards	Ilisagvik College	$ 133,988.13	
USDHHS	93.107	G00007653	OTHER	Area Health Education Centers Point of Service Maintenance and Enhancement Awards	PeaceHealth Ketchikan Medical Center	$ 58,526.31	
USDHHS	93.107	G00007653	OTHER	Area Health Education Centers Point of Service Maintenance and Enhancement Awards	PeaceHealth Ketchikan Medical Center	$ 55,059.29	
USDHHS	93.107	G00007653	OTHER	Area Health Education Centers Point of Service Maintenance and Enhancement Awards	Providence Health & Services-Washington	$ 14,190.98	
USDHHS	93.107	G00007653	OTHER	Area Health Education Centers Point of Service Maintenance and Enhancement Awards	University of Washington	$ 4,770.16	
USDHHS	93.107	G00007653	OTHER	Area Health Education Centers Point of Service Maintenance and Enhancement Awards	Yukon Kuskokwim Health Corp	$ 32,724.12	
USDHHS	93.107	G00007653	OTHER	Area Health Education Centers Point of Service Maintenance and Enhancement Awards	Yukon Kuskokwim Health Corp	$ 52,637.84	
USDHHS	93.110	G00006655	OTHER	Maternal and Child Health Federal Consolidated Programs	University of Washington	$ 30,320.32	$ 496,032.73
USDHHS	93.113	G00006222	OTHER	Environmental Health	Northern Arizona University	$ 38,496.00	$ 414,436.57
USDHHS	93.113	G00006222	OTHER	Environmental Health	University of Oregon	$ 3,183.37	

University of Alaska
Schedule of Expenditures of Federal Awards
Year Ended June 30, 2016

AGENCY	CFDA NUMBER	GRANT NUMBER	CLUSTER	TITLE/(PASS THROUGH)/PASS THROUGH ID#	SUB-RECIPIENT	SUB AWARD	EXPENDITURES
USDHHS	93.234	G00010352	OTHER	Traumatic Brain Injury State Demonstration Grant Program (Pass-through AK Dept Health & Social Services) 06-6-0550/ALF 9/29 $11384.74			$ 54,002.07
USDHHS	93.241	G00010223	OTHER	State Rural Hospital Flexibility Program (Pass-through AK Dept Health & Social Services) ADN# 06-6-0190			$ 8,185.69
USDHHS	93.243	G00008925	OTHER	Substance Abuse and Mental Health Services_Projects of Regional and National Significance			$ 1,031,897.83
USDHHS	93.358	G00009531	OTHER	Advanced Education Nursing Traineeships			$ 365,527.00
USDHHS	93.505	G00010212	OTHER	Affordable Care Act (ACA) Maternal, Infant, and Early Childhood Home Visiting Program (Pass-through Maternal, Child & Family Health) ADN# 06-6-0220			$ 133,066.20
USDHHS	93.516	G00009785	OTHER	Affordable Care Act (ACA) Public Health Training Centers Program (Pass-through University of Washington) UWSC8209			$ 59,642.40
USDHHS	93.558	G00010718	OTHER	Temporary Assistance for Needy Families (Pass-through Assoc Village Council Presidents) CONTRACT DTD 3/25/16			$ 25,088.88
USDHHS	93.631	G00010260	OTHER	Developmental Disabilities Projects of National Significance (Pass-through AK Dept Health & Social Services) ADN# 066503			$ 129,110.56
USDHHS	93.669	G00010488	OTHER	Child Abuse and Neglect State Grants (Pass-through Office of Children's Services) ADN# 660261			$ 38,205.08
USDHHS	93.733	G00010441	OTHER	Capacity Building Assistance to Strengthen Public Health Immunization Infrastructure and Performance – financed in part by the Prevention and Public Health Fund (PPHF) (Pass-through National AHEC Organization) AWD DTD 9/10/15 / ALF NCE 10/2			$ 8,000.00
USDHHS	93.969	G00010379	OTHER	PPHF Geriatric Education Centers (Pass-through Southcentral Foundation) U1QHP28706			$ 210,945.99
Corporation for Na	94.000	G00010749	OTHER	AmeriCorps VISTA Umbrella 2016			$ 573.51
Corp for National S	94.013	G00009692	OTHER	Volunteers in Service to America			$ 15,301.58
SSA	96.008	G00008840	OTHER	Social Security - Work Incentives Planning and Assistance Program			$ 89,513.17
					OTHER TOTAL	$ 2,165,799.29	$ 29,028,591.96
USDOE	84.042	G00006626	TRIO	TRIO_Student Support Services			$ 456,885.39
USDOE	84.044	G00007393	TRIO	TRIO_Talent Search			$ 332,908.79
USDOE	84.047	G00007982	TRIO	TRIO_Upward Bound	Bering Strait School District	$ 13,500.00	$ 840,814.15
USDOE	84.047	G00007982	TRIO	TRIO_Upward Bound	Bering Strait School District	$ 5,853.30	
USDOE	84.047	G00007982	TRIO	TRIO_Upward Bound	Kashunamiut School District	$ 10,422.91	
USDOE	84.047	G00007982	TRIO	TRIO_Upward Bound	Kashunamiut School District	$ 6,169.99	
USDOE	84.047	G00007982	TRIO	TRIO_Upward Bound	Kenai Peninsula Borough School District	$ 19,866.71	
USDOE	84.047	G00007982	TRIO	TRIO_Upward Bound	Kenai Peninsula Borough School District	$ 7,923.31	
USDOE	84.047	G00007982	TRIO	TRIO_Upward Bound	Lower Kuskokwim School District	$ 14,741.00	
USDOE	84.047	G00007982	TRIO	TRIO_Upward Bound	Lower Kuskokwim School District	$ 5,453.03	
USDOE	84.047	G00007982	TRIO	TRIO_Upward Bound	Southwest Region School District	$ 8,652.86	
USDOE	84.047	G00007982	TRIO	TRIO_Upward Bound	Southwest Region School District	$ 5,389.19	
USDOE	84.047	G00007982	TRIO	TRIO_Upward Bound	St. Mary's School District	$ 18,555.43	
USDOE	84.047	G00007982	TRIO	TRIO_Upward Bound	St. Mary's School District	$ 8,683.00	
USDOE	84.047	G00007982	TRIO	TRIO_Upward Bound	Wrangell Public Schools	$ 13,231.04	
USDOE	84.047	G00007982	TRIO	TRIO_Upward Bound	Wrangell Public Schools	$ 7,600.00	
USDOE	84.047	G00007982	TRIO	TRIO_Upward Bound	Yupiit School Dist	$ 12,865.00	
USDOE	84.047	G00007982	TRIO	TRIO_Upward Bound	Yupiit School Dist	$ 5,057.85	
USDOE	84.066	G00007570	TRIO	TRIO_Educational Opportunity Centers			$ 382,746.18
					TRIO TOTAL	$ 163,964.62	$ 2,013,354.51
					SEFA TOTAL	$ 6,069,332.85	$ 222,288,725.57

University of Alaska
Notes to Schedule of Expenditures of Federal Awards
Year Ended June 30, 2016

(1) General

The accompanying Schedule of Expenditures of Federal Awards presents the activity of all federal financial assistance programs of the University of Alaska. The University of Alaska is a constitutionally created corporation and a component unit of the State of Alaska. Federal financial assistance received directly from federal agencies as well as federal financial assistance passed through other government agencies is included on the schedule.

(2) Basis of Accounting

The accompanying Schedule of Expenditures of Federal Awards is presented using the economic resources measurement focus and accrual basis of accounting, which is described in note 1 to the University of Alaska's financial statements.

(3) Basis of Presentation

The information in this schedule is presented in accordance with the requirements of Uniform Guidance 2 CFR 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.* The University has elected not to use the 10-percent de minimis indirect cost rate allowed under the Uniform Guidance. Therefore, amounts presented in this schedule may differ from amounts presented in, or used in the preparation of, the University financial statements. Federal program titles are reported as presented in the Catalog of Federal Domestic Assistance (CFDA) whenever possible. Pass-through entity identifying and grant numbers are presented where available.

(4) Federal Direct Student Loans (CFDA # 84.268)

The University is responsible for the performance of certain administrative duties with respect to the Federal Direct Student Loan Program. Amounts relating to this program are not included in the University's basic financial statements. Loans distributed to students of the University under this program during the year ended June 30, 2016 are summarized as follows:

	Amounts Disbursed
Direct Subsidized Loan	$ 19,833,364
Direct Unsubsidized Loan	32,169,542
Direct PLUS Loan	3,889,725
	$ 55,892,631

(5) Facilities and Administrative Costs

The University recovers facilities and administrative costs associated with sponsored agreements pursuant to separate agreements negotiated with the Office of Naval Research. The negotiated rates are computed in accordance with 2 CFR 200, *Uniform Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.*

UNIVERSITY OF ALASKA
SCHEDULE OF FINDINGS AND QUESTIONED COSTS

Section I - Summary of Auditor's Results

Financial Statements

Type of report the auditor issued on whether the financial statements audited were prepared in accordance with GAAP: Unmodified

Internal control over financial reporting:

- Material weakness(es) identified? ☐ Yes ☒ No
- Significant deficiency(ies) identified? ☐ Yes ☒ None reported

Noncompliance material to financial statements noted? ☐ Yes ☒ No

Federal Awards

Internal control over major federal programs:

- Material weakness(es) identified? ☐ Yes ☒ No
- Significant deficiency(ies) identified? ☐ Yes ☒ None reported

Any audit findings disclosed that are required to be reported in accordance with 2 CFR 200.516(a)? ☐ Yes ☒ No

Identification of major federal programs and type of auditor's report issued on compliance for major federal programs:

CFDA Number(s)	*Name of Federal Program or Cluster*	*Type of Auditor's Report Issued on Compliance for Major Federal Programs*
Various	Student Financial Aid Cluster	Unmodified
17.282	Trade Adjustment Assistance Community College and Career Training	Unmodified
84.031	Higher Education – Institutional Aid	Unmodified
84.356	Alaska Native Educational Programs	Unmodified

Dollar threshold used to distinguish between type A and type B programs: $ 3,000,000

Auditee qualified as low-risk auditee? ☐ Yes ☒ No

Section II - Financial Statement Findings

None reported

Section III - Federal Award Findings and Questioned Costs

None reported

UNIVERSITY OF ALASKA
SUMMARY SCHEDULE OF PRIOR AUDIT FINDINGS
YEAR ENDED JUNE 30, 2016

FINDING 2015-001 – Special Tests and Provisions: Enrollment Reporting – Significant Deficiency in Internal Controls

Criteria – For changes in student status, University of Alaska (University) must make updates for changes in student status, report the date the enrollment status was effective and submit the changes electronically through the National Student Loan Database System (NSLDS) website. Institutions are responsible for timely reporting, whether they report directly or via a third-party servicer.

Condition – The University did not have procedures in place to adequately report student status changes timely.

Recommendation – We recommend the University implement a process to ensure all student enrollment changes are reported timely.

Current year status – Fully corrected