# NEGOTIATION AGREEMENT

**INSTITUTION:** THE UNIVERSITY OF ALASKA  
FAIRBANKS, ALASKA, 99775

The Facilities and Administrative (F&A) cost rates contained herein are for use on grants, contracts and/or other agreements issued or awarded to the University of Alaska (UA) by all Federal Agencies of the United States of America, in accordance with the provisions and cost principles mandated by 2 CFR Part 200. These rates shall be used for forward pricing and billing purposes for the University of Alaska’s Fiscal Years 2023 through 2026. This rate agreement supersedes all previous rate agreements/determinations related to these rates for Fiscal Years 2023 through 2026.

## Section I: RATES - TYPE: PREDETERMINED (PRED)

<table>
<thead>
<tr>
<th>TYPE</th>
<th>FROM</th>
<th>TO</th>
<th>RATE</th>
<th>BASE</th>
<th>APPLICABLE TO</th>
<th>LOCATION</th>
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<tbody>
<tr>
<td>Pred</td>
<td>7/1/22</td>
<td>6/30/26</td>
<td>55.0%</td>
<td>(a)</td>
<td>Organized Research (1)</td>
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</tr>
<tr>
<td>Pred</td>
<td>7/1/22</td>
<td>6/30/26</td>
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<td>(a)</td>
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<td>6/30/26</td>
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<td>(a)</td>
<td>Other Sponsored Activities</td>
<td>Off-Campus</td>
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<tr>
<td>Pred</td>
<td>7/1/22</td>
<td>6/30/26</td>
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<td>Poker Flat</td>
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<tr>
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<tr>
<td>University of Alaska Anchorage (UAA)</td>
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<tr>
<td>Pred</td>
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<td>Organized Research</td>
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<td>6/30/26</td>
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<td>(a)</td>
<td>Organized Research</td>
<td>Off-Campus</td>
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<tr>
<td>Pred</td>
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<td>6/30/26</td>
<td>32.0%</td>
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<td>Other Sponsored Activities</td>
<td>On-Campus</td>
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<tr>
<td>Pred</td>
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<td>6/30/26</td>
<td>26.0%</td>
<td>(a)</td>
<td>Other Sponsored Activities</td>
<td>Off-Campus</td>
</tr>
<tr>
<td>University of Alaska Southeast (UAS)</td>
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<td></td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>Pred</td>
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<td>6/30/26</td>
<td>26.0%</td>
<td>(a)</td>
<td>Organized Research</td>
<td>Off-Campus</td>
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<tr>
<td>Pred</td>
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<td>On-Campus</td>
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<tr>
<td>Pred</td>
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<td>6/30/26</td>
<td>26.0%</td>
<td>(a)</td>
<td>Other Sponsored Activities</td>
<td>Off-Campus</td>
</tr>
<tr>
<td>Systemwide (Applies to all Campuses)</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Pred</td>
<td>7/1/22</td>
<td>6/30/26</td>
<td>50.0%</td>
<td>(a)</td>
<td>Sponsored Training</td>
<td>On-Campus</td>
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<tr>
<td>Pred</td>
<td>7/1/22</td>
<td>6/30/26</td>
<td>26.0%</td>
<td>(a)</td>
<td>Sponsored Training</td>
<td>Off-Campus</td>
</tr>
</tbody>
</table>
DISTRIBUTION BASES

(a) Modified Total Direct Costs (MTDC), as defined in 2 CFR Part 200, consisting of all
direct salaries and wages, applicable fringe benefits, materials and supplies, services,
travel, and up to the first $25,000 of each subaward (regardless of the period of
performance of the subawards under the award). MTDC excludes equipment, capital
expenditures, charges for patient care, rental costs, tuition remission, scholarships and
fellowships, participant support costs and the portion of each subaward in excess of
$25,000.

APPLICABLE TO

(1) Applies to DoD contracts awarded before November 30, 1993, all Non-DoD Instruments,
and all DoD grants and other agreements (See Section II, paragraph E). (Capped)
(2) Applies to only DoD contracts awarded on or after November 30, 1993 in accordance
with and under the authority of DFARS 231.303(1) (See Section II, paragraph E). (Uncapped)

SECTION II: GENERAL TERMS AND CONDITIONS

A. LIMITATIONS: Use of the rates set forth under Section I is subject to the availability of
funds and to any other statutory or administrative limitations. The rates are applicable to a given
grant, contract or other agreement only to the extent that funds are available and consistent with
any and all limitations of cost clauses or provisions, if any, contained therein. Acceptance of any
or all of the rates agreed to herein is predicated upon all the following conditions: (1) that no
costs other than those incurred by the institution were included in its indirect cost pool as finally
accepted and that all such costs are legal obligations of the institution and allowable under
governing cost principles; (2) that the same costs that have been treated as indirect costs are not
claimed as direct costs; (3) that similar types of costs have been accorded consistent accounting
treatment; and (4) that the information provided by the institute; which was used as the basis for
acceptance of the rates agreed to herein and expressly relied upon by the Government in
negotiating and accepting the said rates, is not subsequently found to be materially incomplete or
inaccurate.

B. ACCOUNTING CHANGES: The rates contained in Section I of this agreement are based on
the accounting system in effect at the time this agreement was negotiated. Changes to the
method(s) of accounting for costs, which affects the amount of reimbursement resulting from the
use of these rates, require the prior written approval of the authorized representative of the
cognizant agency for indirect costs. Such changes include but are not limited to changes in the
charging of a particular type of cost from indirect to direct. Failure to obtain such approval may
result in subsequent cost disallowances.

C. PREDETERMINED RATES: The predetermined rates contained in this agreement are not
subject to adjustment in accordance with the provisions of 2 CFR Part 200, subject to the
limitations contained in Part A of this section.

D. USE BY OTHER FEDERAL AGENCIES: The rates set forth in Section I are negotiated in
accordance with and under the authority set forth in 2 CFR Part 200. Accordingly, such rates
shall be applied to the extent provided in such regulations to grants, contracts, and other
agreements to which 2 CFR Part 200 applies, subject to any limitations in Part A of this section.
Copies of this document may be provided by either party to other federal agencies to provide such agencies with documentary notice of this agreement and its terms and conditions.

E. APPLICATION OF INDIRECT COST RATES TO DOD CONTRACTS/ SUBCONTACTS: In accordance with DFARS 231.303, no limitation (unless waived by the institution) may be placed on the reimbursement of otherwise allowable indirect costs incurred by an institution of higher education under a DoD contract awarded on or after November 30, 1993, unless the same limitation is applied uniformly to all other organizations performing similar work. It has been determined by DoD that such limitation is not being uniformly applied. Accordingly, the rates cited (2) of Section I, as explained under the title, “APPLICABLE TO” do not reflect the application of the 26% limitation on administrative indirect costs imposed by 2 CFR Part 200, whereas (1) does so.

F. DFARS WAIVER: Signature of this agreement by the authorized representative of the University of Alaska and the Government acknowledges and affirms the University’s request to waive the prohibition contained in DFARS 231.303(1) and the Government’s exercise of its discretion contained in DFARS 231.303(2) to waive the prohibition in DFARS 231.303(1) for all indirect rates except for Organized Research On and Off Campus rates at the Fairbanks Campus. The waiver request by the University of Alaska is made to simplify the University’s overall management of DOD cost reimbursements under DoD contracts.

G. SPECIAL REMARKS:
(1) The Government’s agreement with the rates set forth in Section I is not an acceptance of the University of Alaska’s accounting practices or methodologies. Any reliance by the Government on cost data or methodologies submitted by the University of Alaska is on a non-precedence-setting basis and does not imply Government acceptance.
(2) Off-Campus rates apply to sponsored projects where more than 50% of the university effort is conducted off-campus. Off-Campus is defined as a facility which is not owned or leased by the institution, a facility leased by the institution where the lease costs are charged as direct costs to the award, or a temporary worksite (i.e. field location).
(3) In accordance with 2 CFR 200.414(g), the University of Alaska has requested an extension of its Fiscal Year FY 2022 rates. Therefore, the rates identified in Section I are an extension of the FY 2022 rates.

Accepted:

FOR THE UNIVERSITY OF ALASKA:

Myron J. Dosch
Chief Financial Officer

February 24, 2022

FOR THE U.S. GOVERNMENT:

Sharon Gales
Contracting Officer

March 1, 2022
For information concerning this agreement contact:
Sharon Gales
Office of Naval Research
875 North Randolph Street
Arlington, VA 22203-1995

Phone: (703) 696-8559
E-mail: sharon.j.gales.civ@us.navy.mil
### INDIVIDUAL RATE COMPONENTS
**EXTENSION OF FY 2022 RATES**

**Institution:** University of Alaska-Fairbanks  
**FY Covered by Rates:** 2023-2026

**Type of Rate:** Predetermined

<table>
<thead>
<tr>
<th></th>
<th>Organized Research</th>
<th>Organized Research-DoD</th>
<th>Other Sponsored Activities</th>
<th>Poker Flat</th>
<th>Ship</th>
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<tbody>
<tr>
<td>Negotiation Base: MTDC ($000's)</td>
<td>$68,172</td>
<td>$3,665</td>
<td>$68,172</td>
<td>$3,665</td>
<td>$24,175</td>
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</tbody>
</table>

**RATE COMPONENTS:**

1. **ADMINISTRATIVE**
   
   **Add: Department Admin (FAA)**
   - 3.64%  
   - 3.64%  
   - 3.64%  
   - 3.64%  
   - 3.60%  
   - 3.60%  
   - 3.60%  
   - 3.60%  

   **A. SW General Admin**
   - 5.76%  
   - 5.76%  
   - 5.76%  
   - 5.76%  
   - 5.70%  
   - 5.70%  
   - 5.70%  
   - 5.70%  

   **B. FS Gen Admin**
   - 6.00%  
   - 6.00%  
   - 6.00%  
   - 6.00%  
   - 5.80%  
   - 5.80%  
   - 5.80%  
   - 5.80%  

   **C. FS Dept Admin**
   - 18.82%  
   - 18.82%  
   - 18.82%  
   - 18.82%  
   - 13.81%  
   - 13.81%  
   - 13.81%  
   - 13.81%  

   **D. FS Sponsored Project**
   - 2.27%  
   - 2.27%  
   - 2.27%  
   - 2.27%  
   - 2.75%  
   - 2.75%  
   - 2.75%  
   - 2.75%  

   **E. Admin Cap Adjustment**
   - (10.49%)  
   - (10.49%)  
   - (5.66%)  
   - (5.66%)  
   - (7.29%)  
   - (20.75%)  

   **Subtotal**
   - 26.0%  
   - 26.0%  
   - 36.5%  
   - 36.5%  
   - 26.0%  
   - 26.0%  
   - 26.0%  
   - 26.0%  

2. **FACILITIES:**
   
   **A. SW Building Depreciation**
   - 0.15%  
   - 0.15%  
   - 0.06%  
   - 0.14%  
   - 0.35%  

   **B. FS Building Depreciation**
   - 11.68%  
   - 11.68%  
   - 2.85%  
   - 1.42%  

   **C. AI Building Depreciation**
   - 0.00%  
   - 0.00%  
   - 0.07%  
   - 0.07%  

   **D. JS Building Depreciation**
   - 0.00%  
   - 0.00%  
   - 0.00%  
   - 0.00%  

   **E. SW Equipment Depreciation**
   - 0.00%  
   - 0.00%  
   - 0.06%  
   - 0.06%  

   **F. FS Equipment Depreciation**
   - 1.04%  
   - 1.04%  
   - 0.17%  
   - 0.17%  

3. **Interest**
   
   **A. SW Interest**
   - 0.00%  
   - 0.00%  
   - 0.00%  
   - 0.00%  

   **B. FS Interest**
   - 3.65%  
   - 3.65%  
   - 0.28%  
   - 0.28%  

   **C. JS Interest**
   - 0.00%  
   - 0.00%  
   - 0.00%  
   - 0.00%  

4. **O&M**
   
   **A. SW O&M**
   - 0.03%  
   - 0.03%  
   - 0.01%  
   - 0.01%  

   **B. FS O&M**
   - 18.11%  
   - 18.11%  
   - 7.42%  
   - 1.15%  

   **C. AI O&M**
   - 0.00%  
   - 0.00%  
   - 0.06%  
   - 0.06%  

   **D. JS O&M**
   - 0.00%  
   - 0.00%  
   - 0.02%  
   - 0.02%  

   **5. FS Library**
   - 1.94%  
   - 1.94%  
   - 1.48%  
   - 1.48%  

   **6. UCA**
   - 1.30%  
   - 1.30%  
   - 0.00%  
   - 0.00%  

   **Subtotal**
   - 37.90%  
   - 37.90%  
   - 12.48%  
   - 2.57%  

   **Voluntary Reduction**
   - (8.9%)  
   - (14.9%)  
   - (14.9%)  
   - (14.9%)  

   **TOTAL**
   - 55.0%  
   - 26.0%  
   - 59.5%  
   - 36.5%  
   - 38.5%  
   - 26.0%  
   - 28.6%  
   - 32.1%  

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**FOR THE UNIVERSITY OF ALASKA:**

Mynor J. Dotsch  
Chief Financial Officer  
February 24, 2022

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**FOR THE U.S. GOVERNMENT:**

GALES.SHARON.J  
093052664  
Digitally signed by GALES.SHARON.J  
GALES.SHARON.J.1093052664  
Date: 2022.03.01 15:24:40 -05'00'

Sharon Gales  
Contracting Officer  
March 1, 2022

Date

For Official Use Only
INDIVIDUAL RATE COMPONENTS
EXTENSION OF FY 2022 RATES

Institution: University of Alaska-Anchorage
FY Covered by Rates: 2023-2026

Type of Rate: Predetermined

<table>
<thead>
<tr>
<th>Organized Research</th>
<th>Other Sponsored Activities</th>
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</thead>
<tbody>
<tr>
<td>Negotiation Base: MTDC ($000's)</td>
<td>$12,940</td>
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</tbody>
</table>

RATE COMPONENTS:

1. ADMINISTRATIVE
   Add: Department Admin (FAA) 3.60% 3.60% 3.60% 3.60%
   A. SW General Admin 5.66% 5.66% 5.71% 5.71%
   B. Al Gen Admin 7.81% 7.81% 7.81% 7.81%
   C. Al Dept Admin 18.15% 18.15% 13.00% 13.00%
   D. Al Sponsored Project 2.13% 2.13% 2.58% 2.58%
   E. Admin Cap Adjustment (11.35%) (11.35%) (6.70%) (6.70%)

Subtotal 26.0% 26.0% 26.0% 26.0%

2. FACILITIES:
   A. AI Building Depreciation 15.73% 2.03%
   B. AI Equipment Depreciation 1.23% 0.08%
   3. AI Interest 0.49% 0.03%

4. O&M
   A. SW O&M 0.00%
   B. FS O&M 0.02%
   C. AI O&M 7.91% 3.20%

5. AI Library 0.91% 0.69%

6. UCA 0.79% 0.00%

Subtotal 27.06% 6.05%

TOTAL 53.1% 26.0% 32.0% 26.0%

FOR THE UNIVERSITY OF ALASKA:

Myron J. Dosch
Chief Financial Officer

February 24, 2022

FOR THE U.S. GOVERNMENT:

GALES.SHARON.J.1
093052664

Sharon Gales
Contracting Officer

March 1, 2022

Date

For Official Use Only
INDIVIDUAL RATE COMPONENTS
EXTENSION OF FY 2022 RATES

Institution: University of Alaska-Southeast
FY Covered by Rates: 2023-2026

<table>
<thead>
<tr>
<th>Type of Rate:</th>
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<tr>
<th>Organized Research</th>
<th>Other Sponsored Activities</th>
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<tr>
<td><strong>Negotiation Base:</strong> MTDC ($000’s)</td>
<td><strong>$945</strong></td>
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<tr>
<th>RATE COMPONENTS:</th>
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<th>Off Campus</th>
<th>On Campus</th>
<th>Off Campus</th>
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<tr>
<td><strong>1. ADMINISTRATIVE</strong></td>
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<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Add: Department Admin (FAA)</td>
<td>3.60%</td>
<td>3.60%</td>
<td>3.60%</td>
<td>3.60%</td>
</tr>
<tr>
<td>A. SW General Admin</td>
<td>5.66%</td>
<td>5.66%</td>
<td>5.66%</td>
<td>5.66%</td>
</tr>
<tr>
<td>C. JS Dept Admin</td>
<td>17.84%</td>
<td>17.84%</td>
<td>48.76%</td>
<td>48.76%</td>
</tr>
<tr>
<td>D. JS Sponsored Project</td>
<td>15.67%</td>
<td>15.67%</td>
<td>15.67%</td>
<td>15.67%</td>
</tr>
<tr>
<td>E. Admin Cap Adjustment</td>
<td>(31.16%)</td>
<td>(31.16%)</td>
<td>(62.08%)</td>
<td>(62.08%)</td>
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<tr>
<td><strong>Subtotal</strong></td>
<td>26.0%</td>
<td>26.0%</td>
<td>26.00%</td>
<td>26.00%</td>
</tr>
</tbody>
</table>

| **2. FACILITIES:** | | | | |
| A. JS Building Depreciation | 25.71% | | 1.16% | |
| B. FS Equipment Depreciation | 0.19% | | 0.00% | |
| C. JS Equipment Depreciation | 1.46% | | 0.09% | |
| 3. JS Interest | 0.01% | | 0.01% | |
| 4. JS O&M | 12.49% | | 1.31% | |
| 5. JS Library | 2.44% | | 2.03% | |
| 6. UCA | 0.96% | | 0.00% | |
| **Subtotal** | 43.26% | | 4.60% | |
| **Voluntary Reduction** | (9.6%) | | | |
| **TOTAL** | 59.7% | 26.0% | 30.6% | 26.0% |

FOR THE UNIVERSITY OF ALASKA:

Myron J. Dosch
Chief Financial Officer

February 24, 2022

For Official Use Only

FOR THE U.S. GOVERNMENT:

GALES.SHARON.J.1093052664

Sharon Gales
Contracting Officer

March 1, 2022
## INDIVIDUAL RATE COMPONENTS
### EXTENSION OF FY 2022 RATES

Institution: University of Alaska-System Wide  
FY Covered by Rates: 2023-2026

<table>
<thead>
<tr>
<th>Type of Rate:</th>
<th>Predetermined</th>
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<tbody>
<tr>
<td>Sponsored Training-UAF</td>
<td>Sponsored Training-UAA</td>
</tr>
<tr>
<td>Negotiation Base: MTDC ($000’s)</td>
<td>$52,734</td>
</tr>
<tr>
<td><strong>RATe COMPONENTS:</strong></td>
<td></td>
</tr>
<tr>
<td><strong>1. ADMINISTRATIVE</strong></td>
<td></td>
</tr>
<tr>
<td>Add: Department Admin (FAA)</td>
<td>3.60%</td>
</tr>
<tr>
<td>A. SW General Admin</td>
<td>5.70%</td>
</tr>
<tr>
<td>B. Gen Admin</td>
<td>15.51%</td>
</tr>
<tr>
<td>C. Dept Admin</td>
<td>26.21%</td>
</tr>
<tr>
<td>D. Sponsored Project</td>
<td>0.16%</td>
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<tr>
<td>E. Student Services</td>
<td>23.13%</td>
</tr>
<tr>
<td>F. Admin Cap Adjustment</td>
<td>(48.33%)</td>
</tr>
<tr>
<td><strong>Subtotal</strong></td>
<td>26.0%</td>
</tr>
<tr>
<td><strong>2. FACILITIES:</strong></td>
<td></td>
</tr>
<tr>
<td>A. SW Building Depreciation</td>
<td>0.37%</td>
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<tr>
<td>B. FS Building Depreciation</td>
<td>19.42%</td>
</tr>
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<td>C. AI Building Depreciation</td>
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<tr>
<td>D. JS Building Depreciation</td>
<td>0.00%</td>
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<tr>
<td>E. SW Equipment Depreciation</td>
<td>0.01%</td>
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<tr>
<td>F. FS Equipment Depreciation</td>
<td>0.69%</td>
</tr>
<tr>
<td>G. AI Equipment Depreciation</td>
<td>0.00%</td>
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<tr>
<td>H. JS Equipment Depreciation</td>
<td>0.00%</td>
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<tr>
<td><strong>3. Interest</strong></td>
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</tr>
<tr>
<td>A. SW Interest</td>
<td>0.00%</td>
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<tr>
<td>B. FS Interest</td>
<td>4.96%</td>
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<tr>
<td>C. AI Interest</td>
<td>0.00%</td>
</tr>
<tr>
<td>D. JS Interest</td>
<td>0.00%</td>
</tr>
<tr>
<td><strong>4. O&amp;M</strong></td>
<td></td>
</tr>
<tr>
<td>A. SW O&amp;M</td>
<td>0.01%</td>
</tr>
<tr>
<td>B. FS O&amp;M</td>
<td>29.85%</td>
</tr>
<tr>
<td>C. AI O&amp;M</td>
<td>0.01%</td>
</tr>
<tr>
<td>D. JS O&amp;M</td>
<td>0.00%</td>
</tr>
<tr>
<td><strong>5. Library</strong></td>
<td></td>
</tr>
<tr>
<td></td>
<td>13.35%</td>
</tr>
<tr>
<td><strong>6. UCA</strong></td>
<td></td>
</tr>
<tr>
<td></td>
<td>0.00%</td>
</tr>
<tr>
<td><strong>Subtotal</strong></td>
<td>68.68%</td>
</tr>
<tr>
<td><strong>Voluntary Reduction</strong></td>
<td></td>
</tr>
<tr>
<td></td>
<td>(44.7%)</td>
</tr>
<tr>
<td><strong>TOTAL</strong></td>
<td>50.0%</td>
</tr>
</tbody>
</table>

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**FOR THE UNIVERSITY OF ALASKA:**

Myron J. Dosch  
Chief Financial Officer  
February 24, 2022

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**FOR THE U.S. GOVERNMENT:**

Sharon Gales  
Contracting Officer  
March 1, 2022

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