

UNIVERSITY OF ALASKA
FY08 - FY10 FACILITIES AND ADMINISTRATIVE
COST RATE PROPOSAL





UNIVERSITY
of ALASKA
Many Traditions One Alaska

Date: June 22, 2007

To: Distribution by Campus

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- UAS: Carol Griffin, Barbara Hyde, Roberta Stell, Karen Schmitt

From: Myron Dosch, Statewide Controller's Office *Myron J. Dosch*

Re: FY08-FY10 Facilities and Administrative Cost Forward Pricing Proposal

The following pages include the proposed facilities and administrative (F&A) cost rates for the period July 1, 2007 through June 30, 2010. The current negotiated rates are also listed for comparison purposes.

Please be aware that these rates are proposed only. They are subject to review and negotiation with the Office of Naval Research (ONR). The university has requested that these rates also be provisional rates, effective July 1, 2007. However, the provisional rate agreement is estimated to be complete later in July.

Please use these rates for applicable awards. Note that billing adjustments are a possibility if these proposed rates differ from provisional rates or the final negotiated rates.

For proposals, these rates may be used only if lower than the FY05-FY07 negotiated rates. When provisional rates are established, such provisional rates may be used in proposals.

If you have any questions, please contact Briana Walters at briana.walters@alaska.edu or 450-8077. Please provide a copy of this memo to those on your campus who are not included on the distribution, but have a need for the information. If anyone not receiving the full report would like one, please let me know.

University of Alaska
FY05-FY07 Negotiated and FY08-FY10 Proposed F&A Rates

<u>Type</u>	<u>Base</u>	<u>FY05-FY07 (Negotiated)</u>	<u>FY08-FY10 (Proposed)</u>
<u>University of Alaska Fairbanks (UAF):</u>			
Pred. (a) (b)	Organized Research	47.5%	45.1%
Pred. (a) (b)	School of Fisheries and Ocean Science, Ship Operations	36.9%	N/A
Pred. (a) (b)	Poker Flat	27.4%	26.0%
Pred. (a) (b)	Arctic Region Supercomputing Center	25.2%	29.0%
Pred. (a) (b)	Other Sponsored Activities	33.7%	36.0%
<u>University of Alaska Anchorage (UAA):</u>			
Pred. (a) (b)	Organized Research	42.2%	34.0%
Pred. (a) (b)	Other Sponsored Activities	35.6%	33.3%
<u>University of Alaska Southeast (UAS):</u>			
Pred. (a) (b)	Organized Research	40.0%	42.0%
Pred. (a) (b)	Other Sponsored Activities	27.6%	32.0%
<u>Systemwide:</u>			
Pred. (a) (b)	Sponsored Training	30.0%	30.0%

References:

- (a) Predetermined fixed rate negotiated in advance for the fiscal year. No over- or under-recovery for that year may be included as an adjustment to the indirect cost pool in a future rate negotiation.
- (b) Modified total direct cost base including all expenses with the exception of equipment, capital expenditures, charges for patient care and tuition remission, long-term space rental costs, scholarships, and fellowships as well as the portion of each subgrant and subcontract in excess of \$25,000

UNIVERSITY OF ALASKA

FACILITIES AND ADMINISTRATIVE COST RATE PROJECTIONS

FY08 – FY10 FORWARD PRICING PROPOSAL

FOR INTERNAL DISTRIBUTION ONLY

University of Alaska
 FY08 – FY10 Facilities and Administrative Cost Rate Proposal
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Appendix A: Other Interested Agencies

List of Federal Agencies Providing More Than Ten Percent of FY06 Federal Funding

Appendix B: Explanation for FY08-FY10 Organized Research Proposed Component Changes

Explanation for Organized Research Proposed Component Changes that exceed the prior Negotiated Rate by 10%

Appendix C: Certifications and Assurances

Certifications and Assurances

Certificate of F&A Costs (2 CFR Part 220 Appendix A, Section K.2.b)

Certificate of Indirect Costs (FAR 52-242.4)

Waiver of DFARS 231.303 (1)

Assurance of Foreign Government or Industry Subsidies (2 CFR Part 220 Appendix A, Section G.1.a(3))

Certificate of Lobbying (2 CFR Part 220 Appendix A, Section J.28)

Certificate of Use Allowance or Depreciation (2 CFR Part 220 Appendix A, Section J.14.g)

Assurance of Compliance with 26% Administration Cap (2 CFR Part 220 Appendix A, Section G.8.a)

Assurance of Compliance with Federal Compensation Caps

Significant Assumptions

General

1. Effective FY05, UA increased the building and infrastructure capitalization thresholds from \$50,000 to \$100,000, other capitalizable assets from \$25,000 to \$50,000, and equipment from \$2,500 to \$5,000. The resulting net book value was amortized over 3 years in the FY05-FY07 rate negotiation. The actual amortized costs are included in the FY06 base year. There are no costs related to the capitalization change in the FY08-FY10 projection model.
2. Depreciation cost pools are projected at FY06 levels except for the addition of new buildings and renovations to existing buildings that were completed in FY07 or scheduled for completion during the projection years.
3. The projection utilizes the institutional functional use survey that was completed in FY06 plus anticipated occupancy or usage changes and additions due to new facilities.

Statewide Administration

1. Equipment depreciation is consistent with the FY06 base year.
2. General Administrative costs are projected to increase 11% in FY08 and 3% in FY09 and FY10.
3. Statewide operations & maintenance pool costs are projected to increase 4% in each of the projection years.

University of Alaska Fairbanks

1. Proposed rate components are consistent with the FY06 components.
2. The Other on-campus organized research base is expected to increase to \$76,376,000 in FY08; \$76,962,000 in FY09 and \$78,823,000 in FY10. The FY06 base includes actual amortized costs for buildings, infrastructure, other capitalizable assets and equipment as mentioned above in item 1 of the General section. These costs are not reflected in the FY08-FY10 projection years. Departmental projections were applied to the FY06 modified total direct cost base. Specific projections include:
 - Agricultural and Forestry Experiment Station (AFES) anticipates a 9% increase in FY08, an approximate decrease of 17% in FY09 and an approximate decrease of 10% for FY10. These trends are due to fully spent federal earmarks in FY08, and decreased volume of proposals for future years, as well as uncertainty in securing significant new funding in future years.
 - Developmental Programs and Projects (DPP) anticipates that the research base will increase in the projection years by approximately 74% in FY08 and decrease by 17% in FY09 and 9% for FY10. The significant increase in FY08 is mainly due to increased spending for the International Polar Year activities in that year, then reduced activity in FY09 and FY10.
 - Geophysical Institute (GI) anticipates increases in activity of approximately 6.5% in FY08, and 6% in FY09 and FY10.

- The Institute of Arctic Biology (IAB) is projecting an increase of approximately 5.5% in FY08 and increases of 4.5% for FY09 and FY10.
 - The International Arctic Research Center (IARC) is a research unit with a coordinated effort between the United States and Japan. IARC research activity is projected to increase approximately 2% in FY08, an increase of 1% in FY09, and a decrease of 1% in FY10.
 - The Institute of Northern Engineering (INE) projects the research base to increase approximately 17% in FY08 and 4% in FY09 and FY10. The increase in FY08 is due to the maturing of several federal earmarks and increased activity in transportation-related research.
 - Mineral Industry Research Laboratory (MIRL) is projecting an increase of 4% in FY08 and 3% in FY09 and FY10.
 - Petroleum Development Laboratory (PDL) is projecting an increase of 30% in FY08, and 3% increases for FY09 and FY10. The increase in FY08 is due to the maturing of several federal earmarks.
 - The School of Fisheries and Ocean Science (SFOS) anticipates the research base to increase approximately 3% in each of the projection years.
 - Other research activities in units that are not considered organized research units project approximately an increase of 1% in FY08 and remain stable in FY09 and FY10.
3. Due to lack of Ship activity in the base year FY06, and no plans for a sailing ship until FY2011, a Ship rate is not included in the proposal.
 4. GI's Poker Flat base is projected to increase approximately 5% in FY08 and 7% in FY09 and FY10.
 5. The Arctic Region Supercomputing Center (ARSC) anticipates approximately 2.5% increase in FY08 and 2% increase in FY09 and FY10.
 6. The Other Sponsored Activities (OSA) component is projected to increase from FY06 approximately 19% in FY08, with an increase of 3% in FY09 and an increase of 1% in FY10. The OSA base includes several new Statewide Systems office grants. This is a growing trend for Statewide since FY03, and future projections indicate Statewide OSA activity to increase slightly in the coming years.
 7. The Instruction base is expected to increase approximately 8% in FY08 and 4% for FY09 and FY10.
 8. The Other Institutional Activity (OIA) base is projected to increase 5% for FY08 and 3% in FY09 and FY10. Recharge centers (included in OIA) are projected to breakeven for the projection years.
 9. Equipment depreciation is consistent with the FY06 base year.
 10. The general Operations and Maintenance pools are projected to increase approximately 2% in FY08, 3% in FY09 and 2% in FY10.

11. The Interest cost pool includes expenses related to new buildings and renovations referred to under the General section totaling \$413,257 for FY08, \$784,558 for FY09 and \$901,937 for FY10. These interest costs are related to the West Ridge Research Building, the Biological & Computational Sciences Facility, and School of Fisheries and Ocean Sciences Lena Point Facility. Also included are renovations to the Tanana Valley Campus, Interior Aleutians building (Harper building) and the Bristol Bay campus addition.
12. Central pool costs including General Administration costs, Sponsored Projects Administration costs, Student Services Administration costs, and Library costs are projected to increase 14% in FY08 and 4% per year for FY09 and FY10. Library costs are part of the uncapped facilities rate component.
13. Department Administration expenditures were projected by the units, with an average increase of 6% in FY08, 3% in FY09 and 3% in FY10.

University of Alaska Anchorage

1. Proposed rate components are consistent with FY06.
2. The On-Campus research base is projected to increase to \$10,245,000 in FY08, \$10,840,000 in FY09 and \$11,492,000 in FY10. The FY06 base includes actual amortized costs for buildings, infrastructure, other capitalizable assets and equipment as mentioned above in item 1 of the General section. These costs are not reflected in the FY08-FY10 projection years. Departmental projections were applied to the FY06 modified total direct cost base. Specific projections include:
 - The Institute for Circumpolar Health Studies (ICHS) is projecting a decrease of less than 1% for FY08 and then increase 13% in FY09 and FY10. The decrease is due to two major expiring awards. Increases are expected in future years due to plans for a new director to secure new funding.
 - Environmental and Natural Resources Institute (ENRI) projects an increase of approximately 4% in each of the projection years based on inflation and past trends.
 - Institute of Social and Economic Research (ISER) is projecting a 10% increase in FY08, and a 2% increase for FY09 and FY10. The 10% increase in FY08 is due to additional federal funding over FY07 and an increase to the general fund base in FY07 of \$230,000.
 - The Justice Center expects a decrease of approximately 6% in FY08 and remain flat for FY09 and FY10. The decrease is due to expiring multi-year projects and uncertainty in securing new funding.
 - The Center for Alcohol and Addiction Studies (CAAS) is projecting flat funding for each of the projection years.
3. The Other Sponsored Activities (OSA) component is projecting an increase of 3% in FY08, and an increase of approximately 4% in FY09, and remain stable in FY10.
4. Instruction is projected to increase approximately 3% in each of the projection years.

5. The Other Institutional Activities (OIA) base is anticipated to increase 12% in FY08, increase 1% for FY09 and remain stable in FY10. Recharge centers (included in OIA) were projected to breakeven for the projection years.
6. Equipment depreciation is consistent with the FY06 base year.
7. Annual Operations and Maintenance costs are projected to increase approximately 1% in FY08, 3% in FY09 and 2.5% in FY10.
8. The Interest cost pool includes interest expense related to the Ecosystem/Biomedical Health Laboratory building. Annual interest expenses were increased in the amount of \$101,477 for FY08, \$156,293 for FY09 and \$151,150 for FY10.
9. Central pool costs including General Administration costs, Sponsored Projects Administration costs, Student Services Administration costs and Library costs are projected to increase 13% in FY08, and 6.3% in FY09 and FY10. Library costs are part of the uncapped facilities rate component.
10. Department Administration expenditures were projected by the units, with an average increase of 6% in FY08 and an increase of 3% for FY09 and FY10.

University of Alaska Southeast

1. Research is projected to increase approximately 25% in FY08 and increase approximately 10% for FY09 and FY10. This is based on submitted sponsored program proposals with likely chances of being awarded.
2. Other Sponsored Activities (OSA) are expected to remain stable in each of the projection years.
3. Instruction will decrease approximately 1% in FY08 and then increase 3.5% in FY09 and FY10. Expired funding is responsible for the decrease in FY08 and based on proposal submissions and anticipated awards, activity will increase in FY09 and FY10.
4. Operations and Maintenance expense is projected to increase 3.7% in FY08, 2.8% in FY09 and 1.5% in FY10.
5. Depreciation is consistent with the FY06 base year.
6. General Administration is projected to increase 12% in FY08 and then increase 4.5% in FY09 and FY10.
7. Department Administration expenditures were projected by the units, with an average projected increase of 3.5% in each of the projection years.
8. Student Services Administration is projected to increase 12% in FY08 and then increase 3.5% in FY09 and FY10.
9. Library is expected to increase 12% in FY08 and then increase 3% in FY09 and FY10.

University of Alaska Systemwide

	FY08 Total	FY09 Total	FY10 Total	Systemwide Total
Estimated MTDC base	120,942,000	124,917,000	129,309,000	375,168,000
Estimated pool costs:				
Building depreciation	8,678,000	8,896,000	8,897,000	26,471,000
Equipment depreciation	719,000	719,000	719,000	2,157,000
Interest	375,000	514,000	535,000	1,424,000
Operations & maintenance	15,206,000	15,785,000	16,313,000	47,304,000
General administration	22,902,000	24,023,000	25,227,000	72,152,000
Department administration	33,852,912	34,899,012	35,952,124	104,704,048
Sponsored projects administration	507,000	526,000	554,000	1,587,000
Student services administration	26,076,000	27,342,000	28,669,000	82,087,000
Library	16,908,000	17,588,000	18,294,000	52,790,000
	<u>125,223,912</u>	<u>130,292,012</u>	<u>135,160,124</u>	<u>390,676,048</u>
Excess administration costs	<u>(51,892,992)</u>	<u>(54,311,592)</u>	<u>(56,781,784)</u>	<u>(162,986,368)</u>
Total facilities and admin. costs	<u>73,330,920</u>	<u>75,980,420</u>	<u>78,378,340</u>	<u>227,689,680</u>
Rate elements:				
Building depreciation	7.2%	7.1%	6.9%	7.1%
Equipment depreciation	0.6%	0.6%	0.6%	0.6%
Interest	0.3%	0.4%	0.4%	0.4%
Operations & maintenance	12.6%	12.6%	12.6%	12.6%
General administration	18.9%	19.2%	19.5%	19.2%
Department administration	28.0%	27.9%	27.8%	27.9%
Sponsored projects administration	0.4%	0.4%	0.4%	0.4%
Student services administration	21.6%	21.9%	22.2%	21.9%
Library	13.9%	14.1%	14.1%	14.2%
	<u>103.5%</u>	<u>104.2%</u>	<u>104.5%</u>	<u>104.3%</u>
Capped rate effect	<u>-42.9%</u>	<u>-43.5%</u>	<u>-43.9%</u>	<u>-43.4%</u>
Net calculated rate	60.6%	60.7%	60.6%	60.9%
Voluntary Decrease in Rate	<u>-30.6%</u>	<u>-30.7%</u>	<u>-30.6%</u>	<u>-30.9%</u>
Suggested Rate for FY08-FY10	<u>30.0%</u>	<u>30.0%</u>	<u>30.0%</u>	<u>30.0%</u>

	UAF FY08 Instruction/ Training	UAA FY08 Instruction/ Training	UAS FY08 Instruction/ Training	Systemwide FY08 Instruction/ Training
Estimated MTDC base	48,615,000	62,197,000	10,130,000	120,942,000
Estimated pool costs:				
Building depreciation	3,661,000	3,886,000	1,131,000	8,678,000
Equipment depreciation	294,000	322,000	103,000	719,000
Interest	184,000	181,000	10,000	375,000
Operations & maintenance	8,063,000	6,160,000	983,000	15,206,000
General administration	8,342,000	10,857,000	3,703,000	22,902,000
Department administration	14,587,140	16,413,092	2,852,680	33,852,912
Sponsored projects administration	78,000	353,000	76,000	507,000
Student services administration	11,211,000	12,227,000	2,638,000	26,076,000
Library	9,016,000	5,516,000	2,376,000	16,908,000
	<u>55,436,140</u>	<u>55,915,092</u>	<u>13,872,680</u>	<u>125,223,912</u>
Excess administration costs	(21,578,240)	(23,678,872)	(6,635,880)	(51,892,992)
	<u>33,857,900</u>	<u>32,236,220</u>	<u>7,236,800</u>	<u>73,330,920</u>
Total facilities and admin. costs				
Rate elements:				
Building depreciation	7.5%	6.2%	11.2%	7.2%
Equipment depreciation	0.6%	0.5%	1.0%	0.6%
Interest	0.4%	0.3%	0.1%	0.3%
Operations & maintenance	16.6%	9.9%	9.6%	12.6%
General administration	17.2%	17.5%	36.6%	18.9%
Department administration	30.0%	26.4%	28.2%	28.0%
Sponsored projects administration	0.2%	0.5%	0.7%	0.4%
Student services administration	23.1%	19.7%	26.0%	21.6%
Library	18.4%	8.9%	23.5%	13.9%
	<u>114.0%</u>	<u>89.9%</u>	<u>136.9%</u>	<u>103.5%</u>
Capped rate effect	-44.4%	-38.1%	-65.5%	-42.9%
	<u>69.6%</u>	<u>51.8%</u>	<u>71.4%</u>	<u>60.6%</u>
Net calculated rate				

	UAF FY09 Instruction/ Training	UAA FY09 Instruction/ Training	UAS FY09 Instruction/ Training	Systemwide FY09 Instruction/ Training
Estimated MTDC base	50,640,000	63,810,000	10,467,000	124,917,000
Estimated pool costs:				
Building depreciation	3,881,000	3,885,000	1,130,000	8,896,000
Equipment depreciation	294,000	322,000	103,000	719,000
Interest	303,000	201,000	10,000	514,000
Operations & maintenance	8,357,000	6,423,000	1,005,000	15,785,000
General administration	8,747,000	11,401,000	3,875,000	24,023,000
Department administration	15,073,040	16,876,160	2,949,812	34,899,012
Sponsored projects administration	83,000	368,000	75,000	526,000
Student services administration	11,655,000	12,954,000	2,733,000	27,342,000
Library	9,344,000	5,808,000	2,436,000	17,588,000
	<u>57,737,040</u>	<u>58,238,160</u>	<u>14,316,812</u>	<u>130,292,012</u>
Excess administration costs	<u>(22,391,640)</u>	<u>(25,008,560)</u>	<u>(6,911,392)</u>	<u>(54,311,592)</u>
Total facilities and admin. costs	<u>35,345,400</u>	<u>33,229,600</u>	<u>7,405,420</u>	<u>75,980,420</u>
Rate elements:				
Building depreciation	7.7%	6.1%	10.8%	7.1%
Equipment depreciation	0.6%	0.5%	1.0%	0.6%
Interest	0.6%	0.3%	0.1%	0.4%
Operations & maintenance	16.5%	10.1%	9.6%	12.6%
General administration	17.3%	17.9%	37.0%	19.2%
Department administration	29.8%	26.4%	28.2%	27.9%
Sponsored projects administration	0.2%	0.6%	0.7%	0.4%
Student services administration	23.0%	20.3%	26.1%	21.9%
Library	18.3%	9.1%	23.3%	14.1%
	<u>114.0%</u>	<u>91.3%</u>	<u>136.8%</u>	<u>104.2%</u>
Capped rate effect	<u>-44.2%</u>	<u>-39.2%</u>	<u>-66.0%</u>	<u>-43.5%</u>
Net calculated rate	<u>69.8%</u>	<u>52.1%</u>	<u>70.8%</u>	<u>60.7%</u>

	UAF FY10 Instruction/ Training	UAA FY10 Instruction/ Training	UAS FY10 Instruction/ Training	Systemwide FY10 Instruction/ Training
Estimated MTDC base	52,785,000	65,705,000	10,819,000	129,309,000
Estimated pool costs:				
Building depreciation	3,882,000	3,885,000	1,130,000	8,897,000
Equipment depreciation	294,000	322,000	103,000	719,000
Interest	326,000	199,000	10,000	535,000
Operations & maintenance	8,559,000	6,726,000	1,028,000	16,313,000
General administration	9,159,000	12,013,000	4,055,000	25,227,000
Department administration	15,502,260	17,399,380	3,050,484	35,952,124
Sponsored projects administration	88,000	392,000	74,000	554,000
Student services administration	12,100,000	13,736,000	2,833,000	28,669,000
Library	9,671,000	6,125,000	2,498,000	18,294,000
	59,581,260	60,797,380	14,781,484	135,160,124
Excess administration costs	(23,125,160)	(26,457,080)	(7,199,544)	(56,781,784)
Total facilities and admin. costs	36,456,100	34,340,300	7,581,940	78,378,340
Rate elements:				
Building depreciation	7.4%	5.9%	10.4%	6.9%
Equipment depreciation	0.6%	0.5%	1.0%	0.6%
Interest	0.6%	0.3%	0.1%	0.4%
Operations & maintenance	16.2%	10.2%	9.5%	12.6%
General administration	17.4%	18.3%	37.4%	19.5%
Department administration	29.4%	26.5%	28.2%	27.8%
Sponsored projects administration	0.2%	0.6%	0.7%	0.4%
Student services administration	22.9%	20.9%	26.2%	22.2%
Library	18.3%	9.3%	23.1%	14.1%
	113.0%	92.5%	136.6%	104.5%
Capped rate effect	-43.8%	-40.3%	-66.5%	-43.9%
Net calculated rate	69.2%	52.2%	70.1%	60.6%

University of Alaska Fairbanks

University of Alaska Fairbanks (UAF)
 FY08-FY10 Facilities and Administrative Costs Rate Projections
 Combined Proposed Component Structure for FY08 - FY10
 Administrative Costs Capped at 26%

	Other On-campus Research	Poker Flat	ARSC	Other Sponsored Activities	Instruction/ Training	Total
Estimated MTDC base for FY08 - FY10	232,161,000	4,133,000	33,862,000	75,486,000	152,040,000	497,682,000
Estimated pool costs:						
Building depreciation	11,524,000	585,000	1,613,000	2,115,000	11,424,000	27,261,000
Equipment depreciation	1,588,000	0	507,000	329,000	882,000	3,306,000
Interest	3,825,000	0	1,103,000	56,000	813,000	5,797,000
Operations & maintenance	24,481,000	30,000	1,759,000	4,284,000	24,979,000	55,533,000
General administration	34,216,000	536,000	4,408,000	8,964,000	26,248,000	74,372,000
Department administration	46,384,796	754,788	1,948,000	11,162,496	45,162,440	105,412,520
Sponsored projects administration	2,866,000	59,000	469,000	1,091,000	249,000	4,734,000
Student services administration	0	0	0	0	34,966,000	34,966,000
Library	2,946,000	0	308,000	725,000	28,031,000	32,010,000
	<u>127,830,796</u>	<u>1,964,788</u>	<u>12,115,000</u>	<u>28,726,496</u>	<u>172,754,440</u>	<u>343,391,520</u>
Excess administration costs	<u>(23,104,936)</u>	<u>(275,208)</u>	<u>0</u>	<u>(1,591,136)</u>	<u>(67,095,040)</u>	<u>(92,066,320)</u>
Total facilities and admin. costs	<u>104,725,860</u>	<u>1,689,580</u>	<u>12,115,000</u>	<u>27,135,360</u>	<u>105,659,400</u>	<u>251,325,200</u>
Rate elements:						
Building depreciation	5.0%	14.2%	4.8%	2.8%	7.5%	
Equipment depreciation	0.7%	0.0%	1.5%	0.4%	0.6%	
Interest	1.6%	0.0%	3.3%	0.1%	0.5%	
Operations & maintenance	10.5%	0.7%	5.2%	5.7%	16.4%	
General administration	14.7%	13.0%	13.0%	11.9%	17.3%	
Department administration	20.0%	18.3%	5.8%	14.8%	29.7%	
Sponsored projects administration	1.2%	1.3%	1.4%	1.4%	0.2%	
Student services administration	0.0%	0.0%	0.0%	0.0%	23.0%	
Library	1.4%	0.0%	0.8%	1.0%	18.5%	
	<u>55.1%</u>	<u>47.5%</u>	<u>35.8%</u>	<u>38.1%</u>	<u>113.7%</u>	
Capped rate effect	<u>-10.0%</u>	<u>-6.7%</u>	<u>0.0%</u>	<u>-2.1%</u>	<u>-44.1%</u>	
Net calculated average rate	45.1%	40.8%	35.8%	36.0%	69.6%	
Voluntary Decrease in Rate	<u>0.0%</u>	<u>-14.8%</u>	<u>-6.8%</u>	<u>0.0%</u>	<u>-39.6%</u>	
Suggested Rate for FY08-FY10	<u>45.1%</u>	<u>26.0%</u>	<u>29.0%</u>	<u>36.0%</u>	<u>30.0%</u>	

University of Alaska Fairbanks (UAF)
 FY08-FY10 Facilities and Administrative Costs Rate Projections
 Proposed Component Structure for FY08
 Administrative Costs Capped at 26%

	Other On-campus Research	Poker Flat	ARSC	Other Sponsored Activities	Instruction/ Training	Total
Estimated MTDC base	76,376,000	1,289,000	11,067,000	24,797,000	48,615,000	162,144,000
Estimated pool costs:						
Building depreciation	3,470,000	195,000	538,000	711,000	3,661,000	8,575,000
Equipment depreciation	530,000	0	169,000	111,000	294,000	1,104,000
Interest	1,093,000	0	362,000	17,000	184,000	1,656,000
Operations & maintenance	7,987,000	10,000	572,000	1,395,000	8,063,000	18,027,000
General administration	11,125,000	165,000	1,427,000	2,906,000	8,342,000	23,965,000
Department administration	15,013,536	242,404	624,000	3,597,692	14,587,140	34,064,772
Sponsored projects administration	923,000	18,000	150,000	352,000	78,000	1,521,000
Student services administration	0	0	0	0	11,211,000	11,211,000
Library	958,000	0	99,000	233,000	9,016,000	10,306,000
	41,099,536	630,404	3,941,000	9,322,692	55,436,140	110,429,772
Excess administration costs	(7,203,776)	(90,264)	0	(408,472)	(21,578,240)	(29,280,752)
Total facilities and admin. costs	33,895,760	540,140	3,941,000	8,914,220	33,857,900	81,149,020
Rate elements:						
Building depreciation	4.5%	15.1%	4.9%	2.9%	7.5%	
Equipment depreciation	0.7%	0.0%	1.5%	0.4%	0.6%	
Interest	1.4%	0.0%	3.3%	0.1%	0.4%	
Operations & maintenance	10.5%	0.8%	5.2%	5.6%	16.6%	
General administration	14.6%	12.8%	12.9%	11.7%	17.2%	
Department administration	19.7%	18.8%	5.6%	14.5%	30.0%	
Sponsored projects administration	1.2%	1.4%	1.4%	1.5%	0.2%	
Student services administration	0.0%	0.0%	0.0%	0.0%	23.1%	
Library	1.2%	0.0%	0.8%	0.9%	18.4%	
	53.8%	48.9%	35.6%	37.6%	114.0%	
Capped rate effect	-9.4%	-7.0%	0.0%	-1.6%	-44.4%	
Net calculated rate	44.4%	41.9%	35.6%	36.0%	69.6%	

University of Alaska Fairbanks (UAF)
 FY08-FY10 Facilities and Administrative Costs Rate Projections
 Proposed Component Structure for FY09
 Administrative Costs Capped at 26%

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	Other On-campus Research	Poker Flat	ARSC	Other Sponsored Activities	Instruction/ Training	Total
Estimated MTDC base	76,962,000	1,378,000	11,312,000	25,485,000	50,640,000	165,777,000
Estimated pool costs:						
Building depreciation	4,029,000	195,000	538,000	708,000	3,881,000	9,351,000
Equipment depreciation	529,000	0	169,000	111,000	294,000	1,103,000
Interest	1,333,000	0	371,000	20,000	303,000	2,027,000
Operations & maintenance	8,176,000	10,000	588,000	1,438,000	8,357,000	18,569,000
General administration	11,356,000	179,000	1,473,000	3,015,000	8,747,000	24,770,000
Department administration	15,537,632	251,608	649,000	3,760,460	15,073,040	35,271,740
Sponsored projects administration	952,000	20,000	157,000	367,000	83,000	1,579,000
Student services administration	0	0	0	0	11,655,000	11,655,000
Library	978,000	0	103,000	243,000	9,344,000	10,668,000
	<u>42,890,632</u>	<u>655,608</u>	<u>4,048,000</u>	<u>9,662,460</u>	<u>57,737,040</u>	<u>114,993,740</u>
Excess administration costs	<u>(7,835,512)</u>	<u>(92,328)</u>	<u>0</u>	<u>(516,360)</u>	<u>(22,391,640)</u>	<u>(30,835,840)</u>
Total facilities and admin. costs	<u>35,055,120</u>	<u>563,280</u>	<u>4,048,000</u>	<u>9,146,100</u>	<u>35,345,400</u>	<u>84,157,900</u>
Rate elements:						
Building depreciation	5.3%	14.2%	4.8%	2.8%	7.7%	
Equipment depreciation	0.7%	0.0%	1.5%	0.4%	0.6%	
Interest	1.7%	0.0%	3.3%	0.1%	0.6%	
Operations & maintenance	10.6%	0.7%	5.2%	5.6%	16.5%	
General administration	14.8%	13.0%	13.0%	11.8%	17.3%	
Department administration	20.2%	18.3%	5.7%	14.8%	29.8%	
Sponsored projects administration	1.2%	1.4%	1.4%	1.4%	0.2%	
Student services administration	0.0%	0.0%	0.0%	0.0%	23.0%	
Library	1.3%	0.0%	0.9%	1.1%	18.3%	
	<u>55.8%</u>	<u>47.6%</u>	<u>35.8%</u>	<u>38.0%</u>	<u>114.0%</u>	
Capped rate effect	<u>-10.2%</u>	<u>-6.7%</u>	<u>0.0%</u>	<u>-2.0%</u>	<u>-44.2%</u>	
Net calculated rate	<u>45.6%</u>	<u>40.9%</u>	<u>35.8%</u>	<u>36.0%</u>	<u>69.8%</u>	

University of Alaska Fairbanks (UAF)
 FY08-FY10 Facilities and Administrative Costs Rate Projections
 Proposed Component Structure for FY10
 Administrative Costs Capped at 26%

UAF Schedule 1c
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	Other On-campus Research	Poker Flat	ARSC	Other Sponsored Activities	Instruction/ Training	Total
Estimated MTDC base	78,823,000	1,466,000	11,483,000	25,204,000	52,785,000	169,761,000
Estimated pool costs:						
Building depreciation	4,025,000	195,000	537,000	696,000	3,882,000	9,335,000
Equipment depreciation	529,000	0	169,000	107,000	294,000	1,099,000
Interest	1,399,000	0	370,000	19,000	326,000	2,114,000
Operations & maintenance	8,318,000	10,000	599,000	1,451,000	8,559,000	18,937,000
General administration	11,735,000	192,000	1,508,000	3,043,000	9,159,000	25,637,000
Department administration	15,833,628	260,776	675,000	3,804,344	15,502,260	36,076,008
Sponsored projects administration	991,000	21,000	162,000	372,000	88,000	1,634,000
Student services administration	0	0	0	0	12,100,000	12,100,000
Library	1,010,000	0	106,000	249,000	9,671,000	11,036,000
	43,840,628	678,776	4,126,000	9,741,344	59,581,260	117,968,008
Excess administration costs	(8,065,648)	(92,616)	0	(666,304)	(23,125,160)	(31,949,728)
Total facilities and admin. costs	35,774,980	586,160	4,126,000	9,075,040	36,456,100	86,018,280
Rate elements:						
Building depreciation	5.1%	13.3%	4.7%	2.8%	7.4%	
Equipment depreciation	0.7%	0.0%	1.5%	0.4%	0.6%	
Interest	1.8%	0.0%	3.2%	0.1%	0.6%	
Operations & maintenance	10.6%	0.7%	5.2%	5.8%	16.2%	
General administration	14.9%	13.1%	13.1%	12.1%	17.4%	
Department administration	20.1%	17.8%	5.9%	15.1%	29.4%	
Sponsored projects administration	1.3%	1.4%	1.4%	1.4%	0.2%	
Student services administration	0.0%	0.0%	0.0%	0.0%	22.9%	
Library	1.1%	0.0%	0.9%	0.9%	18.3%	
	55.6%	46.3%	35.9%	38.6%	113.0%	
Capped rate effect	-10.2%	-6.3%	0.0%	-2.6%	-43.8%	
Net calculated rate	45.4%	40.0%	35.9%	36.0%	69.2%	

	<u>Other On-campus Research</u>	<u>Poker Flat</u>	<u>ARSC</u>	<u>Other Sponsored Activities</u>	<u>Instruction/ Training</u>	<u>Total</u>
Estimated MTDC base FY08	76,376,000	1,289,000	11,067,000	24,797,000	48,615,000	162,144,000
Estimated federal activity rate	<u>67.7%</u>	<u>76.7%</u>	<u>96.9%</u>	<u>51.6%</u>	<u>8.8%</u>	<u>49.7%</u>
Estimated federal activity	<u>51,729,000</u>	<u>988,000</u>	<u>10,724,000</u>	<u>12,785,000</u>	<u>4,288,000</u>	<u>80,514,000</u>
Calculated rate (Schedule 1a)	<u>44.4%</u>	<u>41.9%</u>	<u>35.6%</u>	<u>36.0%</u>	<u>69.6%</u>	
Suggested rate (Schedule 1)	<u>45.1%</u>	<u>26.0%</u>	<u>29.0%</u>	<u>36.0%</u>	<u>30.0%</u>	
Potential recovery on federal participation at:						
Calculated rate	<u>22,957,000</u>	<u>414,000</u>	<u>3,819,000</u>	<u>4,609,000</u>	<u>2,986,000</u>	<u>34,785,000</u>
Suggested rate	<u>23,330,000</u>	<u>257,000</u>	<u>3,110,000</u>	<u>4,603,000</u>	<u>1,286,000</u>	<u>32,586,000</u>

	<u>Other On-campus Research</u>	<u>Poker Flat</u>	<u>ARSC</u>	<u>Other Sponsored Activities</u>	<u>Instruction/ Training</u>	<u>Total</u>
Estimated MTDC base FY09	76,962,000	1,378,000	11,312,000	25,485,000	50,640,000	165,777,000
Estimated federal activity rate	<u>67.7%</u>	<u>76.7%</u>	<u>96.9%</u>	<u>51.6%</u>	<u>8.8%</u>	<u>49.3%</u>
Estimated federal activity	<u>52,126,000</u>	<u>1,056,000</u>	<u>10,961,000</u>	<u>13,150,000</u>	<u>4,466,000</u>	<u>81,759,000</u>
Calculated rate (Schedule 1b)	<u>45.6%</u>	<u>40.9%</u>	<u>35.8%</u>	<u>36.0%</u>	<u>69.8%</u>	
Suggested rate (Schedule 1)	<u>45.1%</u>	<u>26.0%</u>	<u>29.0%</u>	<u>36.0%</u>	<u>30.0%</u>	
Potential recovery on federal participation at:						
Calculated rate	<u>23,795,000</u>	<u>432,000</u>	<u>3,929,000</u>	<u>4,739,000</u>	<u>3,117,000</u>	<u>36,012,000</u>
Suggested rate	<u>23,509,000</u>	<u>275,000</u>	<u>3,179,000</u>	<u>4,734,000</u>	<u>1,340,000</u>	<u>33,037,000</u>

	<u>Other On-campus Research</u>	<u>Poker Flat</u>	<u>ARSC</u>	<u>Other Sponsored Activities</u>	<u>Instruction/ Training</u>	<u>Total</u>
Estimated MTDC base FY10	78,823,000	1,466,000	11,483,000	25,204,000	52,785,000	169,761,000
Estimated federal activity rate	<u>67.7%</u>	<u>76.7%</u>	<u>96.9%</u>	<u>51.6%</u>	<u>8.8%</u>	<u>49.1%</u>
Estimated federal activity	<u>53,387,000</u>	<u>1,124,000</u>	<u>11,127,000</u>	<u>13,005,000</u>	<u>4,656,000</u>	<u>83,299,000</u>
Calculated rate (Schedule 1c)	<u>45.4%</u>	<u>40.0%</u>	<u>35.9%</u>	<u>36.0%</u>	<u>69.2%</u>	
Suggested rate (Schedule 1)	<u>45.1%</u>	<u>26.0%</u>	<u>29.0%</u>	<u>36.0%</u>	<u>30.0%</u>	
Potential recovery on federal participation at:						
Calculated rate	<u>24,230,000</u>	<u>449,000</u>	<u>3,998,000</u>	<u>4,683,000</u>	<u>3,220,000</u>	<u>36,580,000</u>
Suggested rate	<u>24,078,000</u>	<u>292,000</u>	<u>3,227,000</u>	<u>4,682,000</u>	<u>1,397,000</u>	<u>33,676,000</u>

University of Alaska Fairbanks (UAF)
 FY08-FY10 Facilities and Administrative Costs Rate Projections
 Estimated Base

UAF Schedule 3
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	Other On-campus Research (1)	Ship (4)	Poker Flat	Arctic Region Supercomputing Center	Polar Ice Coring Office (1)	Other Sponsored Activities (2)	Instruction/ Training	Other Institutional	Total
Modified Total Direct Costs (MTDC)									
FY95	32,701,121	1,061,345	2,504,645	3,368,822	1,626,273	n/a	31,865,710	27,492,236	100,620,152
FY97	34,234,459	780,560	850,570	3,932,067	n/a	n/a	33,421,893	25,939,676	99,159,225
FY00	36,372,766	1,274,963	877,038	4,444,377	n/a	12,849,212	29,045,492	20,270,872	105,134,720
FY03	55,857,333	1,282,324	1,372,365	7,607,827	n/a	14,079,026	37,681,112	18,840,864	136,720,851
FY06	71,193,695	n/a	1,225,038	10,777,353	n/a	21,527,679	44,665,112	20,220,186	169,609,063
FY08 Estimate	76,376,000	n/a	1,289,000	11,067,000	n/a	24,797,000	48,615,000	23,592,000	185,736,000
FY09 Estimate	76,962,000	n/a	1,378,000	11,312,000	n/a	25,485,000	50,640,000	23,980,000	189,757,000
FY10 Estimate	78,823,000	n/a	1,466,000	11,483,000	n/a	25,204,000	52,785,000	24,330,000	194,091,000
Sponsored MTDC Activity									
FY95	22,017,768	1,255,961	2,504,645	3,256,648	1,564,709	n/a	1,865,664	n/a	32,465,395
FY97	23,112,889	874,477	850,570	3,929,797	n/a	n/a	3,566,662	n/a	32,334,395
FY00	27,645,813	1,146,462	877,038	4,258,014	n/a	11,297,098	2,035,011	n/a	47,259,436
FY03	45,267,308	1,201,760	1,372,365	7,546,206	n/a	12,351,285	3,579,317	n/a	71,318,241
FY06	58,647,165	n/a	1,219,648	10,441,265	n/a	18,801,968	4,790,858	n/a	93,900,904
F & A Cost Recovery									
FY95	6,764,297	325,995	453,061	623,575	434,627	n/a	183,992	n/a	8,785,547
FY97	8,150,207	196,694	200,050	1,185,271	n/a	n/a	254,044	n/a	9,986,266
FY00	10,249,063	318,465	184,543	877,239	n/a	1,139,094	175,803	n/a	12,944,207
FY03	16,808,783	476,061	313,676	2,342,425	n/a	1,397,506	424,281	n/a	21,762,732
FY06	21,160,117	n/a	256,971	2,606,505	n/a	1,797,969	449,210	n/a	26,270,772
Recovery Ratio									
FY95	30.7%	26.0%	18.1%	19.1%	27.8%	n/a	9.9%	n/a	
FY97	35.3%	22.5%	23.5%	30.2%	n/a	n/a	7.1%	n/a	
FY00	37.1%	27.8%	21.0%	20.6%	n/a	10.1%	8.6%	n/a	
FY03	37.1%	39.6% (3)	22.9%	31.0%	n/a	11.3%	11.9%	n/a	
FY06	36.1%	n/a	21.1%	25.0%	n/a	9.6%	9.4%	n/a	
Rate history:									
Negotiated FY95	42.2%	35.0%	25.5%	20.8%	31.4%	39.2%	25.0%	n/a	
Negotiated FY96	50.0%	33.6%	26.0%	40.0%	n/a	47.8%	25.0%	n/a	
Negotiated FY97	50.0%	33.2%	24.0%	35.8%	n/a	50.0%	25.0%	n/a	
Negotiated FY98	50.0%	33.2%	26.0%	29.6%	n/a	50.0%	25.0%	n/a	
Negotiated FY99 - 01	51.3%	32.4%	26.5%	21.3%	n/a	36.3%	30.0%	n/a	
Negotiated FY02	47.1%	35.8%	26.3%	31.9%	n/a	34.0%	30.0%	n/a	
Negotiated FY03 - 04	50.4%	33.0%	26.3%	33.2%	n/a	35.4%	30.0%	n/a	
Negotiated FY05 - 07	47.5%	36.9%	27.4%	25.2%	n/a	33.7%	30.0%	n/a	

- (1) PICO program initiated in FY89; separate rate component in FY94; contract expired FY95.
 (2) Other Sponsored Activities base and rate developed beginning in FY99.
 (3) Overcollection due to correction made in FY03 for prior year uncollection and an overcollection. Overcollection corrected in FY04.
 (4) No base year costs for Ship activity in FY06. A Ship rate is not negotiated for FY08-FY10 proposal period.

	AFES	CCCS (1)	DPP	GI (2)	IAB (2)	IARC (3)	INE	MIRL	Museum (1)	Other (4)	PDL	SFOS (2)	Total On-campus Research
Modified Total Direct Costs (MTDC)													
FY95	5,040,368	253,052	506,328	11,906,278	3,079,908	n/a	1,693,123	389,535	936,905	n/a	344,487	8,551,137	32,701,121
FY97	4,630,293	275,426	534,808	13,183,560	2,857,365	n/a	1,618,614	507,751	1,205,302	n/a	395,458	9,025,882	34,234,459
FY00	4,407,690	n/a	502,992	16,054,861	4,236,482	1,686,806	2,645,636	438,109	n/a	n/a	334,559	6,065,631	36,372,766
FY03	4,999,018	n/a	1,284,344	19,435,501	7,346,659	5,050,043	2,985,142	792,219	n/a	3,714,483	607,339	9,642,585	55,857,333
FY06	6,301,214	n/a	1,550,486	20,321,096	12,847,074	5,171,977	5,500,600	862,013	n/a	5,978,771	505,776	12,151,432	71,190,439
FY08 Estimate	6,881,000	n/a	2,703,000	21,637,000	13,551,000	5,274,000	6,213,000	894,000	n/a	6,075,000	660,000	12,488,000	76,376,000
FY09 Estimate	5,705,000	n/a	1,981,000	22,972,000	14,156,000	5,337,000	6,428,000	920,000	n/a	5,949,000	680,000	12,834,000	76,962,000
FY10 Estimate	5,167,000	n/a	1,807,000	24,311,000	14,778,000	5,302,000	6,632,000	947,000	n/a	5,989,000	700,000	13,190,000	78,823,000
Sponsored MTDC Activity													
FY95	2,245,729	102,433	216,560	8,698,440	1,988,449	n/a	1,335,363	154,076	401,390	n/a	146,509	6,728,819	22,017,768
FY97	2,146,045	47,412	316,039	10,219,185	1,993,104	n/a	1,096,728	275,182	553,049	n/a	127,246	6,338,899	23,112,889
FY00	2,553,248	n/a	332,267	13,007,597	2,976,475	1,646,054	2,059,265	213,917	n/a	n/a	169,719	4,687,271	27,645,813
FY03	3,331,864	n/a	1,056,276	15,538,171	5,777,482	5,014,533	2,300,988	475,558	n/a	2,939,317	350,371	8,482,748	45,267,308
FY06	4,331,209	n/a	1,161,714	16,758,775	10,528,486	5,089,714	3,626,898	562,504	n/a	5,273,406	437,797	10,876,662	58,647,165
F & A Cost Recovery													
FY95	221,891	10,746	52,328	3,598,709	365,325	n/a	440,417	42,162	87,306	n/a	14,214	1,931,199	6,764,297
FY97	213,112	2,041	62,595	4,771,663	492,863	n/a	375,704	77,196	64,732	n/a	52,472	2,037,829	8,150,207
FY00	182,622	n/a	64,480	5,809,856	908,266	558,122	858,105	74,307	n/a	n/a	64,395	1,728,910	10,249,063
FY03	327,237	n/a	441,592	6,748,216	1,979,738	1,852,078	968,510	228,878	n/a	1,095,614	144,688	3,022,232	16,808,783
FY06	341,679	n/a	542,860	7,439,488	3,769,724	1,727,974	1,391,348	269,599	n/a	2,225,032	201,593	3,250,820	21,160,117
Recovery Ratio													
FY95	9.9%	10.5%	24.2%	41.4%	18.4%	n/a	33.0%	27.4%	21.8%	n/a	9.7%	28.7%	30.7%
Original calculated rate	9.9%	4.3%	19.8%	46.7%	24.7%	n/a	34.3%	28.1%	11.7%	n/a	41.2%	32.1%	35.3%
FY00	7.2%	n/a	19.4%	44.7%	30.5%	33.9%	41.7%	34.7%	n/a	n/a	37.9%	36.9%	37.1%
FY03	9.8%	n/a	41.8%	43.4%	34.3%	36.9%	42.1%	48.1%	n/a	37.3%	41.3%	35.6%	37.1%
FY06	7.9%	n/a	46.7%	44.4%	35.8%	34.0%	38.4%	47.9%	n/a	42.2%	46.0%	29.9%	36.1%

- (1) CCCS and Museum transferred to Other Institutional Activities / Other Sponsored Activities in FY99.
 (2) Separate component rates until FY95.
 (3) International Arctic Research Center (IARC) initiated in FY99.
 (4) Beginning, FY02 a change in definition of research base allows non-organized research units to use OOC research rate.

University of Alaska Fairbanks (UAF)
 FY08-FY10 Facilities and Administrative Costs Rate Projections
 Estimated Pool Costs

UAF Schedule 4
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	Other On-campus Research	Ship	Poker Flat	ARSC	PICO	Other Sponsored Activities (5)	Instruction/ Training	Other Institutional	Total
Bldng Depreciation & Use Chrgs (1)									
FY95	769,919	36,936	0	63,507	6,213	n/a	900,467	1,495,412	3,272,454
FY97	888,937	36,754	495	80,980	n/a	n/a	1,413,839	1,652,452	4,073,457
FY00	992,205	39,259	0	159,395	n/a	192,075	1,293,255	2,294,712	4,970,901
FY03	2,996,885	11,880	11,964	322,622	n/a	267,715	3,348,987	5,613,060	12,573,113
FY06	2,773,008	0	195,311	538,315	n/a	567,867	3,519,140	6,008,060	13,601,701
FY08 Estimate	3,470,000	0	195,000	538,000	n/a	711,000	3,661,000	5,866,000	14,441,000
FY09 Estimate	4,029,000	0	195,000	538,000	n/a	708,000	3,881,000	5,903,000	15,254,000
FY10 Estimate	4,025,000	0	195,000	537,000	n/a	696,000	3,882,000	5,901,000	15,236,000
Equip Depreciation & Use Chrgs (1)									
FY95	1,028,341	944	n/a	2,033	12,966	n/a	785,659	478,258	2,308,201
FY97	705,553	0	n/a	13,761	n/a	n/a	457,662	213,831	1,390,807
FY00	741,246	0	n/a	374,962	n/a	108,369	500,742	306,947	2,032,266
FY03	760,080	0	0	85,078	n/a	48,713	607,576	466,947	1,968,394
FY06	530,182	0	0	169,280	n/a	111,193	293,790	209,440	1,313,885
FY08 Estimate	530,000	0	0	169,000	n/a	111,000	294,000	209,000	1,313,000
FY09 Estimate	529,000	0	0	169,000	n/a	111,000	294,000	209,000	1,312,000
FY10 Estimate	529,000	0	0	169,000	n/a	107,000	294,000	209,000	1,308,000
Total Depreciation & Use Chrgs (1)									
FY95	1,798,260	37,880	n/a	65,540	19,179	n/a	1,686,126	1,973,670	5,580,655
FY97	1,594,490	36,754	495	94,741	n/a	n/a	1,871,501	1,866,283	5,464,264
FY00	1,733,451	39,259	n/a	534,357	n/a	300,444	1,793,997	2,601,659	7,003,167
FY03	3,756,965	11,880	11,964	407,700	n/a	316,428	3,956,563	6,080,007	14,541,507
FY06	3,303,190	0	195,311	707,595	n/a	679,060	3,812,930	6,217,500	14,915,586
FY08 Estimate	4,000,000	0	195,000	707,000	n/a	822,000	3,955,000	6,075,000	15,754,000
FY09 Estimate	4,558,000	0	195,000	707,000	n/a	819,000	4,175,000	6,112,000	16,566,000
FY10 Estimate	4,554,000	0	195,000	706,000	n/a	803,000	4,176,000	6,110,000	16,544,000
Amrtztn of Change in Bldng Captlzn Thrshld (1)									
FY95	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	0
FY97	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	0
FY00	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	0
FY03	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	0
FY06	348,399	0	28,419	39,395	n/a	51,984	396,155	805,788	1,670,140
FY08 Estimate	0	0	0	0	n/a	0	0	0	0
FY09 Estimate	0	0	0	0	n/a	0	0	0	0
FY10 Estimate	0	0	0	0	n/a	0	0	0	0
Amrtztn of Change in Eqpmt Captlzn Thrshld (1)									
FY95	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	0
FY97	230,184	0	0	180	n/a	n/a	242,545	127,370	600,279
FY00	230,184	0	0	180	n/a	0	242,545	127,370	600,279
FY03	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	0
FY06	185,319	0	0	17,327	n/a	35,065	156,064	127,350	521,125
FY08 Estimate	0	0	0	0	n/a	0	0	0	0
FY09 Estimate	0	0	0	0	n/a	0	0	0	0
FY10 Estimate	0	0	0	0	n/a	0	0	0	0

University of Alaska Fairbanks (UAF)
 FY08-FY10 Facilities and Administrative Costs Rate Projections
 Estimated Pool Costs

UAF Schedule 4
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	Other On-campus Research	Ship	Poker Flat	ARSC	PICO	Other Sponsored Activities (5)	Instruction/ Training	Other Institutional	Total
Total Amrtzn of Change in Cptlzn Thrshld (1)									
FY95	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	0
FY97	230,184	0	0	180	n/a	n/a	242,545	127,370	600,279
FY00	230,184	0	0	180	n/a	0	242,545	127,370	600,279
FY03	n/a	n/a	n/a	n/a	n/a	n/a	n/a	n/a	0
FY06	533,718	0	28,419	56,722	n/a	87,049	552,219	933,138	2,191,265
FY08 Estimate	0	0	0	0	n/a	0	0	0	0
FY09 Estimate	0	0	0	0	n/a	0	0	0	0
FY10 Estimate	0	0	0	0	n/a	0	0	0	0
Interest (2)									
FY95	not available	not available	not available	not available	not available	n/a	not available	not available	0
FY97	not available	not available	not available	not available	n/a	n/a	not available	not available	0
FY00	not available	not available	not available	not available	n/a	not available	not available	not available	0
FY03	59,834	0	0	0	n/a	554	2,438	490,968	553,794
FY06	837,260	0	0	356,237	n/a	14,243	97,514	535,201	1,840,455
FY08 Estimate	1,093,000	0	0	362,000	n/a	17,000	184,000	541,000	2,197,000
FY09 Estimate	1,333,000	0	0	371,000	n/a	20,000	303,000	555,000	2,582,000
FY10 Estimate	1,399,000	0	0	370,000	n/a	19,000	326,000	559,000	2,673,000
Operations and Maintenance (2)									
FY95	5,973,127	48,365	4,987	137,799	37,971	n/a	6,252,009	8,738,991	21,193,249
FY97	5,665,600	43,827	5,201	139,919	n/a	n/a	5,574,478	7,583,836	19,012,861
FY00	5,826,316	82,879	3,200	237,603	n/a	647,352	6,032,962	10,635,444	23,465,756
FY03	6,998,856	129,212	6,964	368,995	n/a	651,434	7,796,969	13,030,388	28,982,818
FY06	7,801,204	0	9,411	563,370	n/a	1,251,529	7,854,830	15,406,224	32,886,568
FY08 Estimate	7,987,000	0	10,000	572,000	n/a	1,395,000	8,063,000	15,495,000	33,522,000
FY09 Estimate	8,176,000	0	10,000	588,000	n/a	1,438,000	8,357,000	15,814,000	34,383,000
FY10 Estimate	8,318,000	0	10,000	599,000	n/a	1,451,000	8,559,000	16,038,000	34,975,000
General Administration									
FY95	3,802,244	123,405	291,222	391,702	189,091	n/a	3,705,105	3,196,594	11,699,363
FY97	5,374,931	101,607	110,721	511,847	n/a	n/a	5,002,984	3,403,116	14,505,206
FY00	4,076,832	142,904	98,302	498,468	n/a	1,427,419	3,253,995	2,231,478	11,729,398
FY03	6,584,034	132,309	141,600	785,168	n/a	1,393,999	3,895,972	1,888,656	14,821,738
FY06	10,123,319	0	153,466	1,357,398	n/a	2,428,062	7,505,149	2,418,656	23,986,050
FY08 Estimate	11,125,000	0	165,000	1,427,000	n/a	2,906,000	8,342,000	2,906,000	26,871,000
FY09 Estimate	11,356,000	0	179,000	1,473,000	n/a	3,015,000	8,747,000	2,984,000	27,754,000
FY10 Estimate	11,735,000	0	192,000	1,508,000	n/a	3,043,000	9,159,000	3,053,000	28,690,000
Department Administration (3)									
FY95	5,180,418	175,562	279,069	130,056	225,150	n/a	5,461,555	n/a	11,451,810
FY97	6,409,467	195,081	124,629	91,909	n/a	n/a	5,658,279	137,469	12,616,834
FY00	6,507,123	281,863	158,314	0	n/a	2,009,160	8,264,767	879,257	18,100,483
FY03	12,080,556	370,424	278,346	422,283	n/a	1,908,483	13,404,458	844,866	29,309,416
FY06	14,429,536	0	241,451	540,123	n/a	3,149,379	13,752,572	1,223,296	33,336,357
FY08 Estimate	15,013,536	0	242,404	624,000	n/a	3,597,692	14,587,140	1,332,000	35,396,772
FY09 Estimate	15,537,632	0	251,608	649,000	n/a	3,760,460	15,073,040	1,406,000	36,677,740
FY10 Estimate	15,833,628	0	260,776	675,000	n/a	3,804,344	15,502,260	1,456,000	37,532,008

University of Alaska Fairbanks (UAF)
 FY08-FY10 Facilities and Administrative Costs Rate Projections
 Estimated Pool Costs

UAF Schedule 4
 15-Jun-07

	Other On-campus Research	Ship	Poker Flat	ARSC	PICO	Other Sponsored Activities (5)	Instruction/ Training	Other Institutional	Total
Sponsored Project Administration (3)									
FY95	476,656	38,851	18,615	100,737	48,401	n/a	101,895	142,944	928,099
FY97	613,082	32,034	2,785	143,956	n/a	n/a	130,653	104,616	1,027,126
FY00	350,389	14,531	11,116	53,967	n/a	139,934 (6)	23,084	n/a (6)	593,021
FY03	701,165	17,612	20,113	110,594	n/a	183,120 (6)	57,920	n/a (6)	1,090,524
FY06	829,315	0	16,442	140,761	n/a	297,598	68,722	0 (3)	1,352,838
FY08 Estimate	923,000	0	18,000	150,000	n/a	352,000	78,000	0 (3)	1,521,000
FY09 Estimate	952,000	0	20,000	157,000	n/a	367,000	83,000	0 (3)	1,579,000
FY10 Estimate	991,000	0	21,000	162,000	n/a	372,000	88,000	0 (3)	1,634,000
Student Services Admin (4)									
FY95	0	0	0	0	0	n/a	4,663,488	0	4,663,488
FY97	0	0	0	0	n/a	n/a	5,022,262	0	5,022,262
FY00	0	0	0	0	n/a	0	5,883,998	0	5,883,998
FY03	0	0	0	0	n/a	0	7,397,119	0	7,397,119
FY06	0	0	0	0	n/a	0	10,042,577	0	10,042,577
FY08 Estimate	0	0	0	0	n/a	0	11,211,000	0	11,211,000
FY09 Estimate	0	0	0	0	n/a	0	11,655,000	0	11,655,000
FY10 Estimate	0	0	0	0	n/a	0	12,100,000	0	12,100,000
Library									
FY95	442,956	0	0	21,827	13,253	n/a	5,592,774 (7)	1,260,422	7,331,232
FY97	397,282	0	0	23,620	n/a	n/a	6,173,409 (7)	1,127,819	7,722,130
FY00	444,159	0	0	40,600	n/a	129,137	5,244,702 (7)	956,252	6,814,850
FY03	756,098	0	0	74,179	n/a	136,197	7,664,104 (7)	950,424	9,581,002
FY06	910,737	0	0	99,020	n/a	200,058	8,226,404	993,466	10,429,685
FY08 Estimate	958,000	0	0	99,000	n/a	233,000	9,016,000	1,155,000	11,461,000
FY09 Estimate	978,000	0	0	103,000	n/a	243,000	9,344,000	1,188,000	11,856,000
FY10 Estimate	1,010,000	0	0	106,000	n/a	249,000	9,671,000	1,218,000	12,254,000
Total Pool Costs									
FY95	17,673,661	424,063	593,893	847,661	533,045	n/a	27,462,952	15,312,621	62,847,896
FY97	20,285,036	409,303	243,831	1,006,172	n/a	n/a	29,676,111	14,350,509	65,970,962
FY00	19,168,454	561,436	270,932	1,365,175	n/a	4,653,446	30,740,050	17,431,460	74,190,952
FY03	30,937,508	661,437	458,987	2,168,919	n/a	4,590,215	44,175,543	23,285,309	106,277,918
FY06	38,768,279	0	644,500	3,821,226	n/a	8,106,978	51,912,917	27,727,481	130,981,381
FY08 Estimate	41,099,536	0	630,404	3,941,000	n/a	9,322,692	55,436,140	27,504,000	137,933,772
FY09 Estimate	42,890,632	0	655,608	4,048,000	n/a	9,662,460	57,737,040	28,059,000	143,052,740
FY10 Estimate	43,840,628	0	678,776	4,126,000	n/a	9,741,344	59,581,260	28,434,000	146,402,008

- (1) Beginning in FY03, Bldg Depreciation, Equipment Depreciation, Amortization of Remaining Net Book Value of Assets due to Change in Capitalization Threshold for Buildings, and Amortization of Remaining Net Book Value of Assets due to Change in Capitalization Threshold for Equipment will be shown individually on this schedule. Historically, they have all been combined and reported as Depreciation & Use Charges.
- (2) Beginning in FY03, interest costs are included in a separate cost pool. Historically, these costs have been included in the Operations and Maintenance cost pool.
- (3) Beginning in FY95, research administration costs were classified as either sponsored projects administration costs or department administration costs.
- (4) Historically, UA has not included these costs in our report. Beginning in FY03, student services costs will be reported, in order to be consistent between the standard report format and this forward pricing proposal. Prior year information is provided for comparison purposes only.
- (5) Beginning in FY99, the Other Sponsored Activities base and rate were developed.
- (6) Beginning in FY99, sponsored projects administration is allocated to Other Sponsored Activities and no longer allocated to Other Institutional Activities
- (7) Beginning in FY03, UA is reporting total library costs allocated to Instruction. Library costs are allocated initially to students, employees and other categories based on circulation statistics. The student portion is allocated to instruction, the employee portion is allocated to both indirect and direct activities based on employee salaries and wages, and the other portion is allocated to other institutional activity. The employee allocation results in a second allocation of library cost to the library. Historically, UA has not included the student portion of library costs in the library allocation to instruction in this report. The full allocation of library costs to instruction is included in this report. Historical information has been restated for comparability.

University of Alaska Anchorage

University of Alaska Anchorage (UAA)
 FY08-FY10 Facilities and Administrative Costs Rate Projections
 Combined Proposed Component Structure for FY08 - FY10
 Administrative Costs Capped at 26%

UAA Schedule 1
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 15-Jun-07

	Other On-campus Research	Other Sponsored Activities	Instruction/ Training	Total
Estimated MTDC base for FY08 - FY10	<u>32,577,000</u>	<u>35,424,000</u>	<u>191,712,000</u>	<u>259,713,000</u>
Estimated pool costs:				
Building depreciation	783,000	882,000	11,656,000	13,321,000
Equipment depreciation	126,000	60,000	966,000	1,152,000
Interest	301,000	36,000	581,000	918,000
Operations & maintenance	942,000	1,215,000	19,309,000	21,466,000
General administration	4,844,000	5,121,000	34,271,000	44,236,000
Department administration	6,815,772	4,471,264	50,688,632	61,975,668
Sponsored projects administration	1,529,000	1,872,000	1,113,000	4,514,000
Student services administration	0	0	38,917,000	38,917,000
Library	436,000	392,000	17,449,000	18,277,000
	<u>15,776,772</u>	<u>14,049,264</u>	<u>174,950,632</u>	<u>204,776,668</u>
Excess administration costs	<u>(4,718,752)</u>	<u>(2,254,024)</u>	<u>(75,144,512)</u>	<u>(82,117,288)</u>
Total facilities and administration costs	<u>11,058,020</u>	<u>11,795,240</u>	<u>99,806,120</u>	<u>122,659,380</u>
Rate elements:				
Building depreciation	2.4%	2.5%	6.1%	
Equipment depreciation	0.4%	0.2%	0.5%	
Interest	0.9%	0.1%	0.3%	
Operations & maintenance	2.9%	3.4%	10.1%	
General administration	14.9%	14.5%	17.9%	
Department administration	20.9%	12.6%	26.4%	
Sponsored projects administration	4.7%	5.3%	0.6%	
Student services administration	0.0%	0.0%	20.3%	
Library	1.3%	1.0%	9.0%	
	<u>48.4%</u>	<u>39.6%</u>	<u>91.2%</u>	
Capped rate effect	<u>-14.4%</u>	<u>-6.3%</u>	<u>-39.2%</u>	
Net calculated average rate	34.0%	33.3%	52.0%	
Voluntary Decrease in Rate	<u>0.0%</u>	<u>0.0%</u>	<u>-22.0%</u>	
Suggested Rate for FY08-FY10	<u>34.0%</u>	<u>33.3%</u>	<u>30.0%</u>	

University of Alaska Anchorage (UAA)
 FY08-FY10 Facilities and Administrative Costs Rate Projections
 Proposed Component Structure for FY08
 Administrative Costs Capped at 26%

UAA Schedule 1a
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 15-Jun-07

	Other On-campus Research	Other Sponsored Activities	Instruction/ Training	Total
Estimated MTDC base for FY08	10,245,000	11,478,000	62,197,000	83,920,000
Estimated pool costs:				
Building depreciation	260,000	301,000	3,886,000	4,447,000
Equipment depreciation	42,000	20,000	322,000	384,000
Interest	85,000	12,000	181,000	278,000
Operations & maintenance	300,000	392,000	6,160,000	6,852,000
General administration	1,492,000	1,626,000	10,857,000	13,975,000
Department administration	2,151,820	1,402,208	16,413,092	19,967,120
Sponsored projects administration	467,000	592,000	353,000	1,412,000
Student services administration	0	0	12,227,000	12,227,000
Library	133,000	123,000	5,516,000	5,772,000
	4,930,820	4,468,208	55,915,092	65,314,120
Excess administration costs	(1,447,120)	(635,928)	(23,678,872)	(25,761,920)
Total facilities and administration costs	3,483,700	3,832,280	32,236,220	39,552,200
Rate elements:				
Building depreciation	2.5%	2.6%	6.2%	
Equipment depreciation	0.4%	0.2%	0.5%	
Interest	0.8%	0.1%	0.3%	
Operations & maintenance	2.9%	3.4%	9.9%	
General administration	14.6%	14.2%	17.5%	
Department administration	21.0%	12.2%	26.4%	
Sponsored projects administration	4.6%	5.2%	0.5%	
Student services administration	0.0%	0.0%	19.7%	
Library	1.3%	1.0%	8.9%	
	48.1%	38.9%	89.9%	
Capped rate effect	-14.1%	-5.5%	-38.1%	
Net calculated rate	34.0%	33.4%	51.8%	

University of Alaska Anchorage (UAA)
 FY08-FY10 Facilities and Administrative Costs Rate Projections
 Proposed Component Structure for FY09
 Administrative Costs Capped at 26%

UAA Schedule 1b
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	Other On-campus Research	Other Sponsored Activities	Instruction/ Training	Total
Estimated MTDC base for FY09	10,840,000	11,915,000	63,810,000	86,565,000
Estimated pool costs:				
Building depreciation	261,000	296,000	3,885,000	4,442,000
Equipment depreciation	42,000	20,000	322,000	384,000
Interest	109,000	12,000	201,000	322,000
Operations & maintenance	314,000	407,000	6,423,000	7,144,000
General administration	1,610,000	1,721,000	11,401,000	14,732,000
Department administration	2,269,240	1,493,940	16,876,160	20,639,340
Sponsored projects administration	506,000	627,000	368,000	1,501,000
Student services administration	0	0	12,954,000	12,954,000
Library	145,000	132,000	5,808,000	6,085,000
	5,256,240	4,708,940	58,238,160	68,203,340
Excess administration costs	(1,566,840)	(744,040)	(25,008,560)	(27,319,440)
Total facilities and administration costs	3,689,400	3,964,900	33,229,600	40,883,900
Rate elements:				
Building depreciation	2.4%	2.5%	6.1%	
Equipment depreciation	0.4%	0.2%	0.5%	
Interest	1.0%	0.1%	0.3%	
Operations & maintenance	2.9%	3.4%	10.1%	
General administration	14.9%	14.4%	17.9%	
Department administration	20.9%	12.5%	26.4%	
Sponsored projects administration	4.7%	5.3%	0.6%	
Student services administration	0.0%	0.0%	20.3%	
Library	1.3%	1.2%	9.1%	
	48.5%	39.6%	91.3%	
Capped rate effect	-14.5%	-6.3%	-39.2%	
Net calculated rate	34.0%	33.3%	52.1%	

University of Alaska Anchorage (UAA)
 FY08-FY10 Facilities and Administrative Costs Rate Projections
 Proposed Component Structure for FY10
 Administrative Costs Capped at 26%

UAA Schedule 1c
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 15-Jun-07

	Other On-campus Research	Other Sponsored Activities	Instruction/ Training	Total
Estimated MTDC base for FY10	11,492,000	12,031,000	65,705,000	89,228,000
Estimated pool costs:				
Building depreciation	262,000	285,000	3,885,000	4,432,000
Equipment depreciation	42,000	20,000	322,000	384,000
Interest	107,000	12,000	199,000	318,000
Operations & maintenance	328,000	416,000	6,726,000	7,470,000
General administration	1,742,000	1,774,000	12,013,000	15,529,000
Department administration	2,394,712	1,575,116	17,399,380	21,369,208
Sponsored projects administration	556,000	653,000	392,000	1,601,000
Student services administration	0	0	13,736,000	13,736,000
Library	158,000	137,000	6,125,000	6,420,000
	5,589,712	4,872,116	60,797,380	71,259,208
Excess administration costs	(1,704,792)	(874,056)	(26,457,080)	(29,035,928)
Total facilities and administration costs	3,884,920	3,998,060	34,340,300	42,223,280
Rate elements:				
Building depreciation	2.3%	2.4%	5.9%	
Equipment depreciation	0.4%	0.2%	0.5%	
Interest	0.9%	0.1%	0.3%	
Operations & maintenance	2.9%	3.5%	10.2%	
General administration	15.2%	14.7%	18.3%	
Department administration	20.8%	13.1%	26.5%	
Sponsored projects administration	4.8%	5.4%	0.6%	
Student services administration	0.0%	0.0%	20.9%	
Library	1.3%	1.1%	9.3%	
	48.6%	40.5%	92.5%	
Capped rate effect	-14.8%	-7.3%	-40.3%	
Net calculated rate	33.8%	33.2%	52.2%	

University of Alaska Anchorage (UAA)
 FY08-FY10 Facilities and Administrative Costs Rate Projections
 Recovery on Federal Participation for FY08

UAA Schedule 2
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 15-Jun-07

	<u>Other On-campus Research</u>	<u>Other Sponsored Activities</u>	<u>Instruction/ Training</u>	<u>Total</u>
Estimated MTDC base FY08	10,245,000	11,478,000	62,197,000	83,920,000
Estimated federal activity rate	<u>53.5%</u>	<u>65.5%</u>	<u>5.8%</u>	<u>19.8%</u>
Estimated federal activity	<u>5,478,000</u>	<u>7,515,000</u>	<u>3,583,000</u>	<u>16,576,000</u>
Calculated rate (Schedule 1a)	<u>34.0%</u>	<u>33.4%</u>	<u>51.8%</u>	
Suggested rate (Schedule 1)	<u>34.0%</u>	<u>33.3%</u>	<u>30.0%</u>	
Potential recovery on federal participation at:				
Calculated rate	<u>1,863,000</u>	<u>2,509,000</u>	<u>1,857,000</u>	<u>6,229,000</u>
Suggested rate	<u>1,862,000</u>	<u>2,502,000</u>	<u>1,075,000</u>	<u>5,439,000</u>

University of Alaska Anchorage (UAA)
 FY08-FY10 Facilities and Administrative Costs Rate Projections
 Recovery on Federal Participation for FY09

UAA Schedule 2
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 15-Jun-07

	<u>Other On-campus Research</u>	<u>Other Sponsored Activities</u>	<u>Instruction/ Training</u>	<u>Total</u>
Estimated MTDC base FY09	10,840,000	11,915,000	63,810,000	86,565,000
Estimated federal activity rate	<u>53.5%</u>	<u>65.5%</u>	<u>5.8%</u>	<u>20.0%</u>
Estimated federal activity	<u>5,796,000</u>	<u>7,801,000</u>	<u>3,675,000</u>	<u>17,272,000</u>
Calculated rate (Schedule 1b)	<u>34.0%</u>	<u>33.3%</u>	<u>52.1%</u>	
Suggested rate (Schedule 1)	<u>34.0%</u>	<u>33.3%</u>	<u>30.0%</u>	
Potential recovery on federal participation at:				
Calculated rate	<u>1,973,000</u>	<u>2,600,000</u>	<u>1,914,000</u>	<u>6,487,000</u>
Suggested rate	<u>1,970,000</u>	<u>2,598,000</u>	<u>1,103,000</u>	<u>5,671,000</u>

University of Alaska Anchorage (UAA)
 FY08-FY10 Facilities and Administrative Costs Rate Projections
 Recovery on Federal Participation for FY10

UAA Schedule 2
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 15-Jun-07

	<u>Other On-campus Research</u>	<u>Other Sponsored Activities</u>	<u>Instruction/ Training</u>	<u>Total</u>
Estimated MTDC base FY10	11,492,000	12,031,000	65,705,000	89,228,000
Estimated federal activity rate	<u>53.5%</u>	<u>65.5%</u>	<u>5.8%</u>	<u>20.0%</u>
Estimated federal activity	<u>6,145,000</u>	<u>7,877,000</u>	<u>3,785,000</u>	<u>17,807,000</u>
Calculated rate (Schedule 1c)	<u>33.8%</u>	<u>33.2%</u>	<u>52.2%</u>	
Suggested rate (Schedule 1)	<u>34.0%</u>	<u>33.3%</u>	<u>30.0%</u>	
Potential recovery on federal participation at:				
Calculated rate	<u>2,077,000</u>	<u>2,618,000</u>	<u>1,974,000</u>	<u>6,669,000</u>
Suggested rate	<u>2,089,000</u>	<u>2,623,000</u>	<u>1,136,000</u>	<u>5,848,000</u>

University of Alaska Anchorage (UAA)
 FY08-FY10 Facilities and Administrative Costs Rate Projections
 Estimated Base

UAA Schedule 3
 15-Jun-07

	Other On-campus Research	American Russian Center (1)	Other Sponsored Activities (1)(3)	Instruction/ Training	Other Institutional	Total
Modified Total Direct Costs (MTDC)						
FY95	4,399,693	2,776,340	n/a	40,401,758	12,887,332	60,465,123
FY97	4,231,413	1,874,268	n/a	40,711,470	12,858,321	59,675,472
FY00	4,858,280	1,070,327	7,196,344	39,843,156	11,989,625	64,957,732
FY03	7,895,614	2,383,074	8,851,979	47,114,838	12,361,293	78,606,798
FY06	10,274,465	n/a	11,151,338	60,246,654	17,187,895	98,860,352
FY08 Estimate	10,245,000	n/a	11,478,000	62,197,000	18,027,000	101,947,000
FY09 Estimate	10,840,000	n/a	11,915,000	63,810,000	18,048,000	104,613,000
FY10 Estimate	11,492,000	n/a	12,031,000	65,705,000	18,032,000	107,260,000
Sponsored MTDC Activity						
FY95	3,238,649	2,727,700	n/a	2,875,391	n/a	8,841,740
FY97	2,725,522	1,702,278	n/a	3,926,733	n/a	8,354,533
FY00	3,719,563	998,627	6,955,299	2,889,259	n/a	14,562,748
FY03	6,265,635	2,366,288	8,505,423	4,219,059	n/a	21,356,405
FY06	8,440,789	n/a	10,755,569	7,809,212	n/a	27,005,570
F & A Cost Recovery						
FY95	833,079	605,022	n/a	448,430	n/a	1,886,531
FY97	570,602	453,293	n/a	758,660	n/a	1,782,555
FY00	914,687	240,666	1,115,457	327,762	n/a	2,598,572
FY03	1,504,531	587,122	1,257,493	394,295	n/a	3,743,441
FY06	2,312,396	n/a	1,452,213	513,778	n/a	4,278,387
Recovery Ratio						
FY95	25.7%	22.2% (2)	n/a	15.6%	n/a	
FY97	20.9%	26.6%	n/a	19.3%	n/a	
FY00	24.6%	24.1%	16.0%	11.3%	n/a	
FY03	24.0%	24.8%	14.8%	9.3%	n/a	
FY06	27.4%	n/a	13.5%	6.6%	n/a	
Rate History						
Negotiated FY95	36.2%	21.2%	36.2%	25.0%	n/a	
Negotiated FY96	30.9%	25.1%	30.9%	25.0%	n/a	
Negotiated FY97	32.9%	27.5%	32.9%	25.0%	n/a	
Negotiated FY98	32.9%	24.7%	32.9%	25.0%	n/a	
Negotiated FY99-01	32.7%	29.1%	27.4%	30.0%	n/a	
Negotiated FY02	32.8%	36.0%	30.9%	30.0%	n/a	
Negotiated FY03-04	33.8%	37.3%	32.1%	30.0%	n/a	
Negotiated FY05-07	42.2%	n/a	35.6%	30.0%	n/a	

(1) Effective FY05, included in other sponsored activities base and rate.

(2) Overcollection corrected in FY95.

(3) Other Sponsored Activities base and rate developed beginning in FY99.

University of Alaska Anchorage (UAA)
 FY08-FY10 Facilities and Administrative Costs Rate Projections
 On Campus Research by Unit

UAA Schedule 3-1
 15-Jun-07

	ACIB (1)	ICHS (2)	CAAS (3)	ENRI	ISER	Justice Center	Other (3)(4)	Total Other On-Campus Research
Modified Total Direct Costs (MTDC)								
FY95	825,300	577,320	n/a	1,218,373	1,575,566	203,134	n/a	4,399,693
FY97	821,634	595,031	n/a	1,214,508	1,410,224	190,016	n/a	4,231,413
FY00	n/a	1,110,911	n/a	1,212,304	1,960,992	556,773	17,300	4,858,280
FY03	n/a	948,375	n/a	1,621,275	2,716,980	348,383	2,260,601	7,895,614
FY06	n/a	1,196,520	30,277	1,758,061	2,787,707	408,585	4,093,315	10,274,465
FY08 Estimate	n/a	907,000	31,000	1,832,000	3,080,000	384,000	4,011,000	10,245,000
FY09 Estimate	n/a	1,025,000	31,000	1,911,000	3,142,000	385,000	4,346,000	10,840,000
FY10 Estimate	n/a	1,158,000	31,000	1,993,000	3,207,000	386,000	4,717,000	11,492,000
Sponsored MTDC Activity								
FY95	543,567	493,122	n/a	817,379	1,231,566	153,015	n/a	3,238,649
FY97	457,112	469,918	n/a	663,640	1,007,943	126,909	n/a	2,725,522
FY00	n/a	993,566	n/a	802,175	1,538,751	385,071	n/a	3,719,563
FY03	n/a	947,656	n/a	1,238,891	2,065,430	220,399	1,793,259	6,265,635
FY06	n/a	1,086,398	30,277	1,158,098	2,063,497	276,312	3,826,207	8,440,789
F & A Cost Recovery								
FY95	31,776	165,835	n/a	243,073	336,736	55,659	n/a	833,079
FY97	1,830	127,025	n/a	164,640	244,565	32,542	n/a	570,602
FY00	n/a	243,071	n/a	250,432	298,581	122,603	n/a	914,687
FY03	n/a	281,020	n/a	341,967	367,120	71,058	443,366	1,504,531
FY06	n/a	387,326	6,138	318,551	413,820	93,966	1,092,595	2,312,396
Recovery Ratio								
FY95	5.8%	33.6%	n/a	29.7%	27.3%	36.4% (5)	n/a	
FY97	0.4%	27.0%	n/a	24.8%	24.3%	25.6%	n/a	
FY00	n/a	24.5%	n/a	31.2%	19.4%	31.8%	n/a	
FY03	n/a	29.7%	n/a	27.6%	17.8%	32.2%	24.7%	
FY06	n/a	35.7%	20.3%	27.5%	20.1%	34.0%	28.6%	

(1) ACIB program eliminated FY99.

(2) Formerly called the School of Nursing and Health Sciences (SNHS) and College of Health, Education, and Social Welfare (CHESW). Institute for Circumpolar Health is an organized research institute within the CHSW, the College of Health and Social Welfare.

(3) Center for Alcohol Addiction Studies in an organized research unit within the CHSW. Previous to FY06, the activity was included within the "Other" column. Previous figures are not restated due to immateriality. FY06 figures are presented correctly.

(4) Beginning in FY02, a change in definition of research base allows other non-organized research units to use OOC research rate.

(5) Rate exceeds negotiated rate due to collection at a higher rate from non-Federal sources.

University of Alaska Anchorage (UAA)
 FY08-FY10 Facilities and Administrative Costs Rate Projections
 Estimated Pool Costs

UAA Schedule 4
 15-Jun-07

	Other On-campus Research	American Russian Center (5)	Other Sponsored Activities (5)(6)	Instruction/ Training	Other Institutional	Total
Building Depreciation & Use Charges (1)						
FY95	50,131	4,352	n/a	1,619,246	847,884	2,521,613
FY97	51,742	22,049	n/a	1,755,985	863,962	2,693,738
FY00	28,303	39,904	81,272	1,728,882	1,559,413	3,437,774
FY03	263,025	85,364	111,799	4,584,951	4,085,375	9,130,514
FY06	248,525	n/a	305,196	3,780,374	3,816,850	8,150,945
FY08 Estimate	260,000	n/a	301,000	3,886,000	3,842,000	8,289,000
FY09 Estimate	261,000	n/a	296,000	3,885,000	3,836,000	8,278,000
FY10 Estimate	262,000	n/a	285,000	3,885,000	3,829,000	8,261,000
Equipment Depreciation & Use Charges (1)						
FY95	65,508	561	n/a	978,544	162,804	1,207,417
FY97	28,543	380	n/a	618,568	63,072	710,563
FY00	25,626	15,433	20,833	687,251	131,666	880,809
FY03	176,852	1,079	147,955	609,408	205,938	1,141,232
FY06	42,813	n/a	19,764	322,297	70,777	455,651
FY08 Estimate	42,000	n/a	20,000	322,000	80,000	464,000
FY09 Estimate	42,000	n/a	20,000	322,000	79,000	463,000
FY10 Estimate	42,000	n/a	20,000	322,000	79,000	463,000
Total Depreciation & Use Charges (1)						
FY95	115,639	4,913	n/a	2,597,790	1,010,688	3,729,030
FY97	80,285	22,429	n/a	2,374,553	927,034	3,404,301
FY00	53,929	55,337	102,105	2,416,133	1,691,079	4,318,583
FY03	439,877	86,443	259,754	5,194,359	4,291,313	10,271,746
FY06	291,338	n/a	324,960	4,102,671	3,887,627	8,606,596
FY08 Estimate	302,000	n/a	321,000	4,208,000	3,922,000	8,753,000
FY09 Estimate	303,000	n/a	316,000	4,207,000	3,915,000	8,741,000
FY10 Estimate	304,000	n/a	305,000	4,207,000	3,908,000	8,724,000
Amrtztn of Change in Bldng Captlzn Thrshld (1)						
FY95	n/a	n/a	n/a	n/a	n/a	0
FY97	n/a	n/a	n/a	n/a	n/a	0
FY00	n/a	n/a	n/a	n/a	n/a	0
FY03	n/a	n/a	n/a	n/a	n/a	0
FY06	35,509	n/a	15,027	455,240	829,245	1,335,021
FY08 Estimate	0	n/a	0	0	0	0
FY09 Estimate	0	n/a	0	0	0	0
FY10 Estimate	0	n/a	0	0	0	0

University of Alaska Anchorage (UAA)
 FY08-FY10 Facilities and Administrative Costs Rate Projections
 Estimated Pool Costs

UAA Schedule 4
 15-Jun-07

	Other On-campus Research	American Russian Center (5)	Other Sponsored Activities (5)(6)	Instruction/ Training	Other Institutional	Total
Amrtztn of Change in Eqpmt Captlzn Thrshld (1)						
FY95	n/a	n/a	n/a	n/a	n/a	0
FY97	39,287	237	n/a	412,657	78,555	530,736
FY00	39,287	237	n/a	412,657	78,555	530,736
FY03	n/a	n/a	n/a	n/a	n/a	0
FY06	21,876	n/a	15,269	190,300	40,148	267,593
FY08 Estimate	0	n/a	0	0	0	0
FY09 Estimate	0	n/a	0	0	0	0
FY10 Estimate	0	n/a	0	0	0	0
Total Amrtztn of Change in Cptlzn Thrshld (1)						
FY95	n/a	n/a	n/a	n/a	n/a	0
FY97	39,287	237	n/a	412,657	78,555	530,736
FY00	39,287	237	n/a	412,657	78,555	530,736
FY03	n/a	n/a	n/a	n/a	n/a	0
FY06	57,385	n/a	30,296	645,540	869,393	1,602,614
FY08 Estimate	0	n/a	0	0	0	0
FY09 Estimate	0	n/a	0	0	0	0
FY10 Estimate	0	n/a	0	0	0	0
Interest (2)						
FY95	not available	not available	n/a	not available	not available	0
FY97	not available	not available	n/a	not available	not available	0
FY00	not available	not available	not available	not available	not available	0
FY03	31,910	0	4,153	67,632	694,539	798,234
FY06	68,816	n/a	11,713	168,884	757,554	1,006,967
FY08 Estimate	85,000	n/a	12,000	181,000	768,000	1,046,000
FY09 Estimate	109,000	n/a	12,000	201,000	768,000	1,090,000
FY10 Estimate	107,000	n/a	12,000	199,000	768,000	1,086,000
Operations & Maintenance (2)						
FY95	151,408	9,833	n/a	4,619,203	1,766,914	6,547,358
FY97	146,079	46,498	n/a	4,356,429	2,327,422	6,876,428
FY00	170,041	45,843	180,812	4,842,491	5,524,161	10,763,348
FY03	340,971	47,302	222,738	5,494,956	6,586,480	12,692,447
FY06	307,421	n/a	415,377	5,923,450	6,722,535	13,368,783
FY08 Estimate	300,000	n/a	392,000	6,160,000	6,474,000	13,326,000
FY09 Estimate	314,000	n/a	407,000	6,423,000	6,501,000	13,645,000
FY10 Estimate	328,000	n/a	416,000	6,726,000	6,486,000	13,956,000

University of Alaska Anchorage (UAA)
 FY08-FY10 Facilities and Administrative Costs Rate Projections
 Estimated Pool Costs

UAA Schedule 4
 15-Jun-07

	Other On-campus Research	American Russian Center (5)	Other Sponsored Activities (5)(6)	Instruction/ Training	Other Institutional	Total
General Administration						
FY95	600,871	379,168	n/a	5,517,708	1,760,035	8,257,782
FY97	585,902	259,520	n/a	6,663,071	1,817,296	9,325,789
FY00	695,699	153,274	1,030,507	5,727,620	1,856,585	9,463,685
FY03	1,143,079	319,355	1,161,781	6,283,454	1,799,999	10,707,668
FY06	1,430,148	n/a	1,509,629	9,963,150	2,566,184	15,469,111
FY08 Estimate	1,492,000	n/a	1,626,000	10,857,000	2,812,000	16,787,000
FY09 Estimate	1,610,000	n/a	1,721,000	11,401,000	2,875,000	17,607,000
FY10 Estimate	1,742,000	n/a	1,774,000	12,013,000	2,934,000	18,463,000
Department Administration (3)						
FY95	906,058	92,882	n/a	6,468,255	0	7,467,195
FY97	875,771	73,722	n/a	6,505,015	7,350	7,461,858
FY00	938,419	189,196	497,762	5,943,326	23,640	7,592,343
FY03	2,156,319	191,879	1,342,525	12,928,641	76,316	16,695,680
FY06	2,085,967	n/a	1,352,244	15,682,646	72,597	19,193,454
FY08 Estimate	2,151,820	n/a	1,402,208 (7)	16,413,092	79,000	20,046,120
FY09 Estimate	2,269,240	n/a	1,493,940 (7)	16,876,160	81,000	20,720,340
FY10 Estimate	2,394,712	n/a	1,575,116 (7)	17,399,380	82,000	21,451,208
Sponsored Projects Administration (3)						
FY95	160,616	135,276	n/a	222,836	20,245	538,973
FY97	154,892	96,741	n/a	227,295	145,629	624,557
FY00	124,488	33,422	232,735 (7)	96,699	n/a (7)	487,344
FY03	193,695	68,467	246,099 (7)	103,520	n/a (7)	611,781
FY06	424,872	n/a	517,555	312,351	0	1,254,778
FY08 Estimate	467,000	n/a	592,000	353,000	0	1,412,000
FY09 Estimate	506,000	n/a	627,000	368,000	0	1,501,000
FY10 Estimate	556,000	n/a	653,000	392,000	0	1,601,000
Student Services Admin (4)						
FY95	0	0	n/a	7,265,297	0	7,265,297
FY97	0	0	n/a	7,009,268	0	7,009,268
FY00	0	0	0	6,688,675	0	6,688,675
FY03	0	0	0	8,733,352	0	8,733,352
FY06	0	n/a	0	11,114,497	0	11,114,497
FY08 Estimate	0	n/a	0	12,227,000	0	12,227,000
FY09 Estimate	0	n/a	0	12,954,000	0	12,954,000
FY10 Estimate	0	n/a	0	13,736,000	0	13,736,000

University of Alaska Anchorage (UAA)
 FY08-FY10 Facilities and Administrative Costs Rate Projections
 Estimated Pool Costs

UAA Schedule 4
 15-Jun-07

	Other On-campus Research	American Russian Center (5)	Other Sponsored Activities (5)(6)	Instruction/ Training	Other Institutional	Total
Library						
FY95	29,743	8,119	n/a	3,492,298 (8)	221,763	3,751,923
FY97	28,412	8,089	n/a	3,976,100 (8)	351,204	4,363,805
FY00	62,611	7,778	79,465	4,033,816 (8)	483,965	4,667,635
FY03	87,233	14,845	86,681	4,739,816 (8)	302,039	5,230,614
FY06	146,992	n/a	132,922	5,210,446 (8)	1,942,045	7,432,405
FY08 Estimate	133,000	n/a	123,000	5,516,000 (8)	2,304,000	8,076,000
FY09 Estimate	145,000	n/a	132,000	5,808,000 (8)	2,414,000	8,499,000
FY10 Estimate	158,000	n/a	137,000	6,125,000 (8)	2,534,000	8,954,000
Total pool costs						
FY95	1,964,335	630,191	n/a	30,183,387	4,779,645	37,557,558
FY97	1,910,628	507,236	n/a	31,524,388	5,654,490	39,596,742
FY00	2,084,474	485,087	2,123,386	30,161,417	9,657,985	44,512,349
FY03	4,393,084	728,291	3,323,731	43,545,730	13,750,686	65,741,522
FY06	4,812,939	n/a	4,294,696	53,123,635	16,817,935	79,049,205
FY08 Estimate	4,930,820	n/a	4,468,208	55,915,092	16,359,000	81,673,120
FY09 Estimate	5,256,240	n/a	4,708,940	58,238,160	16,554,000	84,757,340
FY10 Estimate	5,589,712	n/a	4,872,116	60,797,380	16,712,000	87,971,208

- (1) Beginning in FY03, Bldg Depreciation, Equipment Depreciation, Amortization of Remaining Net Book Value of Assets due to Change in Capitalization Threshold for Buildings, and Amortization of Remaining Net Book Value of Assets due to Change in Capitalization Threshold for Equipment will be shown individually on this schedule. Historically, they have all been combined and reported as Depreciation & Use Charges.
- (2) Beginning in FY03, interest costs are included in a separate cost pool. Historically, these costs have been included in the Operations and Maintenance cost pool.
- (3) Beginning in FY95, research administration costs were classified as either sponsored projects administration costs or department administration costs.
- (4) Historically, UA has not included these costs in our report. Beginning in FY03, student services costs will be reported, in order to be consistent between the standard report format and this report. Prior year information is provided for comparison purposes only.
- (5) Effective FY05, included in Other Sponsored Activities base and rate.
- (6) Beginning in FY99, the Other Sponsored Activities base and rate were developed.
- (7) Beginning in FY99, sponsored projects administration is allocated to Other Sponsored Activities and no longer allocated to Other Institutional Activities
- (8) Beginning in FY03, UA is reporting total library costs allocated to Instruction. Library costs are allocated initially to students, employees and other categories based on circulation statistics. The student portion is allocated to instruction, the employee portion is allocated to both indirect and direct activities based on employee salaries and wages, and the other portion is allocated to other institutional activity. The employee allocation results in a second allocation of library cost to the library. Historically, UA has not included the student portion of library costs in the library allocation to instruction in this report. The full allocation of library costs to instruction is included in this report. Historical information has been restated for comparability.

University of Alaska Southeast

University of Alaska Southeast (UAS)
 FY08-FY10 Facilities and Administrative Costs Rate Projections
 Combined Proposed Component Structure for FY08 - FY10
 Administrative Costs Capped at 26%

UAS Schedule 1
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 15-Jun-07

	Other On-campus Research	Other Sponsored Activities	Instruction/ Training	Total
Estimated MTDC base for FY08 - FY10	2,736,000	777,000	31,416,000	34,929,000
Estimated pool costs:				
Building Depreciation	236,000	61,700	3,391,000	3,688,700
Equipment Depreciation	135,000	900	309,000	444,900
Interest	213,000	3,000	30,000	246,000
Operations & maintenance	388,000	61,000	3,016,000	3,465,000
General administration	484,000	137,000	11,633,000	12,254,000
Department administration	326,496	27,972	8,852,976	9,207,444
Sponsored projects administration	99,000	29,000	225,000	353,000
Student services administration	0	0	8,204,000	8,204,000
Library	113,000	12,000	7,310,000	7,435,000
	1,994,496	332,572	42,970,976	45,298,044
Excess administration costs	(198,136)	0	(20,746,816)	(20,944,952)
	1,796,360	332,572	22,224,160	24,353,092
Total facilities and administration costs	1,796,360	332,572	22,224,160	24,353,092
Rate elements:				
Building Depreciation	8.6%	7.9%	10.8%	
Equipment Depreciation	4.9%	0.1%	1.0%	
Interest	7.8%	0.4%	0.1%	
Operations & maintenance	14.2%	7.9%	9.6%	
General administration	17.7%	17.6%	37.0%	
Department administration	11.9%	3.6%	28.2%	
Sponsored projects administration	3.6%	3.7%	0.7%	
Student services administration	0.0%	0.0%	26.1%	
Library	4.2%	1.6%	23.3%	
	72.9%	42.8%	136.8%	
Capped rate effect	-7.2%	0.0%	-66.0%	
	65.7%	42.8%	70.8%	
Net calculated average rate	65.7%	42.8%	70.8%	
Voluntary Decrease in Rate	-23.7%	-10.8%	-40.8%	
Suggested Rate for FY08-FY10	42.0%	32.0%	30.0%	

University of Alaska Southeast (UAS)
 FY08-FY10 Facilities and Administrative Costs Rate Projections
 Proposed Component Structure for FY08
 Administrative Costs Capped at 26%

UAS Schedule 1a
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 15-Jun-07

	Other On-campus Research	Other Sponsored Activities	Instruction/ Training	Total
Estimated MTDC base for FY08	817,000	259,000	10,130,000	11,206,000
Estimated pool costs:				
Building depreciation	78,000	20,600	1,131,000	1,229,600
Equipment depreciation	45,000	300	103,000	148,300
Interest	71,000	1,000	10,000	82,000
Operations & maintenance	126,000	20,000	983,000	1,129,000
General administration	143,000	45,000	3,703,000	3,891,000
Department administration	98,412	9,324	2,852,680	2,960,416
Sponsored projects administration	31,000	10,000	76,000	117,000
Student services administration	0	0	2,638,000	2,638,000
Library	34,000	4,000	2,376,000	2,414,000
	626,412	110,224	13,872,680	14,609,316
Excess administration costs	(59,992)	0	(6,635,880)	(6,695,872)
Total facilities and administration costs	566,420	110,224	7,236,800	7,913,444
Rate elements:				
Building depreciation	9.5%	8.0%	11.2%	
Equipment depreciation	5.5%	0.1%	1.0%	
Interest	8.7%	0.3%	0.1%	
Operations & maintenance	15.4%	7.7%	9.6%	
General administration	17.5%	17.4%	36.6%	
Department administration	12.0%	3.6%	28.2%	
Sponsored projects administration	3.9%	3.9%	0.7%	
Student services administration	0.0%	0.0%	26.0%	
Library	4.2%	1.5%	23.5%	
	76.7%	42.5%	136.9%	
Capped rate effect	-7.3%	0.0%	-65.5%	
Net calculated rate	69.4%	42.5%	71.4%	

University of Alaska Southeast (UAS)
 FY08-FY10 Facilities and Administrative Costs Rate Projections
 Proposed Component Structure for FY09
 Administrative Costs Capped at 26%

UAS Schedule 1b
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 15-Jun-07

	Other On-campus Research	Other Sponsored Activities	Instruction/ Training	Total
Estimated MTDC base for FY09	912,000	259,000	10,467,000	11,638,000
Estimated pool costs:				
Building Depreciation	79,000	20,600	1,130,000	1,229,600
Equipment Depreciation	45,000	300	103,000	148,300
Interest	71,000	1,000	10,000	82,000
Operations & maintenance	129,000	20,000	1,005,000	1,154,000
General administration	161,000	46,000	3,875,000	4,082,000
Department administration	108,832	9,324	2,949,812	3,067,968
Sponsored projects administration	33,000	10,000	75,000	118,000
Student services administration	0	0	2,733,000	2,733,000
Library	38,000	4,000	2,436,000	2,478,000
	664,832	111,224	14,316,812	15,092,868
Excess administration costs	(65,712)	0	(6,911,392)	(6,977,104)
Total facilities and administration costs	599,120	111,224	7,405,420	8,115,764
Rate elements:				
Building Depreciation	8.7%	8.0%	10.8%	
Equipment Depreciation	4.9%	0.1%	1.0%	
Interest	7.8%	0.4%	0.1%	
Operations & maintenance	14.1%	7.7%	9.6%	
General administration	17.7%	17.8%	37.0%	
Department administration	11.9%	3.6%	28.2%	
Sponsored projects administration	3.6%	3.9%	0.7%	
Student services administration	0.0%	0.0%	26.1%	
Library	4.2%	1.5%	23.3%	
	72.9%	43.0%	136.8%	
Capped rate effect	-7.2%	0.0%	-66.0%	
Net calculated rate	65.7%	43.0%	70.8%	

University of Alaska Southeast (UAS)
 FY08-FY10 Facilities and Administrative Costs Rate Projections
 Proposed Component Structure for FY10
 Administrative Costs Capped at 26%

UAS Schedule 1c
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	Other On-campus Research	Other Sponsored Activities	Instruction/ Training	Total
Estimated MTDC base for FY10	1,007,000	259,000	10,819,000	12,085,000
Estimated pool costs:				
Building Depreciation	79,000	20,500	1,130,000	1,229,500
Equipment Depreciation	45,000	300	103,000	148,300
Interest	71,000	1,000	10,000	82,000
Operations & maintenance	133,000	21,000	1,028,000	1,182,000
General administration	180,000	46,000	4,055,000	4,281,000
Department administration	119,252	9,324	3,050,484	3,179,060
Sponsored projects administration	35,000	9,000	74,000	118,000
Student services administration	0	0	2,833,000	2,833,000
Library	41,000	4,000	2,498,000	2,543,000
	<u>703,252</u>	<u>111,124</u>	<u>14,781,484</u>	<u>15,595,860</u>
Excess administration costs	(72,432)	0	(7,199,544)	(7,271,976)
Total facilities and administration costs	<u>630,820</u>	<u>111,124</u>	<u>7,581,940</u>	<u>8,323,884</u>
Rate elements:				
Building Depreciation	7.9%	7.9%	10.4%	
Equipment Depreciation	4.5%	0.1%	1.0%	
Interest	7.1%	0.4%	0.1%	
Operations & maintenance	13.2%	8.1%	9.5%	
General administration	17.9%	17.8%	37.4%	
Department administration	11.8%	3.6%	28.2%	
Sponsored projects administration	3.5%	3.5%	0.7%	
Student services administration	0.0%	0.0%	26.2%	
Library	4.0%	1.5%	23.1%	
	<u>69.9%</u>	<u>42.9%</u>	<u>136.6%</u>	
Capped rate effect	-7.2%	0.0%	-66.5%	
Net calculated rate	<u>62.7%</u>	<u>42.9%</u>	<u>70.1%</u>	

University of Alaska Southeast (UAS)
 FY08-FY10 Facilities and Administrative Costs Rate Projections
 Recovery on Federal Participation for FY08

UAS Schedule 2
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 15-Jun-07

	<u>Other On-campus Research</u>	<u>Other Sponsored Activities</u>	<u>Instruction/ Training</u>	<u>Total</u>
Estimated MTDC base FY08	817,000	259,000	10,130,000	11,206,000
Estimated federal activity rate	<u>42.5%</u>	<u>14.9%</u>	<u>13.1%</u>	<u>15.3%</u>
Estimated federal activity	<u>347,000</u>	<u>39,000</u>	<u>1,327,000</u>	<u>1,713,000</u>
Calculated rate (Schedule 1a)	<u>69.4%</u>	<u>42.5%</u>	<u>71.4%</u>	
Suggested rate (Schedule 1)	<u>42.0%</u>	<u>32.0%</u>	<u>30.0%</u>	
Potential recovery on federal participation at:				
Calculated rate	<u>241,000</u>	<u>17,000</u>	<u>947,000</u>	<u>1,205,000</u>
Suggested rate	<u>146,000</u>	<u>12,000</u>	<u>398,000</u>	<u>556,000</u>

	<u>Other On-campus Research</u>	<u>Other Sponsored Activities</u>	<u>Instruction/ Training</u>	<u>Total</u>
Estimated MTDC base FY09	912,000	259,000	10,467,000	11,638,000
Estimated federal activity rate	<u>42.5%</u>	<u>14.9%</u>	<u>13.1%</u>	<u>15.4%</u>
Estimated federal activity	<u>387,000</u>	<u>39,000</u>	<u>1,367,000</u>	<u>1,793,000</u>
Calculated rate (Schedule 1b)	<u>65.7%</u>	<u>43.0%</u>	<u>70.8%</u>	
Suggested rate (Schedule 1)	<u>42.0%</u>	<u>32.0%</u>	<u>30.0%</u>	
Potential recovery on federal participation at:				
Calculated rate	<u>254,000</u>	<u>17,000</u>	<u>967,000</u>	<u>1,238,000</u>
Suggested rate	<u>163,000</u>	<u>12,000</u>	<u>410,000</u>	<u>585,000</u>

University of Alaska Southeast (UAS)
 FY08-FY10 Facilities and Administrative Costs Rate Projections
 Recovery on Federal Participation for FY10

UAS Schedule 2
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 15-Jun-07

	<u>Other On-campus Research</u>	<u>Other Sponsored Activities</u>	<u>Instruction/ Training</u>	<u>Total</u>
Estimated MTDC base FY10	1,007,000	259,000	10,819,000	12,085,000
Estimated federal activity rate	<u>42.5%</u>	<u>14.9%</u>	<u>13.1%</u>	<u>15.6%</u>
Estimated federal activity	<u>428,000</u>	<u>39,000</u>	<u>1,413,000</u>	<u>1,880,000</u>
Calculated rate (Schedule 1c)	<u>62.7%</u>	<u>42.9%</u>	<u>70.1%</u>	
Suggested rate (Schedule 1)	<u>42.0%</u>	<u>32.0%</u>	<u>30.0%</u>	
Potential recovery on federal participation at:				
Calculated rate	<u>269,000</u>	<u>17,000</u>	<u>991,000</u>	<u>1,277,000</u>
Suggested rate	<u>180,000</u>	<u>12,000</u>	<u>424,000</u>	<u>616,000</u>

	Other On-campus Research (5)	Other Sponsored Activities (5)	Research/Other Sponsored Activities Blended Rate (5)	Instruction/ Training	Other Institutional	Total
Modified Total Direct Costs (MTDC) (1)						
FY95	n/a	n/a	12,260	5,790,778	1,767,023	7,570,061
FY97	n/a	n/a	188,428	8,767,959	1,424,169	10,380,556
FY00	n/a	n/a	236,725	6,442,056	1,894,064	8,572,845
FY03	n/a	n/a	675,472	9,011,997	1,495,312	11,182,781
FY06	644,942	258,960	n/a	10,144,012	2,392,274	13,440,188
FY08 Estimate	817,000	259,000	n/a	10,130,000	2,425,000	13,631,000
FY09 Estimate	912,000	259,000	n/a	10,467,000	2,425,000	14,063,000
FY10 Estimate	1,007,000	259,000	n/a	10,819,000	2,425,000	14,510,000
Sponsored MTDC Activity						
FY95	n/a	n/a	12,260	738,062	n/a	750,322
FY97	n/a	n/a	186,080	608,342	n/a	794,422
FY00	n/a	n/a	236,725	561,254	n/a	797,979
FY03	n/a	n/a	584,599	1,667,355	n/a	2,251,954
FY06	583,556	239,419	n/a	1,951,348	n/a	2,774,323
F & A Cost Recovery						
FY95 (2)	not available	not available	not available	not available	n/a	110,176
FY97 (2)	n/a	n/a	46,496	95,977	n/a	142,471
FY00 (3)	n/a	n/a	16,316	4,091	n/a	20,407
FY03 (3)	n/a	n/a	160,949	93,811	n/a	254,760
FY06 (3)	143,946	6,643	n/a	239,605	n/a	390,194
Recovery Ratio						
FY95 (4)	not available	not available	not available	not available	n/a	14.7%
FY97 (4)	n/a	n/a	25.0%	15.8%	n/a	17.9%
FY00	n/a	n/a	6.9%	0.7%	n/a	2.6%
FY03	n/a	n/a	27.5%	5.6%	n/a	11.3%
FY06	24.7%	2.8%	n/a	12.3%	n/a	14.1%
Rate History						
Negotiated FY95	n/a	n/a	(S&W) 63.0%	(MTDC) 25.0%	n/a	
Negotiated FY96	n/a	n/a	(S&W) 63.0%	(MTDC) 25.0%	n/a	
Negotiated FY97	n/a	n/a	(S&W) 63.0%	(MTDC) 25.0%	n/a	
Negotiated FY98	n/a	n/a	(S&W) 63.0%	(MTDC) 25.0%	n/a	
Negotiated FY99-01	n/a	n/a	(MTDC) 35.0%	(MTDC) 30.0%	n/a	
Negotiated FY02	n/a	n/a	(MTDC) 35.0%	(MTDC) 30.0%	n/a	
Negotiated FY03-04	n/a	n/a	(MTDC) 35.0%	(MTDC) 30.0%	n/a	
Negotiated FY05-07	40.00%	27.60%	n/a	30.0%	n/a	

(1) For comparability, excludes Juneau satellite costs.
 (2) S&W (Salaries & Wage Base) recovery.
 (3) MTDC recovery
 (4) Calculated on MTDC basis for informational purposes only.
 (5) A blended base and rate developed beginning in FY99 for research and other sponsored activities. Separate other on-campus research and other sponsored activity base and rate developed beginning FY05.

University of Alaska Southeast (UAS)
 FY08-FY10 Facilities and Administrative Costs Rate Projections
 Estimated Pool Costs

UAS Schedule 4
 15-Jun-07

	Other On-campus Research (5)	Other Sponsored Activities (5)	Research/Other Sponsored Activities Blended Rate (5)	Instruction/ Training	Other Institutional	Total (6)
Bldng Depreciation & Use Charges (1)						
FY95	not available	not available	not available	not available	not available	970,354
FY97	not available	not available	not available	not available	not available	983,564
FY00	n/a	n/a	1,361	273,739	371,149	646,249
FY03	n/a	n/a	35,264	1,190,915	838,574	2,064,753
FY06	76,835	20,652	n/a	1,137,302	989,414	2,224,203
FY08 Estimate	78,000	20,600	n/a	1,131,000	989,000	2,218,600
FY09 Estimate	79,000	20,600	n/a	1,130,000	988,000	2,217,600
FY10 Estimate	79,000	20,500	n/a	1,130,000	988,000	2,217,500
Equip Depreciation & Use Charges (1)						
FY95	not available	not available	not available	not available	not available	314,889
FY97	not available	not available	not available	not available	not available	243,844
FY00	n/a	n/a	0	64,014	17,668	81,682
FY03	n/a	n/a	11,396	67,680	16,630	95,706
FY06	45,150	331	n/a	103,402	17,834	166,717
FY08 Estimate	45,000	300	n/a	103,000	18,000	166,300
FY09 Estimate	45,000	300	n/a	103,000	18,000	166,300
FY10 Estimate	45,000	300	n/a	103,000	18,000	166,300
Total Depreciation & Use Charges (1)						
FY95	not available	not available	not available	not available	not available	1,285,243
FY97	not available	not available	not available	not available	not available	1,227,408
FY00	n/a	n/a	1,361	337,753	388,817	727,931
FY03	n/a	n/a	46,660	1,258,595	855,204	2,160,459
FY06	121,985	20,983	n/a	1,240,704	1,007,248	2,390,920
FY08 Estimate	123,000	20,900	n/a	1,234,000	1,007,000	2,384,900
FY09 Estimate	124,000	20,900	n/a	1,233,000	1,006,000	2,383,900
FY10 Estimate	124,000	20,800	n/a	1,233,000	1,006,000	2,383,800
Amrtztn of Change in Bldng Captlztzn Thrshld (1)						
FY95	n/a	n/a	n/a	n/a	n/a	0
FY97	n/a	n/a	0	0	0	0
FY00	n/a	n/a	0	0	0	0
FY03	n/a	n/a	n/a	n/a	n/a	0
FY06	1,365	11,648	n/a	149,907	438,462	601,382
FY08 Estimate	0	0	n/a	0	0	0
FY09 Estimate	0	0	n/a	0	0	0
FY10 Estimate	0	0	n/a	0	0	0

University of Alaska Southeast (UAS)
 FY08-FY10 Facilities and Administrative Costs Rate Projections
 Estimated Pool Costs

UAS Schedule 4
 15-Jun-07

	<u>Other On-campus Research (5)</u>	<u>Other Sponsored Activities (5)</u>	<u>Research/Other Sponsored Activities Blended Rate (5)</u>	<u>Instruction/ Training</u>	<u>Other Institutional</u>	<u>Total (6)</u>
Amrtztn of Change in Eqpmt Captlztzn Thrshld (1)						
FY95	n/a	n/a	n/a	n/a	n/a	0
FY97	0	0	0	0	0	0
FY00	0	0	0	0	0	0
FY03	n/a	n/a	n/a	n/a	n/a	0
FY06	10,359	112	n/a	47,421	4,892	62,784
FY08 Estimate	0	0	n/a	0	0	0
FY09 Estimate	0	0	n/a	0	0	0
FY10 Estimate	0	0	n/a	0	0	0
Total Amrtztn of Change in Cptlztzn Thrshld (1)						
FY95	n/a	n/a	n/a	n/a	n/a	0
FY97	n/a	n/a	0	0	0	0
FY00	n/a	n/a	0	0	0	0
FY03	n/a	n/a	n/a	n/a	n/a	0
FY06	11,724	11,760	n/a	197,328	443,354	664,166
FY08 Estimate	0	0	n/a	0	0	0
FY09 Estimate	0	0	n/a	0	0	0
FY10 Estimate	0	0	n/a	0	0	0
Interest (2)						
FY95	not available	not available	not available	not available	not available	0
FY97	not available	not available	not available	not available	not available	0
FY00	not available	not available	not available	not available	not available	0
FY03	n/a	n/a	0	0	84,089	84,089
FY06	71,355	577	n/a	10,109	196,657	278,698
FY08 Estimate	71,000	1,000	n/a	10,000	197,000	279,000
FY09 Estimate	71,000	1,000	n/a	10,000	197,000	279,000
FY10 Estimate	71,000	1,000	n/a	10,000	197,000	279,000
Operations & Maintenance (2)						
FY95	not available	not available	not available	not available	not available	1,781,894
FY97	not available	not available	not available	not available	not available	2,548,284
FY00	n/a	n/a	35,612	1,538,822	739,923	2,314,357
FY03	n/a	n/a	38,160	1,051,417	1,404,668	2,494,245
FY06	122,731	19,975	n/a	974,311	1,716,602	2,833,619
FY08 Estimate	126,000	20,000	n/a	983,000	1,730,000	2,859,000
FY09 Estimate	129,000	20,000	n/a	1,005,000	1,759,000	2,913,000
FY10 Estimate	133,000	21,000	n/a	1,028,000	1,789,000	2,971,000

University of Alaska Southeast (UAS)
 FY08-FY10 Facilities and Administrative Costs Rate Projections
 Estimated Pool Costs

UAS Schedule 4
 15-Jun-07

	<u>Other On-campus Research (5)</u>	<u>Other Sponsored Activities (5)</u>	<u>Research/Other Sponsored Activities Blended Rate (5)</u>	<u>Instruction/ Training</u>	<u>Other Institutional</u>	<u>Total (6)</u>
General Administration						
FY95	not available	not available	not available	not available	not available	2,241,617
FY97	not available	not available	not available	not available	not available	2,035,316
FY00	n/a	n/a	44,217	1,205,557	412,496	1,662,270
FY03	n/a	n/a	105,245	1,408,220	272,215	1,785,680
FY06	107,388	43,119	n/a	3,453,981	444,077	4,048,565
FY08 Estimate	143,000	45,000	n/a	3,703,000	472,000	4,363,000
FY09 Estimate	161,000	46,000	n/a	3,875,000	479,000	4,561,000
FY10 Estimate	180,000	46,000	n/a	4,055,000	485,000	4,766,000
Department Administration						
FY95	not available	not available	not available	not available	not available	287,992
FY97	not available	not available	not available	not available	not available	294,080
FY00	n/a	n/a	19,948	1,899,704	15,790	1,935,442
FY03	n/a	n/a	82,061	3,029,121	0	3,111,182
FY06	77,156	9,323	n/a	2,817,482	0	2,903,961
FY08 Estimate	98,412	9,324	n/a	2,852,680	0	2,960,416
FY09 Estimate	108,832	9,324	n/a	2,949,812	0	3,067,968
FY10 Estimate	119,252	9,324	n/a	3,050,484	0	3,179,060
Sponsored Projects Administration (3)						
FY95	n/a	n/a	n/a	n/a	n/a	0
FY97	n/a	n/a	n/a	n/a	n/a	0
FY00	n/a	n/a	n/a	n/a	n/a	0
FY03	n/a	n/a	n/a	n/a	n/a	0
FY06	26,135	10,856	n/a	81,802	0	118,793
FY08 Estimate	31,000	10,000	n/a	76,000	0	117,000
FY09 Estimate	33,000	10,000	n/a	75,000	0	118,000
FY10 Estimate	35,000	9,000	n/a	74,000	0	118,000
Student Services Administration (4)						
FY95	n/a	n/a	0	not available	0	843,461
FY97	n/a	n/a	0	not available	0	852,928
FY00	n/a	n/a	0	1,741,832	0	1,741,832
FY03	0	0	0	1,943,968	0	1,943,968
FY06	0	0	n/a	2,355,893	0	2,355,893
FY08 Estimate	0	0	n/a	2,638,000	0	2,638,000
FY09 Estimate	0	0	n/a	2,733,000	0	2,733,000
FY10 Estimate	0	0	n/a	2,833,000	0	2,833,000

University of Alaska Southeast (UAS)
 FY08-FY10 Facilities and Administrative Costs Rate Projections
 Estimated Pool Costs

UAS Schedule 4
 15-Jun-07

	<u>Other On-campus Research (5)</u>	<u>Other Sponsored Activities (5)</u>	<u>Research/Other Sponsored Activities Blended Rate (5)</u>	<u>Instruction/ Training</u>	<u>Other Institutional</u>	<u>Total (6)</u>
Library						
FY95	not available	not available	not available	not available	not available	843,461
FY97	not available	not available	not available	not available	not available	852,928
FY00	n/a	n/a	4,491	1,083,409 (7)	139,581	1,227,481
FY03	n/a	n/a	25,382	2,279,687 (7)	163,930	2,468,999
FY06	25,101	3,657	n/a	2,200,420	473,044	2,702,222
FY08 Estimate	34,000	4,000	n/a	2,376,000	512,000	2,926,000
FY09 Estimate	38,000	4,000	n/a	2,436,000	521,000	2,999,000
FY10 Estimate	41,000	4,000	n/a	2,498,000	531,000	3,074,000
Total pool costs						
FY95	not available	not available	not available	not available	not available	6,440,207
FY97	not available	not available	not available	not available	not available	6,958,016
FY00	n/a	n/a	105,629	7,807,077	1,696,607	9,609,313
FY03	n/a	n/a	297,508	10,971,008	2,780,106	14,048,622
FY06	563,575	120,250	n/a	13,332,030	4,280,982	18,296,837
FY08 Estimate	626,412	110,224	n/a	13,872,680	3,918,000	18,527,316
FY09 Estimate	664,832	111,224	n/a	14,316,812	3,962,000	19,054,868
FY10 Estimate	703,252	111,124	n/a	14,781,484	4,008,000	19,603,860

- (1) Beginning in FY03, Bldg Depreciation, Equipment Depreciation, Amortization of Remaining Net Book Value of Assets due to Change in Capitalization Threshold for Buildings, and Amortization of Remaining Net Book Value of Assets due to Change in Capitalization Threshold for Equipment will be shown individually on this schedule. Historically, they have all been combined and reported as Depreciation & Use Charges.
- (2) Beginning in FY03, interest costs are included in a separate cost pool. Historically, these costs have been included in the Operations and Maintenance cost pool.
- (3) UAS did not have activity sufficient to develop Sponsored Projects Administrative costs for FY94-FY05. Beginning in FY06, these costs are segregated.
- (4) Historically, UA has not included these costs in our report. Beginning in FY03, student services costs will be reported, in order to be consistent between the standard report format and this report. Prior year information is provided for comparison purposes only.
- (5) A blended base and rate developed beginning in FY99 for research and other sponsored activities. Separate other on-campus research and other sponsored activity base and rate developed beginning FY05.
- (6) Totals for FY94-FY97 are based on the Simplified Method for Small Institutions and thus cannot be compared with FY00.
- (7) Beginning in FY03, UA is reporting total library costs allocated to Instruction. Library costs are allocated initially to students, employees and other categories based on circulation statistics. The student portion is allocated to instruction, the employee portion is allocated to both indirect and direct activities based on employee salaries and wages, and the other portion is allocated to other institutional activity. The employee allocation results in a second allocation of library cost to the library. Historically, UA has not included the student portion of library costs in the library allocation to instruction this report. The full allocation of library costs to instruction are included in this report. Historical information has been restated for comparability.

Appendix A

Other Interested Agencies

University of Alaska
FY08-FY10 Facilities & Administrative Rate Proposal
Other Interested Federal Agencies

Appendix A

The following are agencies that provided ten percent or more of FY06 federal funding:

Department of Education	18.83%	\$31,903,774
National Science Foundation	15.61%	\$26,450,946

Appendix B

Explanation for FY08-FY10 Organized Research Proposed Component Changes

FY08 - FY10 Facilities and Administrative Costs Rate Projections

Variance between FY08-FY10 F&A Projection Rates and FY06 F&A Negotiated Rates

Administrative Costs Capped at 26%

Item	UAF			UAA			UAS		
	FY2005-FY2007	FY08-FY10	%	FY2005-FY2007	FY08-FY10	%	FY2005-FY2007	FY08-FY10	%
	Negotiated Other On-campus Research	Average Proposed Other On-campus Research	Increase (Decrease)	Negotiated Other On-campus Research	Average Proposed Other On-campus Research	Increase (Decrease)	Negotiated Other On-campus Research	Average Proposed Other On-campus Research	Increase (Decrease)
MTDC base	62,996,000	77,387,000	22.8%	9,317,000	10,859,000	16.6%	543,000	912,000	68.0%
Rate elements:									
Building depreciation	5.0%	5.0%	-0.5%	4.6%	2.4%	-47.6%	5.3%	8.6%	60.3%
Equipment depreciation	0.9%	0.7%	-24.3%	1.6%	0.4%	-75.8%	1.3%	4.9%	282.8%
Amortize Chg - Bldng Capitalization Thrshld (1)	0.8%	0.0%	-100.0%	0.5%	0.0%	-100.0%	0.7%	0.0%	-100.0%
Amortize Chg - Eqp Capitalization Thrshld (1)	0.1%	0.0%	-100.0%	0.1%	0.0%	-100.0%	0.2%	0.0%	-100.0%
Interest	0.8%	1.6%	117.6%	2.8%	0.9%	-66.7%	0.0%	7.8%	new
Operations & maintenance	12.5%	10.5%	-15.5%	5.0%	2.9%	-41.8%	7.9%	14.2%	79.9%
General administration	11.7%	14.7%	25.5%	16.5%	14.9%	-10.0%	15.5%	17.7%	14.1%
Sponsored projects administration	1.2%	1.2%	4.1%	2.5%	4.7%	85.4%	0.0%	3.6%	new
Department administration	19.3%	20.0%	3.7%	20.1%	20.9%	4.1%	12.5%	11.9%	-5.4%
Student services administration	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%	0.0%
Library	1.4%	1.4%	-1.8%	1.6%	1.3%	-18.7%	3.9%	4.2%	7.7%
	53.7%	55.1%		55.3%	48.4%		47.3%	72.9%	
Capped rate effect	-6.2%	-10.0%		-13.1%	-14.4%		-2.0%	-7.2%	
Net actual calculated rate	47.5%	45.1%		42.2%	34.0%		45.3%	65.7%	

(1) Amortization of the remaining book value of building and equipment was a one-time write-off in the FY05-FY07 rate period.

University of Alaska
Organized Research
Comparison of Component Changes
FY08-FY10 Proposed Rate to FY06 Negotiated Rate

Appendix B

The following narrative provides an explanation for the FY08-FY10 proposed organized research component rate changes by campus which exceeds the prior negotiated rate component by 10% compared to the current negotiated organized research rate components. The FY08-FY10 model will be referred to as the proposed model and the FY05-FY07 model used to develop the current negotiated rates will be referred to as the negotiated model throughout this narrative. In addition, the FY06 incurred cost model will be referred to as the FY06 base year model.

University of Alaska Fairbanks (UAF)

Due to anticipated future growth, the proposed model MTDC base is 22.8% greater than the MTDC base in the negotiated model.

For UAF organized research, two proposed rate components increased more than 10% in comparison to the negotiated model.

The interest expense component increased from 0.8% to 1.6%. Interest expense related to State of Alaska issued General Obligation bonds in 2002 is being claimed by the university for research buildings either placed in service or expected to be put into service in FY08-FY10. In addition, the university issued Series N General Revenue bonds in FY06 for the Biological and Research Diagnostic Facility, which contains new research space. The School of Fisheries and Ocean Sciences Lena Point research building in Juneau is under construction and expected to be in service in FY09. This facility is partially funded by the State of Alaska General Obligation bonds.

The general administration component increased from 11.7% to 14.7%. There is no overall effect on the F&A rate because the administration component is capped at 26%. This increase is mainly due to the fact that in the proposed model, Central Academic Support costs were properly included within the general administration pool rather than the department administration pool. Previously, central-type costs that are incurred for academic support of the institution were included in a subpool within the the department administration group in error. This realigned academic support subpool will now be included in the general administration group along with a specific function subpool. The specific function subpool includes costs that are clearly central institution costs that are not associated with a specific college or department, but benefit specific major activities of the institution.

University of Alaska Anchorage (UAA)

Due to anticipated future growth, the proposed model MTDC base is 16.6% greater than the negotiated rate model MTDC base.

The Sponsored projects administration component increased from 2.5% to 4.7%. Previously, pre-award and post-award functions were in separate offices and the negotiated model only included the post-award function in sponsored program administration. In FY06, the functions were combined and the resulting merger increased overall staffing levels and department costs. The increased growth at UAA in organized research sponsored project administration had no impact on the overall F&A rate due to the 26% cap on administrative costs. UAA's negotiated and proposed administrative rate components exceed the 26% cap by 13.1% and 14.4%, respectively.

University of Alaska Southeast (UAS)

As a result of anticipated future growth, the proposed model MTDC base is 68.0% greater than the negotiated MTDC base.

For UAS, six proposed rate components increased more than 10% in comparison to the negotiated model rate components for organized research. Building depreciation, equipment depreciation, interest, operations and maintenance, general administration and sponsored project administration increased in excess of 10% in comparison to the negotiated model rate components.

The building depreciation component increased from 5.3% to 8.6%. A \$3.1 million debt-financed science lab facility purchased in June 2004 results in increased building depreciation costs for the base and projection years. The new science building also results in approximately 5,500 additional assignable square feet (ASF) allocated to the research function.

The equipment depreciation rate component increased from 1.3% to 4.9%. With the science lab acquisition in FY04, approximately \$304,000 worth of science equipment was acquired with the science lab facility. Equipment depreciation is summarized by responsible department and then allocated using statistics gathered through the functional use space survey to all of the responsible department's activities based on ASF by function.

UAS's interest rate component increased from 0.0% to 7.8%. The new science lab facility discussed earlier is debt-financed via Series M General Revenue bonds. The 11,000 square foot facility is 51% research space resulting in an annual interest allocation of \$71,000. The resulting interest expense is included in the uncapped facilities portion of the rate.

The operations and maintenance component increased from 7.9% to 14.2% at UAS. As mentioned above, the science lab facility results in increased allocation of costs for building maintenance, repair and other operations of the building.

The general administration component increased from 15.5% to 17.7% at UAS. This is mainly due to the fact that in the proposed model, Central Academic Support costs were properly included within the general administration pool rather than the department administration pool. Previously, central-type costs that are incurred for academic support of the institution were included in a subpool within the the department administration group in error. This realigned academic support subpool will now be included in the general administration group along with a specific function subpool. The specific function

subpool includes costs that are clearly central institution costs that are not associated with a specific college or department, but benefit specific major activities of the institution.

The sponsored project administration component increased from 0.0% to 3.6%. In the negotiated model, sponsored project administration was included in the general administration rate component. In FY06 base year, Juneau sponsored projects costs are readily identifiable and appropriate space is allocated to sponsored project activity. This results in a more accurate allocation of costs.

Appendix C

Certifications and Assurances

**The University of Alaska
Certificate of F&A Costs**

(2 CFR Part 220 Appendix A, Section K.2.b)

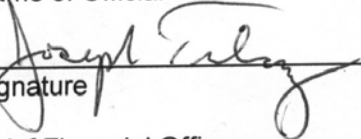
This is to certify that to the best of my knowledge and belief:

1. I have reviewed the F&A cost proposal submitted herewith;
2. All costs included in the proposal, dated June 15, 2007 to establish forward pricing F&A costs rate for the period beginning July 1, 2007 through June 30, 2010 are allowable in accordance with the requirements of the Federal agreement(s) to which they apply and with the cost principles applicable to those agreements.
3. This proposal does not include any costs which are unallowable under applicable cost principles such as (without limitation): advertising and public relations costs, contributions and donations, entertainment costs, fines and penalties, lobbying costs, and defense of fraud proceedings; and,
4. All costs included in this proposal are properly allocable to Federal agreements on the basis of a beneficial or casual relationship between the expenses incurred and the agreements to which they are allocated in accordance with applicable requirements.
5. The rate proposal is prepared using the same cost accounting practices that are disclosed in the DS-2 including its amendments and revisions, filed with and approved by the cognizant agency.

I declare under penalty of perjury that the foregoing is true and correct.

The University of Alaska
Institution

Joseph Trubacz
Name of Official


Signature

Chief Financial Officer
Title

6/15/07
Date of Execution

The University of Alaska
Certificate of Final Indirect Costs
(FAR 52.242-4)
(62 FR 239)

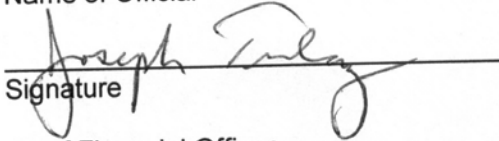
This is to certify that I have reviewed this proposal to establish final indirect cost rates and to the best of my knowledge and belief:

1. All costs included in this proposal dated June 15, 2007 to establish final indirect cost rates for July 1, 2007 through June 30, 2010 are allowable in accordance with the cost principles of the Federal Acquisition Regulation (FAR) and its supplements applicable to the contracts to which the final indirect cost rates will apply, and
2. This proposal does not include any costs, which are unallowable under applicable cost principles of the FAR or its supplements.

I declare under penalty of perjury that the foregoing is true and correct.

The University of Alaska
Institution

Joseph Trubacz
Name of Official


Signature

Chief Financial Officer
Title

6/15/07
Date of Execution

**The University of Alaska
DFARS 231.303 (1) WAIVER**

I am aware of and understand the provision in section 841 of the National Defense Authorization Act for Fiscal Year 1994 which prohibits implementation of the OMB Circular A-21 26 percent cap on reimbursement of administrative indirect cost for Department of Defense contracts awarded to institutions of higher education on or after November 30, 1993.

The University of Alaska hereby requests a waiver of DFARS 231.303(1) for the period July 1, 2007 through June 30, 2010 to simplify the university's overall management of cost reimbursements under Department of Defense contracts.

University of Alaska
Institution

Joseph Trubacz
Name of Official

Joseph Trubacz
Signature

Chief Financial Officer
Title

6/15/07
Date of Execution

**The University of Alaska
Additional Certifications and Assurances**

This is to certify that I have reviewed the University's Facilities and Administrative Cost Proposal dated June 15, 2007 to establish forward pricing facilities and administrative costs for the period July 1, 2007 through June 30, 2010 and attest to the following:

Statement of Assurance that Federal Funds are not Used to Subsidize Industry and/or Foreign Government Programs (2 CFR Part 220 Appendix A, Section G.1a (3))

The fund accounting system used by the University prevents subsidizing industry and/or foreign government programs. Restricted funds, including federal awards, are recorded in separate funds. Direct expenditures from these funds are restricted to the exclusive purpose of the award. Since all restricted funds are allocated to the major functions of the University (Instruction, Research, Other Institutional Activities and other bases), the appropriate share of indirect costs is allocated to Industry and/or foreign government expenditures regardless of whether or not overhead is charged on the actual activity.

Certificate of Lobbying (2 CFR Part 220 Appendix A, Section J.28)

To the best of my knowledge and belief, the subject proposal complies with the requirements and standards of OMB Circular A-21, Section J28 Lobbying, in that any institutional costs associated with activities defined as lobbying have been treated as other unallowable activity as required by this section.

Certificate of Use Allowance or Depreciation (2 CFR Part 220 Appendix A, Section J.14.g)

To the best of my knowledge and belief, the use allowance and depreciation included in the subject proposal is supported by adequate property records and physical inventories taken at least once every two years to ensure that the assets exist and are usable, used, and needed.

Statement of Assurance that the Proposal is in Compliance with 26% Administrative Cap Requirements (2 CFR part 220 Appendix A, Section G.8a)

The administrative costs of the University as covered by the relevant parts of Section F of OMB Circular A-21 (General Administration and General Expenses, Department Administration, Sponsored Project Administration) do not exceed 26% of the MTDC base for each negotiated rate in the proposal covered by this certification.

Assurance on Executive Compensation

To the best of my knowledge and belief, the University is in compliance with any applicable limitations on individual compensation charged to federal awards.

The University of Alaska
Institution

Joseph Trubacz
Name of Official


Signature

Chief Financial Officer
Title

6/15/07
Date of Execution