

UNIVERSITY OF ALASKA

FY02 - FY04 REVISED FACILITIES AND ADMINISTRATIVE

COST RATE PROPOSAL



UNIVERSITY OF ALASKA

FACILITIES AND ADMINISTRATIVE COST RATE PROJECTIONS

FY02 – FY04 FORWARD PRICING PROPOSAL

University of Alaska
 FY02 – FY04 Facilities and Administrative Cost Rate Proposal
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FY02 – FY04 Facilities and Administrative Cost Projections

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Attachments A: Other Interested Agencies

List of Federal Agencies Providing More Than Ten Percent of FY00 Federal Funding

Attachment B: Certifications

- Certificate of Facilities and Administrative Costs
- Certificate of Facilities and Administrative Costs Department of Defense
- Certificate of Depreciation
- Certificate of Lobbying
- Certificate of Final Indirect Costs

Attachment C: Statement

Statement on Foreign Government or Industry Subsidies

General:

1. Effective July 1, 2001, the University will modify its practice with regard to rental costs to include all non-space rental costs in the bases and pools. Previously, all long-term rentals, including equipment rentals, were excluded from the MTDC base. This change is reflected throughout the proposal.
2. Effective July 1, 2001, the University will change its definition of research to allow classification of sponsored projects as research by other than Organized Research Units. Previously, identification of research was based on organizational unit rather than solely on the activity performed.
3. Depreciation cost pools are projected at FY00 levels except as follows: 1) The 6-year amortization of a change in equipment capitalization levels ended in FY01 and thus has been excluded. 2) Gains and losses on equipment deletions have been included in the depreciation cost pools effective July 1, 2001. The gains and losses are estimated based on actual UA history, adjusted for material, unusual transactions. 3) Useful lives for four classes of equipment including furniture and furnishings, computer equipment, telecommunication equipment, and farm equipment were reduced for the proposal to reflect historical use based on current data. 4) Useful lives for buildings, improvements other than buildings, and other capitalizable assets have been reduced for the proposal to more representative useful lives. In addition, several buildings, improvements other than buildings, and other capitalizable assets have been depreciated on a component basis.
4. Department paid O&M costs were reclassified to the appropriate O&M pool to correct a previous error and inconsistency in handling of similar costs. This correction improves consistency in accounting for like costs and allocation of those costs based on Circular A-21.
5. The projection utilizes the FY99 institutional space survey results adjusted for significant changes in use since that time.

Statewide Administration:

1. Certain administrative departments are expected to occupy newly leased space. Costs to prepare the space for occupancy are expected to increase O&M expenditures in FY02 by \$600,000. Capitalized leasehold improvements will be amortized over the anticipated 36-month lease period.
2. The purchase of a new mainframe computer is anticipated at a cost of \$4 million dollars for the hardware and associated expenditures.
3. General Administrative costs are projected to increase by 3% per year. Retirement incentive program expenditures, costs associated with Y2K, and a large legal settlement were removed from the pool as similar costs are not anticipated in FY02 – FY04.

University of Alaska Fairbanks:

1. Proposed rate components are consistent with the FY00 components except the On-Campus research component now includes all research and development activities which are separately budgeted and accounted for. See general assumption above.
2. The International Arctic Research Center (IARC) is a new research unit with a focus on global change, and is a coordinated effort between the United States and Japan. It now includes the Center for Global Change (CGC), previously included in Developmental Programs and Projects (DPP). Activity is projected at \$4 million in FY02, with slight increases for FY03 and FY04.
3. The School of Fisheries and Ocean Science (SFOS) anticipates a base increase of 3% each year.
4. The Institute of Arctic Biology (IAB) is projecting an increase based on a recent \$1 million per year award from National Institute of Health (NIH) and an additional \$1.5 million which is anticipated to be funded.
5. The Institute of Northern Engineering (INE) projects a 10% increase each year based on new faculty research activity and a favorable funding environment for engineering and water/environmental research.
6. Developmental Programs and Projects (DPP) will administer the new Experimental Program to Stimulate Competitive Research (EPSCoR). Anticipated funding is \$3 million federal and \$1 million University of Alaska (UA) general funds each year, plus an additional \$500,000 in FY02 and FY03 from the Alaska Science and Technology Foundation. Total DPP projected base is \$2.6, \$2.8, and \$3.6 million for FY02, FY03, and FY04 respectively.
7. The SFOS Ship activity is projected to increase 10% over FY00, and then remain constant. The base will decrease slightly for FY03 and FY04 as expenditures for MTDC exclusions are projected to increase.
8. GI's Poker Flat base for FY02 is projected at the bid price. FY03 will decrease since there will be no launch season. FY04 is projected to be slightly higher than FY02.
9. The Arctic Region Supercomputing Center (ARSC) anticipates funding levels ranging from \$8.5 to \$10 million dollars. These levels are consistent with FY00, but the base will increase as previously excluded hardware acquisitions are completed.
10. All other Organized Research Units anticipate stable funding or slight increases. In addition, all units experienced some base changes due to nonresearch funding which was shifted to OSA.
11. The Other Sponsored Activities component was increased by \$2 million dollars, consistent with an anticipated change in financial reporting to classify federal appropriation dollars as restricted funds. Various other anticipated activities will increase this base by an additional \$1.2 million dollars in FY02, with a decrease of approximately \$500,000 in FY03 and a slight increase of \$150,000 in FY04.

University of Alaska Fairbanks (continued):

12. The Instruction base is expected to increase as a result of UA initiative funding and other program enhancements. The Other Institutional Activity (OIA) base was decreased to correct an error – previously, \$3 million was included in the base for the net of cost of goods sold less revenue from interdepartmental auxiliary sales. Recharge centers (included in OIA) are projected at the zero breakeven point for the projection years.
13. Equipment depreciation is consistent with FY00 except for the addition of depreciation on equipment with an acquisition cost of approximately \$2.4 million dollars which had been purchased in FY00 but was not yet included in the computerized inventory at June 30, 2000. As noted under the general section, useful lives for four classes of equipment were also reduced for the proposal to reflect historical use based on current data.
14. The Operations and Maintenance pools are projected to increase approximately 3% per year. A reduction for matching on the Low Rank Coal Water Project is reflected in FY02.
15. General Administrative costs, and Sponsored Projects Administration are projected to increase 3% per year.
16. Department Administration expenditures were projected by the units, with an average increase of 6%.
17. General Academic Support and Library were increased for FY02 at 1.8% and 20% respectively and student services was decreased by 8.9%, based on the percentage of change between FY00 actual expenditures and FY01 budgeted expenditures. These costs were projected to remain stable for FY03 and FY04.

University of Alaska Anchorage:

1. Proposed rate components are consistent with FY00 except the On-Campus research component now includes all research and development activities which are separately budgeted and accounted for. See general assumption above.
2. The On-Campus research base is projected to increase \$1.3 million, primarily due to research projects shifting from OSA based on the change in definition. The most significant is Psychology Department research of \$950,000.
3. The College of Health, Education, and Social Welfare (CHESW) includes the Institute for Circumpolar Health Studies and the Center for Alcohol and Addiction Studies.
4. The Justice Center expects a decrease in funding of approximately \$190,000.
5. The American Russian Center (ARC) anticipates funding will increase to \$2.5 million in FY02, resulting in a \$1.9 million base. Thereafter, funding will decrease approximately \$300,000 per year.
6. All other Organized Research Units anticipate stable funding or slight increases. In addition, all units experienced some base changes due to nonresearch funding which was shifted to OSA.
7. The Other Sponsored Activities component is projected to remain stable. The decrease results from funds shifting to the research base.
8. Instruction is projected to increase 1% per year. The Other Institutional (OIA) base was decreased to correct an error – previously, \$2.9 million was included in the base for cost of goods sold less revenue from interdepartmental auxiliary sales. Recharge centers (included in OIA) were projected at the zero breakeven point for the projection years.
9. Equipment depreciation is consistent with FY00 except for the addition of depreciation on equipment with an acquisition cost of approximately \$59,000 dollars which had been purchased in FY00 but was not yet included in the computerized inventory at June 30, 2000. As noted under the general section, useful lives for four classes of equipment were also reduced for the proposal to reflect historical use based on current data.
10. The Operations and Maintenance pools are projected to remain near the FY00 level.
11. General & Administrative costs, and Sponsored Projects Administration are projected to increase 3% per year.
12. Department Administration expenditures were projected by the units, with an average increase of 2.5%.
13. General Academic Support, Library, and Student Services were increased for FY02 at 18%, 1.5%, and 2.1% respectively, based on the percentage change between FY00 actual expenditures and FY01 budgeted expenditures. These costs were projected to remain constant for FY03 and FY04.

University of Alaska Southeast:

1. Instruction will increase slightly. Research and Other Sponsored Activities are expected to increase by approximately 80% to \$450,000.
2. Depreciation and Operations and Maintenance are projected to remain stable at FY00 levels.
3. General Administration is projected to increase 3% per year. Department Administration is projected to increase 1% per year. General Academic Support, Library, and Student Services were increased for FY02 at 3%, 21.3%, and 9.3% respectively, based on the percentage change between FY00 actual expenditures and FY01 budgeted expenditures. These costs were projected to remain constant for FY03 and FY04.

| | Other On-campus Research | Ship | Poker Flat | ARSC | Other Sponsored Activities | Instruction/ Training | Total |
|--|--------------------------------|------------------|------------------|------------------|-------------------------------|--------------------------|--------------------|
| Estimated MTDC base | <u>44,544,000</u> | <u>1,393,000</u> | <u>1,131,000</u> | <u>6,647,000</u> | <u>16,002,000</u> | <u>31,345,000</u> | <u>101,062,000</u> |
| Estimated pool costs: | | | | | | | |
| Building depreciation | 2,470,000 | 11,000 | - | 298,000 | 381,000 | 3,145,000 | 6,305,000 |
| Equipment depreciation | 1,193,000 | - | - | 697,000 | 154,000 | 1,984,000 | 4,028,000 |
| Operations & maintenance | 6,617,000 | 85,000 | 3,000 | 261,000 | 683,000 | 6,810,000 | 14,459,000 |
| General administration | 5,277,000 | 164,000 | 134,000 | 787,000 | 1,865,000 | 3,711,000 | 11,938,000 |
| Sponsored projects administration | 379,000 | 14,000 | 12,000 | 72,000 | 133,000 | 22,000 | 632,000 |
| Department administration | 7,758,000 | 317,000 | 199,000 | 104,000 | 2,256,000 | 8,960,000 | 19,594,000 |
| Library | 638,000 | - | - | 41,000 | 204,000 | 574,000 | 1,457,000 |
| | <u>24,332,000</u> | <u>591,000</u> | <u>348,000</u> | <u>2,260,000</u> | <u>5,676,000</u> | <u>25,206,000</u> | <u>58,413,000</u> |
| Excess administration costs | <u>(1,832,560)</u> | <u>(132,820)</u> | <u>(50,940)</u> | <u>-</u> | <u>(93,480)</u> | <u>(4,543,300)</u> | <u>(6,653,100)</u> |
| Total facilities and admin. costs | <u>22,499,440</u> | <u>458,180</u> | <u>297,060</u> | <u>2,260,000</u> | <u>5,582,520</u> | <u>20,662,700</u> | <u>51,759,900</u> |
| Rate elements: | | | | | | | |
| Building depreciation | 5.5% | 0.8% | 0.0% | 4.5% | 2.3% | 10.0% | |
| Equipment depreciation | 2.7% | 0.0% | 0.0% | 10.5% | 1.0% | 6.4% | |
| Operations & maintenance | 14.9% | 6.1% | 0.3% | 3.9% | 4.3% | 21.7% | |
| General administration | 11.8% | 11.8% | 11.8% | 11.8% | 11.7% | 11.8% | |
| Sponsored projects administration | 0.9% | 1.0% | 1.1% | 1.1% | 0.8% | 0.1% | |
| Department administration | 17.4% | 22.8% | 17.6% | 1.6% | 14.1% | 28.6% | |
| Library | 1.4% | 0.0% | 0.0% | 0.6% | 1.3% | 1.8% | |
| | <u>54.6%</u> | <u>42.5%</u> | <u>30.8%</u> | <u>34.0%</u> | <u>35.5%</u> | <u>80.4%</u> | |
| Capped rate effect | <u>-4.1%</u> | <u>-9.6%</u> | <u>-4.5%</u> | <u>0.0%</u> | <u>-0.6%</u> | <u>-14.5%</u> | |
| Net calculated rate | 50.5% | 32.9% | 26.3% | 34.0% | 34.9% | 65.9% | |
| Voluntary Increase (Decrease) to Match Provisional Rate | <u>-3.4%</u> | <u>2.9%</u> | <u>0.0%</u> | <u>-2.1%</u> | <u>-0.9%</u> | <u>-35.9%</u> | |
| Suggested rate FY02 ¹ | <u>47.1%</u> | <u>35.8%</u> | <u>26.3%</u> | <u>31.9%</u> | <u>34.0%</u> | <u>30.0%</u> | |

¹ Suggested rate is based on provisional rate for FY02 established utilizing proposal information submitted June 14, 2001

University of Alaska Fairbanks (UAF)
 FY02-FY04 Facilities and Administrative Costs Rate Projections - Final
 FY02-FY04 Combined Base and Pool Costs
 Proposed Component Structure for FY03 - FY04
 Administrative Costs Capped at 26%

UAF Schedule 1
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| | Other On-campus Research | Ship | Poker Flat | ARSC | Other Sponsored Activities | Instruction/ Training | Total |
|---------------------------------------|--------------------------------|------------------|------------------|-------------------|-------------------------------|--------------------------|---------------------|
| Estimated MTDC base for FY02 - FY04 | <u>137,928,000</u> | <u>4,179,000</u> | <u>3,129,000</u> | <u>21,560,000</u> | <u>47,118,000</u> | <u>96,009,000</u> | <u>309,923,000</u> |
| Estimated pool costs: | | | | | | | |
| Building depreciation | 7,422,000 | 33,000 | - | 896,000 | 1,151,000 | 9,448,000 | 18,950,000 |
| Equipment depreciation | 3,577,000 | - | - | 2,091,000 | 464,000 | 5,953,000 | 12,085,000 |
| Operations & maintenance | 20,485,000 | 258,000 | 9,000 | 818,000 | 2,146,000 | 21,182,000 | 44,898,000 |
| General administration | 16,783,000 | 506,000 | 380,000 | 2,625,000 | 5,637,000 | 11,675,000 | 37,606,000 |
| Sponsored projects administration | 1,178,000 | 42,000 | 34,000 | 234,000 | 405,000 | 66,000 | 1,959,000 |
| Department administration | 24,259,000 | 964,000 | 553,000 | 332,000 | 6,823,000 | 27,253,000 | 60,184,000 |
| Library | <u>2,093,000</u> | - | - | <u>142,000</u> | <u>643,000</u> | <u>1,863,000</u> | <u>4,741,000</u> |
| | 75,797,000 | 1,803,000 | 976,000 | 7,138,000 | 17,269,000 | 77,440,000 | 180,423,000 |
| Excess administration costs | <u>(6,358,720)</u> | <u>(425,460)</u> | <u>(153,460)</u> | - | <u>(614,320)</u> | <u>(14,031,660)</u> | <u>(21,583,620)</u> |
| Total facilities and admin. costs | <u>69,438,280</u> | <u>1,377,540</u> | <u>822,540</u> | <u>7,138,000</u> | <u>16,654,680</u> | <u>63,408,340</u> | <u>158,839,380</u> |
| Rate elements: | | | | | | | |
| Building depreciation | 5.4% | 0.8% | 0.0% | 4.2% | 2.4% | 9.8% | |
| Equipment depreciation | 2.6% | 0.0% | 0.0% | 9.7% | 1.0% | 6.2% | |
| Operations & maintenance | 14.9% | 6.2% | 0.3% | 3.8% | 4.6% | 22.1% | |
| General administration | 12.2% | 12.1% | 12.1% | 12.2% | 12.0% | 12.2% | |
| Sponsored projects administration | 0.9% | 1.0% | 1.1% | 1.1% | 0.9% | 0.1% | |
| Department administration | 17.6% | 23.1% | 17.7% | 1.5% | 14.5% | 28.4% | |
| Library | 1.5% | 0.0% | 0.0% | 0.7% | 1.4% | 1.9% | |
| | 55.1% | 43.2% | 31.2% | 33.2% | 36.8% | 80.7% | |
| Capped rate effect | <u>-4.7%</u> | <u>-10.2%</u> | <u>-4.9%</u> | <u>0.0%</u> | <u>-1.4%</u> | <u>-14.7%</u> | |
| Net calculated average rate | <u>50.4%</u> | <u>33.0%</u> | <u>26.3%</u> | <u>33.2%</u> | <u>35.4%</u> | <u>66.0%</u> | |
| Suggested rate FY03-FY04 ¹ | <u>50.4%</u> | <u>33.0%</u> | <u>26.3%</u> | <u>33.2%</u> | <u>35.4%</u> | <u>30.0%</u> | |

¹ Suggested rate is based on revised proposal information submitted November 20, 2001.

| | Other On-campus Research | Ship | Poker Flat | ARSC | Other Sponsored Activities | Instruction/ Training | Total |
|-----------------------------------|--------------------------------|------------------|------------------|------------------|-------------------------------|--------------------------|--------------------|
| Estimated MTDC base | <u>44,544,000</u> | <u>1,393,000</u> | <u>1,131,000</u> | <u>6,647,000</u> | <u>16,002,000</u> | <u>31,345,000</u> | <u>101,062,000</u> |
| Estimated pool costs: | | | | | | | |
| Building depreciation | 2,470,000 | 11,000 | - | 298,000 | 381,000 | 3,145,000 | 6,305,000 |
| Equipment depreciation | 1,193,000 | - | - | 697,000 | 154,000 | 1,984,000 | 4,028,000 |
| Operations & maintenance | 6,617,000 | 85,000 | 3,000 | 261,000 | 683,000 | 6,810,000 | 14,459,000 |
| General administration | 5,277,000 | 164,000 | 134,000 | 787,000 | 1,865,000 | 3,711,000 | 11,938,000 |
| Sponsored projects administration | 379,000 | 14,000 | 12,000 | 72,000 | 133,000 | 22,000 | 632,000 |
| Department administration | 7,758,000 | 317,000 | 199,000 | 104,000 | 2,256,000 | 8,960,000 | 19,594,000 |
| Library | 638,000 | - | - | 41,000 | 204,000 | 574,000 | 1,457,000 |
| | <u>24,332,000</u> | <u>591,000</u> | <u>348,000</u> | <u>2,260,000</u> | <u>5,676,000</u> | <u>25,206,000</u> | <u>58,413,000</u> |
| Excess administration costs | <u>(1,832,560)</u> | <u>(132,820)</u> | <u>(50,940)</u> | <u>-</u> | <u>(93,480)</u> | <u>(4,543,300)</u> | <u>(6,653,100)</u> |
| Total facilities and admin. costs | <u>22,499,440</u> | <u>458,180</u> | <u>297,060</u> | <u>2,260,000</u> | <u>5,582,520</u> | <u>20,662,700</u> | <u>51,759,900</u> |
| Rate elements: | | | | | | | |
| Building depreciation | 5.5% | 0.8% | 0.0% | 4.5% | 2.3% | 10.0% | |
| Equipment depreciation | 2.7% | 0.0% | 0.0% | 10.5% | 1.0% | 6.4% | |
| Operations & maintenance | 14.9% | 6.1% | 0.3% | 3.9% | 4.3% | 21.7% | |
| General administration | 11.8% | 11.8% | 11.8% | 11.8% | 11.7% | 11.8% | |
| Sponsored projects administration | 0.9% | 1.0% | 1.1% | 1.1% | 0.8% | 0.1% | |
| Department administration | 17.4% | 22.8% | 17.6% | 1.6% | 14.1% | 28.6% | |
| Library | 1.4% | 0.0% | 0.0% | 0.6% | 1.3% | 1.8% | |
| | <u>54.6%</u> | <u>42.5%</u> | <u>30.8%</u> | <u>34.0%</u> | <u>35.5%</u> | <u>80.4%</u> | |
| Capped rate effect | -4.1% | -9.6% | -4.5% | 0.0% | -0.6% | -14.5% | |
| Net calculated rate | <u>50.5%</u> | <u>32.9%</u> | <u>26.3%</u> | <u>34.0%</u> | <u>34.9%</u> | <u>65.9%</u> | |

| | Other On-campus Research | Ship | Poker Flat | ARSC | Other Sponsored Activities | Instruction/ Training | Total |
|-----------------------------------|--------------------------------|------------------|-----------------|------------------|-------------------------------|--------------------------|--------------------|
| Estimated MTDC base | <u>45,740,000</u> | <u>1,393,000</u> | <u>823,000</u> | <u>7,269,000</u> | <u>15,481,000</u> | <u>32,016,000</u> | <u>102,722,000</u> |
| Estimated pool costs: | | | | | | | |
| Building depreciation | 2,475,000 | 11,000 | - | 299,000 | 385,000 | 3,152,000 | 6,322,000 |
| Equipment depreciation | 1,192,000 | - | - | 697,000 | 155,000 | 1,984,000 | 4,028,000 |
| Operations & maintenance | 6,864,000 | 86,000 | 3,000 | 275,000 | 724,000 | 7,103,000 | 15,055,000 |
| General administration | 5,611,000 | 170,000 | 101,000 | 892,000 | 1,869,000 | 3,926,000 | 12,569,000 |
| Sponsored projects administration | 393,000 | 14,000 | 9,000 | 79,000 | 136,000 | 22,000 | 653,000 |
| Department administration | 8,131,000 | 322,000 | 147,000 | 112,000 | 2,269,000 | 9,110,000 | 20,091,000 |
| Library | <u>721,000</u> | <u>-</u> | <u>-</u> | <u>50,000</u> | <u>220,000</u> | <u>645,000</u> | <u>1,636,000</u> |
| | 25,387,000 | 603,000 | 260,000 | 2,404,000 | 5,758,000 | 25,942,000 | 60,354,000 |
| Excess administration costs | <u>(2,242,600)</u> | <u>(143,820)</u> | <u>(43,020)</u> | <u>-</u> | <u>(248,940)</u> | <u>(4,733,840)</u> | <u>(7,412,220)</u> |
| Total facilities and admin. costs | <u>23,144,400</u> | <u>459,180</u> | <u>216,980</u> | <u>2,404,000</u> | <u>5,509,060</u> | <u>21,208,160</u> | <u>52,941,780</u> |
| Rate elements: | | | | | | | |
| Building depreciation | 5.4% | 0.8% | 0.0% | 4.1% | 2.5% | 9.8% | |
| Equipment depreciation | 2.6% | 0.0% | 0.0% | 9.6% | 1.0% | 6.2% | |
| Operations & maintenance | 15.0% | 6.2% | 0.4% | 3.8% | 4.7% | 22.2% | |
| General administration | 12.3% | 12.2% | 12.3% | 12.3% | 12.1% | 12.3% | |
| Sponsored projects administration | 0.9% | 1.0% | 1.1% | 1.1% | 0.9% | 0.1% | |
| Department administration | 17.8% | 23.1% | 17.9% | 1.5% | 14.7% | 28.5% | |
| Library | <u>1.6%</u> | <u>0.0%</u> | <u>0.0%</u> | <u>0.7%</u> | <u>1.4%</u> | <u>2.0%</u> | |
| | 55.6% | 43.3% | 31.7% | 33.1% | 37.3% | 81.1% | |
| Capped rate effect | -5.0% | -10.3% | -5.3% | 0.0% | -1.7% | -14.9% | |
| Net calculated rate | <u>50.6%</u> | <u>33.0%</u> | <u>26.4%</u> | <u>33.1%</u> | <u>35.6%</u> | <u>66.2%</u> | |

| | Other On-campus Research | Ship | Poker Flat | ARSC | Other Sponsored Activities | Instruction/ Training | Total |
|-----------------------------------|--------------------------------|------------------|------------------|------------------|-------------------------------|--------------------------|--------------------|
| Estimated MTDC base | <u>47,644,000</u> | <u>1,393,000</u> | <u>1,175,000</u> | <u>7,644,000</u> | <u>15,635,000</u> | <u>32,648,000</u> | <u>106,139,000</u> |
| Estimated pool costs: | | | | | | | |
| Building depreciation | 2,477,000 | 11,000 | - | 299,000 | 385,000 | 3,151,000 | 6,323,000 |
| Equipment depreciation | 1,192,000 | - | - | 697,000 | 155,000 | 1,985,000 | 4,029,000 |
| Operations & maintenance | 7,004,000 | 87,000 | 3,000 | 282,000 | 739,000 | 7,269,000 | 15,384,000 |
| General administration | 5,895,000 | 172,000 | 145,000 | 946,000 | 1,903,000 | 4,038,000 | 13,099,000 |
| Sponsored projects administration | 406,000 | 14,000 | 13,000 | 83,000 | 136,000 | 22,000 | 674,000 |
| Department administration | 8,370,000 | 325,000 | 207,000 | 116,000 | 2,298,000 | 9,183,000 | 20,499,000 |
| Library | <u>734,000</u> | <u>-</u> | <u>-</u> | <u>51,000</u> | <u>219,000</u> | <u>644,000</u> | <u>1,648,000</u> |
| | <u>26,078,000</u> | <u>609,000</u> | <u>368,000</u> | <u>2,474,000</u> | <u>5,835,000</u> | <u>26,292,000</u> | <u>61,656,000</u> |
| Excess administration costs | <u>(2,283,560)</u> | <u>(148,820)</u> | <u>(59,500)</u> | <u>-</u> | <u>(271,900)</u> | <u>(4,754,520)</u> | <u>(7,518,300)</u> |
| Total facilities and admin. costs | <u>23,794,440</u> | <u>460,180</u> | <u>308,500</u> | <u>2,474,000</u> | <u>5,563,100</u> | <u>21,537,480</u> | <u>54,137,700</u> |
| Rate elements: | | | | | | | |
| Building depreciation | 5.2% | 0.8% | 0.0% | 3.9% | 2.5% | 9.6% | |
| Equipment depreciation | 2.5% | 0.0% | 0.0% | 9.1% | 1.0% | 6.1% | |
| Operations & maintenance | 14.7% | 6.2% | 0.3% | 3.7% | 4.7% | 22.3% | |
| General administration | 12.4% | 12.3% | 12.3% | 12.4% | 12.2% | 12.4% | |
| Sponsored projects administration | 0.9% | 1.0% | 1.1% | 1.1% | 0.9% | 0.1% | |
| Department administration | 17.6% | 23.3% | 17.6% | 1.5% | 14.7% | 28.1% | |
| Library | <u>1.5%</u> | <u>0.0%</u> | <u>0.0%</u> | <u>0.7%</u> | <u>1.4%</u> | <u>2.0%</u> | |
| | <u>54.8%</u> | <u>43.6%</u> | <u>31.3%</u> | <u>32.4%</u> | <u>37.4%</u> | <u>80.6%</u> | |
| Capped rate effect | -4.9% | -10.6% | -5.0% | 0.0% | -1.8% | -14.6% | |
| Net calculated rate | <u>49.9%</u> | <u>33.0%</u> | <u>26.3%</u> | <u>32.4%</u> | <u>35.6%</u> | <u>66.0%</u> | |

| | <u>Other On-campus Research</u> | <u>Ship</u> | <u>Poker Flat</u> | <u>ARSC</u> | <u>Other Sponsored Activities</u> | <u>Instruction/ Training</u> | <u>Total</u> |
|---|---|------------------|-----------------------|------------------|---------------------------------------|----------------------------------|-------------------|
| Estimated MTDC base FY02 | 44,544,000 | 1,393,000 | 1,131,000 | 6,647,000 | 16,002,000 | 31,345,000 | 101,062,000 |
| Estimated federal activity rate | <u>62.6%</u> | <u>97.4%</u> | <u>100.0%</u> | <u>96.3%</u> | <u>42.2%</u> | <u>1.3%</u> | <u>43.5%</u> |
| Estimated federal activity | <u>27,890,000</u> | <u>1,360,000</u> | <u>1,130,000</u> | <u>6,400,000</u> | <u>6,750,000</u> | <u>410,000</u> | <u>43,940,000</u> |
| Calculated rate (Schedule 1a) | <u>50.5%</u> | <u>32.9%</u> | <u>26.3%</u> | <u>34.0%</u> | <u>34.9%</u> | <u>65.9%</u> | |
| Suggested rate (Schedule 1) | <u>47.1%</u> | <u>35.8%</u> | <u>26.3%</u> | <u>31.9%</u> | <u>34.0%</u> | <u>30.0%</u> | |
| Potential recovery on federal participation at: | | | | | | | |
| Calculated rate | <u>14,087,000</u> | <u>447,000</u> | <u>297,000</u> | <u>2,176,000</u> | <u>2,355,000</u> | <u>270,000</u> | <u>19,632,000</u> |
| Original calculated rate | <u>13,136,000</u> | <u>487,000</u> | <u>297,000</u> | <u>2,042,000</u> | <u>2,295,000</u> | <u>123,000</u> | <u>18,380,000</u> |

| | <u>Other On-campus Research</u> | <u>Ship</u> | <u>Poker Flat</u> | <u>ARSC</u> | <u>Other Sponsored Activities</u> | <u>Instruction/ Training</u> | <u>Total</u> |
|---|---|------------------|-----------------------|------------------|---------------------------------------|----------------------------------|-------------------|
| Estimated MTDC base FY03 | 45,740,000 | 1,393,000 | 823,000 | 7,269,000 | 15,481,000 | 32,016,000 | 102,722,000 |
| Estimated federal activity rate | <u>62.6%</u> | <u>97.4%</u> | <u>100.0%</u> | <u>96.3%</u> | <u>42.2%</u> | <u>1.3%</u> | <u>43.6%</u> |
| Estimated federal activity | <u>28,640,000</u> | <u>1,360,000</u> | <u>820,000</u> | <u>7,000,000</u> | <u>6,530,000</u> | <u>420,000</u> | <u>44,770,000</u> |
| Calculated rate (Schedule 1b) | <u>50.6%</u> | <u>33.0%</u> | <u>26.4%</u> | <u>33.1%</u> | <u>35.6%</u> | <u>66.2%</u> | |
| Suggested rate (Schedule 1) | <u>50.4%</u> | <u>33.0%</u> | <u>26.3%</u> | <u>33.2%</u> | <u>35.4%</u> | <u>30.0%</u> | |
| Potential recovery on federal participation at: | | | | | | | |
| Calculated rate | <u>14,492,000</u> | <u>448,000</u> | <u>216,000</u> | <u>2,315,000</u> | <u>2,324,000</u> | <u>278,000</u> | <u>20,073,000</u> |
| Original calculated rate | <u>14,447,000</u> | <u>448,000</u> | <u>216,000</u> | <u>2,325,000</u> | <u>2,315,000</u> | <u>126,000</u> | <u>19,877,000</u> |

| | <u>Other On-campus Research</u> | <u>Ship</u> | <u>Poker Flat</u> | <u>ARSC</u> | <u>Other Sponsored Activities</u> | <u>Instruction/ Training</u> | <u>Total</u> |
|---|---|------------------|-----------------------|------------------|---------------------------------------|----------------------------------|-------------------|
| Estimated MTDC base FY04 | 47,644,000 | 1,393,000 | 1,175,000 | 7,644,000 | 15,635,000 | 32,648,000 | 106,139,000 |
| Estimated federal activity rate | <u>62.6%</u> | <u>97.4%</u> | <u>100.0%</u> | <u>96.3%</u> | <u>42.2%</u> | <u>1.3%</u> | <u>44.1%</u> |
| Estimated federal activity | <u>29,830,000</u> | <u>1,360,000</u> | <u>1,180,000</u> | <u>7,360,000</u> | <u>6,600,000</u> | <u>430,000</u> | <u>46,760,000</u> |
| Calculated rate (Schedule 1c) | <u>49.9%</u> | <u>33.0%</u> | <u>26.3%</u> | <u>32.4%</u> | <u>35.6%</u> | <u>66.0%</u> | |
| Suggested rate (Schedule 1) | <u>50.4%</u> | <u>33.0%</u> | <u>26.3%</u> | <u>33.2%</u> | <u>35.4%</u> | <u>30.0%</u> | |
| Potential recovery on federal participation at: | | | | | | | |
| Calculated rate | <u>14,898,000</u> | <u>449,000</u> | <u>310,000</u> | <u>2,382,000</u> | <u>2,348,000</u> | <u>284,000</u> | <u>20,671,000</u> |
| Original calculated rate | <u>15,047,000</u> | <u>448,000</u> | <u>310,000</u> | <u>2,444,000</u> | <u>2,339,000</u> | <u>129,000</u> | <u>20,717,000</u> |

University of Alaska Fairbanks (UAF)
 FY02-FY04 Facilities and Administrative Costs Rate Projections - Final
 Estimated Base

UAF Schedule 3
 19-Nov-01
 3:16 PM

| | SFOS (1) | Ship | IAB (1) | Geophysical Institute (1) | Poker Flat | 19-Nov-01 1 Research (1)(2) | Arctic Region Supercomputing Center (3) | Polar Ice Coring Office (2) | Other Sponsored Activities (5) | Instruction/ Training | Other Institutional | Total |
|--------------------------------------|-----------|-----------|-----------|------------------------------|---------------|--------------------------------------|---|--------------------------------|-----------------------------------|--------------------------|------------------------|-------------|
| Modified Total Direct Costs | | | | | | | | | | | | |
| FY93 | 9,917,196 | 954,999 | 3,331,124 | 11,213,059 | 2,047,253 | 13,800,797 | n/a | n/a | n/a | 32,687,949 | 25,730,402 | 99,682,779 |
| FY94 | 8,634,550 | 1,396,589 | 3,196,615 | 11,206,681 | 3,197,948 | 10,505,150 | 2,942,825 | 2,933,516 | n/a | 33,830,171 | 27,684,377 | 105,528,422 |
| FY95 | n/a | 1,061,345 | n/a | n/a | 2,504,645 | 32,701,121 | 3,368,822 | 1,626,273 | n/a | 31,865,710 | 27,492,236 | 100,620,152 |
| FY97 | n/a | 780,560 | n/a | n/a | 850,570 | 34,234,459 | 3,932,067 | n/a | n/a | 33,421,893 | 25,939,676 | 99,159,225 |
| FY00 | n/a | 1,274,963 | n/a | n/a | 877,038 | 36,372,766 | 4,444,377 | n/a | 12,849,212 | 29,045,492 | 20,270,872 | 105,134,720 |
| FY02 Estimate | n/a | 1,393,000 | n/a | n/a | 1,131,000 | 44,544,000 | 6,647,000 | n/a | 16,002,000 | 31,345,000 | 16,061,000 | 117,123,000 |
| FY03 Estimate | n/a | 1,393,000 | n/a | n/a | 823,000 | 45,740,000 | 7,269,000 | n/a | 15,481,000 | 32,016,000 | 16,079,000 | 118,801,000 |
| FY04 Estimate | n/a | 1,393,000 | n/a | n/a | 1,175,000 | 47,644,000 | 7,644,000 | n/a | 15,635,000 | 32,648,000 | 16,099,000 | 122,238,000 |
| Restricted Fund Activity | | | | | | | | | | | | |
| FY93 | 8,310,329 | 822,571 | 1,860,584 | 7,868,358 | 2,047,253 | 8,820,544 | n/a | n/a | n/a | 982,572 | n/a | 30,712,211 |
| FY94 | 6,466,917 | 1,081,489 | 1,895,841 | 8,064,401 | 3,197,948 | 5,343,601 | 2,942,825 | 2,815,885 | n/a | 1,805,130 | n/a | 33,614,037 |
| FY95 | n/a | 1,255,961 | n/a | n/a | 2,504,645 | 22,017,768 | 3,256,648 | 1,564,709 | n/a | 1,865,664 | n/a | 32,465,395 |
| FY97 | n/a | 874,477 | n/a | n/a | 850,570 | 23,112,889 | 3,929,797 | n/a | n/a | 3,566,662 | n/a | 32,334,395 |
| FY00 | n/a | 1,146,462 | n/a | n/a | 877,038 | 27,645,813 | 4,258,014 | n/a | 11,297,098 | 2,035,011 | n/a | 47,259,436 |
| F & A Cost Recovery | | | | | | | | | | | | |
| FY93 | 1,998,232 | 216,868 | 358,096 | 3,115,106 | 450,731 | 1,337,465 | n/a | n/a | n/a | 153,802 | n/a | 7,630,300 |
| FY94 | 1,857,519 | 370,534 | 381,752 | 3,518,981 | 603,688 | 703,525 | 528,646 | 886,497 | n/a | 192,839 | n/a | 9,043,981 |
| FY95 | n/a | 325,995 | n/a | n/a | 453,061 | 6,764,297 | 623,575 | 434,627 | n/a | 183,992 | n/a | 8,785,547 |
| FY97 | n/a | 196,694 | n/a | n/a | 200,050 | 8,150,207 | 1,185,271 | n/a | n/a | 254,044 | n/a | 9,986,266 |
| FY00 | n/a | 318,465 | n/a | n/a | 184,543 | 10,249,063 | 877,239 | n/a | 1,139,094 | 175,803 | n/a | 12,944,207 |
| Recovery Ratio | | | | | | | | | | | | |
| FY93 | 24.0% | 26.4% | 19.2% | 39.6% | 22.0% | 15.2% | n/a | n/a | n/a | 15.7% | n/a | |
| FY94 | 28.7% | 34.3% | 20.1% | 43.6% | 18.9% | 13.2% | 18.0% | 31.5% (4) | n/a | 10.7% | n/a | |
| FY95 | n/a | 26.0% | n/a | n/a | 18.1% | 30.7% | 19.1% | 27.8% | n/a | 9.9% | n/a | |
| FY97 | n/a | 22.5% | n/a | n/a | 23.5% | 35.3% | 30.2% | n/a | n/a | 7.1% | n/a | |
| FY00 | n/a | 27.8% | n/a | n/a | 21.0% | 37.1% | 20.6% | n/a | 10.1% | 8.6% | n/a | |
| Rate Original calculated rate | | | | | | | | | | | | |
| Negotiated FY93 | 44.3% | 30.0% | 44.3% | 44.3% | 22.2% | 44.3% | n/a | n/a | 44.3% | 20.0% | n/a | |
| Negotiated FY94 | 44.7% | 35.0% | 44.7% | 44.7% | 19.8% | 44.7% | 18.2% | 30.0% | 44.7% | 20.0% | n/a | |
| Negotiated FY95 | n/a | 35.0% | n/a | n/a | 25.5% | 42.2% | 20.8% | 31.4% | 39.2% | 25.0% | n/a | |
| Negotiated FY96 | n/a | 33.6% | n/a | n/a | 26.0% | 50.0% | 40.0% | n/a | 47.8% | 25.0% | n/a | |
| Negotiated FY97 | n/a | 33.2% | n/a | n/a | 24.0% | 50.0% | 35.8% | n/a | 50.0% | 25.0% | n/a | |
| Negotiated FY98 | n/a | 33.2% | n/a | n/a | 26.0% | 50.0% | 29.6% | n/a | 50.0% | 25.0% | n/a | |
| Negotiated FY99 - 01 | n/a | 32.4% | n/a | n/a | 26.5% | 51.3% | 21.3% | n/a | 36.3% | 30.0% | n/a | |

- (1) SFOS, IAB, and GI reported with Other On-campus component beginning in FY95.
- (2) PICO program initiated in FY89; separate rate component in FY94; contract expired FY95.
- (3) Arctic Region Supercomputing Center (ARSC) initiated in FY93; included in OSA beginning FY02.
- (4) Overcollection corrected in FY95.
- (5) Other Sponsored Activities base and rate developed beginning in FY99.

| | AFES | ARSC (1) | CCCS (4) | DPP | GI (2) | IAB (2) | IARC (3) | INE | MIRL | Museum (4) | Other | PDL | SFOS (2) | Total Other On-campus Research |
|------------------------------------|-----------|-----------|-----------|-----------|------------|-----------|-----------|-----------|---------|------------|---------------|---------|-----------|---|
| Modified Total Direct Costs | | | | | | | | | | | | | | |
| FY93 | 5,185,025 | 1,097,123 | 1,050,632 | 336,894 | n/a | n/a | n/a | 1,490,018 | 614,472 | 1,054,410 | 2,475,535 (5) | 496,688 | n/a | 13,800,797 |
| FY94 | 5,234,263 | n/a | 721,859 | 356,375 | n/a | n/a | n/a | 1,849,159 | 649,848 | 1,239,581 | n/a | 454,065 | n/a | 10,505,150 |
| FY95 | 5,040,368 | n/a | 253,052 | 506,328 | 11,906,278 | 3,079,908 | n/a | 1,693,123 | 389,535 | 936,905 | n/a | 344,487 | 8,551,137 | 32,701,121 |
| FY97 | 4,630,293 | n/a | 275,426 | 534,808 | 13,183,560 | 2,857,365 | n/a | 1,618,614 | 507,751 | 1,205,302 | n/a | 395,458 | 9,025,882 | 34,234,459 |
| FY00 | 4,407,690 | n/a | n/a | 502,992 | 16,054,861 | 4,236,482 | 1,686,806 | 2,645,636 | 438,109 | n/a | n/a | 334,559 | 6,065,631 | 36,372,766 |
| FY02 Estimate | 4,840,000 | n/a | n/a | 2,714,000 | 16,653,000 | 5,673,000 | 4,058,000 | 2,787,000 | 449,000 | n/a | 677,000 (6) | 473,000 | 6,220,000 | 44,544,000 |
| FY03 Estimate | 4,897,000 | n/a | n/a | 2,896,000 | 16,986,000 | 5,847,000 | 4,139,000 | 3,066,000 | 460,000 | n/a | 599,000 (6) | 485,000 | 6,365,000 | 45,740,000 |
| FY04 Estimate | 4,960,000 | n/a | n/a | 3,653,000 | 17,325,000 | 6,024,000 | 4,222,000 | 3,372,000 | 472,000 | n/a | 604,000 (6) | 497,000 | 6,515,000 | 47,644,000 |
| Restricted Fund Activity | | | | | | | | | | | | | | |
| FY93 | 2,470,227 | 1,097,123 | 738,879 | 68,338 | n/a | n/a | n/a | 1,199,020 | 187,697 | 454,462 | 2,372,330 (5) | 232,468 | n/a | 8,820,544 |
| FY94 | 2,262,772 | n/a | 476,124 | 54,064 | n/a | n/a | n/a | 1,448,764 | 283,119 | 599,215 | n/a | 219,543 | n/a | 5,343,601 |
| FY95 | 2,245,729 | n/a | 102,433 | 216,560 | 8,698,440 | 1,988,449 | n/a | 1,335,363 | 154,076 | 401,390 | n/a | 146,509 | 6,728,819 | 22,017,768 |
| FY97 | 2,146,045 | n/a | 47,412 | 316,039 | 10,219,185 | 1,993,104 | n/a | 1,096,728 | 275,182 | 553,049 | n/a | 127,246 | 6,338,899 | 23,112,889 |
| FY00 | 2,553,248 | n/a | n/a | 332,267 | 13,007,597 | 2,976,475 | 1,646,054 | 2,059,265 | 213,917 | n/a | n/a | 169,719 | 4,687,271 | 27,645,813 |
| F & A Cost Recovery | | | | | | | | | | | | | | |
| FY93 | 175,519 | 8,204 | 63,043 | 17,693 | n/a | n/a | n/a | 200,948 | 15,783 | 63,866 | 741,769 (5) | 50,640 | n/a | 1,337,465 |
| FY94 | 205,045 | n/a | 11,419 | 6,934 | n/a | n/a | n/a | 295,992 | 26,493 | 98,470 | n/a | 59,173 | n/a | 703,526 |
| FY95 | 221,891 | n/a | 10,746 | 52,328 | 3,598,709 | 365,325 | n/a | 440,417 | 42,162 | 87,306 | n/a | 14,214 | 1,931,199 | 6,764,297 |
| FY97 | 213,112 | n/a | 2,041 | 62,595 | 4,771,663 | 492,863 | n/a | 375,704 | 77,196 | 64,732 | n/a | 52,472 | 2,037,829 | 8,150,207 |
| FY00 | 182,622 | n/a | n/a | 64,480 | 5,809,856 | 908,266 | 558,122 | 858,105 | 74,307 | n/a | n/a | 64,395 | 1,728,910 | 10,249,063 |
| Recovery Ratio | | | | | | | | | | | | | | |
| FY93 | 7.1% | 0.7% | 8.5% | 25.9% | n/a | n/a | n/a | 16.8% | 8.4% | 14.1% | 31.3% (5) | 21.8% | n/a | 15.2% |
| FY94 | 9.1% | n/a | 2.4% | 12.8% | n/a | n/a | n/a | 20.4% | 9.4% | 16.4% | n/a | 27.0% | n/a | 13.2% |
| FY95 | 9.9% | n/a | 10.5% | 24.2% | 41.4% | 18.4% | n/a | 33.0% | 27.4% | 21.8% | n/a | 9.7% | 28.7% | 30.7% |
| Original calculated rate | 9.9% | n/a | 4.3% | 19.8% | 46.7% | 24.7% | n/a | 34.3% | 28.1% | 11.7% | n/a | 41.2% | 32.1% | 35.3% |
| FY00 | 7.2% | n/a | n/a | 19.4% | 44.7% | 30.5% | 33.9% | 41.7% | 34.7% | n/a | n/a | 37.9% | 36.9% | 37.1% |

(1) Arctic Region Supercomputing Center (ARSC) initiated in FY93; separate rate component in FY94.
 (2) Separate component rates until FY95.
 (3) International Arctic Research Center (IARC) initiated in FY99.
 (4) CCCS and Museum transferred to Other Institutional Activities / Other Sponsored Activities in FY99.
 (5) Polar Ice Coring Office (PICO) initiated in FY89; separate rate component in FY94; contract expired FY95.
 (6) Change in definition of research base allows all departments to use OOC research rate.

University of Alaska Fairbanks (UAF)
 FY02-FY04 Facilities and Administrative Costs Rate Projections - Final
 Estimated Pool Costs

UAF Schedule 4
 19-Nov-01
 3:16 PM

| | SFOS | Ship | IAB | Geophysical Institute | Poker Flat | Other On-campus Research | ARSC | PICO | (2) Other Sponsored Activities | Instruction/ Training | Other Institutional | Total |
|---------------------------------------|-----------|---------|-----------|--------------------------|---------------|--------------------------------|---------|---------|--------------------------------------|--------------------------|------------------------|------------|
| Building Depreciation & Use Charges: | | | | | | | | | | | | |
| FY93 | | | | | | | | | | | | |
| FY94 | | | | | | | | | | | | |
| FY95 | | | | | | | | | | | | |
| FY97 | | | | | | | | | | | | |
| FY00 | | | | | | | | | | | | |
| FY02 Estimate | n/a | 11,000 | n/a | n/a | 0 | 2,470,000 | 298,000 | n/a | 381,000 | 3,145,000 | 5,592,000 | 11,897,000 |
| FY03 Estimate | n/a | 11,000 | n/a | n/a | 0 | 2,475,000 | 299,000 | n/a | 385,000 | 3,152,000 | 5,565,000 | 11,887,000 |
| FY04 Estimate | n/a | 11,000 | n/a | n/a | 0 | 2,477,000 | 299,000 | n/a | 385,000 | 3,151,000 | 5,564,000 | 11,887,000 |
| Equipment Depreciation & Use Charges: | | | | | | | | | | | | |
| FY93 | | | | | | | | | | | | |
| FY94 | | | | | | | | | | | | |
| FY95 | | | | | | | | | | | | |
| FY97 | | | | | | | | | | | | |
| FY00 | | | | | | | | | | | | |
| FY02 Estimate | n/a | 0 | n/a | n/a | 0 | 1,193,000 | 697,000 | n/a | 154,000 | 1,984,000 | 486,000 | 4,514,000 |
| FY03 Estimate | n/a | 0 | n/a | n/a | 0 | 1,192,000 | 697,000 | n/a | 155,000 | 1,984,000 | 485,000 | 4,513,000 |
| FY04 Estimate | n/a | 0 | n/a | n/a | 0 | 1,192,000 | 697,000 | n/a | 155,000 | 1,985,000 | 485,000 | 4,514,000 |
| Total Depreciation & Use Charges: | | | | | | | | | | | | |
| FY93 | 650,247 | 35,328 | 171,114 | 298,792 | 0 | 669,804 | n/a | n/a | n/a | 1,521,782 | 1,653,845 | 5,000,912 |
| FY94 | 644,947 | 35,329 | 189,401 | 287,769 | 0 | 625,997 | 45,274 | 28,386 | n/a | 1,605,969 | 1,880,580 | 5,343,652 |
| FY95 | n/a | 37,880 | n/a | n/a | 0 | 1,798,260 | 65,540 | 19,179 | n/a | 1,686,126 | 1,973,670 | 5,580,655 |
| FY97 | n/a | 36,754 | n/a | n/a | 495 | 1,824,674 | 94,921 | n/a | n/a | 2,114,046 | 1,993,653 | 6,064,543 |
| FY00 | n/a | 39,259 | n/a | n/a | 0 | 1,963,635 | 534,537 | n/a | 300,444 | 2,036,542 | 2,729,029 | 7,603,446 |
| FY02 Estimate | n/a | 11,000 | n/a | n/a | 0 | 3,663,000 | 995,000 | n/a | 535,000 | 5,129,000 | 6,078,000 | 16,411,000 |
| FY03 Estimate | n/a | 11,000 | n/a | n/a | 0 | 3,667,000 | 996,000 | n/a | 540,000 | 5,136,000 | 6,050,000 | 16,400,000 |
| FY04 Estimate | n/a | 11,000 | n/a | n/a | 0 | 3,669,000 | 996,000 | n/a | 540,000 | 5,136,000 | 6,049,000 | 16,401,000 |
| Operations and Maintenance | | | | | | | | | | | | |
| FY93 | 936,467 | 52,455 | 960,196 | 994,631 | 338 | 1,347,702 | n/a | n/a | n/a | 4,001,860 | 6,162,956 | 14,456,605 |
| FY94 | 1,349,198 | 109,147 | 1,455,663 | 1,397,963 | 530 | 2,035,400 | 159,508 | 45,923 | n/a | 6,895,654 | 9,644,856 | 23,093,842 |
| FY95 | n/a | 48,365 | n/a | n/a | 4,987 | 5,973,127 | 137,799 | 37,971 | n/a | 6,252,009 | 8,738,991 | 21,193,249 |
| FY97 | n/a | 43,827 | n/a | n/a | 5,201 | 5,665,600 | 139,919 | n/a | n/a | 5,574,478 | 7,583,836 | 19,012,861 |
| FY00 | n/a | 82,879 | n/a | n/a | 3,200 | 5,826,316 | 237,603 | n/a | 647,352 | 6,032,962 | 10,635,444 | 23,465,756 |
| FY02 Estimate | n/a | 85,000 | n/a | n/a | 3,000 | 6,617,000 | 261,000 | n/a | 683,000 | 6,810,000 | 12,281,000 | 26,740,000 |
| FY03 Estimate | n/a | 86,000 | n/a | n/a | 3,000 | 6,864,000 | 275,000 | n/a | 724,000 | 7,103,000 | 12,635,000 | 27,690,000 |
| FY04 Estimate | n/a | 87,000 | n/a | n/a | 3,000 | 7,004,000 | 282,000 | n/a | 739,000 | 7,269,000 | 12,894,000 | 28,278,000 |
| General Administration | | | | | | | | | | | | |
| FY93 | 1,170,831 | 112,748 | 393,275 | 972,918 | 177,633 | 1,629,332 | n/a | n/a | n/a | 3,859,161 | 3,038,690 | 11,354,588 |
| FY94 | 971,409 | 157,120 | 359,628 | 1,260,780 | 359,777 | 1,181,856 | 331,075 | 330,028 | n/a | 3,805,981 | 3,114,564 | 11,872,218 |
| FY95 | n/a | 123,405 | n/a | n/a | 291,222 | 3,802,244 | 391,702 | 189,091 | n/a | 3,705,105 | 3,196,594 | 11,699,363 |
| FY97 | n/a | 101,607 | n/a | n/a | 110,721 | 5,374,931 | 511,847 | n/a | n/a | 5,002,984 | 3,403,116 | 14,505,296 |
| FY00 | n/a | 142,904 | n/a | n/a | 98,302 | 4,076,832 | 498,468 | n/a | 1,427,419 | 3,253,995 | 2,231,478 | 11,729,398 |
| FY02 Estimate | n/a | 164,000 | n/a | n/a | 134,000 | 5,277,000 | 787,000 | n/a | 1,865,000 | 3,711,000 | 1,877,000 | 13,815,000 |
| FY03 Estimate | n/a | 170,000 | n/a | n/a | 101,000 | 5,611,000 | 892,000 | n/a | 1,869,000 | 3,926,000 | 1,947,000 | 14,516,000 |
| FY04 Estimate | n/a | 172,000 | n/a | n/a | 145,000 | 5,895,000 | 946,000 | n/a | 1,903,000 | 4,038,000 | 1,966,000 | 15,065,000 |

University of Alaska Fairbanks (UAF)
 FY02-FY04 Facilities and Administrative Costs Rate Projections - Final
 Estimated Pool Costs

UAF Schedule 4
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| | SFOS | Ship | IAB | Geophysical Institute | Poker Flat | Other On-campus Research | ARSC | PICO | (2) Other Sponsored Activities | Instruction/ Training | Other Institutional | Total |
|--------------------------------------|-----------|---------|-----------|--------------------------|---------------|--------------------------------|-----------|---------|--------------------------------------|--------------------------|------------------------|------------|
| Sponsored Project Administration (1) | | | | | | | | | | | | |
| FY95 | n/a | 38,851 | n/a | n/a | 18,615 | 476,656 | 100,737 | 48,401 | n/a | 101,895 | 142,944 | 928,099 |
| FY97 | n/a | 32,034 | n/a | n/a | 2,785 | 613,082 | 143,956 | n/a | n/a | 130,653 | 104,616 | 1,027,126 |
| FY00 | n/a | 14,531 | n/a | n/a | 11,116 | 350,389 | 53,967 | n/a | 139,934 (3) | 23,084 | n/a (3) | 593,021 |
| FY02 Estimate | n/a | 14,000 | n/a | n/a | 12,000 | 379,000 | 72,000 | n/a | 133,000 (3) | 22,000 | n/a (3) | 632,000 |
| FY03 Estimate | n/a | 14,000 | n/a | n/a | 9,000 | 393,000 | 79,000 | n/a | 136,000 (3) | 22,000 | n/a (3) | 653,000 |
| FY04 Estimate | n/a | 14,000 | n/a | n/a | 13,000 | 406,000 | 83,000 | n/a | 136,000 (3) | 22,000 | n/a (3) | 674,000 |
| Department Administration (1) | | | | | | | | | | | | |
| FY95 | n/a | 175,562 | n/a | n/a | 279,069 | 5,180,418 | 130,056 | 225,150 | n/a | 5,461,555 | 0 | 11,451,810 |
| FY97 | 0 | 195,081 | 0 | 0 | 124,629 | 6,409,467 | 91,909 | 0 | 0 | 5,658,279 | 137,469 | 12,616,834 |
| FY00 | 0 | 281,863 | 0 | 0 | 158,314 | 6,507,123 | 0 | 0 | 2,009,160 | 8,264,767 | 879,257 | 18,100,483 |
| FY02 Estimate | 0 | 317,000 | 0 | 0 | 199,000 | 7,758,000 | 104,000 | 0 | 2,256,000 | 8,960,000 | 562,000 | 20,156,000 |
| FY03 Estimate | 0 | 322,000 | 0 | 0 | 147,000 | 8,131,000 | 112,000 | 0 | 2,269,000 | 9,110,000 | 480,000 | 20,570,000 |
| FY04 Estimate | 0 | 325,000 | 0 | 0 | 207,000 | 8,370,000 | 116,000 | 0 | 2,298,000 | 9,183,000 | 485,000 | 20,984,000 |
| Research Administration (1) | | | | | | | | | | | | |
| FY93 | 2,136,347 | 205,725 | 521,998 | 1,652,451 | 301,701 | 2,259,035 | n/a | n/a | n/a | 80,849 | 145,622 | 7,303,728 |
| FY94 | 1,822,122 | 294,718 | 467,100 | 1,525,960 | 435,449 | 1,722,774 | 480,895 | 472,339 | n/a | 102,202 | 141,342 | 7,464,901 |
| Library | | | | | | | | | | | | |
| FY93 | 141,468 | 0 | 35,773 | 128,750 | 0 | 149,634 | n/a | n/a | n/a | 553,902 | 1,342,381 | 2,351,908 |
| FY94 | 148,840 | 0 | 35,599 | 144,816 | 0 | 128,663 | 14,866 | 27,984 | n/a | 596,220 | 1,506,453 | 2,603,441 |
| FY95 | n/a | 0 | n/a | n/a | 0 | 442,956 | 21,827 | 13,253 | n/a | 580,780 | 1,260,422 | 2,319,238 |
| FY97 | n/a | 0 | n/a | n/a | 0 | 397,282 | 23,620 | n/a | n/a | 455,337 | 1,127,819 | 2,004,058 |
| FY00 | n/a | 0 | n/a | n/a | 0 | 444,159 | 40,600 | n/a | 129,137 | 441,923 | 956,252 | 2,012,071 |
| FY02 Estimate | n/a | 0 | n/a | n/a | 0 | 638,000 | 41,000 | n/a | 204,000 | 574,000 | 1,142,000 | 2,599,000 |
| FY03 Estimate | n/a | 0 | n/a | n/a | 0 | 721,000 | 50,000 | n/a | 220,000 | 645,000 | 993,000 | 2,629,000 |
| FY04 Estimate | n/a | 0 | n/a | n/a | 0 | 734,000 | 51,000 | n/a | 219,000 | 644,000 | 993,000 | 2,641,000 |
| Total Pool Costs | | | | | | | | | | | | |
| FY93 | 5,035,359 | 406,256 | 2,082,356 | 4,047,542 | 479,672 | 6,055,507 | n/a | n/a | n/a | 10,017,554 | 12,343,494 | 40,467,740 |
| FY94 | 4,936,516 | 596,314 | 2,507,391 | 4,617,288 | 795,756 | 5,694,690 | 1,031,618 | 904,660 | n/a | 13,006,026 | 16,287,795 | 50,378,054 |
| FY95 | n/a | 424,063 | n/a | n/a | 593,893 | 17,673,661 | 847,661 | 533,045 | n/a | 17,787,470 | 15,312,621 | 53,172,414 |
| FY97 | n/a | 409,303 | n/a | n/a | 243,831 | 20,285,036 | 1,006,172 | n/a | n/a | 18,935,777 | 14,350,509 | 55,230,628 |
| FY00 | n/a | 561,436 | n/a | n/a | 270,932 | 19,168,454 | 1,365,175 | n/a | 4,653,446 | 20,053,273 | 17,431,460 | 63,504,175 |
| FY02 Estimate | n/a | 591,000 | n/a | n/a | 348,000 | 24,332,000 | 2,260,000 | n/a | 5,676,000 | 25,206,000 | 21,940,000 | 80,353,000 |
| FY03 Estimate | n/a | 603,000 | n/a | n/a | 260,000 | 25,387,000 | 2,404,000 | n/a | 5,758,000 | 25,942,000 | 22,105,000 | 82,458,000 |
| FY04 Estimate | n/a | 609,000 | n/a | n/a | 368,000 | 26,078,000 | 2,474,000 | n/a | 5,835,000 | 26,292,000 | 22,387,000 | 84,043,000 |

- (1) Beginning in FY95, research administration costs were classified as either sponsored projects administration costs or department administration costs.
- (2) Beginning in FY99, the Other Sponsored Activities base and rate were developed.
- (3) Beginning in FY99, sponsored program administration is allocated to Other Sponsored Activities.

| | Other On-campus Research | American Russian Center | Other Sponsored Activities | Instruction/ Training | Total |
|---|--------------------------------|-------------------------------|-------------------------------|--------------------------|-------------|
| Estimated MTDC base for FY02 | 6,145,000 | 1,938,000 | 5,904,000 | 40,233,000 | 54,220,000 |
| Estimated pool costs: | | | | | |
| Building depreciation | 135,000 | 87,000 | 129,000 | 4,793,000 | 5,144,000 |
| Equipment depreciation | 80,000 | 37,000 | 20,000 | 1,087,000 | 1,224,000 |
| Operations & maintenance | 206,000 | 48,000 | 145,000 | 4,857,000 | 5,256,000 |
| General administration | 964,000 | 304,000 | 925,000 | 6,334,000 | 8,527,000 |
| Sponsored projects administration | 171,000 | 61,000 | 194,000 | 100,000 | 526,000 |
| Department administration | 1,062,000 | 227,000 | 447,000 | 6,687,000 | 8,423,000 |
| Library | 80,000 | 15,000 | 70,000 | 578,000 | 743,000 |
| | 2,698,000 | 779,000 | 1,930,000 | 24,436,000 | 29,843,000 |
| Excess administration costs | (599,300) | (88,120) | (30,960) | (2,660,420) | (3,378,800) |
| Total facilities and administration costs | 2,098,700 | 690,880 | 1,899,040 | 21,775,580 | 26,464,200 |
| Rate elements: | | | | | |
| Building depreciation | 2.2% | 4.5% | 2.2% | 11.9% | |
| Equipment depreciation | 1.3% | 1.9% | 0.3% | 2.7% | |
| Operations & maintenance | 3.4% | 2.5% | 2.5% | 12.1% | |
| General administration | 15.7% | 15.7% | 15.7% | 15.7% | |
| Sponsored projects administration | 2.8% | 3.1% | 3.3% | 0.2% | |
| Department administration | 17.3% | 11.7% | 7.6% | 16.6% | |
| Library | 1.3% | 0.8% | 1.2% | 1.4% | |
| | 44.0% | 40.2% | 32.8% | 60.6% | |
| Capped rate effect | -9.8% | -4.5% | -0.6% | -6.5% | |
| Net calculated rate | 34.2% | 35.7% | 32.2% | 54.1% | |
| Voluntary Increase (Decrease) to Match Provisional Rate | -1.4% | 0.3% | -1.3% | -24.1% | |
| Suggested rate FY02 ¹ | 32.8% | 36.0% | 30.9% | 30.0% | |

¹ Suggested rate is based on provisional rate for FY02 established utilizing proposal information submitted June 14, 2001

University of Alaska Anchorage (UAA)
 FY02-FY04 Facilities and Administrative Costs Rate Projections - Final
 FY02-FY04 Combined Base and Pool Costs
 Proposed Component Structure for FY03 - FY04
 Administrative Costs Capped at 26%

UAA Schedule 1
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| | Other On-campus Research | American Russian Center | Other Sponsored Activities | Instruction/ Training | Total |
|---|--------------------------------|-------------------------------|-------------------------------|--------------------------|--------------|
| Estimated MTDC base for FY02 - FY04 | 19,503,000 | 4,859,000 | 17,828,000 | 121,900,000 | 164,090,000 |
| Estimated pool costs: | | | | | |
| Building depreciation | 406,000 | 261,000 | 388,000 | 14,379,000 | 15,434,000 |
| Equipment depreciation | 240,000 | 110,000 | 59,000 | 3,261,000 | 3,670,000 |
| Operations & maintenance | 622,000 | 141,000 | 435,000 | 14,571,000 | 15,769,000 |
| General administration | 3,149,000 | 782,000 | 2,875,000 | 19,735,000 | 26,541,000 |
| Sponsored projects administration | 560,000 | 157,000 | 601,000 | 311,000 | 1,629,000 |
| Department administration | 3,295,000 | 702,000 | 1,341,000 | 20,202,000 | 25,540,000 |
| Library | 252,000 | 37,000 | 210,000 | 1,739,000 | 2,238,000 |
| | 8,524,000 | 2,190,000 | 5,909,000 | 74,198,000 | 90,821,000 |
| Excess administration costs | (1,933,220) | (377,660) | (181,720) | (8,554,000) | (11,046,600) |
| Total facilities and administration costs | 6,590,780 | 1,812,340 | 5,727,280 | 65,644,000 | 79,774,400 |
| Rate elements: | | | | | |
| Building depreciation | 2.1% | 5.4% | 2.2% | 11.8% | |
| Equipment depreciation | 1.2% | 2.2% | 0.3% | 2.7% | |
| Operations & maintenance | 3.2% | 2.9% | 2.4% | 12.0% | |
| General administration | 16.1% | 16.1% | 16.1% | 16.2% | |
| Sponsored projects administration | 2.9% | 3.2% | 3.4% | 0.3% | |
| Department administration | 16.9% | 14.4% | 7.5% | 16.6% | |
| Library | 1.3% | 0.8% | 1.2% | 1.4% | |
| | 43.7% | 45.0% | 33.1% | 61.0% | |
| Capped rate effect | -9.9% | -7.7% | -1.0% | -7.1% | |
| Net calculated average rate | 33.8% | 37.3% | 32.1% | 53.9% | |
| Suggested rate FY03-FY04 ¹ | 33.8% | 37.3% | 32.1% | 30.0% | |

¹ Suggested rate is based on revised proposal information submitted November 20, 2001.

University of Alaska Anchorage (UAA)
 FY02-FY04 Facilities and Administrative Costs Rate Projections - Final
 Projected Component Structure for FY02
 Administrative Costs Capped at 26%

UAA Schedule 1a
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| | Other On-campus Research | American Russian Center | Other Sponsored Activities | Instruction/ Training | Total |
|---|--------------------------------|-------------------------------|-------------------------------|--------------------------|-------------|
| Estimated MTDC base for FY02 | 6,145,000 | 1,938,000 | 5,904,000 | 40,233,000 | 54,220,000 |
| Estimated pool costs: | | | | | |
| Building depreciation | 135,000 | 87,000 | 129,000 | 4,793,000 | 5,144,000 |
| Equipment depreciation | 80,000 | 37,000 | 20,000 | 1,087,000 | 1,224,000 |
| Operations & maintenance | 206,000 | 48,000 | 145,000 | 4,857,000 | 5,256,000 |
| General administration | 964,000 | 304,000 | 925,000 | 6,334,000 | 8,527,000 |
| Sponsored projects administration | 171,000 | 61,000 | 194,000 | 100,000 | 526,000 |
| Department administration | 1,062,000 | 227,000 | 447,000 | 6,687,000 | 8,423,000 |
| Library | 80,000 | 15,000 | 70,000 | 578,000 | 743,000 |
| | 2,698,000 | 779,000 | 1,930,000 | 24,436,000 | 29,843,000 |
| Excess administration costs | (599,300) | (88,120) | (30,960) | (2,660,420) | (3,378,800) |
| Total facilities and administration costs | 2,098,700 | 690,880 | 1,899,040 | 21,775,580 | 26,464,200 |
| Rate elements: | | | | | |
| Building depreciation | 2.2% | 4.5% | 2.2% | 11.9% | |
| Equipment depreciation | 1.3% | 1.9% | 0.3% | 2.7% | |
| Operations & maintenance | 3.4% | 2.5% | 2.5% | 12.1% | |
| General administration | 15.7% | 15.7% | 15.7% | 15.7% | |
| Sponsored projects administration | 2.8% | 3.1% | 3.3% | 0.2% | |
| Department administration | 17.3% | 11.7% | 7.6% | 16.6% | |
| Library | 1.3% | 0.8% | 1.2% | 1.4% | |
| | 44.0% | 40.2% | 32.8% | 60.6% | |
| Capped rate effect | -9.8% | -4.5% | -0.6% | -6.5% | |
| Net calculated rate | 34.2% | 35.7% | 32.2% | 54.1% | |

| | Other On-campus Research | American Russian Center | Other Sponsored Activities | Instruction/ Training | Total |
|---|--------------------------------|-------------------------------|-------------------------------|--------------------------|-------------|
| Estimated MTDC base for FY03 | 6,474,000 | 1,632,000 | 5,939,000 | 40,625,000 | 54,670,000 |
| Estimated pool costs: | | | | | |
| Building depreciation | 135,000 | 87,000 | 129,000 | 4,793,000 | 5,144,000 |
| Equipment depreciation | 80,000 | 37,000 | 20,000 | 1,087,000 | 1,224,000 |
| Operations & maintenance | 207,000 | 47,000 | 145,000 | 4,857,000 | 5,256,000 |
| General administration | 1,050,000 | 265,000 | 963,000 | 6,611,000 | 8,889,000 |
| Sponsored projects administration | 186,000 | 53,000 | 200,000 | 104,000 | 543,000 |
| Department administration | 1,098,000 | 234,000 | 447,000 | 6,736,000 | 8,515,000 |
| Library | 84,000 | 12,000 | 70,000 | 580,000 | 746,000 |
| | 2,840,000 | 735,000 | 1,974,000 | 24,768,000 | 30,317,000 |
| Excess administration costs | (650,760) | (127,680) | (65,860) | (2,888,500) | (3,732,800) |
| Total facilities and administration costs | 2,189,240 | 607,320 | 1,908,140 | 21,879,500 | 26,584,200 |
| Rate elements: | | | | | |
| Building depreciation | 2.1% | 5.3% | 2.2% | 11.8% | |
| Equipment depreciation | 1.2% | 2.3% | 0.3% | 2.7% | |
| Operations & maintenance | 3.2% | 2.9% | 2.4% | 12.0% | |
| General administration | 16.2% | 16.2% | 16.2% | 16.3% | |
| Sponsored projects administration | 2.9% | 3.2% | 3.4% | 0.3% | |
| Department administration | 17.0% | 14.3% | 7.5% | 16.6% | |
| Library | 1.3% | 0.7% | 1.2% | 1.4% | |
| | 43.9% | 44.9% | 33.2% | 61.1% | |
| Capped rate effect | -10.0% | -7.8% | -1.2% | -7.2% | |
| Net calculated rate | 33.9% | 37.1% | 32.0% | 53.9% | |

| | Other On-campus Research | American Russian Center | Other Sponsored Activities | Instruction/ Training | Total |
|---|--------------------------------|-------------------------------|-------------------------------|--------------------------|-------------|
| Estimated MTDC base for FY04 | 6,884,000 | 1,289,000 | 5,985,000 | 41,042,000 | 55,200,000 |
| Estimated pool costs: | | | | | |
| Building depreciation | 136,000 | 87,000 | 130,000 | 4,793,000 | 5,146,000 |
| Equipment depreciation | 80,000 | 36,000 | 19,000 | 1,087,000 | 1,222,000 |
| Operations & maintenance | 209,000 | 46,000 | 145,000 | 4,857,000 | 5,257,000 |
| General administration | 1,135,000 | 213,000 | 987,000 | 6,790,000 | 9,125,000 |
| Sponsored projects administration | 203,000 | 43,000 | 207,000 | 107,000 | 560,000 |
| Department administration | 1,135,000 | 241,000 | 447,000 | 6,779,000 | 8,602,000 |
| Library | 88,000 | 10,000 | 70,000 | 581,000 | 749,000 |
| | 2,986,000 | 676,000 | 2,005,000 | 24,994,000 | 30,661,000 |
| Excess administration costs | (683,160) | (161,860) | (84,900) | (3,005,080) | (3,935,000) |
| Total facilities and administration costs | 2,302,840 | 514,140 | 1,920,100 | 21,988,920 | 26,726,000 |
| Rate elements: | | | | | |
| Building depreciation | 1.9% | 6.7% | 2.2% | 11.7% | |
| Equipment depreciation | 1.2% | 2.8% | 0.3% | 2.6% | |
| Operations & maintenance | 3.0% | 3.6% | 2.4% | 11.8% | |
| General administration | 16.5% | 16.5% | 16.5% | 16.5% | |
| Sponsored projects administration | 2.9% | 3.3% | 3.5% | 0.3% | |
| Department administration | 16.5% | 18.7% | 7.5% | 16.5% | |
| Library | 1.3% | 0.8% | 1.2% | 1.4% | |
| | 43.3% | 52.4% | 33.6% | 60.8% | |
| Capped rate effect | -9.8% | -12.5% | -1.6% | -7.3% | |
| Net calculated rate | 33.5% | 39.9% | 32.0% | 53.5% | |

| | <u>Other On-campus Research</u> | <u>American Russian Center</u> | <u>Other Sponsored Activities</u> | <u>Instruction/ Training</u> | <u>Total</u> |
|---|---|--|---------------------------------------|----------------------------------|------------------|
| Estimated MTDC base FY02 | 6,145,000 | 1,938,000 | 5,904,000 | 40,233,000 | 54,220,000 |
| Estimated federal activity rate | <u>46.2%</u> | <u>93.3%</u> | <u>68.3%</u> | <u>1.3%</u> | <u>17.0%</u> |
| Estimated federal activity | <u>2,840,000</u> | <u>1,810,000</u> | <u>4,030,000</u> | <u>530,000</u> | <u>9,210,000</u> |
| Calculated rate (Schedule 1a) | <u>34.2%</u> | <u>35.7%</u> | <u>32.2%</u> | <u>54.1%</u> | |
| Suggested rate (Schedule 1) | <u>32.8%</u> | <u>36.0%</u> | <u>30.9%</u> | <u>30.0%</u> | |
| Potential recovery on federal participation at: | | | | | |
| Calculated rate | <u>970,000</u> | <u>647,000</u> | <u>1,296,000</u> | <u>287,000</u> | <u>3,200,000</u> |
| Suggested rate | <u>932,000</u> | <u>652,000</u> | <u>1,245,000</u> | <u>159,000</u> | <u>2,988,000</u> |

| | <u>Other On-campus Research</u> | <u>American Russian Center</u> | <u>Other Sponsored Activities</u> | <u>Instruction/ Training</u> | <u>Total</u> |
|---|---|--|---------------------------------------|----------------------------------|------------------|
| Estimated MTDC base FY03 | 6,474,000 | 1,632,000 | 5,939,000 | 40,625,000 | 54,670,000 |
| Estimated federal activity rate | <u>46.2%</u> | <u>93.3%</u> | <u>68.3%</u> | <u>1.3%</u> | <u>16.6%</u> |
| Estimated federal activity | <u>2,990,000</u> | <u>1,520,000</u> | <u>4,050,000</u> | <u>530,000</u> | <u>9,090,000</u> |
| Calculated rate (Schedule 1b) | <u>33.9%</u> | <u>37.1%</u> | <u>32.0%</u> | <u>53.9%</u> | |
| Suggested rate (Schedule 1) | <u>33.8%</u> | <u>37.3%</u> | <u>32.1%</u> | <u>30.0%</u> | |
| Potential recovery on federal participation at: | | | | | |
| Calculated rate | <u>1,014,000</u> | <u>564,000</u> | <u>1,297,000</u> | <u>285,000</u> | <u>3,160,000</u> |
| Suggested rate | <u>1,010,000</u> | <u>567,000</u> | <u>1,301,000</u> | <u>159,000</u> | <u>3,037,000</u> |

| | <u>Other On-campus Research</u> | <u>American Russian Center</u> | <u>Other Sponsored Activities</u> | <u>Instruction/ Training</u> | <u>Total</u> |
|---|---|--|---------------------------------------|----------------------------------|------------------|
| Estimated MTDC base FY04 | 6,884,000 | 1,289,000 | 5,985,000 | 41,042,000 | 55,200,000 |
| Estimated federal activity rate | <u>46.2%</u> | <u>93.3%</u> | <u>68.3%</u> | <u>1.3%</u> | <u>16.3%</u> |
| Estimated federal activity | <u>3,180,000</u> | <u>1,200,000</u> | <u>4,090,000</u> | <u>540,000</u> | <u>9,010,000</u> |
| Calculated rate (Schedule 1c) | <u>33.5%</u> | <u>39.9%</u> | <u>32.0%</u> | <u>53.5%</u> | |
| Suggested rate (Schedule 1) | <u>33.8%</u> | <u>37.3%</u> | <u>32.1%</u> | <u>30.0%</u> | |
| Potential recovery on federal participation at: | | | | | |
| Calculated rate | <u>1,064,000</u> | <u>479,000</u> | <u>1,308,000</u> | <u>289,000</u> | <u>3,140,000</u> |
| Suggested rate | <u>1,075,000</u> | <u>448,000</u> | <u>1,314,000</u> | <u>162,000</u> | <u>2,999,000</u> |

University of Alaska Anchorage (UAA)
 FY02-FY04 Facilities and Administrative Costs Rate Projections - Final
 Estimated Base

UAA Schedule 3
 19-Nov-01
 3:30 PM

| | Other On-campus Research | American Russian Center (2) | Other Sponsored Activities (4) | Instruction/ Training | Other Institutional | Total |
|------------------------------------|--------------------------------|-----------------------------------|-----------------------------------|--------------------------|------------------------|------------|
| Modified Total Direct Costs | | | | | | |
| FY93 | 3,054,440 | n/a | n/a | 37,457,400 | 11,480,517 | 51,992,357 |
| FY94 | 3,918,734 | 1,877,898 | n/a | 40,304,960 | 11,492,907 | 57,594,499 |
| FY95 | 4,399,693 | 2,776,340 | n/a | 40,401,758 | 12,887,332 | 60,465,123 |
| FY97 | 4,231,413 | 1,874,268 | n/a | 40,711,470 | 12,858,321 | 59,675,472 |
| FY00 | 4,858,280 | 1,070,327 | 7,196,344 | 39,843,156 | 11,989,625 | 64,957,732 |
| FY02 Estimate | 6,145,000 | 1,938,000 | 5,904,000 | 40,233,000 | 9,447,000 | 63,667,000 |
| FY03 Estimate | 6,474,000 | 1,632,000 | 5,939,000 | 40,625,000 | 9,447,000 | 64,117,000 |
| FY04 Estimate | 6,884,000 | 1,289,000 | 5,985,000 | 41,042,000 | 9,447,000 | 64,647,000 |
| Restricted Fund Activity | | | | | | |
| FY93 | 2,321,587 | n/a | n/a | 3,090,254 | n/a | 5,411,841 |
| FY94 | 2,465,651 | 1,913,231 | n/a | 3,171,240 | n/a | 7,550,122 |
| FY95 | 3,238,649 | 2,727,700 | n/a | 2,875,391 | n/a | 8,841,740 |
| FY97 | 2,725,522 | 1,702,278 | n/a | 3,926,733 | n/a | 8,354,533 |
| FY00 | 3,719,563 | 998,627 | 6,955,299 | 2,889,259 | n/a | 14,562,748 |
| F & A Cost Recovery | | | | | | |
| FY93 | 569,035 | n/a | n/a | 453,938 | n/a | 1,022,973 |
| FY94 | 600,083 | 358,812 | n/a | 516,227 | n/a | 1,475,122 |
| FY95 | 833,079 | 605,022 | n/a | 448,430 | n/a | 1,886,531 |
| FY97 | 570,602 | 453,293 | n/a | 758,660 | n/a | 1,782,555 |
| FY00 | 914,687 | 240,666 | 1,115,457 | 327,762 | n/a | 2,598,572 |
| Recovery Ratio | | | | | | |
| FY93 | 24.5% | n/a | n/a | 14.7% | n/a | |
| FY94 | 24.3% | 18.8% | n/a | 16.3% | n/a | |
| FY95 | 25.7% | 22.2% (3) | n/a | 15.6% | n/a | |
| FY97 | 20.9% | 26.6% | n/a | 19.3% | n/a | |
| FY00 | 24.6% | 24.1% | 16.0% | 11.3% | n/a | |
| Rate History | | | | | | |
| Negotiated FY92 | 69.7% (1) | n/a | n/a | 12.5% | n/a | |
| Negotiated FY93 | 37.6% | n/a | 37.6% | 20.0% | n/a | |
| Negotiated FY94 | 34.3% | 18.7% | 34.3% | 20.0% | n/a | |
| Negotiated FY95 | 36.2% | 21.2% | 36.2% | 25.0% | n/a | |
| Negotiated FY96 | 30.9% | 25.1% | 30.9% | 25.0% | n/a | |
| Negotiated FY97 | 32.9% | 27.5% | 32.9% | 25.0% | n/a | |
| Negotiated FY98 | 32.9% | 24.7% | 32.9% | 25.0% | n/a | |
| Negotiated FY99-01 | 32.7% | 29.1% | 27.4% | 30.0% | n/a | |

(1) Salary & Wage base rate.
 (2) Program initiated in FY93 and included in Other On-campus.
 (3) Overcollection corrected in FY95.
 (4) Other Sponsored Activities base and rate developed beginning in FY99.

| | Research & Other Sponsored Activities | Instruction/ Training | Total |
|--|---|--------------------------|-------------|
| Estimated MTDC base for FY02 | 449,000 | 6,464,000 | 6,913,000 |
| Estimated pool costs: | | | |
| Building depreciation | 3,000 | 927,000 | 930,000 |
| Equipment depreciation | - | 113,000 | 113,000 |
| Operations & maintenance | 69,000 | 1,558,000 | 1,627,000 |
| General administration | 93,000 | 1,341,000 | 1,434,000 |
| Sponsored projects administration | - | - | - |
| Department administration | 42,000 | 2,269,000 | 2,311,000 |
| Library | 10,000 | 233,000 | 243,000 |
| | 217,000 | 6,441,000 | 6,658,000 |
| Excess administration costs | (18,260) | (1,929,360) | (1,947,620) |
| Total facilities and administration costs | 198,740 | 4,511,640 | 4,710,380 |
| Rate elements: | | | |
| Building depreciation | 0.7% | 14.3% | |
| Equipment depreciation | 0.0% | 1.8% | |
| Operations & maintenance | 15.4% | 24.1% | |
| General administration | 20.7% | 20.7% | |
| Sponsored projects administration | 0.0% | 0.0% | |
| Department administration | 9.4% | 35.1% | |
| Library | 2.2% | 3.6% | |
| | 48.4% | 99.6% | |
| Capped rate effect | -4.1% | -29.8% | |
| Net calculated rate | 44.3% | 69.8% | |
| Voluntary Increase (Decrease) to Match Provisional Rate | -9.3% | -39.8% | |
| Suggested rate FY02 ¹ | 35.0% | 30.0% | |

¹ Suggested rate is based on provisional rate for FY02 established utilizing proposal information submitted June 14, 200

| | Research & Other Sponsored Activities | Instruction/ Training | Total |
|---|---|--------------------------|-------------|
| Estimated MTDC base for FY02 - FY04 | 1,434,000 | 20,645,000 | 22,079,000 |
| Estimated pool costs: | | | |
| Building Depreciation | 11,000 | 2,785,000 | 2,796,000 |
| Equipment Depreciation | - | 339,000 | 339,000 |
| Operations & maintenance | 211,000 | 4,761,000 | 4,972,000 |
| General administration | 299,000 | 4,321,000 | 4,620,000 |
| Sponsored projects administration | - | - | - |
| Department administration | 129,000 | 6,838,000 | 6,967,000 |
| Library | 31,000 | 702,000 | 733,000 |
| | 681,000 | 19,746,000 | 20,427,000 |
| Excess administration costs | (55,160) | (5,791,300) | (5,846,460) |
| Total facilities and administration costs | 625,840 | 13,954,700 | 14,580,540 |
| Rate elements: | | | |
| Building Depreciation | 0.8% | 13.5% | |
| Equipment Depreciation | 0.0% | 1.6% | |
| Operations & maintenance | 14.7% | 23.1% | |
| General administration | 20.9% | 20.9% | |
| Sponsored projects administration | 0.0% | 0.0% | |
| Department administration | 9.0% | 33.1% | |
| Library | 2.2% | 3.4% | |
| | 47.6% | 95.6% | |
| Capped rate effect | -3.9% | -28.1% | |
| Net calculated average rate | 43.7% | 67.5% | |
| Voluntary Increase (Decrease) | -8.7% | -37.5% | |
| Suggested rate FY03-FY04 ¹ | 35.0% | 30.0% | |

¹ Suggested rate is based on revised proposal information submitted November 20, 2001.

| | Research & Other Sponsored Activities | Instruction/ Training | Total |
|---|---|--------------------------|-------------|
| Estimated MTDC base for FY02 | 449,000 | 6,464,000 | 6,913,000 |
| Estimated pool costs: | | | |
| Building depreciation | 3,000 | 927,000 | 930,000 |
| Equipment depreciation | - | 113,000 | 113,000 |
| Operations & maintenance | 69,000 | 1,558,000 | 1,627,000 |
| General administration | 93,000 | 1,341,000 | 1,434,000 |
| Sponsored projects administration | - | - | - |
| Department administration | 42,000 | 2,269,000 | 2,311,000 |
| Library | 10,000 | 233,000 | 243,000 |
| | 217,000 | 6,441,000 | 6,658,000 |
| Excess administration costs | (18,260) | (1,929,360) | (1,947,620) |
| Total facilities and administration costs | 198,740 | 4,511,640 | 4,710,380 |
| Rate elements: | | | |
| Building depreciation | 0.7% | 14.3% | |
| Equipment depreciation | 0.0% | 1.8% | |
| Operations & maintenance | 15.4% | 24.1% | |
| General administration | 20.7% | 20.7% | |
| Sponsored projects administration | 0.0% | 0.0% | |
| Department administration | 9.4% | 35.1% | |
| Library | 2.2% | 3.6% | |
| | 48.4% | 99.6% | |
| Capped rate effect | -4.1% | -29.8% | |
| Net calculated rate | 44.3% | 69.8% | |

| | Research & Other Sponsored Activities | Instruction/ Training | Total |
|---|---|--------------------------|-------------|
| Estimated MTDC base for FY03 | 476,000 | 6,851,000 | 7,327,000 |
| Estimated pool costs: | | | |
| Building Depreciation | 4,000 | 928,000 | 932,000 |
| Equipment Depreciation | - | 113,000 | 113,000 |
| Operations & maintenance | 70,000 | 1,585,000 | 1,655,000 |
| General administration | 100,000 | 1,441,000 | 1,541,000 |
| Sponsored projects administration | - | - | - |
| Department administration | 43,000 | 2,280,000 | 2,323,000 |
| Library | 10,000 | 235,000 | 245,000 |
| | 227,000 | 6,582,000 | 6,809,000 |
| Excess administration costs | (19,240) | (1,939,740) | (1,958,980) |
| Total facilities and administration costs | 207,760 | 4,642,260 | 4,850,020 |
| Rate elements: | | | |
| Building Depreciation | 0.8% | 13.5% | |
| Equipment Depreciation | 0.0% | 1.7% | |
| Operations & maintenance | 14.7% | 23.1% | |
| General administration | 21.0% | 21.0% | |
| Sponsored projects administration | 0.0% | 0.0% | |
| Department administration | 9.0% | 33.3% | |
| Library | 2.1% | 3.4% | |
| | 47.6% | 96.0% | |
| Capped rate effect | -4.0% | -28.3% | |
| Net calculated rate | 43.6% | 67.7% | |

| | Research & Other Sponsored Activities | Instruction/ Training | Total |
|---|---|--------------------------|-------------|
| Estimated MTDC base for FY04 | 509,000 | 7,330,000 | 7,839,000 |
| Estimated pool costs: | | | |
| Building Depreciation | 4,000 | 930,000 | 934,000 |
| Equipment Depreciation | - | 113,000 | 113,000 |
| Operations & maintenance | 72,000 | 1,618,000 | 1,690,000 |
| General administration | 106,000 | 1,539,000 | 1,645,000 |
| Sponsored projects administration | - | - | - |
| Department administration | 44,000 | 2,289,000 | 2,333,000 |
| Library | 11,000 | 234,000 | 245,000 |
| | 237,000 | 6,723,000 | 6,960,000 |
| Excess administration costs | (17,660) | (1,922,200) | (1,939,860) |
| Total facilities and administration costs | 219,340 | 4,800,800 | 5,020,140 |
| Rate elements: | | | |
| Building Depreciation | 0.8% | 12.7% | |
| Equipment Depreciation | 0.0% | 1.5% | |
| Operations & maintenance | 14.1% | 22.1% | |
| General administration | 20.8% | 21.0% | |
| Sponsored projects administration | 0.0% | 0.0% | |
| Department administration | 8.6% | 31.2% | |
| Library | 2.2% | 3.2% | |
| | 46.5% | 91.7% | |
| Capped rate effect | -3.4% | -26.2% | |
| Net calculated rate | 43.1% | 65.5% | |

| | <u>Research & Other Sponsored Activities</u> | <u>Instruction/ Training</u> | <u>Total</u> |
|---|--|----------------------------------|----------------|
| Estimated MTDC base FY02 | 449,000 | 6,464,000 | 6,913,000 |
| Estimated federal activity rate | <u>34.3%</u> | <u>7.4%</u> | <u>9.1%</u> |
| Estimated federal activity | <u>150,000</u> | <u>480,000</u> | <u>630,000</u> |
| Calculated rate (Schedule 1a) | <u>44.3%</u> | <u>69.8%</u> | |
| Suggested rate (Schedule 1) | <u>35.0%</u> | <u>30.0%</u> | |
| Potential recovery on federal participation at: | | | |
| Calculated rate | <u>66,000</u> | <u>335,000</u> | <u>401,000</u> |
| Suggested rate | <u>53,000</u> | <u>144,000</u> | <u>197,000</u> |

| | Research & Other Sponsored Activities | Instruction/ Training | Total |
|---|---|--------------------------|----------------|
| Estimated MTDC base FY03 | 476,000 | 6,851,000 | 7,327,000 |
| Estimated federal activity rate | <u>34.3%</u> | <u>7.4%</u> | <u>9.1%</u> |
| Estimated federal activity | <u>160,000</u> | <u>510,000</u> | <u>670,000</u> |
| Calculated rate (Schedule 1b) | <u>43.6%</u> | <u>67.7%</u> | |
| Suggested rate (Schedule 1) | <u>35.0%</u> | <u>30.0%</u> | |
| Potential recovery on federal participation at: | | | |
| Calculated rate | <u>70,000</u> | <u>345,000</u> | <u>415,000</u> |
| Suggested rate | <u>56,000</u> | <u>153,000</u> | <u>209,000</u> |

| | <u>Research & Other Sponsored Activities</u> | <u>Instruction/ Training</u> | <u>Total</u> |
|---|--|----------------------------------|----------------|
| Estimated MTDC base FY04 | 509,000 | 7,330,000 | 7,839,000 |
| Estimated federal activity rate | <u>34.3%</u> | <u>7.4%</u> | <u>9.1%</u> |
| Estimated federal activity | <u>170,000</u> | <u>540,000</u> | <u>710,000</u> |
| Calculated rate (Schedule 1c) | <u>43.1%</u> | <u>65.5%</u> | |
| Suggested rate (Schedule 1) | <u>35.0%</u> | <u>30.0%</u> | |
| Potential recovery on federal participation at: | | | |
| Calculated rate | <u>73,000</u> | <u>354,000</u> | <u>427,000</u> |
| Suggested rate | <u>60,000</u> | <u>162,000</u> | <u>222,000</u> |

University of Alaska Southeast (UAS)
 FY02-FY04 Facilities and Administrative Costs Rate Projections - Final
 Estimated Base

UAS Schedule 3
 19-Nov-01
 4:00 PM

| | Research & Other Sponsored Activities (4) | Instruction/ Training | Other Institutional | Total |
|--|---|--------------------------|------------------------|------------|
| Modified Total Direct Costs (1) | | | | |
| FY93 | 21,032 | 5,827,604 | 2,445,061 | 8,293,697 |
| FY94 | 14,525 | 5,468,319 | 1,869,369 | 7,352,213 |
| FY95 | 12,260 | 5,790,778 | 1,767,023 | 7,570,061 |
| FY97 | 188,428 | 8,767,959 | 1,424,169 | 10,380,556 |
| FY00 | 236,725 | 6,442,056 | 1,894,064 | 8,572,845 |
| FY02 Estimate | 449,000 | 6,464,000 | 1,369,000 | 8,282,000 |
| FY03 Estimate | 476,000 | 6,851,000 | 1,436,000 | 8,763,000 |
| FY04 Estimate | 509,000 | 7,330,000 | 1,518,000 | 9,357,000 |
| Restricted Fund Activity (MTDC basis) | | | | |
| FY93 | 21,032 | 597,626 | n/a | 618,658 |
| FY94 | 14,525 | 503,787 | n/a | 518,312 |
| FY95 | 12,260 | 738,062 | n/a | 750,322 |
| FY97 | 186,080 | 608,342 | n/a | 794,422 |
| FY00 | 236,725 | 561,254 | n/a | 797,979 |
| F & A Cost Recovery (2) | | | | |
| FY93 | | | n/a | 48,912 |
| FY94 | | | n/a | 48,392 |
| FY95 | | | n/a | 110,176 |
| FY97 | | | n/a | 142,471 |
| FY00 | 4,091 | 16,316 | n/a | 20,407 |
| Recovery Ratio (3) | | | | |
| FY93 | | | n/a | 7.9% |
| FY94 | | | n/a | 9.3% |
| FY95 | | | n/a | 14.7% |
| FY97 | | | n/a | 17.9% |
| FY00 | 1.7% | 2.9% | n/a | 2.6% |
| Rate History | | | | |
| Negotiated FY92 | (S&W) 63.0% | (MTDC) 12.5% | n/a | |
| Negotiated FY93 | (S&W) 63.0% | (MTDC) 20.0% | n/a | |
| Negotiated FY94 | (S&W) 63.0% | (MTDC) 20.0% | n/a | |
| Negotiated FY95 | (S&W) 63.0% | (MTDC) 25.0% | n/a | |
| Negotiated FY96 | (S&W) 63.0% | (MTDC) 25.0% | n/a | |
| Negotiated FY97 | (S&W) 63.0% | (MTDC) 25.0% | n/a | |
| Negotiated FY98 | (S&W) 63.0% | (MTDC) 25.0% | n/a | |
| Negotiated FY99-01 | (MTDC) 35.0% | (MTDC) 30.0% | n/a | |

(1) For comparability, excludes Juneau satellite costs.
 (2) Includes both MTDC and S&W (Salaries & Wage Base) recovery.
 (3) Calculated on MTDC basis for informational purposes only.
 (4) Other Sponsored Activities base and rate developed beginning in FY99.

University of Alaska Southeast (UAS)
 FY02-FY04 Facilities and Administrative Costs Rate Projections - Final
 Estimated Pool Costs

UAS Schedule 4
 19-Nov-01
 4:00 PM

| | Research & Other Sponsored Activities | Instruction/ Training | Other Institutional | Total (1) |
|---|---|--------------------------|------------------------|-----------|
| Building Depreciation & Use Charges | | | | |
| FY93 | | | | |
| FY94 | | | | |
| FY95 | | | | |
| FY97 | | | | |
| FY00 | | | | |
| FY02 Estimate | 3,000 | 927,000 | 880,000 | 1,810,000 |
| FY03 Estimate | 4,000 | 928,000 | 880,000 | 1,812,000 |
| FY04 Estimate | 4,000 | 930,000 | 880,000 | 1,814,000 |
| Equipment Depreciation & Use Charges | | | | |
| FY93 | | | | |
| FY94 | | | | |
| FY95 | | | | |
| FY97 | | | | |
| FY00 | | | | |
| FY02 Estimate | - | 113,000 | 29,000 | 142,000 |
| FY03 Estimate | - | 113,000 | 29,000 | 142,000 |
| FY04 Estimate | - | 113,000 | 29,000 | 142,000 |
| Total Depreciation & Use Charges | | | | |
| FY93 | | | | 1,255,850 |
| FY94 | | | | 1,264,376 |
| FY95 | | | | 1,285,243 |
| FY97 | | | | 1,227,408 |
| FY00 | 1,361 | 337,753 | 388,817 | 727,931 |
| FY02 Estimate | 3,000 | 1,040,000 | 909,000 | 1,952,000 |
| FY03 Estimate | 4,000 | 1,041,000 | 909,000 | 1,954,000 |
| FY04 Estimate | 4,000 | 1,043,000 | 909,000 | 1,956,000 |
| Operations & Maintenance | | | | |
| FY93 | | | | 1,730,087 |
| FY94 | | | | 2,131,716 |
| FY95 | | | | 1,781,894 |
| FY97 | | | | 2,548,284 |
| FY00 | 35,612 | 1,538,822 | 739,923 | 2,314,357 |
| FY02 Estimate | 69,000 | 1,558,000 | 752,000 | 2,379,000 |
| FY03 Estimate | 70,000 | 1,585,000 | 751,000 | 2,406,000 |
| FY04 Estimate | 72,000 | 1,618,000 | 751,000 | 2,441,000 |

University of Alaska Southeast (UAS)
 FY02-FY04 Facilities and Administrative Costs Rate Projections - Final
 Estimated Pool Costs

UAS Schedule 4
 19-Nov-01
 4:00 PM

| | Research & Other Sponsored Activities | Instruction/ Training | Other Institutional | Total (1) |
|--|---|--------------------------|------------------------|-----------|
| General Administration | | | | |
| FY93 | | | | 2,377,938 |
| FY94 | | | | 2,475,582 |
| FY95 | | | | 2,241,617 |
| FY97 | | | | 2,035,316 |
| FY00 | 44,217 | 1,205,557 | 412,496 | 1,662,270 |
| FY02 Estimate | 93,000 | 1,341,000 | 349,000 | 1,783,000 |
| FY03 Estimate | 100,000 | 1,441,000 | 367,000 | 1,908,000 |
| FY04 Estimate | 106,000 | 1,539,000 | 383,000 | 2,028,000 |
| Sponsored Projects Administration (2) | | | | |
| FY02 Estimate | 0 | 0 | 0 | 0 |
| FY03 Estimate | 0 | 0 | 0 | 0 |
| FY04 Estimate | 0 | 0 | 0 | 0 |
| Department Administration | | | | |
| FY93 | | | | 313,436 |
| FY94 | | | | 277,255 |
| FY95 | | | | 287,992 |
| FY97 | | | | 294,080 |
| FY00 | 19,948 | 1,899,704 | 15,790 | 1,935,442 |
| FY02 Estimate | 42,000 | 2,269,000 | 19,000 | 2,330,000 |
| FY03 Estimate | 43,000 | 2,280,000 | 18,000 | 2,341,000 |
| FY04 Estimate | 44,000 | 2,289,000 | 17,000 | 2,350,000 |
| Library | | | | |
| FY93 | | | | 847,347 |
| FY94 | | | | 834,998 |
| FY95 | | | | 843,461 |
| FY97 | | | | 852,928 |
| FY00 | 4,491 | 191,762 | 139,581 | 335,834 |
| FY02 Estimate | 10,000 | 233,000 | 176,000 | 419,000 |
| FY03 Estimate | 10,000 | 235,000 | 174,000 | 419,000 |
| FY04 Estimate | 11,000 | 234,000 | 172,000 | 417,000 |
| Total pool costs | | | | |
| FY93 | | | | 6,524,658 |
| FY94 | | | | 6,983,927 |
| FY95 | | | | 6,440,207 |
| FY97 | | | | 6,958,016 |
| FY00 | 105,629 | 5,173,598 | 1,696,607 | 6,975,834 |
| FY02 Estimate | 217,000 | 6,441,000 | 2,205,000 | 8,863,000 |
| FY03 Estimate | 227,000 | 6,582,000 | 2,219,000 | 9,028,000 |
| FY04 Estimate | 237,000 | 6,723,000 | 2,232,000 | 9,192,000 |

(1) Totals for FY93-FY97 are based on the Simplified Method for Small Institutions and thus cannot be compared with FY00.

(2) UAS does not have activity sufficient to develop Sponsored Projects Administrative costs.

| | <u>FY02 Total</u> | <u>FY03 Total</u> | <u>FY04 Total</u> | <u>Systemwide Total</u> |
|---------------------------------------|-----------------------|-----------------------|-----------------------|-----------------------------|
| Estimated MTDC base | <u>78,042,000</u> | <u>79,492,000</u> | <u>81,020,000</u> | <u>238,554,000</u> |
| Estimated pool costs: | | | | |
| Building depreciation | 8,865,000 | 8,873,000 | 8,874,000 | 26,612,000 |
| Equipment depreciation | 3,184,000 | 3,184,000 | 3,185,000 | 9,553,000 |
| Operations & maintenance | 13,225,000 | 13,545,000 | 13,744,000 | 40,514,000 |
| General administration | 11,386,000 | 8,052,000 | 12,367,000 | 31,805,000 |
| Sponsored projects administration | 122,000 | 126,000 | 129,000 | 377,000 |
| Department administration | 17,916,000 | 18,126,000 | 18,251,000 | 54,293,000 |
| Library | 1,385,000 | 1,460,000 | 1,459,000 | 4,304,000 |
| | <u>56,083,000</u> | <u>53,366,000</u> | <u>58,009,000</u> | <u>167,458,000</u> |
| Excess administration costs | <u>(9,133,080)</u> | <u>(5,636,080)</u> | <u>(9,681,800)</u> | <u>(24,450,960)</u> |
| Total facilities and admin. costs | <u>46,949,920</u> | <u>47,729,920</u> | <u>48,327,200</u> | <u>143,007,040</u> |
| Rate elements: | | | | |
| Building depreciation | 11.4% | 11.2% | 11.0% | 11.2% |
| Equipment depreciation | 4.1% | 4.0% | 3.9% | 4.0% |
| Operations & maintenance | 16.9% | 17.0% | 17.0% | 17.0% |
| General administration | 14.6% | 15.1% | 15.3% | 13.3% |
| Sponsored projects administration | 0.2% | 0.2% | 0.2% | 0.2% |
| Department administration | 23.0% | 22.8% | 22.5% | 22.8% |
| Library | 1.8% | 1.8% | 1.8% | 1.8% |
| | <u>72.0%</u> | <u>72.1%</u> | <u>71.7%</u> | <u>70.3%</u> |
| Capped rate effect | <u>-11.8%</u> | <u>-12.1%</u> | <u>-12.0%</u> | <u>-10.3%</u> |
| Net calculated rate | 60.2% | 60.0% | 59.7% | 60.0% |
| Voluntary Increase (Decrease) in Rate | <u>-30.2%</u> | <u>-30.0%</u> | <u>-29.7%</u> | <u>-30.0%</u> |
| Suggested Rate for FY02-FY04 | <u>30.0%</u> | <u>30.0%</u> | <u>30.0%</u> | <u>30.0%</u> |

| | UAF FY02 Instruction/ Training | UAA FY02 Instruction/ Training | UAS FY02 Instruction/ Training | Systemwide FY02 Instruction/ Training |
|-----------------------------------|---|---|---|--|
| Estimated MTDC base | 31,345,000 | 40,233,000 | 6,464,000 | 78,042,000 |
| Estimated pool costs: | | | | |
| Building depreciation | 3,145,000 | 4,793,000 | 927,000 | 8,865,000 |
| Equipment depreciation | 1,984,000 | 1,087,000 | 113,000 | 3,184,000 |
| Operations & maintenance | 6,810,000 | 4,857,000 | 1,558,000 | 13,225,000 |
| General administration | 3,711,000 | 6,334,000 | 1,341,000 | 11,386,000 |
| Sponsored projects administration | 22,000 | 100,000 | 0 | 122,000 |
| Department administration | 8,960,000 | 6,687,000 | 2,269,000 | 17,916,000 |
| Library | 574,000 | 578,000 | 233,000 | 1,385,000 |
| | 25,206,000 | 24,436,000 | 6,441,000 | 56,083,000 |
| Excess administration costs | (4,543,300) | (2,660,420) | (1,929,360) | (9,133,080) |
| | 20,662,700 | 21,775,580 | 4,511,640 | 46,949,920 |
| Total facilities and admin. costs | 20,662,700 | 21,775,580 | 4,511,640 | 46,949,920 |
| Rate elements: | | | | |
| Building depreciation | 10.0% | 11.9% | 14.3% | 11.4% |
| Equipment depreciation | 6.4% | 2.7% | 1.8% | 4.1% |
| Operations & maintenance | 21.7% | 12.1% | 24.1% | 16.9% |
| General administration | 11.8% | 15.7% | 20.7% | 14.6% |
| Sponsored projects administration | 0.1% | 0.2% | 0.0% | 0.2% |
| Department administration | 28.6% | 16.6% | 35.1% | 23.0% |
| Library | 1.8% | 1.4% | 3.6% | 1.8% |
| | 80.4% | 60.6% | 99.6% | 72.0% |
| Capped rate effect | -14.5% | -6.5% | -29.8% | -11.8% |
| Net calculated rate | 65.9% | 54.1% | 69.8% | 60.2% |

| | UAF FY03 Instruction/ Training | UAA FY03 Instruction/ Training | UAS FY02 Instruction/ Training | Systemwide FY03 Instruction/ Training |
|-----------------------------------|---|---|---|--|
| Estimated MTDC base | <u>32,016,000</u> | <u>40,625,000</u> | <u>6,851,000</u> | <u>79,492,000</u> |
| Estimated pool costs: | | | | |
| Building depreciation | 3,152,000 | 4,793,000 | 928,000 | 8,873,000 |
| Equipment depreciation | 1,984,000 | 1,087,000 | 113,000 | 3,184,000 |
| Operations & maintenance | 7,103,000 | 4,857,000 | 1,585,000 | 13,545,000 |
| General administration | 3,926,000 | 6,611,000 | 1,441,000 | 11,978,000 |
| Sponsored projects administration | 22,000 | 104,000 | 0 | 126,000 |
| Department administration | 9,110,000 | 6,736,000 | 2,280,000 | 18,126,000 |
| Library | 645,000 | 580,000 | 235,000 | 1,460,000 |
| | <u>25,942,000</u> | <u>24,768,000</u> | <u>6,582,000</u> | <u>6,582,000</u> |
| Excess administration costs | <u>(4,733,840)</u> | <u>(2,888,500)</u> | <u>(1,939,740)</u> | <u>(9,562,080)</u> |
| Total facilities and admin. costs | <u>21,208,160</u> | <u>21,879,500</u> | <u>4,642,260</u> | <u>4,642,260</u> |
| Rate elements: | | | | |
| Building depreciation | 9.8% | 11.8% | 13.5% | 11.2% |
| Equipment depreciation | 6.2% | 2.7% | 1.6% | 4.0% |
| Operations & maintenance | 22.2% | 12.0% | 23.1% | 17.0% |
| General administration | 12.3% | 16.3% | 21.0% | 15.1% |
| Sponsored projects administration | 0.1% | 0.3% | 0.0% | 0.2% |
| Department administration | 28.5% | 16.6% | 33.3% | 22.8% |
| Library | 2.0% | 1.4% | 3.4% | 1.8% |
| | <u>81.1%</u> | <u>61.1%</u> | <u>96.0%</u> | <u>72.1%</u> |
| Capped rate effect | <u>-14.9%</u> | <u>-7.2%</u> | <u>-28.3%</u> | <u>-12.1%</u> |
| Net calculated rate | <u>66.2%</u> | <u>53.9%</u> | <u>67.7%</u> | <u>60.0%</u> |

| | UAF FY04 Instruction/ Training | UAA FY04 Instruction/ Training | UAS FY04 Instruction/ Training | Systemwide FY04 Instruction/ Training |
|-----------------------------------|---|---|---|--|
| Estimated MTDC base | <u>32,648,000</u> | <u>41,042,000</u> | <u>7,330,000</u> | <u>81,020,000</u> |
| Estimated pool costs: | | | | |
| Building depreciation | 3,151,000 | 4,793,000 | 930,000 | 8,874,000 |
| Equipment depreciation | 1,985,000 | 1,087,000 | 113,000 | 3,185,000 |
| Operations & maintenance | 7,269,000 | 4,857,000 | 1,618,000 | 13,744,000 |
| General administration | 4,038,000 | 6,790,000 | 1,539,000 | 12,367,000 |
| Sponsored projects administration | 22,000 | 107,000 | 0 | 129,000 |
| Department administration | 9,183,000 | 6,779,000 | 2,289,000 | 18,251,000 |
| Library | 644,000 | 581,000 | 234,000 | 1,459,000 |
| | <u>26,292,000</u> | <u>24,994,000</u> | <u>6,723,000</u> | <u>58,009,000</u> |
| Excess administration costs | <u>(4,754,520)</u> | <u>(3,005,080)</u> | <u>(1,922,200)</u> | <u>(9,681,800)</u> |
| | <u>21,537,480</u> | <u>21,988,920</u> | <u>4,800,800</u> | <u>48,327,200</u> |
| Total facilities and admin. costs | <u>21,537,480</u> | <u>21,988,920</u> | <u>4,800,800</u> | <u>48,327,200</u> |
| Rate elements: | | | | |
| Building depreciation | 9.6% | 11.7% | 12.7% | 11.0% |
| Equipment depreciation | 6.1% | 2.6% | 1.5% | 3.9% |
| Operations & maintenance | 22.3% | 11.8% | 22.1% | 17.0% |
| General administration | 12.4% | 16.5% | 21.0% | 15.3% |
| Sponsored projects administration | 0.1% | 0.3% | 0.0% | 0.2% |
| Department administration | 28.1% | 16.5% | 31.2% | 22.5% |
| Library | <u>2.0%</u> | <u>1.4%</u> | <u>3.2%</u> | <u>1.8%</u> |
| | <u>80.6%</u> | <u>60.8%</u> | <u>91.7%</u> | <u>71.7%</u> |
| Capped rate effect | <u>-14.6%</u> | <u>-7.3%</u> | <u>-26.2%</u> | <u>-12.0%</u> |
| | <u>66.0%</u> | <u>53.5%</u> | <u>65.5%</u> | <u>59.7%</u> |
| Net calculated rate | <u>66.0%</u> | <u>53.5%</u> | <u>65.5%</u> | <u>59.7%</u> |

The following are agencies that provided ten percent or more of FY00 federal funding:

| | | | |
|---|-------|----|------------|
| Department of Defense | 18.2% | \$ | 17,081,900 |
| National Aeronautics & Space Administration | 16.1% | \$ | 15,101,200 |
| National Science Foundation | 11.5% | \$ | 10,795,200 |
| Department of Education | 15.9% | \$ | 14,877,300 |

CERTIFICATE OF FACILITIES AND ADMINISTRATIVE COSTS

UNIVERSITY OF ALASKA

This is to certify that to the best of my knowledge and belief:

1. I have reviewed the facilities and administrative cost proposals and the supplement submitted herewith; and
2. All costs included in the proposals, dated November 20, 2001 to establish forward pricing facilities and administrative cost rates for the period July 1, 2001 through June 30, 2004 are allowable in accordance with the requirements of federal agreements to which they apply and with the cost principles applicable to those agreements; and
3. These proposals do not include any costs which are unallowable under applicable cost principles such as (without limitation): advertising and public relations costs, contributions and donations, entertainment costs, fines and penalties, lobbying costs, defense of fraud proceedings; and
4. All costs included in these proposals are properly allocable to federal agreements on the basis of a beneficial or causal relationship between the expense incurred and the agreements to which they are allocated in accordance with applicable requirements.

I declare under penalty of perjury that the foregoing is true and correct.

Institution: University of Alaska
Signature: _____
Name of Official: Joseph Beedle
Title: Vice President for Finance
Date of Execution: _____

CERTIFICATE OF FACILITIES AND ADMINISTRATIVE COSTS

DEPARTMENT OF DEFENSE

UNIVERSITY OF ALASKA

This is to certify that to the best of my knowledge and belief:

1. I have reviewed the facilities and administrative cost proposals and the supplement submitted herewith; and
2. All costs included in the proposals, dated November 20, 2001 to establish forward pricing facilities and administrative cost rates for the period July 1, 2001 through June 30, 2004, are allowable in accordance with the requirements of contracts to which they apply and with the cost principles of the Department of Defense applicable to those contracts; and
3. These proposals do not include any costs which are unallowable under applicable cost principles of the Department of Defense such as (without limitation): advertising and public relations costs, contributions and donations, entertainment costs, fines and penalties, lobbying costs, defense of fraud proceedings, and goodwill; and
4. All costs included in these proposals are properly allocable to defense contracts on the basis of a beneficial or causal relationship between the expense incurred and the contracts to which they are allocated in accordance with applicable requirements.

I declare under penalty of perjury that the foregoing is true and correct.

Institution: University of Alaska
Signature: _____
Name of Official: Joseph Beedle
Title: Vice President for Finance
Date of Execution: _____

CERTIFICATE OF DEPRECIATION

UNIVERSITY OF ALASKA

This is to certify that to the best of my knowledge and belief that the charges for depreciation included in the proposals dated November 20, 2001 to establish forward pricing facilities and administrative costs for the period July 1, 2001 through June 30, 2004 are supported by adequate property records and physical inventories taken at least once every two years to ensure that the assets exist and are usable, used, and needed.

I declare under penalty of perjury that the foregoing is true and correct.

Institution: University of Alaska

Signature: _____

Name of Official: Joseph Beedle

Title: Vice President for Finance

Date of Execution: _____

CERTIFICATE ON LOBBYING

UNIVERSITY OF ALASKA

This is to certify that to the best of my knowledge and belief that the proposal dated November 20, 2001 to establish forward pricing facilities and administrative costs for the period July 1, 2001 through June 30, 2004 comply with the requirements and standards of OMB Circular A-21, Section J.24, as implemented October 1, 1991.

Institution: University of Alaska

Signature: _____

Name of Official: Joseph Beedle

Title: Vice President for Finance

Date of Execution: _____

Certificate of Final Indirect Costs

University of Alaska

This is to certify that I have reviewed this proposal to establish final indirect cost rates and to the best of my knowledge and belief:

1. All costs included in this proposal dated November 20, 2001 to establish forward pricing facilities and administrative costs for the period July 1, 2001 through June 30, 2004 are allowable and in accordance with the cost principles of the Federal Acquisition Regulation (FAR) and its supplements applicable to the grants and contracts to which the final indirect cost rates will apply; and
2. This proposal does not include any costs which are expressly unallowable under applicable cost principles of the FAR or its supplements.

Institution: University of Alaska

Signature: _____

Name of Certifying Official: Joseph Beedle

Title: Vice President for Finance

Date of Execution: _____

STATEMENT ON FOREIGN GOVERNMENT OR INDUSTRY SUBSIDIES

UNIVERSITY OF ALASKA

The following statement is submitted in connection with the submission of the University of Alaska proposal dated November 20, 2001 to establish forward pricing facilities and administrative costs for the period July 1, 2001 through June 30, 2004.

The fund accounting system used by the university effectively prohibits subsidizing industry and/or foreign government programs. All moneys received for restricted purposes, including federal awards, are recorded in separate restricted funds. Direct expenditures from these moneys are restricted to the exclusive purpose of the grant, contract, or gift. Since all restricted funds are classified as part of either the research, instruction, or other base (not pool), the appropriate share of facilities and administrative costs are allocated to industry and/or foreign government expenditures regardless of whether or not overhead is charged on the actual activity.

In my opinion, the procedures followed by the University of Alaska are adequate to assure that, in accordance with OMB Circular A-21, Section G.1.a(3), federal funds are not used to subsidize industry and/or foreign government programs.

Institution: University of Alaska

Signature: _____

Name of Official: Joseph Beedle

Title: Vice President for Finance

Date of Execution: _____