



DEPARTMENT OF THE NAVY
OFFICE OF NAVAL RESEARCH
800 NORTH QUINCY STREET
ARLINGTON, VA 22217-5660

IN REPLY REFER TO

NEGOTIATION AGREEMENT
FY2002 FIXED FRINGE BENEFIT RATES

Institution: THE UNIVERSITY OF ALASKA
FAIRBANKS, ALASKA 99775

The Fringe Benefit Rates contained herein are for use on grants, contracts and/or other agreements issued or awarded to the University of Alaska by all Federal Agencies of the United States of America in accordance with the provisions and cost principles mandated by Office of Management and Budget (OMB) Circular A-21. These rates shall be used for forward pricing and billing purposes at the University of Alaska for Fiscal Year 2002. This Fringe Benefit rate agreement supercedes all previous Fringe Benefit Rate Agreements/Determinations for the University of Alaska's FY2002.

SECTION I – RATES – TYPE: FIXED WITH CARRY FORWARD PROVISIONS (FIXED)

STAFF BENEFIT RATES

<u>TYPE</u>	<u>FROM</u>	<u>TO</u>	<u>RATE</u>	<u>BASE</u>	<u>APPLICABLE FUNCTION</u>
Fixed	7/1/01	6/30/02	34.9%	(a)	Classified
Fixed	7/1/01	6/30/02	39.2%	(a)	Classified, AHECTE
Fixed	7/1/01	6/30/02	24.6%	(a)	APT Exempt
Fixed	7/1/01	6/30/02	16.2%	(a)	Executive
Fixed	7/1/01	6/30/02	16.2%	(a)	Non-Union Faculty
Fixed	7/1/01	6/30/02	27.5%	(a)	UNAC Faculty
Fixed	7/1/01	6/30/02	29.5%	(a)	ACCFT Faculty
Fixed	7/1/01	6/30/02	8.5%	(a)	Adjunct Faculty
Fixed	7/1/01	6/30/02	7.6%	(a)	Temporary
Fixed	7/1/01	6/30/02	31.4%	(a)	Extended Temporary
Fixed	7/1/01	6/30/02	0.0%	(a)	Student

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LEAVE BENEFIT RATES

<u>TYPE</u>	<u>FROM</u>	<u>TO</u>	<u>RATE</u>	<u>BASE</u>	<u>APPLICABLE FUNCTION</u>
Fixed	7/1/01	6/30/02	20.4%	(b)	Classified
Fixed	7/1/01	6/30/02	20.4%	(b)	Classified, AHECTE
Fixed	7/1/01	6/30/02	20.0%	(b)	APT Exempt
Fixed	7/1/01	6/30/02	17.3%	(b)	Executive
Fixed	7/1/01	6/30/02	17.3%	(b)	Non-Union Faculty
Fixed	7/1/01	6/30/02	0.6%	(b)	UNAC Faculty
Fixed	7/1/01	6/30/02	1.4%	(b)	ACCFT Faculty
Fixed	7/1/01	6/30/02	0.0%	(b)	Adjunct Faculty
Fixed	7/1/01	6/30/02	0.0%	(b)	Temporary
Fixed	7/1/01	6/30/02	17.2%	(b)	Extended Temporary
Fixed	7/1/01	6/30/02	0.0%	(b)	Student

DISTRIBUTION BASES

- (a) Staff benefit rates are applied to base salaries plus overtime, miscellaneous pay and leave accrual provisions.
- (b) Leave rates are applied to base salaries, excluding overtime, miscellaneous pay and paid leave.

SECTION II: GENERAL TERMS AND CONDITIONS

A. LIMITATIONS: Use of the rates set forth under Section I above, is subject to any statutory or administrative limitations and is applicable to a given grant or contract only to the extent that funds are available, and consistent with any or all limitations of cost clauses or provisions, if any, contained therein. Acceptance of the rates agreed to herein is predicated upon all of the following conditions: (1) that no costs other than those incurred by the grantee/contractor were included in the rates as finally accepted and that such costs are legal obligations of the grantee/contractor and allowable under governing cost principles, (2) that the same costs that have been treated as indirect costs are not claimed as direct costs, (3) that similar types of costs have been accorded consistent accounting treatment, and (4) that the information provided by the grantee/contractor which was used as a basis for acceptance of the rates agreed to herein, and expressly relied upon by the Government in negotiating the said rates, is not subsequently found to be materially incomplete or inaccurate.

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B. ACCOUNTING CHANGES: The rates contained in Section I of this agreement are based on the accounting system in effect at the time the agreement was negotiated. Changes to the method(s) of accounting for costs which affect the amount of reimbursement resulting from the use of these rates require the prior approval of the authorized representative of the cognizant negotiation agency. Such changes include but are not limited to changes in the charging of a particular type of cost from indirect to direct. Failure to obtain such approval may result in subsequent cost disallowance's.

C. FIXED RATES AND CARRY FORWARD PROVISIONS: The fixed rates contained in this agreement are based on estimates of costs for FY2002. When actual costs for this fiscal year are determined, adjustments will be applied to a future rate negotiation to recognize the difference between the FY 2002 estimated costs used to establish the fixed rates and the negotiated actual FY 2002 costs.

D. USE BY OTHER FEDERAL AGENCIES: The rates set forth in Section I were negotiated in accordance with and under the authority set forth in OMB Circular A-21. Accordingly, such rates shall be applied to the extent provided in OMB Circular A-21 to grants and contracts to which OMB Circular A-21 applies, subject to any limitations in part A of this section. Copies of this document may be provided by either party to other federal agencies which have or intend to issue or award sponsored agreements using these rates or to otherwise provide such agencies with documentary notice of this agreement and its terms and conditions.

E. CARRY FORWARD AMOUNTS:

1. The staff benefits rates set forward in section I of this agreement are inclusive of the remaining unliquidated carry forward adjustments from FY1998 and FY 1999, and the estimated over recovery of (\$1,257,888) from FY2000. This represent a full and complete liquidation of the FY1998 and FY1999 carry forwards.

Staff Benefit Rates:

Balance of FY98/99 CFW	\$ 350,623 Under recovery
FY 2000 Carry Forward Amount	\$(1,257,888) Over recovery (estimated)
Liquidated in this agreement	\$(907,265) Over recovery

2. The leave benefit rates set forth in Section I of this agreement are inclusive of the estimated carry forward from FY2000.

Leave Benefit Rates:

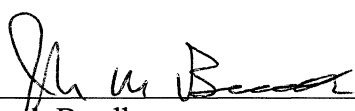
FY 2000 CFW amount	\$(293,085) Over Recovery (estimated)
Liquidated in this agreement	\$(293,085) Over Recovery

() Denotes Over recovery

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Please indicate your acceptance of the FY2002 Staff and Leave Benefit rates and carry forward amounts by signature in the space provided below. The terms of this agreement are subject to all regulations and statutes applicable to any contract, grant or other agreement that includes the provisions of OMB Circular A-21. The terms of this agreement will not change any monetary ceilings, or statutory limitations of any individual Federal contract, grant or other agreement with the University of Alaska.

FOR THE UNIVERSITY

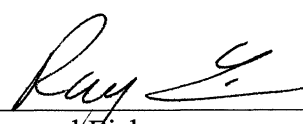


Joseph Beedle
Vice President for Finance

2/26/02

Date

FOR THE GOVERNMENT



Raymond Eichman
Contracting Officer

3-2-05

Date

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