



DEPARTMENT OF THE NAVY
OFFICE OF NAVAL RESEARCH
800 NORTH QUINCY STREET
ARLINGTON, VA 22217-5660

IN REPLY REFER TO

**NEGOTIATION AGREEMENT
FYs 2001 and 2002 FINAL RATES AND CARRY-FORWARDS**

INSTITUTION: UNIVERSITY OF ALASKA
FAIRBANKS, ALASKA 99775

Office of Naval Research (ONR) Indirect Rate Agreement dated June 30, 2000 established Fixed Rates and Carry-forward provisions for the University of Alaska fiscal year (FY) 2001 ending June 30, 2001, and ONR Indirect Rate Agreement dated March 5, 2002 established Fixed Rates and Carry-forward provisions for the University of Alaska FY 2002 ending June 30, 2002

The purpose of this Negotiation Agreement is to record agreement on the carry-forward amounts resulting from determination of actual costs for FY 2001 and FY 2002.

The rates listed below are based upon University of Alaska's proposal for FY 2001 and FY 2002, dated December 20, 2002 and DCAA Audit Report No. 4261-2002K10100012 dated July 18, 2003. These rates have been determined in accordance with the provisions of OMB Circular A-21.

SECTION I: RATES

STAFF BENEFITS RATES

<u>TYPE</u>	<u>FROM</u>	<u>TO</u>	<u>RATE</u>	<u>BASE</u>	<u>APPLICABLE FUNCTION</u>
Actual	7/1/00	6/30/01	36.09 %	(a)	Classified
Actual	7/1/01	6/30/02	38.83 %	(a)	Classified
Actual	7/1/00	6/30/01	45.34 %	(a)	Classified, AHECTE ¹
Actual	7/1/01	6/30/02	34.28 %	(a)	Classified, AHECTE ¹
Actual	7/1/00	6/30/01	26.34 %	(a)	APT ² Exempt
Actual	7/1/01	6/30/02	27.30 %	(a)	APT ² Exempt
Actual	7/1/00	6/30/01	20.42 %	(a)	Executive
Actual	7/1/01	6/30/02	18.48 %	(a)	Executive
Actual	7/1/00	6/30/01	20.42 %	(a)	Non-Union Faculty
Actual	7/1/01	6/30/02	18.48 %	(a)	Non-Union Faculty
Actual	7/1/00	6/30/01	30.15 %	(a)	UNAC ³ Faculty
Actual	7/1/01	6/30/02	29.72 %	(a)	UNAC ³ Faculty
Actual	7/1/00	6/30/01	30.11 %	(a)	ACCFT ⁴ Faculty
Actual	7/1/01	6/30/02	31.07 %	(a)	ACCFT ⁴ Faculty
Actual	7/1/00	6/30/01	9.02 %	(a)	Adjunct Faculty
Actual	7/1/01	6/30/02	8.31 %	(a)	Adjunct Faculty
Actual	7/1/00	6/30/01	7.90 %	(a)	Temporary
Actual	7/1/01	6/30/02	7.76 %	(a)	Temporary
Actual	7/1/00	6/30/01	32.48 %	(a)	Extended Temporary
Actual	7/1/01	6/30/02	32.83 %	(a)	Extended Temporary
Actual	7/1/00	6/30/01	0.00 %	(a)	Student
Actual	7/1/01	6/30/02	0.00 %	(a)	Student

LEAVE BENEFITS RATES:

<u>TYPE</u>	<u>FROM</u>	<u>TO</u>	<u>RATE</u>	<u>BASE</u>	<u>APPLICABLE FUNCTION</u>
Actual	7/1/00	6/30/01	19.48 %	(b)	Classified
Actual	7/1/01	6/30/02	20.16 %	(b)	Classified
Actual	7/1/00	6/30/01	18.43 %	(b)	Classified, AHECTE ¹
Actual	7/1/01	6/30/02	20.64 %	(b)	Classified, AHECTE ¹
Actual	7/1/00	6/30/01	20.22 %	(b)	APT ² Exempt
Actual	7/1/01	6/30/02	19.96 %	(b)	APT ² Exempt
Actual	7/1/00	6/30/01	17.00 %	(b)	Executive
Actual	7/1/01	6/30/02	16.67 %	(b)	Executive
Actual	7/1/00	6/30/01	17.00 %	(b)	Non-Union Faculty
Actual	7/1/01	6/30/02	16.67 %	(b)	Non-Union Faculty
Actual	7/1/00	6/30/01	1.31 %	(b)	UNAC ³ Faculty
Actual	7/1/01	6/30/02	0.93 %	(b)	UNAC ³ Faculty
Actual	7/1/00	6/30/01	1.68 %	(b)	ACCFT ⁴ Faculty
Actual	7/1/01	6/30/02	1.22 %	(b)	ACCFT ⁴ Faculty
Actual	7/1/00	6/30/01	0.00 %	(b)	Adjunct Faculty
Actual	7/1/01	6/30/02	0.00 %	(b)	Adjunct Faculty
Actual	7/1/00	6/30/01	0.00 %	(b)	Temporary
Actual	7/1/01	6/30/02	0.00 %	(b)	Temporary
Actual	7/1/00	6/30/01	12.23 %	(b)	Extended Temporary
Actual	7/1/01	6/30/02	17.01 %	(b)	Extended Temporary
Actual	7/1/00	6/30/01	0.00 %	(b)	Student
Actual	7/1/01	6/30/02	0.00 %	(b)	Student

Notes:¹ Alaska Higher Education Crafts and Trade Employees² Administrative/Professional/Technical³ United Academics⁴ Alaska Community College's Federation of TeachersDISTRIBUTION BASES

The following bases are applicable to the above cited rates:

- (a) Staff benefits rates are applied to base salaries plus overtime, miscellaneous pay and leave accrual provisions.
- (b) Leave benefit rates are applied to base salaries, excluding: overtime, miscellaneous pay and paid leave.

CARRY-FORWARD AMOUNTS

The following represents full and complete carry-forward amounts resulting from the establishment of FYs 2001 and 2002 actual costs:

() denotes over-recovery

Staff Benefits:

	Total FY 01-02 Carry-forward Amount	Liquidated in FY 2003 Rates	Liquidated in FY 2004 Rates	Balance to be Liquidated
Classified	\$ 2,007,879	\$ 269,667	\$ 1,738,212	\$ - 0 -
Classified, AHECTE	215,789	263,000	(47,211)	- 0 -
APT Exempt	1,762,175	127,285	1,634,890	- 0 -
Executive & Non-Union Faculty	371,150	8,409	362,741	- 0 -
UNAC Faculty	2,553,773	686,000	1,867,773	- 0 -
ACCFT Faculty	223,923	(20,000)	243,923	- 0 -
Adjunct Faculty	(126,556)	(41,100)	(85,456)	- 0 -
Temporary	18,948	- 0 -	18,948	- 0 -
Extended Temporary	87,847	25,110	62,737	- 0 -
Student	- 0 -	- 0 -	- 0 -	- 0 -
	<u>\$ 7,114,928</u>	<u>\$ 1,318,371</u>	<u>\$ 5,796,557</u>	<u>- 0 -</u>

Leave Benefits:

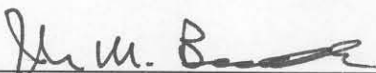
	Total FY01-02 Carry-forward Amount	Liquidated in FY 2003 Rates	Liquidated in FY 2004 Rates	Balance to be Liquidated
Classified	\$ (296,541)	\$ (225,070)	\$ (71,471)	\$ - 0 -
Classified, AHECTE	(665)	(18,367)	17,702	- 0 -
APT Exempt	303,145	321,051	(17,906)	- 0 -
Executive & Non-Union Faculty	(70,568)	11,568	(82,136)	- 0 -
UNAC Faculty	156,927	4,559	152,368	- 0 -
ACCFT Faculty	(48,877)	(17,985)	(30,892)	- 0 -
Adjunct Faculty	- 0 -	- 0 -	- 0 -	- 0 -
Temporary	- 0 -	- 0 -	- 0 -	- 0 -
Extended Temporary	(9,471)	(8,099)	(1,372)	- 0 -
Student	- 0 -	- 0 -	- 0 -	- 0 -
	<u>\$ 33,950</u>	<u>\$ 67,657</u>	<u>\$ (33,707)</u>	<u>- 0 -</u>

Endorsement of this negotiation agreement confirms agreement of these rates and carry-forward amounts. The terms of this negotiation agreement are subject to all regulations and statutes applicable to any contract, grant or other agreement that includes the provisions of OMB Circular A-21. The terms of this negotiation agreement will not change any monetary ceilings or statutory limitations applicable to individual Federal contract, grant or other agreement with the University of Alaska.


Acceptance:

FOR UNIVERSITY OF ALASKA:

FOR THE GOVERNMENT:



JOSEPH BEEDLE
Vice President for Finance



DEBORAH K. RAFI
Contracting Officer

February 23, 2004
Date

March 12, 2004
Date

For information concerning this agreement contact:

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