Audit Status Report
As of May 15, 2014

FY2014 Audit Plan

*Italic Items* - have been completed or are in progress

**External Financial Audit Support:**

- Year-end Cutoff
- Procurement Card
- Payroll
- Journal Entries
- Cash Disbursements & Bank Transfers
- Cash
- Auxiliary Revenues
- Unexpended Plant Fund Additions
- Search for Unrecorded Liabilities

**Audits and Projects:**

**University of Alaska Anchorage:**
- Student
- Department Review
- Subcontract Monitoring
- Restricted Funds Monitoring* (FY13)
- Departmental Review** - Mat-Su College Phase II (FY13)

**University of Alaska Fairbanks:**
- Student
- Department Review*
- Athletics

**University of Alaska Southeast:**
- Sitka Campus Title III (FY13)

**Statewide:**
- Department Review
- Training

**Function and System Reviews:**
- Budget
- Construction Project Management and Operations Planning
- Contract Authorization and Administration

**Risk Management**

**Information Systems Reviews:**
- OnBase Access Controls**
- Mobile Technology Security
- Records Management and Data Disposal
- Business Continuity
- Banner Access Controls** (FY13)
- Data Integrity (FY13)

**Ongoing Audits:**
- Follow-up Auditing
- Continuous Controls Auditing

**Special Requests**
- ProCard – UAA, UAF, UAS
- Electronic Research Administration

**Investigations**
- Various in-progress and completed

*Specific departments/areas to be determined later

**Carried forward from FY13**
1. **Final Audit Reports:**
   - Banner Access Controls
   - UAA Mat-Su College Phase II
   - UAA Restricted Funds Budget and Expenditure Monitoring
   - UAA Disability Support Services

2. **Department Staffing**
   a. Three full-time auditors.
   b. One vacancy for a full-time auditor.

3. **Audits in Progress:**
   a. OnBase Access Controls
   b. External Audit Controls Support Testing

4. **Support and Consultation Activities**
   a. In progress:
      i. University regulation and hotline for fraud, waste and abuse.
      ii. Development of the FY15 Annual Audit Plan.
External Audit Status Report
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State Legislative Audit Activities

None

External Audit Reports & Activities

Completed:

1. FY14-FY16 Facilities and Administrative Rate Proposal (DCAA) – No findings

Work in Progress:

1. Sikuliaq Research Vessel (NSF)

2. FY15 Fringe Rates (DCAA)