UA Identity Theft Prevention Program—
Records & Information Security Management

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Presented to: Board of Regents Audit Committee

February 20-21, 2014
Records & Information Management Mission

Ensure compliance

Information Governance

Enterprise Content Management

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Identity Theft Complaints

Source: Federal Trade Commission, February 2013 - Consumer Sentinel Network

Complaint Types
- Identity Theft Complaints
- Other Complaints
- Fraud Complaints

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Maximum ID Theft Victims: Age 20-29

- Maximum number of victims belong to Age 20-29 years
- 70% of the victims are students or in early stages of careers
- Universities/Colleges have higher responsibility and need to be cognizant
- Records retention schedule and information governance in compliance with the regulations
Congress enacted the FTC FACTA of 2003 to help combat identity theft.

The final rules and guidelines became effective in 2009.

Section 114 of FACTA contains the Red Flag Rules that required UA to develop & implement a written Identity Theft Prevention Program.

The Rule supplements existing legislation aimed at preventing identity theft.

Applies to institutions and creditors with covered accounts.

Picks on where data security leaves off.
Overview of the Red Flags Rule

- **What Are Red Flags?**
  - **Definition**
    Activity that could indicate a risk of identity theft

- **Purpose**
  Identify, Detect, Prevent & Mitigation and Respond

- **Responsibilities**
  Protect against unauthorized access of personal identifiable information
UA Identity Theft Prevention Program

- Program approved June 5, 2009 by the Board of Regents
- Consistent with the Board of Regents Policy P.05.02.90 on financial fraud, waste and abuse
- Developed pursuant to the Federal Trade Commission’s (FTC) “Red Flags” Rule, which implements Section 114 of the Fair and Accurate Credit Transactions Act.
- Adds to compliance regulations from Dept. of Education
- Distinct from data security requirements
- Alaska State- Statute 45-48: Personal Information Protection Act

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Identity Theft Prevention Committee

University of Alaska
President
Patrick Gamble

University of Alaska
Vice President
Finance & Administration
Dr. Ashok Roy

University of Alaska
Chief Records Officer
RIM-Identity Theft Prevention Administrator
Dr. Russell O’Hare

University of Alaska
Chief Information Security Officer
Nathan Ziefus

Chief Audit Executive
Nicole Pittman

Identity Theft Prevention Committee

UAA Campus Administrator
Sandra Culver
(Appointed by Chancellor)

UAF Campus Administrator
Raaj Kurapathi
(Appointed by Chancellor)

UAS Campus Administrator
Tom Dienst
(Appointed by Chancellor)

UAA Alternate:
Vacant

UAF Alternate:
Jason Theis

UAS Alternate:
Mike Ciri

Department Supervisors

Department Supervisors

Department Supervisors

University of Alaska
Alternate: RIM-Identity Theft Prevention Administrator
Dr. Shiva Hullavarad

University of Alaska
Vice President
Finance & Administration
Dr. Ashok Roy

Department Supervisors
Red Flags & Current Security Protocol

- UA maintains protocols and procedures to address privacy of student and employee records & information, applying state and federal privacy laws.

- Data security also plays an essential role in keeping people’s information protected.

- Red Flag Rule picks up where data security leaves off, by working to prevent identity theft by ensuring institution has appropriate practices and protocol to identify risks, procedures to address those risks and prevent future risks.
Identity Theft Prevention & Red Flag Rule Implementation at UA

- Identity Theft Prevention Committee annually reviews the program & training
- Individuals working with Covered Accounts receive annual training
- Will maintain an awareness of changes in identity theft, detection and prevention methods
- ID Theft Prevention training available via UAOnline/Skillsoft
In 2013, no instances of suspicious activities of covered accounts or incidents of identity theft.

The campuses’ report the program procedures have strengthened the protection of the university’s customer information.

There are no recommendations for modifying the program at this time.
OnBase Integration – Process Efficiency across University of Alaska

- 60% - Reduction in time to process Admission applications
- 80% - Reduction in Physical Space/Filing cabinet
- 80% - Reduction in time to process Graduation applications