Audit Status Report
As of January 30, 2014

FY2014 Audit Plan

Italic Items - have been completed or are in progress

External Financial Audit Support:

<table>
<thead>
<tr>
<th>Year-end Cutoff</th>
<th>Cash</th>
</tr>
</thead>
<tbody>
<tr>
<td>Procurement Card</td>
<td>Auxiliary Revenues</td>
</tr>
<tr>
<td>Payroll</td>
<td>Unexpended Plant Fund Additions</td>
</tr>
<tr>
<td>Journal Entries</td>
<td>Search for Unrecorded Liabilities</td>
</tr>
<tr>
<td>Cash Disbursements &amp; Bank Transfers</td>
<td></td>
</tr>
</tbody>
</table>

Audits and Projects:

University of Alaska Anchorage:
- Student
- Department Review
- Subcontract Monitoring
- Restricted Funds Monitoring* (FY13)
- Departmental Review** - Mat-Su College Phase II (FY13)

University of Alaska Fairbanks:
- Student
- Department Review*
- Athletics

University of Alaska Southeast:
- Sitka Campus Title III (FY13)

Statewide:
- Department Review
- Training

Function and System Reviews:
- Budget
- Construction Project Management and Operations Planning
- Contract Authorization and Administration
- Risk Management

Information Systems Reviews:
- OnBase Access Controls**
- Mobile Technology Security
- Records Management and Data Disposal
- Business Continuity
- Banner Access Controls** (FY13)
- Data Integrity (FY13)

Ongoing Audits:
- Follow-up Auditing
- Continuous Controls Auditing

Special Requests*
- ProCard – UAA, UAF, UAS
- Electronic Research Administration

Investigations*
- #1 – Confidential
- #2 – Confidential
- #3 – Confidential
- #4 - Confidential
- #5 - Confidential

*Specific departments/areas to be determined later
**Carried forward from FY13
1. **FY2014 Audit Plan Progress and Department Staffing**
   a. Fully staffed with four full-time auditors and a part time student intern.

2. **Audit Reports:**
   a. Preliminary reports issued January 15, 2014 with formal response due February 19, 2014:
      - UAA Mat-Su College Phase II
      - UAA Restricted Funds Budget and Expenditure Monitoring
      - Banner Access Controls
   b. Preliminary reports issued January 16, 2014 with formal response due February 20, 2014:
      - UAA Disability Support Services

3. **Special Requests Completed:**
   a. System-wide Procurement Card
   b. Electronic Research Administration

4. **Audits in Progress:**
   a. Sitka Campus Title III
   b. Data Integrity – Student Enrollment Records

5. **Support and Consultation Activities**
   d. In progress:
      i. University regulation and hotline for fraud, waste and abuse.
      ii. Business continuity (Kuali Ready implementation).
      iii. Assistance with risk management identification and risk planning processes.
      iv. Internal control discussions with staff system wide (upon request).
External Audit Status Report
As of January 30, 2014

State Legislative Audit Activities

None

External Audit Reports & Activities

Work in Progress:

1. Sikuliaq Research Vessel (NSF)