



DEPARTMENT OF THE NAVY

OFFICE OF NAVAL RESEARCH
875 NORTH RANDOLPH STREET
SUITE 1425
ARLINGTON, VA 22203-1995

IN REPLY REFER TO:

Agreement Date: February 9, 2021
[Supersedes Agreement Dated: June 30, 2020]

NEGOTIATION AGREEMENT

INSTITUTION: **UNIVERSITY OF ALASKA
FAIRBANKS, ALASKA 99775**

The Staff Benefits and Leave Benefits rates contained herein are for use on grants, contracts and/or other agreements issued or awarded to the University of Alaska by all Federal Agencies of the United States of America, in accordance with the provisions and cost principles mandated by 2 CFR Part 200. These rates shall be used for forward pricing and billing purposes for the University of Alaska Fiscal Year 2021. This rate agreement supersedes all previous rate agreements/determinations related to these rates for Fiscal Year 2021.

Section I: RATES - TYPE: FIXED (FIXED)

Fringe Benefits Rates:

TYPE	FROM	TO	RATE		Employee Category
			Staff Benefits Base (a)	Leave Benefits Base (b)	
Fixed	7/1/20	6/30/21	54.1%	23.2%	Classified
Fixed	7/1/20	6/30/21	51.6%	22.5%	Classified, AHECTE ¹
Fixed	7/1/20	6/30/21	42.5%	24.1%	APT ² Exempt
Fixed	7/1/20	6/30/21	27.6%	20.6%	Executive
Fixed	7/1/20	6/30/21	27.6%	20.6%	Non-Union Faculty (12 mos)
Fixed	7/1/20	6/30/21	27.6%	12.3%	Non-Union Faculty (<12 mos)
Fixed	7/1/20	6/30/21	30.4%	20.6%	UNAC ³ Faculty
Fixed	7/1/20	6/30/21	11.1%	0.2%	Adjunct Faculty
Fixed	7/1/20	6/30/21	9.7%	0.1%	Temporary/FICA Student
Fixed	7/1/20	6/30/21	44.6%	3.2%	Extended Temporary
Fixed	7/1/20	6/30/21	0.0%	0.1%	Student

Notes: ¹Alaska Higher Education Crafts and Trade Employees

²Administrative/Professional/Technical

³United Academics

DISTRIBUTION BASES

- (a) Staff benefits rates are applied to base salaries plus overtime, miscellaneous pay, and leave accrual provisions.
- (b) Leave benefits rates are applied to base salaries excluding: overtime, miscellaneous pay, and paid leave.

SECTION II - GENERAL TERMS AND CONDITIONS

A. LIMITATIONS: Use of the rates set forth under Section I is subject to availability of funds and to any other statutory or administrative limitations. The rates are applicable to a given grant, contract or other agreement only to the extent that funds are available and consistent with any and all limitations of cost clauses or provisions, if any, contained therein. Acceptance of any or all of the rates agreed to herein is predicated upon the following conditions: (1) that no costs other than those incurred by the institution were included in this indirect cost pool as finally accepted and that such costs are legal obligations of the institution and allowable under governing cost principles; (2) that the same costs that have been treated as indirect costs are not claimed as direct costs; (3) that similar types of costs have been accorded consistent accounting treatment; and (4) that the information provided by the institution which was used as a basis for acceptance of the rates agreed to herein, and expressly relied upon by the Government in negotiating and accepting the said rates is not subsequently found to be materially incomplete or inaccurate.

B. ACCOUNTING CHANGES: The rates contained in Section I of this agreement are based on the accounting system in effect at the time the agreement was negotiated. Changes to the method(s) of accounting for costs, which affect the amount of reimbursement resulting from the use of these rates require the prior written approval of the authorized representative of the cognizant agency for indirect costs. Such changes include but are not limited to changes in the charging of a particular type of cost from indirect to direct. Failure to obtain such approval may result in subsequent cost disallowances.

C. FIXED RATES WITH CARRY-FORWARD PROVISIONS: The fixed rates contained in this agreement are based on estimates of the costs for FY 2021. When actual costs for this fiscal year are determined, adjustments will be applied to a rate negotiation for a subsequent fiscal year to recognize the difference between the FY 2021 estimated costs used to establish the fixed rates and the negotiated actual FY 2021 costs.

D. CARRY FORWARD AMOUNTS: The following carry-forward amounts were considered in the establishment of the FY 2021 rates:

() Denotes Over-Recovery

<u>Employee Category</u>	<u>FY 2019</u>	
	<u>STAFF BENEFITS</u>	<u>LEAVE BENEFITS</u>
Classified	\$ 464,928	\$ (270,837)

<u>Employee Category</u>	FY 2019	
	STAFF BENEFITS	LEAVE BENEFITS
Classified, AHECTE ¹	\$ 161,119	\$ (135,436)
APT ² Exempt	\$ 202,300	\$ 211,558
Executive, Non-Union Faculty (12 mos)	\$(280,280)	\$ (60,450)
Non-Union Faculty (<12 mos)	*	\$ 110,322
UNAC Faculty**	\$ (73,571)	\$3,641,558
Adjunct Faculty	\$ 83,787	\$ 0
Temporary/FICA Student	\$ 17,404	\$ 0
Extended Temporary	\$ 206,095	\$ 23,161
Student	\$ 0	\$ 0

*Job group Non-Union Faculty - <12 mo. is included in the Exec & Non-Union Faculty – 12 mo. group for staff benefit purposes but is separate for leave benefit purposes.

**UAFT (A9) merged into UNAC (F9) effective May 13, 2018. This amount represents the combined carry-forward total.

E. USE BY OTHER FEDERAL AGENCIES: The rates set forth in Section I are negotiated in accordance with and under the authority set forth in 2 CFR Part 200. Accordingly, such rates shall be applied to the extent provided in such regulations to grants, contracts, and other agreements to which 2 CFR Part 200 applies, subject to any limitations in part A of this section. Copies of this document may be provided by either party to other federal agencies to provide such agencies with documentary notice of this agreement and its terms and conditions.

F. SPECIAL REMARKS: The Government's agreement with the rates set forth in Section I is not an acceptance of the University of Alaska's accounting practices or methodologies. Any reliance by the Government on cost data or methodologies submitted by the University of Alaska is on a non-precedence-setting basis and does not imply Government acceptance.

Accepted:

FOR THE UNIVERSITY OF ALASKA:

FOR THE U.S. GOVERNMENT:



MYRON J. DOSCH
Chief Financial Officer

February 9, 2021

Date

R. BRIAN BRADLEY
Contracting Officer

10 FEB 2021

Date

For information concerning this agreement contact:

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