



DEPARTMENT OF THE NAVY
OFFICE OF NAVAL RESEARCH
800 NORTH QUINCY STREET
ARLINGTON, VA 22217-5660

IN REPLY REFER TO

NEGOTIATION AGREEMENT
FISCAL YEAR 2002/04

Institution: THE UNIVERSITY OF ALASKA
910 YUKON DRIVE
BUTROVICH BUILDING, SUITE 207B
PO BOX 755120
FAIRBANKS, AK 99775-5120

The Facilities and Administrative (F&A) cost rates contained herein are for use on grants, contracts and/or other agreements issued or awarded to the University of Alaska (U of A) by all Federal Agencies of the United States of America, in accordance with the cost principles mandated by the Office of Management and Budget (OMB) Circular A-21. These rates shall be used for forward pricing and billing purposes at U of A for Fiscal Years (FY) 2002 through 2004. This rate agreement supersedes all previous rate agreements for FY2002.

SECTION I: RATES TYPE: PREDETERMINED (PRED)

FAIRBANKS CAMPUS (UAF):

<u>TYPE</u>	<u>FROM</u>	<u>TO</u>	<u>RATE</u>	<u>BASE</u>	<u>APPLICABLE FUNCTION</u>
Pred	7/01/01 – 6/30/02		47.1%	(a)	On-Campus Organized Research
Pred	7/01/02 – 6/30/04		50.4%	(a)	On-Campus Organized Research
Pred	7/01/01 – 6/30/02		35.8%	(a)	School of Fisheries and Ocean Sciences, Ship Operations
Pred	7/01/02 – 6/30/04		33.0%	(a)	School of Fisheries and Ocean Sciences, Ship Operations

FAIBANKS CAMPUS (UAF):

<u>TYPE</u>	<u>FROM</u>	<u>TO</u>	<u>RATE</u>	<u>BASE</u>	<u>APPLICABLE FUNCTION</u>
Pred	7/01/01 – 6/30/02		26.3%	(a)	Poker Flat
Pred	7/01/02 – 6/30/04		26.3%	(a)	Poker Flat
Pred	7/01/01 – 6/30/02		31.9%	(a)	Arctic Region Supercomputing Center (ARSC)
Pred	7/01/02 – 6/30/04		33.2%	(a)	Arctic Region Supercomputing Center (ARSC)
Pred	7/01/01 – 6/30/02		34.0%	(a)	Other Sponsored Activities
Pred	7/01/02 – 6/30/04		35.4%	(a)	Other Sponsored Activities

ANCHORAGE CAMPUS (UAA):

<u>TYPE</u>	<u>FROM</u>	<u>TO</u>	<u>RATE</u>	<u>BASE</u>	<u>APPLICABLE FUNCTION</u>
Pred	7/01/01 – 6/30/02		32.8%	(a)	On-Campus Research
Pred	7/01/02 – 6/30/04		33.8%	(a)	On-Campus Research
Pred	7/01/01 – 6/30/02		36.0%	(a)	American Russian Center
Pred	7/01/02 – 6/30/04		37.3%	(a)	American Russian Center
Pred	7/01/01 – 6/30/02		30.9%	(a)	Other Sponsored Activities
Pred	7/01/02 – 6/30/04		32.1%	(a)	Other Sponsored Activities

SOUTHEAST CAMPUS (UAS):

<u>TYPE</u>	<u>FROM</u>	<u>TO</u>	<u>RATE</u>	<u>BASE</u>	<u>APPLICABLE FUNCTION</u>
Pred	7/01/01 – 6/30/02		35.0%	(a)	Sponsored Research and Other Sponsored Activities
Pred	7/01/02 – 6/30/04		35.0%	(a)	Sponsored Research and Other Sponsored Activities

SYSTEMWIDE (Applies to all Campuses):

<u>TYPE</u>	<u>FROM</u>	<u>TO</u>	<u>RATE</u>	<u>BASE</u>	<u>APPLICABLE FUNCTION</u>
Pred	7/01/01 – 6/30/02		30.0%	(a)	Sponsored Training
Pred	7/01/02 – 6/30/04		30.0%	(a)	Sponsored Training

DISTRIBUTION BASE

(a) Modified Total Direct Cost (MTDC), as defined in OMB Circular A-21, consisting of all salaries and wages, fringe benefits, materials and supplies, services, travel, and subgrants and subcontracts up to the first \$25,000 of each subgrant or subcontract (regardless of the period covered by the subgrant or subcontract); and excluding equipment (defined as having a useful life of more than one year and an acquisition cost of \$2,500 or more per unit), capital expenditures, charges for patient care and tuition remission, long term space rental costs, scholarships, and fellowships as well as the portion of each subgrant and subcontract in excess of \$25,000.

SECTION II - GENERAL TERMS AND CONDITIONS

A. LIMITATIONS: Use of the rates set forth under Section I is subject to any statutory or administrative limitations and is applicable to a given grant or contract only to the extent that funds are available, and consistent with any or all limitations of cost clauses or provisions, if any, contained therein. Acceptance of the rates agreed to herein is predicated upon all of the following conditions: (1) that no costs other than those incurred by the grantee/contractor were included in the F&A rates as finally accepted and that such costs are legal obligations of the grantee/contractor and allowable under governing cost principles, (2) that the same costs that have been treated as indirect costs are not claimed as direct costs, (3) that similar types of costs have been accorded consistent accounting treatment, and (4) that the information provided by

the grantee/contractor which was used as a basis for acceptance of the rates agreed to herein, and expressly relied upon by the Government in negotiating and accepting the said rates is not subsequently found to be materially incomplete or inaccurate.

B. ACCOUNTING CHANGES: The rates contained in Section I of this agreement are based on the accounting system in effect at the time the agreement was negotiated. Changes to the method(s) of accounting for costs which affect the amount of reimbursement resulting from the use of these rates require the prior approval of the authorized representative of the cognizant negotiation agency. Such changes include but are not limited to changes in the charging of a particular type of cost from indirect to direct. Failure to obtain such approval may result in subsequent cost disallowances.

C. PREDETERMINED RATES: The predetermined rates contained in this agreement are considered final and are not subject to adjustment in accordance with the provisions of OMB Circular A-21 and the Federal Acquisition Regulation, subject to the limitations contained in Part A of this section.

D. USE BY OTHER FEDERAL AGENCIES: The rates set forth in Section I were negotiated in accordance with and under the authority set forth in OMB Circular *A-21*. Accordingly, such rates shall be applied to the extent provided in OMB Circular *A-21* to grants and contracts to which OMB Circular *A-21* applies, subject to any limitations in part A of this section. Copies of this document may be provided by either party to other federal agencies which have or intend to issue or award sponsored agreements using these rates or to otherwise provide such agencies with documentary notice of this agreement and its terms and conditions.

F. SPECIAL REMARKS:

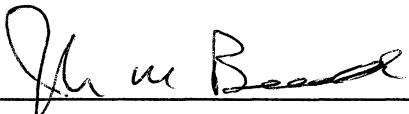
1. Off-campus is defined as projects or specified project segments which (1) have estimated direct costs in excess of \$100,000 and (2) which require off-site research activities for a period of 180 consecutive days or the equivalent of 80% of the project term. Such research, exclusive of Poker Flat, shall be conducted at facilities and administrative rates agreed to by the contracting parties based on individual requirements. Unless a benefit to the project can be identified, such rates shall not contain on-campus facilities depreciation charges or operation and maintenance costs except for those costs which flow down through other cost components, nor shall there be any provisions for library or student services costs.
2. Signature of this agreement by the authorized representatives of the University of Alaska and the Government, acknowledge and affirms the University's waiver, dated November 20, 2001, of the prohibition contained in DFARS 231.303(1) and the Government's exercise of its discretion under DFARS 231.303(2) to waive the prohibition in DFARS 231.303(1). The waiver request by the University of Alaska is made to simplify the University's overall management of DOD cost reimbursements under any DOD contracts.

University of Alaska FY2002-04 Predetermined Rate Agreement

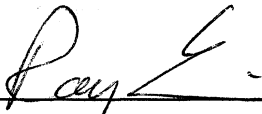
The purpose of this agreement is to establish facilities and administrative cost rates for the period July 1, 2001 through June 30, 2004. The establishment of these rates by the Government based on the accounting systems and allocation methodologies in effect at the time this rate agreement is signed does not represent an agreement that these systems and methodologies are accepted for Fiscal Years 2002 through 2004, or for any subsequent year's rate negotiation.

UNIVERSITY OF ALASKA

FOR THE GOVERNMENT



JOSEPH BEEDLE
Vice President for Finance



RAYMOND EICHMAN
Contracting Officer

2/26/02

Date

3-5-02

Date

For information concerning this document contact:

Ray Eichman
Office of Naval Research
Indirect Costs/ONR 242
800 N. Quincy Street
Arlington, VA 22217
(703) 696-2586
e-mail: eichmar@onr.navy.mil

INDIVIDUAL RATE COMPONENTS

Institution: University of Alaska

Fiscal Year Covered by Rate: 2002

Campus: Fairbanks (UAF)

Function Rate is Applicable to:

On-Campus Organized Research

Type of Rate: Predetermined

Negotiation Base: MTDC \$44,544,000

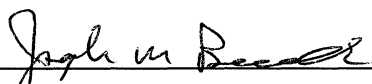
RATE COMPONENTS:

PERCENTAGE

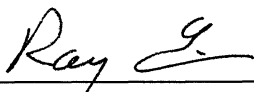
1. Administrative	
A. G&A	11.8%
B. Dept. Admin	17.4%
C. Sponsored Projects Administration	0.9%
D. 26% Cap Adjustment	<u>(4.1%)</u>
Subtotal	26.0%
2. Depreciation	
A. Buildings	5.5%
B. Equipment	2.7%
3. O&M	14.9%
4. Library	<u>1.4%</u>
	24.5%
TOTAL	50.5%
Less: (Voluntary Reduction)	<u>(3.4%)</u>
NEGOTIATED RATE	47.1%

FOR THE INSTITUTION:

FOR THE GOVERNMENT:



JOSEPH BEEDLE
VICE PRESIDENT FOR FINANCE



RAYMOND EICHMAN
CONTRACTING OFFICER

2/26/02

DATE

3-5-02

DATE

INDIVIDUAL RATE COMPONENTS

Institution: University of Alaska

Fiscal Years Covered by Rate: 2003 / 2004

Campus: Fairbanks (UAF)

Function Rate is Applicable to:

On-Campus Organized Research

Type of Rate: Predetermined

Negotiation Base: MTDC \$45,740,000 / \$47,644,000

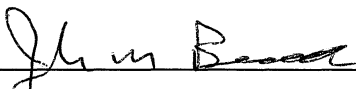
RATE COMPONENTS:

PERCENTAGE


1. Administrative	
A. G&A	12.2%
B. Dept. Admin	17.6%
C. Sponsored Projects Administration	0.9%
D. 26% Cap Adjustment	(4.7%)
Subtotal	26.0%
2. Depreciation	
A. Buildings	5.4%
B. Equipment	2.6%
3. O&M	14.9%
4. Library	<u>1.5%</u>
	24.4%
TOTAL	50.4%

FOR THE INSTITUTION:

FOR THE GOVERNMENT:



JOSEPH BEEDLE
VICE PRESIDENT FOR FINANCE



RAYMOND EICHMAN
CONTRACTING OFFICER

2/26/02

DATE

3-5-02

DATE

INDIVIDUAL RATE COMPONENTS

Institution: University of Alaska

Fiscal Years Covered by Rate: 2002

Campus: Fairbanks (UAF)

Location Rate is Applicable to:

School of Fisheries, Ship Operations

Type of Rate: Predetermined

Negotiation Base: MTDC \$1,393,000

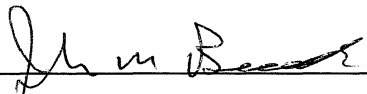
RATE COMPONENTS:

PERCENTAGE


1. Administrative	
A. G&A	11.8%
B. Dept. Admin	22.8%
C. Sponsored Projects Administration	1.0%
D. 26% Cap Adjustment	<u>(9.6%)</u>
Subtotal	26.0%
2. Depreciation	
A. Buildings	0.8%
B. Equipment	0.0%
3. O&M	6.1%
4. Library	<u>0.0%</u>
	7.0%
TOTAL	32.9%
Increase to match Provisional Rate	<u>2.9%</u>
NEGOTIATED RATE	35.8%

FOR THE INSTITUTION:

FOR THE GOVERNMENT:



JOSEPH BEEDLE
VICE PRESIDENT FOR FINANCE



RAYMOND EICHMAN
CONTRACTING OFFICER

2/26/02

DATE

3-5-02

DATE

INDIVIDUAL RATE COMPONENTS

Institution: University of Alaska

Fiscal Years Covered by Rate: 2003 / 2004

Campus: Fairbanks (UAF)

Location Rate is Applicable to:

School of Fisheries, Ship Operations

Type of Rate: Predetermined

Negotiation Base: MTDC \$1,393,000 / \$1,393,000

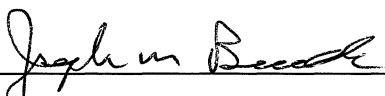
RATE COMPONENTS:

PERCENTAGE

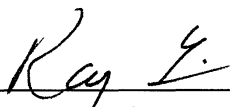
1. Administrative	
A. G&A	12.1%
B. Dept. Admin	23.1%
C. Sponsored Projects Administration	1.0%
D. 26% Cap Adjustment	<u>(10.2%)</u>
Subtotal	26.0%
2. Depreciation	
C. Buildings	0.8%
D. Equipment	0.0%
3. O&M	6.2%
4. Library	<u>0.0%</u>
	7.0%
TOTAL	33.0%

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CONTRACTING OFFICER

2/26/02

DATE

3-5-02

DATE

INDIVIDUAL RATE COMPONENTS

Campus: Fairbanks (UAF)

Fiscal Years Covered by Rate: 2002

Function Rate is Applicable to:

Type of Rate: Predetermined

Poker Flat

Institution: University of Alaska

Negotiation Base: MTDC \$1,131,000

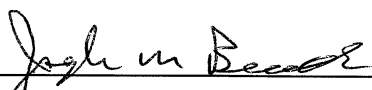
RATE COMPONENTS:

PERCENTAGE

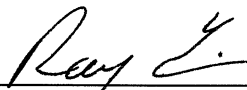
1. Administrative	
A. G&A	11.8%
B. Dept. Admin	17.6%
C. Sponsored Projects Administration	1.1%
D. 26% Cap Adjustment	<u>(4.5%)</u>
Subtotal	26.0%
2. Depreciation	
A. Buildings	0.0%
B. Equipment	0.0%
3. O&M	0.3%
4. Library	<u>0.0%</u>
	0.3%
TOTAL	26.3%

FOR THE INSTITUTION:

FOR THE GOVERNMENT:



JOSEPH BEEDLE
VICE PRESIDENT FOR FINANCE



RAYMOND EICHMAN
CONTRACTING OFFICER

2/26/02

DATE

3-5-02

DATE

INDIVIDUAL RATE COMPONENTS

Campus: Fairbanks (UAF)

Fiscal Years Covered by Rate: 2003 / 2004

Function Rate is Applicable to:

Type of Rate: Predetermined

Poker Flat

Institution: University of Alaska

Negotiation Base: MTDC \$823,000 / \$1,175,000

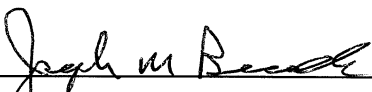
RATE COMPONENTS:

PERCENTAGE


1. Administrative	
A. G&A	12.1%
B. Dept. Admin	17.7%
C. Sponsored Projects Administration	1.1%
D. 26% Cap Adjustment	<u>(4.9%)</u>
Subtotal	26.0%
3. Depreciation	
C. Buildings	0.0%
D. Equipment	0.0%
3. O&M	0.3%
4. Library	<u>0.0%</u>
	0.3%
TOTAL	26.3%

FOR THE INSTITUTION:

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VICE PRESIDENT FOR FINANCE



RAYMOND EICHMAN
CONTRACTING OFFICER

2/26/02

DATE

3-5-02

DATE

INDIVIDUAL RATE COMPONENTS

Institution: University of Alaska

Fiscal Year Covered by Rate: 2002

Campus: Fairbanks (UAF)

Function Rate is Applicable to:

Arctic Region Supercomputing Center

Type of Rate: Predetermined

Negotiation Base: MTDC \$6,647,000

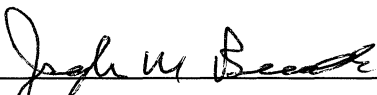
RATE COMPONENTS:

PERCENTAGE

1. Administrative	
A. G&A	11.8%
B. Dept. Admin	1.6%
C. Sponsored Projects Administration	1.1%
D. 26% Cap Adjustment	<u>0.0%</u>
Subtotal	14.5%
2. Depreciation	
A. Buildings	4.5%
B. Equipment	10.5%
3. O&M	3.9%
4. Library	<u>0.6%</u>
	19.5%
TOTAL	34.0%
Less: (Voluntary Reduction)	<u>(2.1%)</u>
NEGOTIATED RATE	31.9%

FOR THE INSTITUTION:

FOR THE GOVERNMENT:



JOSEPH BEEDLE
VICE PRESIDENT FOR FINANCE



RAYMOND EICHMAN
CONTRACTING OFFICER

2/26/02

DATE

3-5-02

DATE

INDIVIDUAL RATE COMPONENTS

Institution: University of Alaska

Fiscal Years Covered by Rate: 2003 / 2004

Campus: Fairbanks (UAF)

Function Rate is Applicable to:

Arctic Region Supercomputing Center

Type of Rate: Predetermined

Negotiation Base: MTDC \$7,269,000 / \$7,644,000

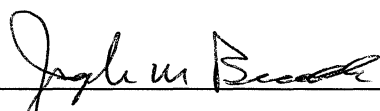
RATE COMPONENTS:

PERCENTAGE

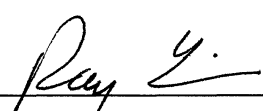
1. Administrative	
A. G&A	12.2%
B. Dept. Admin	1.5%
C. Sponsored Projects Administration	1.1%
D. 26% Cap Adjustment	<u>0.0%</u>
Subtotal	14.8%
2. Depreciation	
A. Buildings	4.2%
B. Equipment	9.7%
3. O&M	3.8%
4. Library	<u>0.7%</u>
	28.4%
TOTAL	33.2%

FOR THE INSTITUTION:

FOR THE GOVERNMENT:



JOSEPH BEEDLE
VICE PRESIDENT FOR FINANCE



RAYMOND EICHMAN
CONTRACTING OFFICER

2/26/02

DATE

3-5-02

DATE

INDIVIDUAL RATE COMPONENTS

Institution: University of Alaska

Fiscal Year Covered by Rate: 2002

Campus: Fairbanks (UAF)

Function Rate is Applicable to:

Other Sponsored Activities

Type of Rate: Predetermined

Negotiation Base: MTDC \$16,002,000

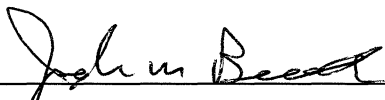
RATE COMPONENTS:

PERCENTAGE


1. Administrative	
A. G&A	11.7%
B. Dept. Admin	14.1%
C. Sponsored Projects Administration	0.8%
D. 26% Cap Adjustment	<u>(0.6%)</u>
Subtotal	26.0%
2. Depreciation/Use Allowance	
A. Buildings Depreciation	2.3%
B. Equipment Use Allowance	1.0%
3. O&M	4.3%
4. Library	<u>1.3%</u>
	8.9%
TOTAL	34.9%
Less: (Voluntary Reduction)	<u>(0.9%)</u>
NEGOTIATED RATE	34.0%

FOR THE INSTITUTION:

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VICE PRESIDENT FOR FINANCE



RAYMOND EICHMAN
CONTRACTING OFFICER

2/26/02

DATE

3-5-02

DATE

INDIVIDUAL RATE COMPONENTS

Institution: University of Alaska

Fiscal Years Covered by Rate: 2003 / 2004

Campus: Fairbanks (UAF)

Function Rate is Applicable to:

Other Sponsored Activities

Type of Rate: Predetermined

Negotiation Base: MTDC \$15,481,000 / \$15,635,000

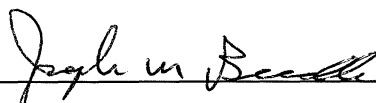
RATE COMPONENTS:

PERCENTAGE

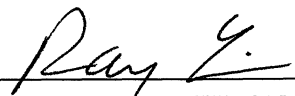
1. Administrative	
A. G&A	12.0%
B. Dept. Admin	14.5%
C. Sponsored Projects Administration	0.9%
D. 26% Cap Adjustment	<u>(1.4%)</u>
Subtotal	26.0%
2. Depreciation	
A. Buildings	2.4%
B. Equipment	1.0%
3. O&M	4.6%
4. Library	<u>1.4%</u>
	9.4%
TOTAL	35.4%

FOR THE INSTITUTION:

FOR THE GOVERNMENT:



JOSEPH BEEDLE
VICE PRESIDENT FOR FINANCE



RAYMOND EICHMAN
CONTRACTING OFFICER

2/26/02

DATE

3-5-02

DATE

INDIVIDUAL RATE COMPONENTS

Institution: University of Alaska

Fiscal Year Covered by Rate: 2002

Campus: Anchorage (UAA)

Function Rate is Applicable to:

On-Campus Organized Research

Type of Rate: Predetermined

Negotiation Base: MTDC \$6,145,000

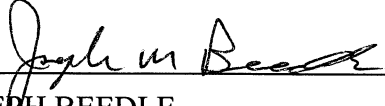
RATE COMPONENTS:

PERCENTAGE

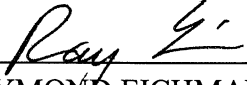
1. Administrative	
A. G&A	15.7%
B. Dept. Admin	17.3%
C. Sponsored Projects Administration	2.8%
D. 26% Cap Adjustment	<u>(9.8%)</u>
Subtotal	26.0%
2. Depreciation	
A. Buildings	2.2%
B. Equipment	1.3%
3. O&M	3.4%
4. Library	<u>1.3%</u>
	8.2%
TOTAL	34.2%
Less: (Voluntary Reduction)	<u>(1.4%)</u>
NEGOTIATED RATE	32.8%

FOR THE INSTITUTION:

FOR THE GOVERNMENT:



JOSEPH BEEDLE
VICE PRESIDENT FOR FINANCE



RAYMOND EICHMAN
CONTRACTING OFFICER

2/26/02

DATE

3-5-02

DATE

INDIVIDUAL RATE COMPONENTS

Institution: University of Alaska

Fiscal Years Covered by Rate: 2003 / 2004

Campus: Anchorage (UAA)

Function Rate is Applicable to:

On Campus Organized Research

Type of Rate: Predetermined

Negotiation Base: MTDC \$6,474,000 / \$6,884,000

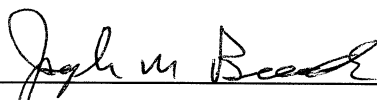
RATE COMPONENTS:

PERCENTAGE

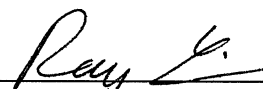
1. Administrative	
A. G&A	16.1%
B. Dept. Admin	16.9%
C. Sponsored Projects Administration	2.9%
D. 26% Cap Adjustment	<u>(9.9%)</u>
Subtotal	26.0%
2. Depreciation	
A. Buildings	2.1%
B. Equipment	1.2%
3. O&M	3.2%
4. Library	<u>1.3%</u>
	7.8%
TOTAL	33.8%

FOR THE INSTITUTION:

FOR THE GOVERNMENT:



JOSEPH BEEDLE
VICE PRESIDENT FOR FINANCE



RAYMOND EICHMAN
CONTRACTING OFFICER

2/26/02

DATE

3-5-02

DATE

INDIVIDUAL RATE COMPONENTS

Institution: University of Alaska

Fiscal Year Covered by Rate: 2002

Campus: Anchorage (UAA)

Function Rate is Applicable to:

American Russian Center

Type of Rate: Predetermined


Negotiation Base: MTDC \$1,938,000

RATE COMPONENTS:

PERCENTAGE


1. Administrative	
A. G&A	15.7%
B. Dept. Admin	11.7%
C. Sponsored Projects Administration	3.1%
D. 26% Cap Adjustment	<u>(4.5%)</u>
Subtotal	26.0%
2. Depreciation	
A. Buildings	4.5%
B. Equipment	1.9%
3. O&M	2.5%
4. Library	<u>0.8%</u>
	9.7%
TOTAL	35.7%
Increase to Match Provisional Rates	<u>0.3%</u>
NEGOTIATED RATE	36.0%

FOR THE INSTITUTION:


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2/26/02
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FOR THE GOVERNMENT:


RAYMOND EICHMAN
CONTRACTING OFFICER

3-5-02
DATE

INDIVIDUAL RATE COMPONENTS

Institution: University of Alaska

Fiscal Years Covered by Rate: 2003 / 2004

Campus: Anchorage (UAA)

Function Rate is Applicable to:

American Russian Center

Type of Rate: Predetermined

Negotiation Base: MTDC \$1,632,000 / \$1,289,000

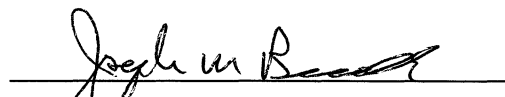
RATE COMPONENTS:

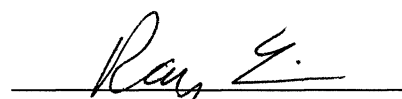
PERCENTAGE

1. Administrative	
A. G&A	16.1%
B. Dept. Admin	14.4%
C. Sponsored Projects Administration	3.2%
D. 26% Cap Adjustment	<u>(7.7%)</u>
Subtotal	26.0%
2. Depreciation	
A. Buildings	5.4%
B. Equipment	2.2%
3. O&M	2.9%
4. Library	<u>0.8%</u>
	11.3%
TOTAL	37.3%

FOR THE INSTITUTION:

FOR THE GOVERNMENT:


JOSEPH BEEDLE
VICE PRESIDENT FOR FINANCE


RAYMOND EICHMAN
CONTRACTING OFFICER

2/26/02
DATE

3-5-02
DATE

INDIVIDUAL RATE COMPONENTS

Institution: University of Alaska

Fiscal Year Covered by Rate: 2002

Campus: Anchorage (UAA)

Function Rate is Applicable to:

Other Sponsored Activities

Type of Rate: Predetermined

Negotiation Base: MTDC \$5,904,000

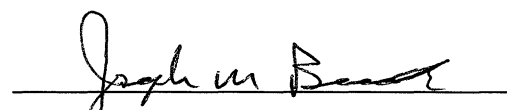
RATE COMPONENTS:

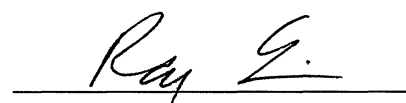
PERCENTAGE

1. Administrative	
A. G&A	15.7%
B. Dept. Admin	7.6%
C. Sponsored Projects Administration	3.3%
D. 26% Cap Adjustment	<u>(0.6%)</u>
Subtotal	26.0%
2. Depreciation	
A. Buildings	2.2%
B. Equipment	0.3%
3. O&M	2.5%
4. Library	<u>1.2%</u>
	6.2%
TOTAL	32.2%
Less: (Voluntary Reduction)	<u>(1.3%)</u>
NEGOTIATED RATE	30.9%

FOR THE INSTITUTION:

FOR THE GOVERNMENT:


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VICE PRESIDENT FOR FINANCE


RAYMOND EICHMAN
CONTRACTING OFFICER

2/26/02
DATE

3-5-02
DATE

INDIVIDUAL RATE COMPONENTS

Institution: University of Alaska

Fiscal Years Covered by Rate: 2003 / 2004

Campus: Anchorage (UAA)

Function Rate is Applicable to:

Other Sponsored Activities

Type of Rate: Predetermined

Negotiation Base: MTDC \$5,939,000 / \$5,985,000

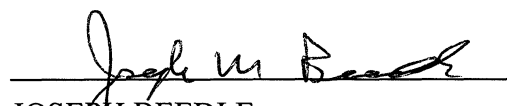
RATE COMPONENTS:

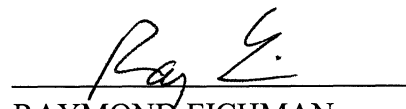
PERCENTAGE

1. Administrative	
A. G&A	16.1%
B. Dept. Admin	7.5%
C. Sponsored Projects Administration	3.4%
D. 26% Cap Adjustment	<u>(1.0%)</u>
Subtotal	26.0%
2. Depreciation	
A. Buildings	2.2%
B. Equipment	0.3%
3. O&M	2.4%
4. Library	<u>1.2%</u>
	6.1%
TOTAL	32.1%

FOR THE INSTITUTION:

FOR THE GOVERNMENT:


JOSEPH BEEDLE
VICE PRESIDENT FOR FINANCE


RAYMOND EICHMAN
CONTRACTING OFFICER

2/26/02
DATE

3-5-02
DATE

INDIVIDUAL RATE COMPONENTS

Institution: University of Alaska

Fiscal Year Covered by Rate: 2002

Campus: Southeast (UAS)

Function Rate is Applicable to:

Organized Research and Other Sponsored Activities

Type of Rate: Predetermined

Negotiation Base: MTDC \$449,000


RATE COMPONENTS:

PERCENTAGE

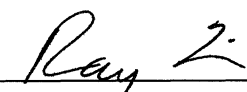
1. Administrative	
A. G&A	20.7%
B. Dept. Admin	9.4%
C. Sponsored Projects Administration	0.0%
D. 26% Cap Adjustment	<u>(4.1%)</u>
Subtotal	26.0%
2. Depreciation	
A. Buildings	0.7%
B. Equipment	0.0%
3. O&M	15.4%
4. Library	<u>2.2%</u>
	18.3%
TOTAL	44.3%
Less: (Voluntary Reduction)	<u>(9.3%)</u>
NEGOTIATED RATE	35.0%

FOR THE INSTITUTION:

FOR THE GOVERNMENT:



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CONTRACTING OFFICER

2/26/02

DATE

3-5-02

DATE

INDIVIDUAL RATE COMPONENTS

Institution: University of Alaska

Fiscal Year Covered by Rate: 2003 / 2004

Campus: Southeast (UAS)

Function Rate is Applicable to:

Organized Research and Other Sponsored Activities

Type of Rate: Predetermined

Negotiation Base: MTDC \$476,000 / \$509,000

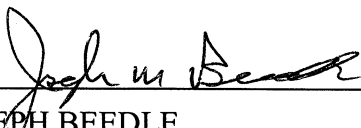
RATE COMPONENTS:

PERCENTAGE


1. Administrative	
A. G&A	20.9%
B. Dept. Admin	9.0%
C. Sponsored Projects Administration	0.0%
D. 26% Cap Adjustment	<u>(3.9%)</u>
Subtotal	26.0%
2. Depreciation	
A. Buildings	0.8%
B. Equipment	0.0%
3. O&M	14.7%
4. Library	<u>2.2%</u>
	17.7%
TOTAL	43.7%
Less: (Voluntary Reduction)	<u>(8.7%)</u>
NEGOTIATED RATE	35.0%

FOR THE INSTITUTION:

FOR THE GOVERNMENT:



JOSEPH BEEDLE
VICE PRESIDENT FOR FINANCE



RAYMOND EICHMAN
CONTRACTING OFFICER

2/26/02

DATE

3-5-02

DATE

INDIVIDUAL RATE COMPONENTS

Institution: University of Alaska

Fiscal Years Covered by Rate: 2002 / 2003 / 2004

Campus: Systemwide

Function Rate is Applicable to: Sponsored Training

Type of Rate: Predetermined

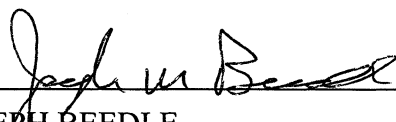
Negotiation Base: MTDC \$78,042,000 / \$79,492,000 / \$81,020,000

RATE COMPONENTS:

PERCENTAGE

1. Administrative	
A. G&A	13.3%
B. Dept. Admin	22.8%
C. Sponsored Projects Administration	0.2%
D. 26% Cap Adjustment	<u>(10.3%)</u>
Subtotal	26.0%
2. Depreciation	
A. Buildings	11.6%
B. Equipment	4.0%
3. O&M	17.0%
4. Library	<u>1.8%</u>
	40.0%
TOTAL	60.0%
VOLUNTARY REDUCTION	<u>(30.0%)</u>
NEGOTIATED RATE	30.0%

FOR THE INSTITUTION:

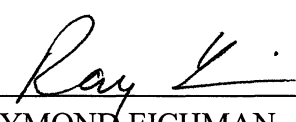


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