## Board of Regents TABLE OF CONTENTS September 18-19, 2014

Table of Contents	1
Meeting Schedule	3
Full Board Agenda	5
Academic & Student Affairs Committee Agenda	26
Facilities & Land Management Committee Agenda	31
Audit Committee Agenda	45
Unofficial Minutes of June 5-6, 2014	49
Revised Minutes of November 6, 2013	79
Ref 01 – Regents' Policy Revisions 04.02.012	88
Ref 02 – Regents' Policy Revisions 04.02.032-038	90
Ref 03 – Regents' Policy Revisions 04.06.140	94
Ref 04 – Regents' Policy Revisions 04.07.040	96
Ref 05 – Tuition Rates for Academic Year 2016	97
Ref 06 – Proposed Modifications to the UA Scholars Program	102
Ref 07 – UAS Undergraduate Certificate in Medical Assisting	112
Ref 08 – UAS Deletion of Early Childhood Programs	126
Ref 09 – UAA Post-Graduate Certificate in Speech-Language Pathology	130
Ref 10 – Regents' Policy Revisions 04.04.047	142
Ref 11 – PCR UAA KPC Kenai River Campus Student Housing Complex	147
Ref 12 – PCR UAA KPC Kenai River Campus Career & Technical Education Cent	ter 157
Ref 13 – PCR UAF Irving I Re-purposing for Veterinary Medicine	163
Ref 14 – SDA UAS Juneau Campus Modifications 2014-2016 Phase 1	177
Ref 15 – Regents' Policy Revisions 05.11	218
Ref 16 – UA Bunnell Park Disposal Plan	234
Ref 17 – UA Purchase of Bragaw Street Vacant Lot	236
Ref 18 – UA Purchase of a Four-unit Condominium Complex	241
Ref 19 – UA Foundation FY15 Budget	245
Ref 20 – Regents' Policy Revisions 02.02.017	249
Ref 21 – Regents' Policy Revisions 04.04.022	251
Ref 22 – Regents' Policy Revisions 04.04.030	252
Ref 23 – Regents' Policy Revisions 04.04.040	255
Ref 24 – Regents' Policy Revisions 04.04.056	259
Ref 25 – Regents' Policy Revisions 05.10.025	260
Ref 26 – Regents' Policy Revisions 09.02.080	262

Ref 27 – Regents' Policy Revisions 09.11.010	263
Ref 28 – Regents' Policy Revisions 09.12.010 and 05.10.070	265
Ref 29 – Regents' Policy Revisions 10.09.010	268
Addendum 1 – Teacher Preparation Programs	271
Addendum 2 – First Review of FY16 Operating Budget	296
Addendum 3 — First Review of FY16 Capital Budget & 10-Year Capital Improvement Pla	an 332
Addendum 4 – UA Development Report	367
Addendum 5 – Presentation from the University of Alaska Southeast	369
Addendum 6 – Delta Mine Training Center Acquisition Information Item	402
Addendum 7 – Faculty Workload Assignment Process	404
Addendum 8 – Contracting Developmental Education Information Item	411
Addendum 9 – Program Review and Accreditation Report	424
Addendum 10 – Academic Program Review Flowchart	468
Addendum 11 – Credit Transfer Report	471
Addendum 12 – Review of Regents' Policy Revisions 05.12	477
Addendum 13 – UA Timber Statement of Intent	523
Addendum 14 – IT Security Update	526
Addendum 15 – State and Federal Broadband Update	
Addendum 16 – Administrative IT Summit	542
Addendum 17 – UAA Alaska Airlines Center Information Item	551
Addendum 18 – UAA Engineering and Industry Building Information Item	553
Addendum 19 – UAA CPISB Re-Commissioning Information Item	555
Addendum 20 – UAF Engineering Facility Information Item	556
Addendum 21 – Deferred Maintenance Spending Report	557
Addendum 22 – Construction in Progress	558
Addendum 23 – Common Issues and Risks	616
Addendum 24 – 2014 UA Identity Theft Prevention Program Report	636
Addendum 25 – UA Audit and Consulting Services Annual Report	637
Written Reports:	
ACPE Report	649
Athletics Report	651
Chancellors' Reports	
Governance Report	
Labor Relations Report	
Missions Statements	
Organizational Charts	

## University of Alaska

## **Board of Regents' Meeting** September 18-19, 2014

UAS Recreation Center, University of Alaska Southeast Juneau, Alaska

## MEETING SCHEDULE AND ACTIVITIES

Times for board meetings are subject to modifications within the September 18-19, 2014 time frame.

## Thursday, September 18, 2014

8:00 a.m. – 8:30 a.m.	The <u>Full Board</u> will meet in Room 116 and hear the President's and Governance Reports.
8:30 a.m. – 9:30 a.m.	The <u>Full Board</u> will hear <u>public testimony</u> . The board chair will announce when public testimony is closed.
9:30 a.m. – 10:30 a.m.	The <u>Full Board</u> will hear a <u>presentation</u> from the Lumina Foundation.
10:30 a.m. – 12:30 p.m.	The <u>Full Board</u> will discuss teacher preparation. A working <u>lunch</u> will be provided to regents and executive staff.
12:30 p.m. – 2:00 p.m.	The <u>Full Board</u> will review the FY16 operating and capital budget requests.
2:00 p.m. – 5:00 p.m.	Academic and Student Affairs Committee will meet in Room 116.
2:00 p.m. – 5:00 p.m.	Facilities and Land Management Committee will meet in Room 115.
5:30 p.m. – 7:00 p.m.	Board members and staff will attend a <u>reception</u> at the UAS Freshman Residence Hall.

## Friday, September 19, 2014

8:00 a.m. – 9:00 a.m.	Audit Committee will meet in Room 116.
9:00 a.m. – 10:00 a.m.	The <u>Full Board</u> will hear <u>public testimony</u> . The board chair will announce when public testimony is closed.
10:00 a.m. – 11:30 a.m.	The Full Board will hear reports and consider action items.

Board of Regents' Meeting Activities Schedule September 18-19, 2014 Juneau, Alaska

11:30 a.m. – 12:30 p.m. The Full Board will hear a presentation from the University of

Alaska Southeast. A working <u>lunch</u> will be provided to regents

and executive staff.

12:30 p.m. – 3:00 p.m. The <u>Full Board</u> will consider action items and hear <u>reports</u>.

3:00 p.m. – 5:00 p.m. The <u>Full Board</u> will meet in <u>executive session</u> then return to

open session to consider actions items.

5:00 p.m. Adjourn

To contact members of the Board of Regents or participating staff during the meeting, please call (907) 450-8000 or email ua-bor@alaska.edu.

## Agenda

## **Board of Regents Meeting of the Full Board**

September 18-19, 2014

UAS Recreation Center, Room 116 University of Alaska Southeast Juneau, Alaska

Times for meetings are subject to modifications within the September 18-19, 2014 time frame.

## Thursday, September 18, 2014

## I. <u>Call to Order</u>

[Scheduled for 8:00 a.m.]

## II. Adoption of Agenda

## **MOTION**

"The Board of Regents adopts the agenda as presented.

- I. Call to Order
- II. Adoption of Agenda
- **III.** Approval of Minutes
- IV. President's Report
- V. Governance Report
- VI. Public Testimony
- VII. Lumina Foundation Presentation
- **VIII.** Discussion Regarding Teacher Preparation Programs
- IX. First Review of FY16 Operating Budget Request
- X. First Review of FY16 Capital Budget Request and 10-Year Capital Improvement Plan
- XI. Approval of Revisions to Regents' Policy 04.02.012 Equal Employment Opportunity Program
- XII. Approval of Revisions to Regents' Policy 04.02.032-038 Individuals with Disabilities
- XIII. Approval of Revisions to Regents' Policy 04.06.140 Additional Leave and Holiday Benefits Provisions
- XIV. Approval of Revisions to Regents' Policy 04.07.040 Corrective Action
- **XV.** Planning and Development Issues
  - A. Discussion Regarding Board Governance
  - **B.** Development Report
  - C. UA Foundation Report
- XVI. Approval of Tuition Rates for Academic Year 2016
- XVII. Approval of Academic Degree Recipients
- XVIII. Presentation from the University of Alaska Southeast
- XIX. Approval of a Revision to FY15 Natural Resources Fund Budget Regarding UA Press
- **XX.** Approval of Revisions to the UA Scholars Program
- **XXI.** Delta Mine Training Center Acquisition Discussion

- XXII. Shaping Alaska's Future Metric Report
- XXIII. Faculty Workload Metric Discussion
- XXIV. Consent Agenda
  - A. Items from the special Academic and Student Affairs Committee meeting held on August 14, 2014
    - 1. Approval of Revisions to Regents' Policy 02.02.017 Chief Academic Officers
    - 2. Approval of Revisions to Regents' Policy 04.04.022 Application
    - 3. Approval of Revisions to Regents' Policy 04.04.030 Definitions
    - 4. Approval of Revisions to Regents' Policy 04.04.040 Appointment Categories
    - 5. Approval of Revisions to Regents' Policy 04.04.056 Evaluation of Faculty for Promotion
    - 6. Approval of Revisions to Regents' Policy 05.10.025 Resident Tuition Assessment
    - 7. Approval of Revisions to Regents' Policy 09.02.080 Final University Decision
    - 8. Approval of Revisions to Regents' Policy 09.11.010 Immunizations and Tests for Communicable Diseases
    - 9. Approval of the Deletion of Regents' Policy 09.12.010

       General Statement
    - 10. Approval of Revisions to Regents' Policy 05.10.070 Student Fees
    - 11. Approval of Revisions to Regents' Policy 10.09.010 Establishment of the Sydney Chapman Chair in Physical Sciences at the University of Alaska Fairbanks
  - **B.** Academic and Student Affairs Committee
    - 1. Approval of an Undergraduate Certificate in Medical Assisting at the University of Alaska Southeast
    - 2. Approval of the Deletion of Early Childhood Programs at the University of Alaska Southeast
    - 3. Approval of a Post-Graduate Certificate in Speech-Language Pathology at the University of Alaska Anchorage
    - 4. Approval of Revisions to Regents' Policy 04.04.047 Termination of Faculty Appointment
  - C. Audit Committee
    - 1. Acceptance of the University of Alaska Foundation FY15 Operating Budget

## D. Facilities and Land Management Committee

- 1. Project Change Request for the University of Alaska Anchorage KPC Kenai River Campus Student Housing Complex
- 2. Project Change Request for the University of Alaska Anchorage KPC Kenai River Campus Career and Technology Education Center
- 3. Project Change Request for the University of Alaska Fairbanks Irving I Repurpose for Veterinary Medicine
- 4. Schematic Design Approval for the University of Alaska Southeast Juneau Campus Modification 2014-2016, Phase 1, Hendrickson Building Renovations
- 5. Approval of Revisions to Regents' Policy 05.11 Real Property
- 6. Approval of the Bunnell Park Disposal Plan
- 7. Property Acquisition of Bragaw Street Vacant Lot located at 1750 S. Bragaw Street in Anchorage, Alaska
- 8. Property Acquisition of a Four-Unit Condo Complex located at 2831 UAA Drive in Anchorage, Alaska
- 9. Restructure of Existing Alaska Housing Finance Corporation Loan for Four-Unit Condo Complex
- 10. Restructure of Existing Alaska Housing Finance Corporation Loan for University of Alaska Anchorage Housing Deferred Maintenance

## **XXV.** New Business and Committee Reports

- A. Academic and Student Affairs Committee
- B. Audit Committee
- C. Facilities and Land Management Committee
- **XXVI.** Executive Session
- XXVII. Approval of Honorary Degrees and Meritorious Service Awards for Fall 2014, Spring 2015 and Beyond
- XXVIII. Approval of Naming a Facility at the University of Alaska Anchorage Mat-Su College
- XXIX. Approval of Naming a Facility at the University of Alaska Fairbanks
- **XXX.** Future Agenda Items
- XXXI. Board of Regents' Comments
- XXXII. Adjourn

This motion is effective September 18, 2014."

## **III.** Approval of Minutes

## **MOTION**

"The Board of Regents approves the minutes of its regular meeting of June 5-6 2014 as presented. This motion is effective September 18, 2014."

## **MOTION**

"The Board of Regents approves the revised minutes of its regular meeting of November 6, 2013 as presented. This motion is effective September 18, 2014."

## **RATIONALE**

At the November 6, 2013 meeting of the full board, Regent Enright stated she is a student at the UAF School of Management, and Regents Fisher and Heckman both noted they have students attending the University of Alaska. All three regents reported conflicts with the tuition rates motion. Due to an oversight, a note for the record was not included in the official minutes approved at the December 12-13, 2013 meeting. Therefore, the official minutes of the November 6, 2013 meeting require a revision to include the following statement on page 3:

Note for the record: Regent Enright is a student at the UAF School of Management, and Regents Fisher and Heckman both have students attending the University of Alaska. All three regents reported conflicts with the tuition rates motion. Because the tuition rate motion is a university-wide issue that equally affects all students, Chair Jacobson determined that any benefits to Regents Enright, Fisher and Heckman were indirect and therefore not substantial and material as prohibited by the Ethics Act.

## IV. President's Report

President Gamble will update the board on issues of importance.

## V. Governance Report

[Scheduled for 8:15 a.m.]

Representatives from the Staff Alliance, Faculty Alliance and Coalition of Student Leaders will report on issues of importance to the faculty, staff and students at the University of Alaska.

Monique Musick, Staff Alliance Chair

David Valentine, Faculty Alliance Chair

Victoria Daniels, United Students of UAS Juneau President on behalf of the Coalition of Student Leaders

## VI. <u>Public Testimony</u>

[Scheduled for 8:30 a.m.]

Public testimony will be heard at approximately 8:30 a.m. Comments are limited to three minutes per individual. Written comments are accepted and will be distributed to the Board of Regents and President Gamble by the Board of Regents' Officer *following* the meeting. The chair will determine when public testimony is closed.

[Scheduled for 9:30 a.m.]

## VII. <u>Lumina Foundation Presentation</u>

Jamie P. Merisotis, Lumina Foundation president and chief executive officer, will discuss the state of higher education in Alaska in the national context from the Lumina perspective.

[Scheduled for 10:30 a.m.]

## **VIII.** Discussion Regarding Teacher Preparation Programs

Addendum 1

Vice President Thomas will lead a discussion on teacher preparation programs.

Other participants will include:

- Cheryl Frasca, Education Matters Inc. Executive Director
- Dan Sullivan, Anchorage Mayor
- Peggy Kelly, Cal Poly Pomona College of Education & Integrative Studies Dean and Council for the Accreditation of Educator Preparation Evaluator
- Heather Ryan, UAA College of Education Dean
- Allan Morotti, UAF School of Education Dean
- Deborah Lo, UAS School of Education & Graduate Studies Dean

[Scheduled for 12:30 p.m.]

## IX. First Review of FY16 Operating Budget Request

Addendum 2

#### POLICY CITATION

Regents' Policy 05.01.01.A. – Budget Policy states: "The budget of the university represents an annual operating plan stated in fiscal terms. All budgetary requests shall be adopted by the board prior to submittal to the Office of the Governor or the legislature."

#### RATIONALE/RECOMMENDATION

President Gamble and Associate Vice President Rizk will lead a discussion on UA's Proposed FY16 Operating Budget.

The operating budget discussion during the Board of Regents' (BOR) meeting will provide regents with a status of UA's current operating budget, UA's proposed FY16 operating budget, and the impact of the high demand program requests on student outcomes and measures. Administration is seeking BOR feedback on key priorities.

Agenda **Meeting of the Full Board** September 18-19, 2014 Juneau, Alaska

The Proposed FY16 Operating Budget will include the necessary resources to cover adjusted base increases (i.e., contractual and fixed cost increases) plus selective high demand program requests to continue to move UA forward in achieving the intended effects of Shaping Alaska's Future.

# X. <u>First Review of FY16 Capital Budget Request and 10-Year Capital Improvement Plan</u> Addendum 3

#### POLICY CITATION

Regents' Policy 05.01.01.A. – Budget Policy states: "The budget of the university represents an annual operating plan stated in fiscal terms. All budgetary requests shall be adopted by the board prior to submittal to the Office of the Governor or the legislature."

#### RATIONALE/RECOMMENDATION

Associate Vice President Rizk and Chief Facilities Officer Duke will present the FY16 Proposed Capital Budget Request and 10-year Capital Improvement Plan.

The capital budget presents the top priority projects for FY16 and an objective look at the short-, mid-, and long-term capital investment goals of the university. The top priority projects call for state investment of approximately \$100.6 million. Requests include deferred maintenance and renewal and repurposing funding to complete the UAF Engineering Building, and traffic, parking, and security upgrades on the Anchorage Campus and Prince William Sound College, and for research projects directly related to the Alaskan economy that can be accomplished much more effectively within the UA system.

The goal of the Board of Regents' University of Alaska FY16-FY25 Capital Improvement Plan is to guide decision making that ensures the necessary facilities, equipment, and infrastructure are in place to support the academic direction of the university system as prescribed in the UA Academic Master Plan, and supports the continuous improvement philosophy found in Shaping Alaska's Future. The extended capital forecast also permits consideration of the associated future annual operating costs that may be incurred.

#### Friday, September 19, 2014

## VI. Public Testimony (cont'd)

[Scheduled for 9:00 a.m.]

Public testimony will be heard at approximately 9:00 a.m. Comments are limited to three minutes per individual. Written comments are accepted and will be distributed to the Board of Regents and President Gamble by the Board of Regents' Officer *following* the meeting. The chair will determine when public testimony is closed.

[Scheduled for 10:00 a.m.]

# XI. Approval of Revisions to Regents' Policy 04.02.012 - Equal Employment Opportunity Program Reference 1

The president recommends that:

### **MOTION**

"The Board of Regents approves revisions to Regents' Policy 04.02.012 - Equal Employment Opportunity Program. This motion is effective September 19, 2014."

#### RATIONALE AND RECOMMENDATION

Chief Human Resources Officer Seastedt will discuss and answer questions regarding the policy revisions.

# XII. Approval of Revisions to Regents' Policy 04.02.032-038 - Individuals with Disabilities Reference 2

The president recommends that:

## **MOTION**

"The Board of Regents approves revisions to Regents' Policy 04.02.032-038 - Individuals with Disabilities. This motion is effective September 19, 2014."

#### RATIONALE AND RECOMMENDATION

Chief Human Resources Officer Seastedt will discuss and answer questions regarding the policy revisions.

# XIII. Approval of Revisions to Regents' Policy 04.06.140 - Additional Leave and Holiday Benefits Provisions Reference 3

The president recommends that:

## **MOTION**

"The Board of Regents approves revisions to Regents' Policy 04.06.140 - Additional Leave and Holiday Benefits Provisions. This motion is effective September 19, 2014."

#### RATIONALE AND RECOMMENDATION

Chief Human Resources Officer Seastedt will discuss and answer questions regarding the policy revisions.

## XIV. Approval of Revisions to Regents' Policy 04.07.040 - Corrective Action

Reference 4

The president recommends that:

#### **MOTION**

"The Board of Regents approves revisions to Regents' Policy 04.07.040 - Corrective Action. This motion is effective September 19, 2014."

## RATIONALE AND RECOMMENDATION

Chief Human Resources Officer Seastedt will discuss and answer questions regarding the policy revisions.

## **XV.** Planning and Development Issues

[Scheduled for 10:30 a.m.]

## A. Discussion Regarding Board Governance

Regent Hughes will lead a discussion on board governance.

## B. <u>Development Report</u>

Addendum 4

Vice President Beam will update the board on development activities at the University of Alaska.

## C. UA Foundation Report

Vice President Beam, in her capacity as UA Foundation President, will update the board on projects and activities of the UA Foundation Board of Trustees.

[Scheduled for 11:00 a.m.]

## XVI. Approval of Tuition Rates for Academic Year 2016

Reference 5

The president recommends that:

#### **MOTION**

"The Board of Regents approves tuition rates for Academic Year 2016 as presented. This motion is effective September 19, 2014."

## POLICY CITATION

Regents' Policy 05.10.01 states: "Recognizing that state general fund support is not sufficient to pay the full cost of education and that students have a responsibility to contribute to the cost of their higher education, tuition and student fees will be established to the extent practicable in accordance with the following objectives: (1) to provide for essential support to the university's instructional programs; (2) to make higher education accessible to Alaskans who have the interest, dedication, and ability to learn; and (3) to maintain tuition and

Full Board Agenda: Page 8 of 21

Agenda **Meeting of the Full Board** September 18-19, 2014 Juneau, Alaska

student fees at levels which are competitive with similarly situated programs of other western states. Tuition revenues will be used primarily to maintain and expand the educational opportunities provided to students, to preserve and improve the quality of existing programs and support services, to respond to enrollment trends, and to implement new programs."

### RATIONALE/RECOMMENDATION

In the president's April 2014 tuition adjustment notice it was noted that after the legislative session ended, the chancellors and the president would meet to conclude a recommendation for AY2016 tuition rates. Those meetings occurred and resulted in the following recommendation.

In keeping with an attempt for the third year to limit tuition increases to the smallest number possible, but in light of the impact state budget allocations have had on the overall ability to maintain core programs and cover fixed costs, UA administration proposes a tuition increase of 4 percent for all undergraduate and graduate rates of tuition for AY2016. This increase will apply to both resident and non-resident students.

Reference 5 reflects the previously approved AY2015 tuition rates and the proposed increases for AY2016.

The Board of Regents reserves the right to revise tuition rates per Regents' Policy 05.10.060.E.

### XVII. Approval of Academic Degree Recipients

The president recommends that:

#### MOTION

"The Board of Regents approves the list of degree recipients for the summer and fall of 2013 and the spring of 2014. This motion is effective September 19, 2014."

#### POLICY CITATION

Regents' Policy 10.03.010.B. states: "The official lists of degree and certificate recipients will be established by the chancellors immediately after the official closing date of each term. The combined lists for the spring and preceding fall and summer terms will be transmitted by the president to the board after the spring session. This official list of degree and certificate recipients will be presented to the board for their approval at the next regularly scheduled meeting."

[Scheduled for 11:30 a.m.]

## XVIII. Presentation from the University of Alaska Southeast

Addendum 5

Faculty and staff at the University of Alaska Southeast will present "UAS is Shaping Alaska's Future."

- Provost Caulfield will present "Shaping Alaska's Future: Accountability for Student Success"
- Dean of Education and Graduate Studies Lo will present "Educational Leadership and Alaska's Learning Network"
- Ketchikan Campus Director Schulte will present "Partnering with Alaska's Industries: Maritime & Multi-skilled Worker Program"
- Alaska Coastal Rainforest Center Director Bidlack will present "Alaska Coastal Rainforest Center & Statewide Research Partnerships"

[Scheduled for 12:30 p.m.]

# XIX. Approval of a Revision to FY15 Natural Resources Fund Budget Regarding UA Press

The president recommends that:

#### MOTION

"The Board of Regents approves a revision to the FY15 Natural Resources Fund Budget to include \$60,000 for the University of Alaska Press and directs the president to fund an additional \$60,000 from internal sources for a total of \$120,000 for FY15. This motion is effective September 19, 2014."

#### POLICY CITATION

Regents' Policy 05.07.010 – Land-Grant Endowment – provides that the university president will present an annual budget to the board for approval.

#### RATIONALE/RECOMMENDATION

The FY15 Natural Resources Fund Budget was initially presented and approved at the June 5-6, 2014 board meeting. During the meeting, board members wanted to know how the UA Press would be funded for FY15. Subsequently, on July 1, 2014 Vice President Roy informed the board of a \$120,000 funding plan for the University of Alaska Press.

Full Board Agenda: Page 10 of 21

## XX. Approval of Revisions to the UA Scholars Program

Reference 6

The president recommends that:

## **MOTION**

"The Board of Regents approves three significant revisions to the UA Scholars Program, which will take effect in fall 2015 for all UA Scholars:

- 1) Increase the required minimum credit hours earned per academic year from 24 to 30 credit hours beginning in year two of the award;
- 2) Require incoming scholars to register for classes at UA for the fall semester immediately following high school graduation; and
- 3) Increase the amount of the award from \$11,000 to \$12,000 (\$1,500 per semester).

This motion is effective September 19, 2014."

#### **AUTHORITY**

Section 15 (G) of the UA Scholars Program Information Booklet states: "The University's Board of Regents reserves the right to terminate, discontinue, suspend, merge or consolidate this program with other initiatives. This program may be amended by the authority of the university's president at any time, except that any reduction in benefits awarded to recipients shall be approved by the Board of Regents."

#### RATIONALE AND RECOMMENDATION

The proposed revisions to the program were initially presented and discussed at the June 5-6, 2014 board meeting. During that meeting, board members raised several concerns or questions which lead to postponing consideration of the recommendation until the September 2014 meeting. Vice President Roy and Associate Vice President Lynch subsequently forwarded a memorandum with six attachments (Reference 6) to the board through President Gamble, which addressed the queries raised at the June 2014 meeting regarding the UA Scholars Program.

The recommendation for the revisions presented above was guided by four principal considerations:

- 1) Align the UA Scholars Program with the Alaska Performance Scholarship (APS) Program and the priorities of Shaping Alaska's Future, specifically the recruitment and retention of high achieving students;
- 2) Encourage students from all across the state to attend and graduate from the University of Alaska;
- 3) Increase the amount of the award as previously recommended by the Board of Regents and the public; and
- 4) Keep the cost of the UA Scholars Program within sustainable limits.

Full Board Agenda: Page 11 of 21

Agenda **Meeting of the Full Board** September 18-19, 2014 Juneau, Alaska

Increasing the required minimum credit hours earned per academic year from 24 to 30 credit hours beginning in year two of the award (and continuing in year three and four) addresses better alignment with the APS program and Shaping Alaska's Future (Theme 1: Student Achievement and Attainment). Students who do not take 30 credits or more annually simply will not graduate in four years.

Requiring incoming scholars to register for classes at UA for the fall semester immediately following high school graduation creates a significant financial incentive (\$12,000) to choose UA rather than attend outside or simply skip a year. Of the estimated 550 scholars that attend college outside of Alaska each year or take a year off, only about 50 return to UA. Students who defer attendance for the one year are considered less likely to return to school or graduate in six years.

Increasing the amount of the award from \$11,000 to \$12,000 (\$1,500 per semester) better aligns the program with suggestions by the board and others to increase the amount of the award, yet be financially reasonably and sustainable in the future.

The proposed revisions were reviewed by the chancellors and the president and recommended for approval. Although the revisions may not represent a direct "reduction of benefit," due to the potential adverse effects on certain individuals, UA administration has chosen to present the issue to the Board of Regents for formal approval.

#### **XXI.** Delta Mine Training Center Acquisition Discussion

Addendum 6

Associate Vice President Villa and Chief Facilities Officer Duke will lead a discussion and answer any questions the board may have regarding the acquisition.

#### XXII. Shaping Alaska's Future Metric Report

Associate Vice President Gruenig will provide an overview and an analysis of Shaping Alaska's Future measures and other key indicators. The discussion will address recent performance in context of historical trends and future outlook. A public, online dashboard tool is in development and may be viewed at: <a href="https://ua.idashboards.com/idashboards/?guestuser=guest&dashID=136&c=0">https://ua.idashboards.com/idashboards/?guestuser=guest&dashID=136&c=0</a>

#### **XXIII. Faculty Workload Metric Discussion**

Addendum 7

Vice President Thomas will lead a discussion and answer any questions the board may have regarding the processes for faculty workload assignment.

## XXIV. Consent Agenda

[Scheduled for 3:00 p.m.]

## **MOTION**

"The Board of Regents approves the consent agenda as presented. This motion is effective September 19, 2014."

- A. <u>Items from the special Academic and Student Affairs Committee meeting held on August 14, 2014</u>
  - 1. <u>Approval of Revisions to Regents' Policy 02.02.017 Chief Academic Officers</u> Reference 20

## **MOTION**

"The Board of Regents approves revisions to Regents' Policy 02.02.017 – Chief Academic Officers as presented. This motion is effective September 19, 2014."

2. <u>Approval of Revisions to Regents' Policy 04.04.022 – Application</u>
Reference 21

## **MOTION**

"The Board of Regents approves revisions to Regents' Policy 04.04.022 – Application as presented. This motion is effective September 19, 2014."

3. <u>Approval of Revisions to Regents' Policy 04.04.030 – Definitions</u>
Reference 22

#### **MOTION**

"The Board of Regents approves revisions to Regents' Policy 04.04.030 – Definitions as presented. This motion is effective September 19, 2014."

4. <u>Approval of Revisions to Regents' Policy 04.04.040 – Appointment Categories</u> Reference 23

#### **MOTION**

"The Board of Regents approves revisions to Regents' Policy 04.04.040 – Appointment Categories as presented. This motion is effective September 19, 2014."

5. <u>Approval of Revisions to Regents' Policy 04.04.056 – Evaluation of Faculty for Promotion</u> Reference 24

#### **MOTION**

"The Board of Regents approves revisions to Regents' Policy 04.04.056 – Evaluation of Faculty for Promotion as presented. This motion is effective September 19, 2014."

Full Board Agenda: Page 13 of 21

6. <u>Approval of Revisions to Regents' Policy 05.10.025 – Resident Tuition Assessment</u> Reference 25

#### **MOTION**

"The Board of Regents approves revisions to Regents' Policy 05.10.025 – Resident Tuition Assessment as presented. This motion is effective September 19, 2014."

7. <u>Approval of Revisions to Regents' Policy 09.02.080 – Final University Decision</u> Reference 26

## **MOTION**

"The Board of Regents approves revisions to Regents' Policy 09.02.080 – Final University Decision as presented. This motion is effective September 19, 2014."

8. <u>Approval of Revisions to Regents' Policy 09.11.010 –</u> Immunizations and Test for Communicable Diseases Reference 27

## **MOTION**

"The Board of Regents approves revisions to Regents' Policy 09.11.010 – Immunizations and Tests for Communicable Diseases as presented. This motion is effective September 19, 2014."

9. <u>Approval of the Deletion of Regents' Policy 09.12.010 – General Statement</u> Reference 28

## **MOTION**

"The Board of Regents approves the deletion of Regents' Policy 09.12.010 – General Statement as presented. This motion is effective September 19, 2014."

10. <u>Approval of Revisions to Regents' Policy 05.10.070 – Student</u> Fees Reference 28

#### **MOTION**

"The Board of Regents approves revisions to Regents' Policy 05.10.070 – Student Fees as presented. This motion is effective September 19, 2014."

11. <u>Approval of Revisions to Regents' Policy 10.09.010 – Establishment of the Sydney Chapman Chair in Physical Sciences at the University of Alaska Fairbanks</u> Reference 29

#### MOTION

"The Board of Regents approves revisions to Regents' Policy 10.09.010 – Establishment of the Sydney Chapman Chair in Physical Sciences at the University of Alaska Fairbanks as presented. This motion is effective September 19, 2014."

#### B. Academic and Student Affairs Committee

1. <u>Approval of an Undergraduate Certificate in Medical Assisting at the University of Alaska Southeast</u> Reference 7

#### **MOTION**

"The Board of Regents approves an Undergraduate Certificate in Medical Assisting at the University of Alaska Southeast. This motion is effective September 19, 2014."

2. <u>Approval of the Deletion of Early Childhood Programs at the University of Alaska Southeast</u> Reference 8

## **MOTION**

"The Board of Regents approves the deletion of Early Childhood Programs at the University of Alaska Southeast. This motion is effective September 19, 2014."

3. <u>Approval of a Post-Graduate Certificate in Speech-Language</u> Pathology at the University of Alaska Anchorage Reference 9

#### **MOTION**

"The Board of Regents approves a Post-Graduate Certificate in Speech-Language Pathology at the University of Alaska Anchorage. This motion is effective September 19, 2014."

4. <u>Approval of Revisions to Regents' Policy 04.04.047 – Termination of Faculty Appointment</u> Reference 10

#### MOTION

"The Board of Regents approves revisions to Regents' Policy 04.04.047 – Termination of Faculty Appointment as presented. This motion is effective September 19, 2014."

## C. Audit Committee

1. <u>Acceptance of the University of Alaska Foundation FY15</u>

<u>Operating Budget</u>

Reference 19

#### **MOTION**

"The Board of Regents accepts the University of Alaska Foundation Operating Budget for FY15 as presented and approved by the Foundation's Board of Trustees at its June 4, 2014 meeting. This motion is effective September 19, 2014."

## D. <u>Facilities and Land Management Committee</u>

1. <u>Project Change Request for the University of Alaska Anchorage</u> KPC Kenai River Campus Student Housing Complex

Reference 11

#### **MOTION**

"The Board of Regents approves the project change request for the University of Alaska Anchorage KPC Kenai River Campus Student Housing Complex as presented in compliance with the campus master plan, and authorizes the university administration to complete construction bid documents to bid and award contracts within the approved budget, and to proceed to completion of project construction with the pavilion, exterior improvements, site drainage improvements, exterior covered parking and storage not to exceed a total project cost of \$17,800,000. This motion is effective September 19, 2014."

 Project Change Request for the University of Alaska Anchorage KPC Kenai River Campus Career and Technology Education Center
 Reference 12

#### **MOTION**

"The Board of Regents approves the project change request for the University of Alaska Anchorage KPC Kenai River Campus Career and Technical Education Center as presented in compliance with the campus master plan, and authorizes the university administration to complete construction bid documents to bid and award contracts within the approved budget, and to proceed to completion of project construction with the R&R projects, instructional equipment purchases and building improvements not to exceed a total project cost of \$1,200,000. This motion is effective September 19, 2014."

Full Board Agenda: Page 16 of 21

3. <u>Project Change Request for the University of Alaska Fairbanks</u> <u>Irving I Repurposing for Veterinary Medicine</u> Reference 13

#### **MOTION**

"The Board of Regents approves the project change request in the amount of \$1,400,000 for the University of Alaska Fairbanks Irving 1 Repurposing for Veterinary Medicine as presented in compliance with the campus master plan, and authorizes the university administration to increase the project budget by \$1,400,000, not to exceed a total project cost of \$5,400,000. This motion is effective September 19, 2014."

4. <u>Schematic Design Approval for the University of Alaska Southeast</u>
<u>Juneau Campus Modification 2014-2016 Phase 1, Hendrickson Building Renovations</u>
Reference 14

#### **MOTION**

"The Board of Regents approves the schematic design approval request for the University of Alaska Southeast Juneau Campus Modifications 2014-16 Phase 1, Hendrickson Building Renovations as presented in compliance with the campus master plan, and authorizes the university administration to complete construction bid documents to bid and award a contract within the approved budget, and to proceed to completion of project construction not to exceed a total project cost of \$5,371,000. This motion is effective September 19, 2014."

5. <u>Approval of Revisions to Regents' Policy 05.11 – Real Property</u>
Reference 15

#### **MOTION**

"The Board of Regents approves the revisions to Regents' Policy 05.11 - Real Property as presented. This motion is effective September 19, 2014."

6. <u>Approval of the Bunnell Park Disposal Plan</u> Reference 16

#### **MOTION**

"The Board of Regents approves the Bunnell Park Disposal Plan and authorizes UA Land Management, with concurrence of the chief financial officer, to sell Lots 5A and 6A located in the Bunnell Park subdivision in Fairbanks in accordance with the disposal plan as presented. This motion is effective September 19, 2014."

Full Board Agenda: Page 17 of 21

7. Property Acquisition of Bragaw Street Vacant Lot located at 1750
S. Bragaw Street in Anchorage, Alaska
Reference 17

#### **MOTION**

"The Board of Regents approves the purchase of the Bragaw Street Vacant Lot located at 1750 S. Bragaw Street in Anchorage, Alaska in accordance with the property acquisition plan for an amount not to exceed \$1,600,000. This motion is effective September 19, 2014."

8. <u>Property Acquisition of a Four-Unit Condo Complex located at 2831 UAA Drive in Anchorage, Alaska</u> Reference 18

## **MOTION**

"The Board of Regents approves the purchase of a four-unit condo complex located at 2831 UAA Drive in Anchorage, Alaska in accordance with the property acquisition plan. This motion is effective September 19, 2014."

9. Restructure of Existing Alaska Housing Finance Corporation Loan for the Four-Unit Condo Complex Reference 18

#### MOTION

"The Board of Regents (1) authorizes the chief financial officer to arrange for and execute all documents necessary to restructure the existing debt with Alaska Housing Finance Corporation in an amount not to exceed \$1,600,000 to provide for the University of Alaska to purchase the four-unit condo complex located at 2831 UAA Drive in Anchorage, Alaska; and (2) authorizes the chief financial officer in case of need to utilize working capital to the extent deemed appropriate. This motion is contingent upon approval of the four-unit condo complex property acquisition and is effective September 19, 2014."

10. Restructure of Existing Alaska Housing Finance Corporation Loan for University of Alaska Anchorage Housing Deferred Maintenance Reference 18

#### **MOTION**

"The Board of Regents (1) authorizes the chief financial officer to arrange for and execute all documents necessary to restructure the existing debt with Alaska Housing Finance Corporation in an amount not to exceed \$5,400,000 to provide for critical deferred maintenance needs of existing UAA Student Housing; and (2) authorizes the chief financial officer to utilize working capital to the extent deemed appropriate. This motion is effective September 19, 2014."

Full Board Agenda: Page 18 of 21

Agenda **Meeting of the Full Board** September 18-19, 2014 Juneau, Alaska

## XXV. New Business and Committee Reports

- A. Academic and Student Affairs Committee
- B. Audit Committee
- C. Facilities and Land Management Committee

## **XXVI.** Executive Session

[Scheduled for 3:30 p.m.]

### **MOTION**

"The Board of Regents goes into executive session to discuss matters the immediate knowledge of which could affect the reputation or character of a person or persons related to honorary degrees, meritorious service awards and the naming of university facilities, matters that by law are required to be confidential related to legal advice regarding NCAA Athletics and a UAF CTC injection issue and matters that would have an adverse effect on the finance of the university related to oil negotiations and KABATA right-of-way. This motion is effective September 19, 2014."

(To be announced prior to commencing executive session:)

The Board of Regents goes into executive session at \_\_\_\_\_ p.m. Alaska Time in accordance with AS 44.62.310. The session will include members of the Board of Regents, President Gamble, General Counsel Hostina, and such other university staff members as the president may designate and will last approximately \_\_\_\_\_.

(To be announced at the conclusion of executive session:)

The Board of Regents concluded an executive session at \_\_\_\_\_ p.m. Alaska Time in accordance with AS 44.62.310 to discuss matters the immediate knowledge of which could affect the reputation or character of a person or persons related to honorary degrees, meritorious service awards and the naming of university facilities, matters that by law are required to be confidential related to legal advice regarding NCAA Athletics and a UAF CTC injection issue and matters that would have an adverse effect on the finance of the university related to oil negotiations and KABATA right-of-way. The session included members of the Board of Regents, President Gamble, General Counsel Hostina, and such other university staff members as the president may designate and

# XXVII. Approval of Honorary Degrees and Meritorious Service Awards for Fall 2014, Spring 2015 and Beyond

The president recommends that:

#### **MOTION #1**

lasted approximately \_

"The Board of Regents approves the list of nominees for honorary doctoral degrees as proposed for commencement exercises in the fall 2014, spring of 2015 and beyond, and authorizes Chancellors Case, Rogers and Pugh to invite the approved nominees and announce their acceptance. This motion is effective September 19, 2014."

## **MOTION #2**

"The Board of Regents approves the list of nominees for meritorious service awards as proposed. This motion is effective September 19, 2014."

#### POLICY CITATION

Regents' Policy 10.03.020 states: "Honorary degrees may be conferred upon approval of the Board of Regents."

Regents' Policy 10.03.030 states: "Meritorious service awards may be conferred upon approval of the Board of Regents."

## RATIONALE AND RECOMMENDATION

Recommendations submitted by the University of Alaska Anchorage, University of Alaska Fairbanks, and University of Alaska Southeast for recipients of honorary degrees and meritorious service awards were sent under separate cover for Board of Regents' review prior to the September 18-19, 2014 board meeting.

## XXVIII. Approval of Naming a Facility at the University of Alaska Anchorage Mat-Su College

#### **MOTION**

"The Board of Regents approves the naming of a facility at the University of Alaska Anchorage Mat-Su College:\_\_\_\_\_\_ as presented. This motion is effective September 19, 2014."

#### POLICY CITATION

In accordance with Regents' Policy 05.12.080, official naming of all "significant" buildings, building subcomponents such as wings, additions, auditoriums, and libraries, streets, parks, recreational areas, plazas and similar facilities or sites will be approved by the board.

#### RATIONALE/RECOMMENDATION

The Board of Regents is asked to approve the naming of a facility at the University of Alaska Anchorage Mat-Su College. The proposed name was sent to the Board of Regents under separate cover for discussion during executive session.

## XXIX. Approval of Naming a Facility at the University of Alaska Fairbanks

## **MOTION**

"The Board of Regents approves the naming of a facility at the University of Alaska Fairbanks and authorizes Chancellor Rogers to announce the naming at a time of his choosing. This motion is effective September 19, 2014."

Agenda Meeting of the Full Board September 18-19, 2014 Juneau, Alaska

## POLICY CITATION

In accordance with Regents' Policy 05.12.080, official naming of all "significant" buildings, building subcomponents such as wings, additions, auditoriums, and libraries, streets, parks, recreational areas, plazas and similar facilities or sites will be approved by the board.

## RATIONALE/RECOMMENDATION

The Board of Regents is asked to approve the naming of a facility at the University of Alaska Fairbanks. The proposed name was sent to the Board of Regents under separate cover for discussion during executive session.

## XXX. Future Agenda Items

XXXI. Board of Regents' Comments

XXXII. Adjourn

Full Board Agenda: Page 21 of 21

## Agenda

## **Board of Regents**

## **Academic and Student Affairs Committee**

Thursday, September 18, 2014; \*2:00 p.m. – 5:00 p.m. UAS Student Recreation Center, Room 116 University of Alaska Southeast Juneau, Alaska

## **Committee Members:**

Michael Powers, Committee Chair Gloria O'Neill, Committee Vice Chair Courtney Enright Kenneth J. Fisher Kirk Wickersham Patricia Jacobson, Board Chair

David Valentine, Faculty Alliance Chair ex officio

## I. Call to Order

## II. Adoption of Agenda

#### **MOTION**

"The Academic and Student Affairs Committee adopts the agenda as presented.

- I. Call to Order
- II. Adoption of Agenda
- III. Full Board Consent Agenda
  - A. Approval of an Undergraduate Certificate in Medical Assisting at the University of Alaska Southeast
  - B. Approval of the Deletion of Early Childhood Programs at the University of Alaska Southeast
  - C. Approval of a Post-Graduate Certificate in Speech-Language Pathology at the University of Alaska Anchorage
  - D. Approval of Revisions to Regents' Policy 04.04.047 Termination of Faculty Appointment

## IV. Ongoing Issues

- A. Developmental Education Discussion
- B. Program Review and Accreditation Report
- C. Committee Structures Illustrating Approval Processes for Academic Approval at the University of Alaska
- D. Credit Transfer Summary Report
- E. Revisions to Regents' Policy 09.11.020 Health and Counseling Services and Fees
- V. New Business
  - A. November Policy Review Meeting

<sup>\*</sup>Times for meetings are subject to modifications within the September 18-19, 2014 time frame.

#### **Academic and Student Affairs Committee**

September 18, 2014 Juneau, Alaska

## VI. Future Agenda Items

- A. Report on SB241
- B. Report on eLearning
- C. Report on Programs for Students in Duress
- D. Discussion on Future Direction of Academic and Student Affairs Committee and Transition to New Vice President for Academic Affairs and Research

## VII. Adjourn

This motion is effective September 18, 2014."

## III. Full Board Consent Agenda

A. Approval of an Undergraduate Certificate in Medical Assisting at the University of Alaska Southeast Reference 7

The president recommends that:

## **MOTION**

"The Academic and Student Affairs Committee recommends that the Board of Regents approve an Undergraduate Certificate in Medical Assisting at the University of Alaska Southeast. This motion is effective September 18, 2014."

## POLICY CITATION

In accordance with Regents' Policy 10.04.020, Degree and Certificate Program Approval, all program additions, deletions, major revisions, or the offering of existing programs outside the State of Alaska, requires approval by the board.

#### RATIONALE/RECOMMENDATION

Reference 7 contains the rationale and program request for the approval of an undergraduate certificate in Medical Assisting. Provost Caulfield will provide background information to members of the committee.

B. <u>Approval of the Deletion of Early Childhood Programs at the University of Alaska Southeast</u>

Reference 8

The president recommends that:

## **MOTION**

"The Academic and Student Affairs Committee recommends that the Board of Regents approve the deletion of Early Childhood Programs at the University of Alaska Southeast. This motion is effective September 18, 2014."

#### POLICY CITATION

In accordance with Regents' Policy 10.04.020, Degree and Certificate Program Approval, all program additions, deletions, major revisions, or the offering of existing programs outside the State of Alaska, requires approval by the board.

#### RATIONAL/RECOMMENDATION

Reference 8 contains the rationale and program request for the deletion of Early Childhood Programs. Provost Caulfield will provide background information to members of the committee.

C. <u>Approval of a Post-Graduate Certificate in Speech-Language Pathology at</u>
<u>the University of Alaska Anchorage</u> Reference 9

The president recommends that:

#### **MOTION**

"The Academic and Student Affairs Committee recommends that the Board of Regents approve a Post-Graduate Certificate in Speech-Language Pathology at the University of Alaska Anchorage. This motion is effective September 18, 2014."

#### POLICY CITATION

In accordance with Regents' Policy 10.04.020, Degree and Certificate Program Approval, all program additions, deletions, major revisions, or the offering of existing programs outside the State of Alaska, requires approval by the board.

#### RATIONAL/RECOMMENDATION

Reference 9 contains the rational and program request for the approval of a post-graduate certificate in Speech-Language Pathology. Provost Baker will provide background information to members of the committee.

D. <u>Approval of Revisions to Regents' Policy 04.04.047 – Termination of Faculty Appointment</u>

Reference 10

#### **MOTION**

"The Academic and Student Affairs Committee recommends that the Board of Regents approve revisions to Regents' Policy 04.04.047 – Termination of Faculty Appointment as presented. This motion is effective September 18, 2014."

#### RATIONALE/RECOMMENDATION

The proposed edits to Regents' Policies 04.04.047 F., G. and H. clarify these policies so that they are consistent with policy and contract terms as a whole. The change to I. is responsive to federal laws.

## IV. Ongoing Issues

## A. <u>Developmental Education Discussion</u>

Addendum 8

Vice President Thomas will lead a discussion on potential contracting out of developmental education at UA.

## B. Program Review and Accreditation Report

Addendum 9

The provosts will provide a report on program review and accreditation, including a list of programs at capacity.

# C. <u>Committee Structures Illustrating Approval Processes for Academic Approval at the University of Alaska</u> Addendum 10

Vice President Thomas will provide a review of approval processes for the following:

- 1. Academic program review
- 2. Academic program approval and deletion
- 3. Promotion and tenure

## D. <u>Credit Transfer Summary Report</u>

Addendum 11

UA Associate Vice President Oba, UA Associate Vice President Gruenig, UAA Provost Baker, UAF Provost Henrichs, and UAS Provost Caulfield will provide a report on the credit transfer summary.

# E. Revisions to Regents' Policy 09.11.020 – Health and Counseling Services and Fees

This policy was discussed during the special Academic and Student Affairs Committee meeting held on August 14, 2014. The committee asked for additional revisions and clarification; therefore, deferring approval. Vice President Thomas will provide an update regarding the status of revisions for this policy.

## V. New Business

## A. November Policy Review Meeting

Vice President Thomas will lead a discussion regarding a special Academic and Student Affairs Committee meeting in November to address policy revisions. The special meeting could be held in conjunction with the one day November 5, 2014 board meeting, in the afternoon 3p.m to 5p.m., or on another date in November via video conference.

Agenda **Academic and Student Affairs Committee** September 18, 2014 Juneau, Alaska

## VI. Future Agenda Items

The following agenda items are scheduled to be addressed during the December Academic and Student Affairs Committee meeting; committee members may request additions or revisions:

- A. Report on SB241 Alaska Teachers for Alaska's Schools
- B. Report on eLearning
- C. Report on Programs for Students in Duress
- D. Discussion on Future Direction of Academic and Student Affairs Committee and Transition to New Vice President for Academic Affairs and Research

## VII. Adjourn

## Agenda

## **Board of Regents**

## **Facilities and Land Management Committee**

Thursday, September 18, 2014 \*2:00 p.m. – 5:00 p.m. UAS Student Recreation Center, Room 115 University of Alaska Southeast Juneau, Alaska

## **Committee Members:**

Fuller A. Cowell, Committee Chair Mary K. Hughes, Committee Vice Chair Dale Anderson Timothy Brady Jyotsna Heckman Patricia Jacobson, Board Chair

## I. <u>Call to Order</u>

## II. Adoption of Agenda

#### **MOTION**

"The Facilities and Land Management Committee adopts the agenda as presented.

- I. Call to Order
- II. Adoption of Agenda
- III. Full Board Consent Agenda
  - A. Project Change Request for the University of Alaska Anchorage KPC Kenai River Campus Student Housing Complex
  - B. Project Change Request for the University of Alaska Anchorage KPC Kenai River Campus Career and Technology Education Center
  - C. Project Change Request for the University of Alaska Fairbanks Irving I Repurpose for Veterinary Medicine
  - D. Schematic Design Approval for the University of Alaska Southeast Juneau Campus Modification 2014-2016 Phase 1, Hendrickson Building Renovations
  - E. Approval of Revisions to Regents' Policy 05.11 Real Property
  - F. Approval of the Bunnell Park Disposal Plan
  - G. Property Acquisition of Bragaw Street Vacant Lot located at 1750 S. Bragaw Street in Anchorage, Alaska
  - H. Property Acquisition of Four-Unit Condo Complex located at 2831 UAA Drive in Anchorage, Alaska
  - I. Restructure of Existing Alaska Housing Finance Corporation Loan for the Four-Unit Condo Complex
  - J. Restructure of Existing Alaska Housing Finance Corporation Loan for University of Alaska Anchorage Housing Deferred Maintenance

<sup>\*</sup>Times for meetings are subject to modifications within the September 18-19, 2014 time frame.

#### IV. New Business

- A. Review of Revisions to Regents' Policy 05.12 Capital Planning and Facilities Management
- B. University of Alaska Timber Resources Statement of Intent

## V. Ongoing Issues

- A. IT Report
- B. UAA Alaska Airlines Center Project Information Item
- C. UAA Engineering and Industry Building Project Information Item
- D. UAA ConocoPhillips Integrated Science Building Re-commissioning Information Item
- E. UAF Engineering Facility Information Item
- F. UAF P3 Student Dining Development Information Item
- **G.** Deferred Maintenance Spending Report
- H. Construction in Progress Reports
- VI. Future Agenda Items
- VII. Adjourn

This motion is effective September 18, 2014."

## III. Full Board Consent Agenda

A. <u>Project Change Request for the University of Alaska Anchorage KPC Kenai River Campus Student Housing Complex</u>

Reference 11

The president recommends that:

#### **MOTION**

"The Facilities and Land Management Committee recommends that the Board of Regents approve the project change request for the University of Alaska Anchorage KPC Kenai River Campus Student Housing Complex as presented in compliance with the campus master plan, and authorizes the university administration to complete construction bid documents to bid and award contracts within the approved budget, and to proceed to completion of project construction with the pavilion, exterior improvements, site drainage improvements, exterior covered parking and storage not to exceed a total project cost of \$17,800,000. This motion is effective September 18, 2014.

#### POLICY CITATION

In accordance with Regents' Policy 05.12.047, a project change request is required when there are changes in the source of funds, increases or decreases in budget, savings to the construction budget, or material changes in program or project scope identified subsequent to schematic design approval.

Changes > than \$1.0 million will require approval by the board based on recommendations from the Facilities and Land Management Committee.

#### RATIONALE AND RECOMMENDATION

Reference 11 contains the complete project change request. Chris Turletes, associate vice chancellor of facilities and campus services, will review the request with members of the committee.

B. <u>Project Change Request for the University of Alaska Anchorage KPC Kenai</u> River Campus Career and Technology Education Center Reference 12

The president recommends that:

#### **MOTION**

"The Facilities and Land Management Committee recommends that the Board of Regents approve the project change request for the University of Alaska Anchorage KPC Kenai River Campus Career and Technical Education Center as presented in compliance with the campus master plan, and authorizes the university administration to complete construction bid documents to bid and award contracts within the approved budget, and to proceed to completion of project construction with the R&R projects, instructional equipment purchases and building improvements not to exceed a total project cost of \$1,200,000. This motion is effective September 18, 2014."

#### POLICY CITATION

In accordance with Regents' Policy 05.12.047, a project change request is required when there are changes in the source of funds, increases or decreases in budget, savings to the construction budget, or material changes in program or project scope identified subsequent to schematic design approval.

Changes > than \$1.0 million will require approval by the board based on recommendations from the Facilities and Land Management Committee.

## RATIONALE AND RECOMMENDATION

Reference 12 contains the complete project change request. Chris Turletes, associate vice chancellor of facilities and campus services, will review the request with members of the committee.

C. <u>Project Change Request for the University of Alaska Fairbanks Irving I</u> Repurposing for Veterinary Medicine Reference 13

The president recommends that:

### **MOTION**

"The Facilities and Land Management Committee recommends that the Board of Regents approve the project change request in the amount of \$1,400,000 for the University of Alaska Fairbanks Irving 1 Repurposing for Veterinary Medicine as presented in compliance with the campus master plan, and authorizes the university administration to increase the project budget by \$1,400,000, not to exceed a total project cost of \$5,400,000. This motion is effective September 18, 2014."

#### POLICY CITATION

In accordance with Regents' Policy 05.12.047, a project change request is required when there are changes in the source of funds, increases or decreases in budget, savings to the construction budget, or material changes in program or project scope identified subsequent to schematic design approval.

Changes > than \$1.0 million will require approval by the board based on recommendations from the Facilities and Land Management Committee.

#### RATIONALE AND RECOMMENDATION

Reference 13 contains the complete project change request. Scott Bell, associate vice chancellor for facilities services, will review the request with members of the committee.

D. <u>Schematic Design Approval for the University of Alaska Southeast Juneau Campus Modification 2014-2016 Phase 1, Hendrickson Building Renovations</u>

Reference 14

The president recommends that:

## **MOTION**

"The Facilities and Land Management Committee recommends that the Board of Regents approve the schematic design approval request for the University of Alaska Southeast Juneau Campus Modifications 2014-16 Phase 1, Hendrickson Building Renovations as presented in compliance with the campus master plan, and authorizes the university administration to complete construction bid documents to bid and award a contract within the approved budget, and to proceed to completion of project construction not to exceed a total project cost of \$5,371,000. This motion is effective September 18, 2014."

#### POLICY CITATION

In accordance with Regents' Policy 05.12.043, schematic design approval represents approval of the location of the facility, its relationship to other facilities, the functional relationship of interior areas, the basic design including construction materials, mechanical, electrical, technology infrastructure, and telecommunications systems, and any other changes to the project since formal project approval.

## TPC > \$4 million will require approval by the board based on recommendations from the Facilities and Land Management Committee.

#### RATIONALE AND RECOMMENDATION

Reference 14 contains the complete schematic design approval request. Keith Gerken, director for facilities services, will review the request with members of the committee.

## E. Approval of Revisions to Regents' Policy 05.11 – Real Property

References 15

The president recommends that:

#### **MOTION**

"The Facilities and Land Management Committee recommends that the Board of Regents approve the revisions to Regents' Policy 05.11 - Real Property as presented. This motion is effective September 18, 2014."

#### RATIONALE AND RECOMMENDATION

In 2011, President Gamble requested a formal policy review and any recommendations for modification. Kit Duke, associate vice president of facilities and land management, Jim Lynch, associate vice president for treasury and procurement services, and Mike Hostina, general counsel, discussed and drafted proposed policy revisions to Chapter 05.11 - Real Property, in order to address state statute changes and improve clarity.

At the August 12, 2014 special Facilities and Land Management Committee meeting, Kit Duke, associate vice president of facilities and land management, presented recommended changes to the committee to review and recommend revisions to Chapter 05.11. Based on the recommendations of the regents present and comments submitted prior to the meeting, changes were made to the proposed policy language for Chapter 05.11.

Reference 15 contains the proposed policy revisions and guidelines. Jim Lynch, associate vice president for treasury and procurement services, and Kit Duke, associate vice president of facilities and land management, will review the request with members of the committee.

## F. Approval of the Bunnell Park Disposal Plan

Reference 16

The president recommends that:

#### **MOTION**

"The Facilities and Land Management Committee recommends that the Board of Regents approve the Bunnell Park Disposal Plan and authorizes UA Land Management, with concurrence of the chief financial officer, to sell Lots 5A and 6A located in the Bunnell Park subdivision in Fairbanks in accordance with the disposal plan as presented. This motion is effective September 18, 2014."

#### POLICY CITATION

P05.11.060. Negotiation, Approval, and Execution of University Real Property Transactions.

All university real property transactions and agreements are subject to the following:

- B. The board shall approve:
  - 4. real property transactions that have not been approved as part of a development plan and are expected to result in receipts or disbursements of \$1,000,000 or more in value; and

## RATIONALE AND RECOMMENDATION

The University of Alaska, Facilities and Land Management Office (FLM) received a written offer from The Corporation of the Presiding Bishop of The Church of Jesus Christ of Latter-day Saints (LDS) to purchase approximately 7.59 acres of land referenced as Lots 5A & 6A (aerial photo in Reference 16) of the Bunnell Park (BP) subdivision located at the SE corner of Geist Road and to-bebuilt Dennison Court for \$1,400,000. The property was recently appraised for \$1,410,000.

It should be noted that the sale of 7.59 acres contained in Lots 5A & 6A, leaves approximately 21 contiguous acres on Lots 3 & 4 available for future development or use by the university as well as 1.2 acres on a non-contiguous site (Lot 3A).

Beyond the sales price, the disposal of the BP parcels will provide other benefits that will increase the value and utility of the remaining 21 acres. BP has a high economic "barrier to entry" (development) as the up-front development costs (installation of Dennison Court and a signaled intersection) necessary to develop the "1<sup>st</sup> Acre" is estimated to exceed \$365,000. These up-front development costs will be incurred by LDS as they will need to construct at least two-thirds of

Dennison Court. Per a discussion with the Department of Transportation, LDS will be required to provide a signaled intersection at Geist and Dennison (est. cost: \$250,000 - \$400,000) in order to access Lots 5A & 6A. These improvements will increase the future value and development potential of the university's remaining 21 acres.

Facilities and Land Management recommends selling the property for \$1,400,000 to the LDS. The university's return on investment (ROI) when considering a sale price of \$1,400,000 plus the estimated infrastructure improvements that benefit the university's remaining Bunnell Park parcels results in a ROI of approximately 7.9 percent.

Ashok Roy, vice president for finance and administration and Kit Duke, associate vice president for facilities and land management, will answer any questions regarding the disposal.

G. Property Acquisition of Bragaw Street Vacant Lot located at 1750 S. Bragaw Street in Anchorage, Alaska Reference 17

The president recommends that:

#### **MOTION**

"The Facilities and Land Management Committee recommends that the Board of Regents approve the purchase of the Bragaw Street Vacant Lot located at 1750 S. Bragaw Street in Anchorage, Alaska in accordance with the property acquisition plan for an amount not to exceed \$1,600,000. This motion is effective September 18, 2014."

#### POLICY CITATION

In accordance with Regents' Policy 05.11.050, Real Property Acquisitions –

C. Other Real Property Acquisitions.

The chief finance officer shall consider acquisitions or exchanges of property adjacent to existing university real property, when such property consolidates university real property holdings or enhances the access or development potential of other university real property. When economically feasible, and in the university's best interests, the chief finance officer may acquire or invest in real property that will enhance the university real property portfolio.

#### RATIONALE AND RECOMMENDATION

Statewide Facilities and Land Management (FLM) recommends the university purchase approximately 2 acres (1750 S. Bragaw) located at 1750 S. Bragaw. This property is located diagonally across the street from university's 1815

Bragaw building. As shown in Reference 17, this parcel has both practical and strategic value. The extension of Bragaw Street (summer 2015/2016) through UAA campus to connect with Elmore Road creates a new "Northern Gateway" to UAA. Strategically, the parcel's location along this important corridor provides the university with the ability to influence future development patterns.

The property was valued at \$1,550,000 in August 2013. The seller has agreed to sell the property to the university for \$1,500,000. As a pure investment opportunity, the land should appreciate significantly over the next to 5 to 10 years as traffic counts increase, due to the adjustment in traffic patterns from the extension of Bragaw Street to Elmore Road. The parcel could also be developed into any number of uses, including but not limited to: office, retail, housing, educational, hospitality (restaurant), parking lot or as a multi-use complex.

The property will be held as an investment property of the Land Grant Endowment Trust Fund Inflation-Proofing Fund.

Ashok Roy, vice president for finance and administration and Kit Duke, associate vice president for facilities and land management, will answer any questions regarding the acquisition.

H. Property Acquisition of a Four-Unit Condo Complex located at 2831 UAA Drive in Anchorage, Alaska Reference 18

The president recommends that:

#### MOTION

"The Facilities and Land Management Committee recommends that the Board of Regents approve the purchase of a four-unit condo complex located at 2831 UAA Drive in Anchorage, Alaska in accordance with the property acquisition plan. This motion is effective September 18, 2014."

#### POLICY CITATION

In accordance with Regents' Policy 05.11.050, Real Property Acquisitions –

#### A. Campus Land Acquisitions.

In order to provide an adequate land base to support current and future campus programs, the chief finance officer shall pursue strategic land acquisitions that meet the goals of the university's educational mission. To facilitate such real property acquisitions, the chief finance officer shall consider relevant campus land acquisition plans, as approved by the board as part of campus master plans.

#### RATIONALE AND RECOMMENDATION

A high-end condominium complex containing four units (condos) located on property adjacent to the UAA's main Anchorage campus has become available for purchase. These condos could be used to house faculty members, administrators, graduate students, visiting scholars, or researchers. The condos acquisition price would be \$1,600,000 which is approximately \$28,000 below their combined appraised value.

The purchase of these units provides UAA Housing with additional units to meet the unmet demand for graduate, faculty and scholar housing; additional revenue; and would allow UAA to positively impact one of its gateway entrances, by maintaining the upkeep of the condos in the coming years and not allow them to slip into disrepair.

I. Restructure of Existing Alaska Housing Finance Corporation Loan for the Four-Unit Condo Complex Reference 18

The president recommends that:

## **MOTION**

"The Facilities and Land Management Committee recommends that the Board of Regents (1) authorize the chief financial officer to arrange for and execute all documents necessary to restructure the existing debt with Alaska Housing Finance Corporation in an amount not to exceed \$1,600,000 to provide for the University of Alaska to purchase the four-unit condo complex located at 2831 UAA Drive in Anchorage, Alaska; and (2) authorize the chief financial officer in case of need to utilize working capital to the extent deemed appropriate. This motion is contingent upon approval of the four-unit condo complex property acquisition and is effective September 18, 2014."

#### POLICY CITATION

In accordance with Regents' Policy 05.04.020, Facilities and Real Property Improvements –

- A. All facilities and other real property debt issuances must be approved by the board. The reallocation of more than \$250,000 in general revenue bond proceeds between components of a general revenue bond "project" shall be approved by the board. Lesser amounts may be approved by the chief finance officer or the officer's designee.
- C. Refunding or refinancing debt must be approved by the board and shall be issued only if it results in a net present value savings, eliminates restrictive covenants or provides other benefits that can be clearly demonstrated.

#### RATIONALE AND RECOMMENDATION

A high-end condominium complex containing four units (condos) located on property adjacent to the UAA's main Anchorage campus has become available for purchase. These condos could be used to house faculty members, administrators, graduate students, visiting scholars, or researchers. The condos acquisition price would be \$1,600,000, which is approximately \$28,000 below their combined appraised value.

The authorization for additional debt in the amount of \$1,600,000 is intended to be financed by restructuring the existing Alaska Housing Finance Corporation loan.

The purchase of these units provides UAA Housing with additional units to meet the unmet demand for graduate, faculty and scholar housing; additional revenue; and would allow UAA to positively impact one of its gateway entrances, by maintaining the upkeep of the condos in the coming years and not allow them to slip into disrepair.

J. Restructure of Existing Alaska Housing Finance Corporation Loan for University of Alaska Anchorage Housing Deferred Maintenance Reference 18

The president recommends that:

#### **MOTION**

"The Facilities and Land Management Committee recommends that the Board of Regents (1) authorize the chief financial officer to arrange for and execute all documents necessary to restructure the existing debt with Alaska Housing Finance Corporation in an amount not to exceed \$5,400,000 to provide for critical deferred maintenance needs of existing UAA Student Housing; and (2) authorize the chief financial officer to utilize working capital to the extent deemed appropriate. This motion is effective September 18, 2014."

#### POLICY CITATION

In accordance with Regents' Policy 05.04.020, Facilities and Real Property Improvements –

A. All facilities and other real property debt issuances must be approved by the board. The reallocation of more than \$250,000 in general revenue bond proceeds between components of a general revenue bond "project" shall be approved by the board. Lesser amounts may be approved by the chief finance officer or the officer's designee.

C. Refunding or refinancing debt must be approved by the board and shall be issued only if it results in a net present value savings, eliminates restrictive covenants or provides other benefits that can be clearly demonstrated.

#### RATIONALE AND RECOMMENDATION

The university has an existing housing loan with the Alaska Housing and Finance Corporation (AHFC). This loan was originated in the late 1990's for the construction of housing on UAA's campus. An opportunity exists for the university to restructure its current AHFC debt, whereby UAA would receive additional loan proceeds to finance the acquisition of the four high-end condo units, address long-standing deferred maintenance issues, improve operations and protect existing housing assets.

The crucial element to this financing option is AHFC's inclination to leave the current annual debt service payment unchanged, even though UAA will borrow additional funds. AHFC has proposed a loan restructure that would preserve the current annual debt service (\$1,500,000), but would increase the loan amount by approximately \$7,000,000 (\$1,600,000 – condos & 5,400,000 – refurbishment), lengthen the amortization period of the loan and adjust the interest rate on the existing loan to a "blended" rate. The blended interest rate would maintain the existing low (1.862 percent) interest rate on the remaining \$13,000,000 of original principal and for the \$7,000,000 in "new" money AHFC would price the interest rate at the current market as of the closing date.

For all intents and purposes, this restructure would provide UAA with up to \$7,000,000 without increasing debt service payments, making this additional borrowing a budget neutral option. An injection of additional money to UAA Housing would breathe new life into the residence halls, lower operating expenses, increase revenue and grow UAA Housing's capital reinvestment reserves as noted in Reference 18.

Preliminary projections indicate that UAA Housing, an auxiliary business unit, could see a bottom line improvement of up to \$900,000 per year, thus tripling UAA Housing's annual uncommitted revenue.

The authorization for additional debt in the amount of \$5,400,000 is intended to be financed by restructuring the existing AHFC loan.

This acquisition is consistent with the board approved UAA Campus Master Plan.

Ashok Roy, vice president for finance and administration and Kit Duke, associate vice president for facilities and land management, will answer any questions regarding the acquisition as presented in the reference materials.

### **IV.** New Business

# A. Review of Revisions to Regents' Policy 05.12 – Capital Planning and Facilities Management Addendum 12

In order to address state statute changes, improve clarity, and allow for consistency throughout the entire chapter, revisions to the Chapter 05.12 - Capital Planning and Facilities Management were presented and reviewed by the Facilities and Land Management Committee (FLMC) at a special committee work session on August 12, 2014. Based on recommendations by the FLMC, the proposed revisions are being presented for final review and comment by the FLMC with the goal of presenting to Board of Regents for approval at the December 2014 meeting.

#### RATIONALE AND RECOMMENDATION

In 2011, President Gamble requested a formal policy review and any recommendations for modification. Kit Duke, associate vice president of facilities and land management, in conjunction with Jim Lynch, associate vice president for treasury and procurement services, Scott Bell, UAF associate vice chancellor of facilities services, Chris Turletes, UAA associate vice chancellor for facilities and campus services, Keith Gerken, UAS director of facilities services and Mike Hostina, general counsel, discussed and drafted proposed policy revisions to Chapter 05.12, in order to provide clarity of terms and intent, and align the policy with current practices and direction from the board.

Kit Duke, associate vice president of facilities and land management, presented two options to the committee to review and recommend revisions to Chapter 05.12 in order to accomplish the president's request. The committee elected to hold a special meeting on August 12, 2014 to review and make recommendations to modify Chapter 05.12. Based on the recommendations of the regents present and comments submitted prior to the meeting, changes were made to the proposed policy language presented in the reference material.

Addendum 12 contain the proposed policy revisions and guidelines. Jim Lynch, associate vice president for treasury and procurement services and Kit Duke, associate vice president of facilities and land management, will review the request with members of the committee.

# B. <u>University of Alaska Timber Resources Statement of Intent</u> Addendum 13

Kit Duke, associate vice president for facilities and land management, will answer any questions regarding the statement of intent agreement between the State of Alaska Department of Natural Resources, Division of Mining, Land and Water, Division of Forestry, the Alaska Mental Health Trust Land Office and the University of Alaska.

### V. Ongoing Issues

## A. <u>IT Report</u>

Addendums 14, 15 & 16

Karl Kowalski, chief information technology office, will update the committee on security issues, state and federal broadband issues and the Administrative IT Summit. This is an information and discussion item; no action is required.

### B. UAA Alaska Airlines Center Project Information Item

Addendum 17

Chris Turletes, associate vice chancellor of facilities and campus services, will answer any questions about the UAA Alaska Airlines Center project. This is an information and discussion item; no action is required.

## C. UAA Engineering and Industry Building Project Information Item Addendum 18

Chris Turletes, associate vice chancellor of facilities and campus services, will answer any questions about the UAA Engineering and Industry Building project. This is an information and discussion item; no action is required.

# D. <u>UAA ConocoPhillips Integrated Science Building Re-commissioning Information</u> Item Addendum 19

Chris Turletes, associate vice chancellor of facilities and campus services, will answer any questions about the CPISB Re-commissioning. This is an information and discussion item; no action is required.

# E. UAF Engineering Facility Information Item

Addendum 20

Scott Bell, associate vice chancellor of facilities services, will answer any questions about the UAF Engineering Facility project. This is an information and discussion item; no action is required.

#### F. UAF P3 Student Dining Development Information Item

Scott Bell, associate vice chancellor of facilities services, will answer any questions about the UAF P3 Student Dining Development project. This is an information and discussion item; no action is required.

#### G. Deferred Maintenance Spending Report

Addendum 21

Kit Duke, associate vice president of facilities and land management, will answer any questions about the Deferred Maintenance Spending report for the deferred maintenance and renewal appropriations for FY07-FY14. This is an information and discussion item; no action is required.

# H. <u>Construction in Progress Reports</u>

Addendum 22

Kit Duke, associate vice president of facilities and land management, and campus facilities representatives will answer questions regarding the Construction in Progress reports on active construction projects approved by the Board of Regents. This is an information and discussion item; no action is required.

# VI. Future Agenda Items

# VII. Adjourn

# Agenda

# **Board of Regents Audit Committee**

Friday, September 19, 2014; \*8:00 a.m. – 9:00 a.m. UAS Recreation Center, Room 116
University of Alaska Southeast
Juneau, Alaska

# **Committee Members:**

Gloria O'Neill, Committee Chair Timothy Brady Michael Powers Patricia Jacobson, Board Chair

## I. Call to Order

# II. Adoption of Agenda

#### **MOTION**

"The Audit Committee adopts the agenda as presented.

- I. Call to Order
- II. Adoption of Agenda
- **III.** External Auditor Comments
- **IV.** Executive Session
- V. Full Board Consent Agenda
  - A. Acceptance of the University of Alaska Foundation FY15 Operating Budget
- VI. New Business
  - A. Common Issues & Risks for Audit Committee Focus
- VII. Annual Reports
  - A. 2014 UA Identity Theft Prevention Program Report
  - B. 2014 UA Statewide Office of Audit and Consulting Services Annual Report
- VIII. Future Agenda Items
- IX. Adjourn

This motion is effective September 19, 2014."

## **III.** External Auditor Comments

Tammy Erickson and Pam Cleaver, engagement partners from Moss Adams, will discuss the status of the annual financial audit and the federal single audit with the committee.

<sup>\*</sup>Times for meetings are subject to modifications within the September 18-19, 2014 time frame.

### IV. Executive Session

# **MOTION**

"The Audit Committee of the Board of Regents goes into executive session to discuss matters the immediate knowledge of which would have an adverse effect on the finances of the university related to fraud. This motion is effective September 19, 2014."

(To be announced prior to commencing executive session:)

The Audit Committee of the Board of Regents goes into executive session at \_\_\_\_\_\_ a.m. Alaska Time in accordance with AS 44.62.310. The session will include members of the Board of Regents, Chief Audit Executive Pittman, General Counsel Hostina, and other university staff designated by the audit chair and will last approximately \_\_\_\_\_\_.

(To be announced at the conclusion of executive session:)

The Audit Committee of the Board of Regents concluded an executive session at \_\_\_\_\_ a.m. Alaska Time in accordance with AS 44.62.310 to discuss matters the immediate knowledge of which would have an adverse effect on the finances of the university related to fraud. The session included members of the Board of Regents, Chief Audit Executive Pittman, General Counsel Hostina, and other university staff designated by the audit chair and lasted approximately \_\_\_\_\_\_.

# V. Full Board Consent Agenda

A. Acceptance of the University of Alaska Foundation FY15 Operating

Budget Reference 19

#### **MOTION**

"The Audit Committee recommends that the Board of Regents accept the University of Alaska Foundation Operating Budget for FY15 as presented and approved by the Foundation's Board of Trustees at its June 4, 2014 meeting. This motion is effective September 19, 2014."

#### **BACKGROUND**

In 2007, the foundation and the university finalized a process that clearly defined the role and responsibilities of the foundation as they relate to the university. As part of the process, the foundation established a financial plan to underwrite the costs of the foundation's programs and operation. In an effort to foster a cooperative and transparent working relationship, the foundation's annual operating budget, as approved by the Foundation's Board of Trustees, is presented to the Board of Regents for the board's acceptance.

FY15 BOARD OF TRUSTEES APPROVED OPERATING BUDGET The UA Foundation Board of Trustees approved the UA Foundation's FY15 operating budget at its June 4, 2014 meeting.

FY15 revenues are budgeted slightly higher than FY14 budgeted and actual revenues. An increase in the unrestricted endowment distribution and in fee income as a result of improved investment returns and endowment growth will help to make up for a reduction of \$200,000 in institutional support, as will a \$100,000 transfer from the unrestricted fund balance.

FY15 expenses are slightly higher than FY14 budgeted expenses, but lower than FY14 actual expenditures. Staff turnover and challenges in filling two vacant positions resulted in the lower than budgeted expenses in FY14. The two vacant positions have now been filled.

The slight budget increase allows for investment in fund development strategies (training and technical assistance) that have already proven effective in helping the university development teams to increase contributions and a pilot project aimed at helping community campus development efforts.

The UA Foundation Board of Trustees also voted to provide a special grant of \$57,950 in support of faculty travel related to Shaping Alaska's Future implementation efforts.

# VI. <u>New Business</u>

B. Common Issues & Risks for Audit Committee Focus Addendum 23

Vice President Roy will provide a presentation on Common Risks and Issues pertinent to audit committees and answer any questions members of the committee may have. This is an information and discussion item; no action is required.

## VII. Annual Reports

A. 2014 UA Identity Theft Prevention Program Report Addendum 24

Vice President Roy will review the 2014 UA Identity Theft Prevention Program Report and answer any questions members of the committee may have. This is an information item; no action is necessary.

B. <u>2014 UA Statewide Office of Audit and Consulting Services Annual Report</u> Addendum 25

Nichole Pittman, chief audit executive, will review the UA Statewide Office of Audit and Consulting Services Fiscal Year 2014 Annual Report and answer any questions members of the committee may have. This is an information item; no action is necessary.

Agenda **Audit Committee** September 19, 2014 Juneau, Alaska

The report offers a high-level yet comprehensive view of the department's activities for the fiscal year. It is intended to fulfill standards promulgated by the International Institute of Internal Auditors for communication to senior management and the board. The report also highlights completed audits and projects; the final status of the FY14 annual audit plan; and a current status of the FY15 annual audit plan. It also describes departmental goals and accomplishments by four strategic areas: audit engagements, staffing, quality assurance and outreach.

# VIII. Future Agenda Items

# IX. Adjourn

# Unofficial Minutes

# **Board of Regents Meeting of the Full Board**

June 5-6, 2014 Anchorage, Alaska

### **Regents Present:**

Patricia Jacobson, Chair
Jyotsna Heckman, Vice Chair
Kenneth Fisher, Secretary
Michael Powers, Treasurer
Dale Anderson
Timothy Brady
Fuller A. Cowell
Courtney Enright
Mary K. Hughes
Gloria O'Neill
Kirk Wickersham

Patrick K. Gamble, Chief Executive Officer and President, University of Alaska

# **Others Present:**

Tom Case, Chancellor, University of Alaska Anchorage
John Pugh, Chancellor, University of Alaska Southeast
Brian Rogers, Chancellor, University of Alaska Fairbanks
Michael Hostina, General Counsel
Carla Beam, Vice President for University Relations
Ashok Roy, Vice President of Finance & Administration and Chief Financial Officer

Asnok Roy, vice President of Finance & Administration and Uniet Financial Officer

Dana L. Thomas, Vice President for Academic Affairs

Kit Duke, Chief Facilities Officer & AVP for Facilities and Land Management

Karl Kowalski, Chief Information Technology Officer

Erik Seastedt, Chief Human Resources Officer

Michelle Rizk, Associate Vice President, Budget

Kate Ripley, Director, Public Affairs

Brandi Berg, Executive Officer, Board of Regents

Barbara Nilsen, Assistant, Board of Regents

# I. Call to Order

Chair Jacobson called the meeting to order at 8:04 a.m. on Thursday, June 5, 2014.

# II. Adoption of Agenda

Regent Anderson moved, seconded by Regent Fisher and passed with Regents Anderson, Brady, Cowell, Enright, Fisher, Heckman, Hughes, O'Neill, Powers, Wickersham and Jacobson voting in favor that:

#### **PASSED**

"The Board of Regents adopts the agenda as presented.

- I. Call to Order
- II. Adoption of Agenda
- **III.** Approval of Minutes
- IV. President's Report
- V. Governance Report
- VI. Public Testimony
- VII. Western Interstate Commission for Higher Education Presentation
- VIII. Shaping Alaska's Future Discussion
- IX. Approval of Regents' Policy 01.02.060 Shaping Alaska's Future
- X. Approval of Shaping Alaska's Future Metrics Framework
- XI. Presentation on the Della Keats Program at the University of Alaska Anchorage
- XII. Acceptance of FY14 Supplemental Appropriations
- XIII. Acceptance of FY15 Operating Budget Appropriation and Approval of the Distribution Plan
- XIV. Acceptance of FY15 Capital Budget Appropriation and Approval of the Distribution Plan
- XV. Approval of FY15 Student Government Budgets
- XVI. Approval of FY15 Natural Resources Fund Budget
- XVII. Human Resources Report
- XVIII. Planning and Development Committee
  - A. Discussion Regarding Board Governance
- **XIX.** State Relations Report
- **XX.** Approval of Revisions to the UA Scholars Program
- XXI. Approval of Regents' Policy Revisions Regarding Prince William Sound Community College
- XXII. Approval of FY16 Operating Budget Development Guidelines
- XXIII. Approval of FY16 Capital Budget Development Guidelines
- XXIV. Consent Agenda
  - A. Academic and Student Affairs Committee
    - 1. Approval of a Revision to Regents' Policy 02.02.015 Chancellors
    - 2. Approval of Revisions to Regents' Policy 10.07.030 Security Clearances
    - 3. Approval of Revisions to Regents' Policy 10.07.060 Misconduct in Research, Scholarly Work and Creative Activity in the University

- 4. Approval of Revisions to Regents' Policy 10.07.080 Agreements with External Academic and Research Entities
- 5. Approval of Regents' Policy 10.07.075 Animal Subjects in Research
- 6. Approval of the Deletion of a Graduate Certificate in Supply Chain Management at the University of Alaska Anchorage
- 7. Approval of the Deletion of a Certificate in Computer Information and Office Systems at the University of Alaska Anchorage's Community and Technical College, Kenai Peninsula College, Kodiak College, and Matanuska-Susitna College
- 8. Approval of a Resolution in Support of the Alaska Maritime Workforce Development Plan
- **B.** Facilities and Land Management Committee
  - 1. Approval of Revisions to Regents' Policies 05.06.575 Conditions for Use of Innovative Procurements and 05.06.577 Record of Innovative Procurement
  - 2. Project Change Request for the University of Alaska Anchorage Engineering and Industry Building
  - 3. Schematic Design Approval for the University of Alaska Fairbanks Combined Heat and Power Plant Major Upgrade
  - 4. Schematic Design Approval for the University of Alaska Fairbanks Akasofu Restoration
  - 5. Project Change Request for the University of Alaska Fairbanks Engineering Facility
- **XXV.** New Business and Committee Reports
  - A. Academic and Student Affairs Committee
  - **B.** Audit Committee
  - C. Facilities and Land Management Committee
- **XXVI.** Future Agenda Items
- **XXVII.** Approval of Presidential Contract
- XXVIII. Executive Session
- **XXIX.** Old Business
  - A. Consideration of a Board Member's Request to Review Regents' Policy 02.09.020 Possession of Weapons
- XXX. Board of Regents' Comments
- XXXI. Adjourn

This motion is effective June 5, 2014."

# III. Approval of Minutes

Regent Hughes moved, seconded by Regent Powers and passed with Regents Anderson, Brady, Cowell, Enright, Fisher, Heckman, Hughes, O'Neill, Powers, Wickersham and Jacobson voting in favor that:

#### **PASSED**

"The Board of Regents approves the minutes of its regular meeting of April 3-4, 2014 as presented. This motion is effective June 5, 2014."

Regent Cowell moved, seconded by Regent Heckman and passed with Regents Anderson, Brady, Cowell, Enright, Fisher, Heckman, Hughes, O'Neill, Powers, Wickersham and Jacobson voting in favor that:

#### **PASSED**

"The Board of Regents approves the minutes of its emergency meeting of April 29, 2014 as presented. This motion is effective June 5, 2014."

# IV. President's Report

President Gamble presented the "Staff Make Students Count" awards; mentioned the university does not currently have a furlough policy; therefore, a draft policy was provided to staff including governance groups on June 2, 2014 seeking feedback prior to bringing the final policy to the board for approval in the fall; introduced Prince William Sound Community College Interim President Daniel O'Connor and announced that Vice President Dana Thomas was selected as the UA Foundation's Edith R. Bullock Prize for Excellence recipient.

"Staff Make Students Count" awardees are Christine Volk from the University of Alaska Anchorage, Leah Aronow-Brown from the University of Alaska Fairbanks, Elizabeth Spence from the University of Alaska Southeast, and Dory Straight from Statewide Administration.

## V. Governance Report

Carey Brown, Staff Alliance chair, thanked the board for providing time for his report; noted a resolution was approved supporting the position of the university regarding HB335 (regulation of firearms); noted a review of the draft metrics for Shaping Alaska's Future was completed; stated Vice President Thomas has been very supportive in the governance process regarding policy and procedural updates; welcomed the opportunity to review and draft protocol and procedures for the UA confidential hotline; noted Vice President Roy sought feedback and provided clarity regarding the travel and relocation policy review; said the System Governance Council submitted a proposal to amend their constitution to include the Staff Alliance chair as a member of the council to improve shared governance at the system level; stated the proposed furlough policy is disheartening and will negatively affect classified staff; and said the alliance will elect a new chair during the retreat in July.

Robert Boeckmann, Faculty Alliance chair, noted appreciation for the nature of interaction at the April 2014 meeting, said the level of respect and interaction exhibited during the general education resolution discussion in April was also appreciated; shared a set of principles with the Summit Team about making resource decisions regarding academic decisions at UA; said faculty have not arrived at a systemwide position regarding the minimum standards for baccalaureate degrees although work continues and progress is being made; noted the alliance provided suggestions for a systematic procedure to improve how policy revisions are currently reviewed; said Vice President Thomas has been very helpful with the policy revision process; and introduced David Valentine as the newly elected Faculty Alliance chair.

David Valentine, incoming Faculty Alliance chair, said he looks forward to working with the board and noted during the retreat in August the alliance will begin working on the common calendar and general education requirements.

Shauna Thornton, Coalition of Student Leaders speaker, noted many students have graduated and are participating in summer projects; said student governance staff is committed to staying in touch over the summer months to keep informed on student issues; and said the coalition will elect a new speaker during the retreat in August.

Vice President Thomas presented plaques of appreciation to the governance leaders.

Vice President Beam announced the UA Foundation Board of Trustees approved \$57,950 in the UA Foundation FY15 budget to support the efforts of Shaping Alaska's Future.

Chair Jacobson announced an addition to the Board of Regents' Academic and Student Affairs Committee to recognize the role of faculty and academic decision-making efforts and noted effective at this meeting the Faculty Alliance chair will begin serving as an ex officio member of the committee.

# VI. Public Testimony

Dan Sullivan, Anchorage mayor, spoke about the Anchorage School District budget; noted the importance and availability of rigorous courses required for students to succeed; stated a program similar to ANSEP would be useful to assist with enticing more students to the teaching profession and shared an idea regarding a possible incentive program where a student's 4-year education is paid and in turn the student would commit to teach in Alaska for a minimum of four years or the equivalent to the years of paid education.

Cheryl Frasca, Education Matters executive director, spoke about improving teacher preparation and improving student learning outcomes; stated the value of effective teaching; mentioned the importance an effective teacher has on a student's ability to learn and noted how partnerships in education can support policies regarding teacher preparation and student success.

Denise Wilkerson, Education Matters member, mentioned the mentor teacher and student teacher symposium outcomes; noted methods by which to use certain schools for a specific purposes focusing on literacy, STEM and early childhood development; spoke about the Anchorage School District's (ASD) and UAA's collaborative relationship and said ASD convenes the fall teacher in-service on the UAA campus, which allows an exchange of information between educators regarding what is being taught in the district and at the university.

Sharon Chamard, UAA Justice Center associate professor, spoke about her work at the university; noted how she encourages students to work outside of the classroom and get involved in the community; stated the importance about exposing students to real life experiences and noted several projects UAA students participated in within the Anchorage community.

Ron Everett, UAA Justice Center associate professor, highlighted the projects the center has recently completed; stated the importance of the research and expertise provided to the community by the center; noted successes and failures of transitioning individuals from incarceration back into society and said the center provides assistance to non-profit organizations.

Marny Rivera, UAA Justice Center associate professor, spoke about the research performed at the center; stated some of the research is leading Alaska toward a healthier and more productive society; mentioned the assessment of crime statistics and noted special summer research projects led by students.

Ceezar Martinson, UAA Political Science Association member and UAA Honor Society vice president, encouraged the board to reconsider, review and repeal the current Regents' Policy regarding weapons on campus.

Kathleen Vik, Chugiak High School teacher, spoke about the culinary arts program offered at the high school; noted the hospitality industry is growing and support for the culinary arts and management program at UAA is needed; said the waiting list to get into the program at UAA is very long and the program is necessary to meet the workforce needs of Alaska.

Yesenia Camarena, UAA international studies major, spoke in support of a smoke-free campus at UAA and noted the continued efforts of students who support a comprehensive policy change for a smoke-free environment at UAA.

Joan Braddock, UA Press director, spoke in support of funding from the Natural Resources Fund (NRF) budget for the UA Press; noted the 1987 board action for funding from the NRF; provided background information regarding UA Press and stated the involvement UA Press has with authors and the efforts to preserve literature in the state of Alaska.

Betty Bang, UAA Student Health and Counseling Center family nurse practitioner, spoke in support of a smoke-free campus at UAA; said effective policies could assist faculty, staff, students and visitors to make an appropriate decision not to smoke; stated risks of secondhand smoke and noted she often refers faculty, staff and students to the health center for smoke related illnesses.

Bruce Bustamante, Princess Cruise Line's vice president, spoke in support of the culinary arts program at UAA and its support of industry; noted expansion of the program is necessary and should remain a board priority; said the program has a 100 percent job placement for students; mentioned food services positions are the most difficult to fill within the industry and said the intent of Princess' contribution made last fall to hospitality industry training remains a priority at UAA; however, the program cannot expand in the current location.

Dane Waisanen, community member, stated appreciation for the fire science program at UAF; mentioned he was a student at UAF 2005-2010; said he was commissioned as a deputy state fire marshal in 2012; attributed his success and hands-on training experience to the excellent staff at UAF; noted the importance of the program and spoke in support of a high capacity indoor training area and a new building for the university fire department.

Florian Borowski, CH2M Hill human resources director, spoke in support of a bachelor's degree program in occupational safety and health at UAA; noted industry involvement in creating the degree; shared information regarding prior involvement and conversations with UA administrators about expanding the current program to include a 4-year degree; noted appreciation for Karen Schmitt's, UAA Community & Technical College dean, effort in recognizing the need for the degree; extended an invitation to President Gamble to attend an Alaska Workforce Investment Board meeting and asked the Board of Regents' Academic and Student Affairs Committee to review the request for a 4-year degree.

Bill Dugdale, UAA Culinary Arts Advisory Committee chair, spoke in support of program; stated a need for additional space to expand the program; noted fundraising efforts by the advisory committee and local donors; said all of the proceeds from the UAA Celebrity Chef event support the program; noted renovation costs are the main reason (built in 1971) the program has not been expanded and said a new larger facility would reduce the waitlist issue experienced by students.

Hans Rodvik, UAA political science student, noted as an aide to Senator Coghill during the prior legislative session he was the driving forces behind SB176 and spoke in support of revising Regents' Policy regarding weapons on campus.

Nate Bauer, UAF Staff Council member, spoke on behalf of staff and in opposition to the furlough policy; noted feedback is being gathered; said concerns from staff include: the priority and fairness of the draft policy as currently written only affects non-represented staff, the inability to not use paid leave during the furlough, the implementation of the policy, the ability for staff to plan for furlough, the language regarding temporary

reduction in pay and the clarity of language; stated a preference that the policy be used as a last resort and noted if President Gamble determines the university is facing a significant operating budget deficit that the furlough policy be implemented in accordance and in consultation with the system governance groups.

Stacey Lucason, Union of Students of the University of Alaska Anchorage (UAUAA) president, said she is a newly elected member who is working over summer to prepare for fall student union business; spoke in support of general education requirements among the three universities; however, noted dictating exact class match appears as too much oversight by the board.

Parker Dahl, USUAA senator, spoke about the proposed common calendar among the three universities; said he supports not having classes on Friday at UAA because it allows students an opportunity to get involved in community service activities, provides more family time for students attending from outlying areas and the extra day off offers options for daytime study groups to meet.

Jonathan Taylor, UAA Debate Team member, spoke about the proposed common calendar among the three universities; noted preference for campuses to retain their flexibility in setting class schedules and offered a suggestion for a J-term, which would include offering classes during the entire month of January limiting holiday break to two weeks in December.

Joy Britt, UAA Master of Public Health program graduate, spoke in support of a smokefree policy and mentioned the effects smoking had on her family and her experience with secondhand smoke.

Alice Choic, UAA international student and UAA Smoke-Free Taskforce member, spoke in support of a smoke-free campus and noted the effects smoking has on students, staff and faculty.

Dr. Cidny Knall, WWAMI associate professor, spoke in support of a smoke-free campus at UAA and noted her research and statistics regarding death from smoking and secondhand smoke.

Emily Adeszko, UAA Smoke-Free Taskforce member, spoke in support of a smoke-free campus for future generations attending UAA and noted effects secondhand smoke has on her as an individual with asthma.

Valeria Delgado, UAA Smoke-Free Taskforce member, spoke in support of a smoke-free campus and mentioned the effects secondhand smoke has on students, faculty and staff at UAA.

Misty Jensen, Alaska Tobacco Prevention Mat-Su area office manager, spoke in support of a smoke-free campus policy; noted the personal effects secondhand smoke has had on her and her family and stated the statistics associated with smoking.

Clayton Trotter, community member, thanked the board for their service to the university; stated the need for homegrown lawyers who understand Alaska and the laws of Alaska and said he is an advocate for a law school in the state of Alaska.

Dale Fox, Alaska Cabaret, Hotel, Restaurant and Retailers Association (CHARR) president and CEO, spoke in support of the culinary arts program at UAA and the request to expand the Cuddy Center Dining Hall to reduce the waitlist in the culinary and hospitality program at UAA.

Pam Shirrell, Prince William Sound Community College (PWSCC) Council chair, spoke about the reorganization of the college; noted concern about upcoming changes including: the centralization of administrative functions, loss of local positions, loss of programs and the ability to initiate community driven programs addressing opportunities and needs of the region, academic qualification of instructors, budgetary impact on programs and the loss of extension campuses; said the college wants to maintain dual credit classes, no out-of-state tuition and the PWSCC Theatre Conference, and stated PWSCC is a critical contributor to the quality of life in the communities it serves.

Stephen Trimble, UAA Alumni member and local business owner, spoke in support of Shaping Alaska's Future and strategic investment; said investing in areas of large gains for small investments is vital to the economic health of Alaska; noted the UAA Geoscience program is a great investment and thanked the board for supporting the program.

Mike Faust, ConocoPhillips vice president for exploration, stated Alaska has a strong need for geoscientists; said the UAA Geoscience program produces exceptional graduates who are often placed in industry professions prior to graduation and spoke in support of the program at UAA.

Steve Halloran, Visit Anchorage vice president, spoke about the tourism industry and the role hospitality places in creating a memorable visit to Alaska and stated support for the UAA Culinary Arts and Hospitality program and expansion of Cuddy Hall.

Amber Zerbe, Alaska CHARR director of education programs, spoke in favor of an expansion plan for the UAA Culinary Arts and Hospitality program and shared her experience with the culinary arts program.

Jill Flanders Crosby, UAA Theatre and Dance professor, provided an overview of activities and information regarding arts, culture and creative expression at UAA; noted the impact fine arts programs have on students and stated students are actively placed within the arts community upon completion of their degree.

Nalinaksha Bhattacharyya, UAA Accounting and Finance professor, spoke about his research, teaching and professional accomplishments at UAA.

Ashlyn Antonelli, UAA Classified Council representative, spoke about the proposed furlough policy and staff concerns, which include the impact the 10-day leave clause would have on healthcare coverage and effects on retirement benefits for non-represented employees and stated her preference would be to approach this policy using the utilitarianism theory.

Kathy Smith, UAA Classified Council member, spoke about the proposed furlough policy and concerns the policy will have on healthcare and retirement benefits.

Laile Fairbairn, Spenard Roadhouse and Snow City Café manager, spoke in support of the culinary arts and hospitality program at UAA; stated the effects the waitlist has on the community's ability to meet the needs of Alaska and said the expansion of Cuddy Center Dining Hall is greatly needed.

Jayson Smart, UAA Alumni Association member, stated he had the opportunity to organize an event to benefit UAA scholarships called Nine on the Spine golf tournament; noted many alumni members, students, community members and local businesses participated in the 9-hole indoor golf course which raised \$4000 and recognized the efforts of UAA Alumni Relations Office staff and UAA administration which made the event possible.

Veronica Jones, UAA Geology program alumni, spoke in support of the geoscience program at UAA; noted her experience with the program and stated support for geological studies.

Paul Ongtooguk, UAA College of Education assistant professor, introduced graduate student Daniel Becker; noted he and his student are part of the UAA Center for Research and Alaska Native Education focusing their efforts on the long-term transformation of Alaska Native education, healthcare changes in Alaska villages and cross-cultural education counseling.

# VII. Western Interstate Commission for Higher Education Presentation Addendum 1

David Longanecker, Western Interstate Commission for Higher Education president, provided a presentation titled "The Direction of American Higher Education."

## VIII. Shaping Alaska's Future Discussion

Kate Ripley, director of public affairs, presented a Shaping Alaska's Future video.

Terry MacTaggart, UA Strategic Direction Initiative Consultant, thanked the board for inviting him back to Alaska; applauded the Shaping Alaska's Future (SAF) video presentation; stated the focus of his remarks would be to comment on what is next in the SAF process and where the university should concentrate its efforts; said every state

thinks they are unique with unique leadership; noted the realities (demographically, culturally, economically, geographically) in Alaska are different, therefore, do not be dismayed by national comparisons and what the rest of country is doing although it is not an excuse for not doing anything; said to concentrate on what is essential to Alaska and the university; noted to improve forward momentum the board and administration must engage and focus on the SAF themes and effect statements; said UA has leadership that is committed to making a difference in education at UA using the strategy of SAF; stated emphasis should be placed on the economy; encouraged more investment in partnerships with businesses, training opportunities, educational research and commercialization efforts; noted to review the national numbers and trends periodically; however, focus on UA's improvements over time as changes are made as it relates to SAF and the way it makes sense for Alaska.

He mentioned five don'ts in the SAF process:

- 1) Don't be defensive when it comes to national trends. Alaska has a lot to be proud of when it comes to education in Alaska and progress is being made;
- 2) Don't change the course. Resist attempts to chase trends or special interests, be self-disciplined;
- 3) Don't ignore the voice of faculty, staff or students. After listening to concerns and careful consideration by administration and the board make a decision;
- 4) Don't wait for consensus on everything or nothing will happen. Change inevitably involves emotion and passion;
- 5) Don't let a problem become a crisis by failing to act and/or plan. Continue to invest in key efforts of SAF.

He stated SAF is just getting ready to flourish; encouraged the board to stay the course and congratulated administration and the board on SAF accomplishments thus far.

President Gamble said WICHE President Longanecker's briefing reinforces the challenges ahead and it is not disappointing but rather an indicator of why SAF is necessary; noted SAF is designed to raise UA's reputation to the level it belongs, to create a desire for students to make UA their first choice for their education and to demonstrate to Alaskans the value UA has to the state of Alaska; thanked Terry MacTaggart for his guidance and stated it has been a pleasure to work with him throughout the SAF process.

# IX. Approval of Regents' Policy 01.02.060 – Shaping Alaska's Future Reference 1

Regent O'Neill moved, seconded by Regent Cowell and passed with Regents Anderson, Brady, Cowell, Enright, Fisher, Heckman, Hughes, O'Neill, Powers, Wickersham and Jacobson voting in favor that:

#### **PASSED**

"The Board of Regents approves Regents' Policy 01.02.060 – Shaping Alaska's Future as presented. This motion is effective June 5, 2014."

# X. <u>Approval of Shaping Alaska's Future Metrics Framework</u>

Reference 2

Regent Cowell moved, seconded by Regent Enright and passed with Regents Anderson, Brady, Cowell, Enright, Fisher, Heckman, Hughes, O'Neill, Powers, Wickersham and Jacobson voting in favor that:

### **PASSED**

"The Board of Regents approves the Shaping Alaska's Future Metrics Framework as presented. This motion is effective June 5, 2014."

#### **RATIONAL**

Shaping Alaska's Future Outcome Metrics and University of Alaska Key Indicators and Measures will be used to support and monitor progress on Shaping Alaska's Future effect statements.

# XI. Presentation on the Della Keats Program at the University of Alaska Anchorage

Addendum 2

Jane Shelby, Alaska WWAMI School of Medical Education director; Ian van Tets, Della Keats program director and associate professor biological sciences; and former Della Keats students provided a presentation on the program at the University of Alaska Anchorage.

## XII. Acceptance of FY14 Supplemental Appropriations

References 3

Regent Cowell moved, seconded by Regent Heckman and passed with Regents Anderson, Brady, Cowell, Enright, Fisher, Heckman, Hughes, O'Neill, Powers, Wickersham and Jacobson voting in favor that:

#### **PASSED**

"The Board of Regents accepts the FY14 Supplemental Appropriation for increases in fuel and utility costs as presented. This motion is effective June 5, 2014."

Regent Powers moved, seconded by Regent Cowell and passed with Regents Anderson, Brady, Cowell, Enright, Fisher, Heckman, Hughes, O'Neill, Powers, Wickersham and Jacobson voting in favor that:

### **PASSED**

"The Board of Regents accepts the FY14 Supplemental Appropriation for the Alaska Higher Education Crafts and Trades Employees Local 6070 represented members as presented. This motion is effective June 5, 2014."

### POLICY CITATION

Regents' Policy 05.01.04 – Acceptance of State Appropriations, states: "The board must accept state appropriations to the university before any expenditure may be made against the appropriation."

#### RATIONAL AND RECOMMENDATION

The University of Alaska originally requested FY14 supplemental funding in the amount of \$1.6 million to help offset increases in fuel and utility costs not expected to be covered by other funding sources. UA's revised projections estimate an additional \$1.6 million will be necessary to cover the cost increases.

The University of Alaska requested a supplemental of \$45.8 thousand (\$22.9 thousand general funds and \$22.9 thousand university receipts) to cover the FY14 compensation increases from April 2014-June 2014 necessary under the agreement with the Alaska Higher Education Crafts and Trades Employees Local 6070 represented members.

# XIII. Acceptance of FY15 Operating Budget Appropriation and Approval of the Distribution Plan Reference 4

Regent Enright moved, seconded by Regent O'Neill and passed with Regents Anderson, Brady, Cowell, Enright, Fisher, Heckman, Hughes, O'Neill, Powers, Wickersham and Jacobson voting in favor that:

### **PASSED**

"The Board of Regents accepts the FY15 Operating Budget Appropriation as presented. This motion is effective June 5, 2014."

Regent O'Neill moved, seconded by Regent Powers and passed with Regents Anderson, Brady, Cowell, Enright, Fisher, Heckman, Hughes, O'Neill, Powers, Wickersham and Jacobson voting in favor that:

#### **PASSED**

"The Board of Regents approves the FY15 Operating Budget Distribution Plan as presented. This motion is effective June 5, 2014."

#### POLICY CITATION

Regents' Policy 05.01.04 – Acceptance of State Appropriations, states: "The board must accept state appropriations to the university before any expenditure may be made against the appropriation."

#### RATIONAL AND RECOMMENDATION

President Gamble and Associate Vice President Rizk discussed the legislative appropriations and proposed an operating budget distribution plan for board approval. Governor Parnell is expected to sign the state's operating, and mental health budget bills into law without any vetoes of University of Alaska programs or projects. Below is a system budget summary and distribution plan considerations.

The total requested Board of Regents' budget was \$943.8 million, consisting of \$393.9 million in state appropriations and \$549.9 million in receipt authority. The University of Alaska's final state appropriation portion of the FY15 operating budget, not including debt service funding for the UAF heat and power plant major upgrade, decreased by \$7.8

million, or 2.1 percent, while total state appropriations were \$375.8 million, remaining relatively flat from \$376.6 million in FY14. The FY15 amount includes an unallocated general fund reduction of \$15.9 million, a legislative reduction of \$1.1 million to UA's travel expenditure, and an increase of \$7.0 million for future power plant debt service payments. The total UA budget for FY15, including receipt authority, is \$924.9 million compared to \$912.4 million in FY14, an increase of 1.4 percent.

Approximately 85.0 percent of UA's fixed cost increases were covered by state appropriations (\$8.3 million of \$9.9 million), which includes funding for the recent contract negotiations and excludes utility funding. No base funding was included in the budget for utility cost increases. However, the state budget includes one-time utility funding to state agencies to offset increased fuel and utility costs. The university expects to continue to receive additional one-time funding to cover utility cost increases through the "fuel trigger."

Despite overall general fund reductions, \$990.0 thousand in state appropriations were directed to the priority program requests for: mandatory comprehensive advising and new student services (\$400.0 thousand), consolidated Alaska mining initiative (\$90.0 thousand) and hydrocarbon optimization (\$500.0 thousand). A complete list of programs and program descriptions begins on page 7 of the reference document.

# XIV. Acceptance of the FY15 Capital Budget Appropriation and Approval of the Distribution Plan Reference 4

Regent O'Neill moved, seconded by Regent Heckman and passed with Regents Anderson, Brady, Cowell, Enright, Fisher, Heckman, Hughes, O'Neill, Powers, Wickersham and Jacobson voting in favor that:

### **PASSED**

"The Board of Regents accepts the FY15 Capital Budget Appropriation as presented. This motion is effective June 5, 2014."

Regent Enright moved, seconded by Regent O'Neill and passed with Regents Anderson, Brady, Cowell, Enright, Fisher, Heckman, Hughes, O'Neill, Powers, Wickersham and Jacobson voting in favor that:

#### **PASSED**

"The Board of Regents approves the FY15 Capital Budget Distribution Plan as presented. This motion is effective June 5, 2014."

## POLICY CITATION

Regents' Policy 05.01.04 – Acceptance of State Appropriations, states: "The board must accept state appropriations to the university before any expenditure may be made against the appropriation."

#### RATIONAL AND RECOMMENDATION

Associate Vice President Rizk and Chief Facilities Officer Duke presented a summary of the FY15 capital budget appropriation and discussed capital funding distribution implications.

The university's capital budget request totaled \$403.8 million with \$319.3 million requested from state funding and \$84.5 million in receipt authority. UA received state funding of \$232.4 million and \$75.0 million in receipt authority. A comparison of the UA Capital Budget Request and the final legislation can be found on page 21 of the reference document.

The appropriated deferred maintenance (DM) and renewal & repurposing (R&R) funding, \$19.3 million, partially supports the \$37.5 million request by the Board of Regents for their number one priority of repairing existing DM facilities. Funding will address the critical priority projects at both UAA and UAS. The Legislature appropriated \$162.0 million in state funding and \$70.0 million in UA revenue bond receipt authority for UAF's number one DM priority, the UAF heat and power plant major upgrade project. Additional funding for priority DM and R&R projects was not given to statewide or UAF. However SB74 – University Building Fund (UBF) was passed, authorizing a tool that can ultimately ensure existing and new buildings will not add to the backlog of deferred maintenance projects.

The Legislature also included funding to continue work on both the UAA and UAF Engineering Buildings. UAA received \$45.6 million state funding to complete their project. UAF received \$5.0 million in state funding and \$5.0 million in receipt authority, which only funds construction through April 2015. UAF still needs another \$28.3 million to finish construction of the new engineering building.

One "Research for Alaska" project received funding that was not included as part of the Board of Regents' request. UAA received \$400.0 thousand in state funding for the Institute for Circumpolar Health Studies – Proposed Test and Formative Evaluation Methods to Prevent Fetal Alcohol Spectrum Disorders (FASD).

Other projects, also funded with state funds, are the Juneau Campus Mining Workforce Development for \$120.0 thousand and Kachemak Bay Campus – Pioneer Avenue Building Addition for \$50.0 thousand.

## XV. Approval of FY15 Student Government Budgets

Reference 5

Regent O'Neill moved, seconded by Regent Cowell and passed with Regents Anderson, Brady, Cowell, Enright, Heckman, Hughes, O'Neill, Powers, Wickersham and Jacobson voting in favor and Regent Fisher voting in opposition that:

#### **PASSED**

"The Board of Regents approves the student government fees and budgets as presented. This motion is effective June 5, 2014."

#### POLICY CITATION

Regents' Policy 09.07.050 requires student government organizations to submit annual budgets, including the amount of any mandatory student government fees, to the Board of Regents for approval.

## RATIONALE/RECOMMENDATION

The budgets include the following fee change.

The Matanuska-Susitna (Mat-Su) College has implemented an adjustment to its student activities fees from a tiered flat rate of \$5 per student enrolled in three to five credits and \$10 per student enrolled in six or more credits to 3 percent of the cost of a lower division credit. For example, the fee associated with each credit in spring 2015 would be \$5.22 and the fee would be capped at 12 credits, or \$62.64. Pegging student activities fees to a percentage of the cost of a lower division credit will ostensibly offset inflationary costs.

Students at the UAA Anchorage campus voted to implement an outdoor recreation fee to support the program for fall/spring. The fee would be an \$8 flat fee for each student taking three or more credits. The earliest the fee could go into effect would be spring 2015, at which time a revised budget will be submitted for review and approval.

The UAF Kuskokwim Campus Student Government is currently disbanded. The \$10,121 fund balance will remain until the Kuskokwim Campus Student Government is reestablished, at which time the budget approval will be presented to the board.

All other student government activity fees are currently the same as last year.

### XVI. Approval of FY15 Natural Resources Fund Budget

Regent Powers moved, seconded by Regent O'Neill and passed with Regents Anderson, Brady, Cowell, Enright, Fisher, Heckman, Hughes, O'Neill, Powers, Wickersham and Jacobson voting in favor that:

## PASSED AS AMENDED

"The Board of Regents approves the proposed FY15 Natural Resources Fund Budget as presented with the intent that funding from the Natural Resources Fund for UA Press will be brought before the board for approval at the September 2014 meeting. This motion is effective June 5, 2014."

# **POLICY CITATION**

Regents' Policy 05.07.010 – Land-Grant Endowment – provides that the university president will present an annual budget to the board for approval.

#### RECOMMENDATION

# Natural Resources Fund Proposed FY15 Budget/Spending Plan

	Approve	d Proposed
	<u>FY14</u>	<u>FY15</u>
University of Alaska Press	\$ 120,000	\$ 0
System-based scholarships	160,000	160,000
Cooperative Extension Support	0	400,000
UA Museum Dinosaur Exhibit	0	150,000
Alaska International Piano-e Competitio	n 0	117,000
Land Management costs	1,050,000	1,000,000
University of Alaska Scholars Program	3,930,339	3,800,240
<b>Total Spending Allowance</b>	\$ 5,260,339	<u>\$5,627,240</u>

#### RATIONALE

The Natural Resources Fund (NRF) was established to facilitate the distribution of the annual spending allowance from the university's Land-Grant Endowment Funds. The spending allowance is based on 4.5 percent of a 5-year moving average of the December 31 endowment fund value. The proposed fiscal year 2015 spending allowance increased \$366,901 over the prior year as a result of the relatively low December 31, 2008 endowment value dropping out of the 5-year average calculation. This increase represents recovery from the significant endowment market losses suffered in fiscal year 2009 as a result of the global financial crisis.

Cooperative Extension was not funded in FY14 due to a significant carry-forward. The proposed NRF funding of \$400,000 is primarily for 4-H staff and operation.

The UA Museum Dinosaur Exhibit is important to Alaska's history and for inspiring scientific curiosity among Alaskan youth. The proposed NRF funding of \$150,000 is a strategic support in this direction. The balance of funds will come from private sources.

The Piano-e- Competition is an international music event. The proposed NRF funding of \$117,000 is strategic support toward the competition and the purchase of a Yamaha concert grand piano. The balance of funding will come from private sources.

The spending allowance is first applied to cover costs of the land management department. In recent years the remainder of the allowance has been nearly fully dedicated to funding the UA Scholars Program, which is the university's single largest enrollment management effort. Unexpended amounts from the provision to land management and the UA Scholars Program are held in the Natural Resources Fund as a reserve for the scholars' obligation in the out years. System-based scholarships represent awards to students from various groups and organizations, such as pageants and foster youth.

## XVII. <u>Human Resources Report</u>

Chief Human Resources Officer Seastedt updated the board regarding human resources issues including the development of a furlough policy.

## XVIII. Planning and Development Committee

## A. Discussion Regarding Board Governance

Regent Hughes noted attendance at the Association of Governing Board of Universities and Colleges (AGB) conference in April 2014; said this year's conference was excellent; stated the AGB Board of Directors, on which she serves, met after the conference and was a worthwhile meeting; noted during the AGB board meeting budget issues, strategic planning and innovation efforts were shared; stated with the challenging changes occurring in higher education keeping the focus on student success will provide hope and optimism in the face of change; noted forethought, innovation and cohesiveness from the Board of Regents will be necessary to guide UA through the changes ahead and said attending AGB was well worth the money spent.

# XIX. State Relations Report

Associate Vice President Christensen provided an update regarding the status of legislation concerning the University of Alaska.

Vice President Beam provided an update on the education tax credit issue and noted the UA Foundation is organizing an advocacy effort related to such.

## **XX.** Approval of Revisions to the UA Scholars Program

Regent Anderson moved, seconded by Regent O'Neill and passed with Regents Anderson, Brady, Cowell, Enright, Heckman, Hughes, O'Neill, Powers, Wickersham and Jacobson voting in favor and Regent Fisher voting in opposition that:

#### **POSTPONED**

"The Board of Regents approves three significant revisions to the UA Scholars Program, which will take effect in fall 2015 for all UA Scholars:

- 1) Increase the required minimum credit hours earned per academic year from 24 to 30 credit hours beginning in year two of the award;
- 2) Require incoming scholars to register for classes at a University of Alaska campus for the fall semester immediately following high school graduation; and
- 3) Increase the amount of the award from \$11,000 to \$12,000 to be distributed at \$1,500 per semester.

This motion is effective June 6, 2014."

#### **AUTHORITY**

Section 15 (G) of the UA Scholars Program Information Booklet states: "The University's Board of Regents reserves the right to terminate, discontinue, suspend, merge or consolidate this Program with other initiatives. This Program may be amended by the authority of the University's President at any time, except that any reduction in benefits awarded to recipients shall be approved by the Board of Regents."

#### RATIONALE/RECOMMENDATION

Revisions one and two above are being proposed in order to encourage students to enter the University of Alaska sooner, complete their education quicker and to make the program more consistent with the Alaska Performance Scholarship Program.

However, the revisions may adversely affect some students. Scholars will now have to meet a 30 rather than 24 minimum credit hour requirement per academic year for their second and third award years. Scholars will no longer be able to attend other institutions or not enroll for the first academic year following graduation. On the other hand, the benefits are being enhanced by increasing the award from \$1,375 to \$1,500 per semester. The proposed revisions have been reviewed by the chancellors and the president and are recommended for approval. Although the revisions may not represent a direct "reduction of benefit," due to the potential adverse effects on certain individuals, the administration has chosen to present the issue to the Board of Regents for formal approval.

# XXI. Approval of Regents' Policy Revisions Regarding Prince William Sound Community College References 6-10

Regent Fisher moved, seconded by Regent Wickersham and passed with Regents Anderson, Brady, Cowell, Enright, Fisher, Heckman, Hughes, O'Neill, Powers, Wickersham and Jacobson voting in favor that:

#### **PASSED**

"The Board of Regents approves revisions to Regents' Policy 01.01.050 – Prince William Sound Community College Mission Statement as presented. This motion is effective June 6, 2014."

#### **PASSED**

"The Board of Regents approves revisions to Regents' Policy 02.04.500 – Community Campus Directors Council as presented. This motion is effective June 6, 2014."

#### **PASSED**

"The Board of Regents approves revisions to Regents' Policy 10.02.040.D. – Academic Unit Establishment, Major Revision, and Elimination as presented. This motion is effective June 6, 2014."

#### **PASSED**

"The Board of Regents approves revisions to Regents' Policy 10.02.060 – Community College Establishment and Elimination as presented. This motion is effective June 6, 2014."

### **PASSED**

"The Board of Regents approves revisions to Regents' Policy 10.02.070.A. – Accreditation as presented. This motion is effective June 6, 2014."

#### RATIONALE AND RECOMMENDATION

Federal requirements for separately accredited institutions have significantly increased over the past few years. This change has created an undue burden on Prince William Community College given its size. Therefore, UA administration recommends making Prince William Sound a community campus of the University of Alaska Anchorage rather than a separately accredited institution.

## XXII. Approval of FY16 Operating Budget Development Guidelines

Reference 11

Regent Cowell moved, seconded by Regent O'Neill and passed with Regents Anderson, Brady, Cowell, Enright, Fisher, Heckman, Hughes, O'Neill, Powers, Wickersham and Jacobson voting in favor that:

#### **PASSED**

"The Board of Regents approves the FY16 Operating Budget Development Guidelines as presented. This motion is effective June 6, 2014."

## POLICY CITATION

Regents' Policy 05.01.01.A. – Budget Policy, states: "The budget of the university represents an annual operating plan stated in fiscal terms. All budgetary requests shall be adopted by the board prior to submittal to the Office of the Governor or the Legislature."

#### RATIONALE/RECOMMENDATION

President Gamble and Associate Vice President Rizk presented the operating budget development guidelines recommendation. The proposed guidelines are included as Reference 11. The operating guidelines serve as a tool for administration to prioritize budget requests and maintain alignment with the Board of Regents' goals and expected administrative efficiencies.

The university is in the midst of a major institutional directional change called Shaping Alaska's Future, which is UA's map for navigating the challenging terrain ahead, and will guide decisions about people, programs and resources at UA for years to come. Shaping Alaska's Future rests on a foundation of feedback received through listening sessions

held across the state and national best practices. There are five major themes: 1) Student Achievement and Attainment; 2) Productive Partnerships with Alaska's Schools; 3) Productive Partnerships with Public Entities and Private Industries; 4) Research & Development (R&D) and Scholarship to Enhance Alaska's Communities and Economic Growth; and 5) Accountability to the People of Alaska.

Based on both the Alaska listening sessions and a robust state and national dialogue, specific issue statements were developed within each theme that express a compelling need for action. Effect statements associated with each issue statement collectively express what UA intends to accomplish (outcomes) specific to that issue. The budget request and dialog with the governor and Legislature will focus on progress toward attaining the 23 intended effects within and across all three universities.

As part of the FY16 budget planning process the university will continue to look at ways of capping growth. With the state's emphasis on containing costs and "right sizing" and as we move forward with Shaping Alaska's Future, the request for growth or for new programs will be much more reliant on internal offsets than on general fund increase requests.

### XXIII. Approval of FY16 Capital Budget Development Guidelines

Reference 12

Regent O'Neill moved, seconded by Regent Cowell and passed with Regents Anderson, Brady, Cowell, Enright, Fisher, Heckman, Hughes, O'Neill, Powers, Wickersham and Jacobson voting in favor that:

#### **PASSED**

"The Board of Regents approves the FY16 Capital Budget Development Guidelines as presented. This motion is effective June 6, 2014."

#### POLICY CITATION

Regents' Policy 05.01.01.A. – Budget Policy, states: "The budget of the University of Alaska represents an annual operating plan stated in fiscal terms. All budgetary requests shall be adopted by the board prior to submittal to the Office of the Governor or the Legislature."

#### RATIONALE AND RECOMMENDATION

Associate Vice President Rizk and Chief Facilities Officer Duke discussed current capital budget activities and the capital budget guidelines recommendation. The proposed guidelines are included as Reference 12.

Guidance from the governor for the FY16 capital budget is expected to come in placing emphasis on a decreased capital budget and a reduction of deferred maintenance (DM). FY15 was the last year of the governor's 5-year, \$100 million annual commitment toward reducing deferred maintenance across the state. However, UA will work with the Governor's Office to see if the governor will continue the deferred maintenance investment funding. The funding has provided a predictable dollar stream for deferred

maintenance projects and increased efficiencies and momentum in the construction process. With these things in mind, the FY16 capital budget requests should identify what level of strategic investment is needed to implement Shaping Alaska's Future objectives and reduce DM backlog.

Deferred maintenance and renewal & repurposing (R&R) is, and will continue to be, the Board of Regents' highest overall priority. Annual renewal and repurposing funding at a consistent level is necessary to realize UA's sustainment funding goal of an annual investment of \$50 million. Different methods for obtaining the funding are being discussed including the possibility of establishing the Alaska Sovereign Education Fund. Annual R&R funding helps extend the life of older buildings that need major system replacements before the systems deteriorate below their intended functionality. A large deferred maintenance backlog ultimately leads to a loss in safe, effective facility support for education program delivery, which is mission failure. The University Building Fund (UBF) legislation was recently passed, authorizing a tool that once implemented, can ensure existing and new buildings will not add to the backlog of deferred capital reinvestment. The capital budget request and long range capital improvement plan will attempt to reflect UA's intent to position the university for UBF implementation.

UA's Capital Improvement Plan is submitted to the State of Alaska as part of UA's 10-year fiscal plan. The plan provides the Board of Regents, president, senior staff, and university community a clear picture of the capital projects which follow from completion of the program resource planning process and identification of the annual operating costs associated with those projects. The long range capital improvement plan aims to balance approved program needs across UA campuses with realistic expectations for capital appropriations.

#### **XXIV.** Consent Agenda

Regent Cowell moved, seconded by Regent O'Neill and passed with Regents Anderson, Brady, Cowell, Enright, Fisher, Heckman, Hughes, O'Neill, Powers, Wickersham and Jacobson voting in favor that:

#### **PASSED**

"The Board of Regents approves the consent agenda as presented. This motion is effective June 6, 2014."

#### A. Academic and Student Affairs Committee

1. Approval of a Revision to Regents' Policy 02.02.015 – Chancellors

Reference 13

#### PASSED

"The Board of Regents approves a revision to Regents' Policy 02.02.015 – Chancellors as presented. This motion is effective June 6, 2014."

2. <u>Approval of Revisions to Regents' Policy 10.07.030 – Security Clearances</u>

Reference 14

#### **PASSED**

"The Board of Regents approves revisions to Regents' Policy 10.07.030 – Security Clearances as presented. This motion is effective June 6, 2014."

3. Approval of Revisions to Regents' Policy 10.07.060 – Misconduct in Research, Scholarly Work and Creative Activity in the University

Reference 15

#### **PASSED**

"The Board of Regents approves revisions to Regents' Policy 10.07.060 – Misconduct in Research, Scholarly Work and Creative Activity in the University as presented. This motion is effective June 6, 2014."

4. <u>Approval of Revisions to Regents' Policy 10.07.080 – Agreements with</u>
External Academic and Research Entities Reference 16

#### **PASSED**

"The Board of Regents approves revisions to Regents' Policy 10.07.080 – Agreements with External Academic and Research Entities as presented. This motion is effective June 6, 2014."

5. <u>Approval of Regents' Policy 10.07.075 – Animal Subjects in Research</u>
Reference 17

#### **PASSED**

"The Board of Regents approves Regents' Policy 10.07.075 – Animal Subjects in Research as presented. This motion is effective June 6, 2014."

6. <u>Approval of the Deletion of a Graduate Certificate in Supply Chain</u>

Management at the University of Alaska Anchorage Reference 18

#### **PASSED**

"The Board of Regents approves the deletion of a Graduate Certificate in Supply Chain Management at the University of Alaska Anchorage. This motion is effective June 6, 2014."

7. Approval of the Deletion of a Certificate in Computer Information and Office Systems at the University of Alaska Anchorage's Community and Technical College, Kenai Peninsula College, Kodiak College, and Matanuska-Susitna College

Reference 19

#### **PASSED**

"The Board of Regents approves the deletion of a Certificate in Computer Information and Office Systems at the University of Alaska Anchorage's Community and Technical College, Kenai Peninsula College, Kodiak College, and Matanuska-Susitna College. This motion is effective June 6, 2014."

8. <u>Approval of a Resolution in Support of the Alaska Maritime Workforce</u>
<u>Development Plan</u>

#### **PASSED**

"The Board of Regents approves a resolution of support for the Alaska Maritime Workforce Development Plan, an outcome of the Fisheries, Seafood and Maritime Initiative. This motion is effective June 6, 2014."

WHEREAS, the University of Alaska created the Fisheries, Seafood and Maritime Initiative (FSMI) to engage fisheries, seafood and maritime sectors and community partners, and to assess, develop and deliver programs, training and research to prepare Alaskans to meet current and emerging workforce, economic and scientific needs; and

WHEREAS, the Alaska Maritime Workforce Development Plan, an outcome of FSMI, was developed through collaborative partnership between industry, state agencies and University of Alaska representatives; and

WHEREAS, the goals of the Alaska Maritime Workforce Development Plan are to help sustain and enhance the economy of Alaska and its communities through development of a responsive maritime workforce, to encourage Alaska's workforce to become better prepared to capitalize on the opportunities within the maritime sector, and to increase the number of Alaskans working in the maritime industry; and

WHEREAS, the Alaska Workforce Investment Board, a working group organized by the Alaska Department of Labor and Workforce Development, passed a resolution in support of the Alaska Maritime Workforce Development Plan in May 2014.

NOW, THEREFORE BE IT RESOLVED the Board of Regents supports the Alaska Maritime Workforce Development Plan; and

BE IT FURTHER RESOLVED that the president of the University of Alaska should take whatever actions he determines appropriate to further the Alaska Maritime Workforce Development Plan; and

BE IT FURTHER RESOLVED that this resolution be appropriately engrossed, with a copy to be incorporated in the official minutes of the June 5-6, 2014, meeting of the University of Alaska Board of Regents.

### B. Facilities and Land Management Committee

1. <u>Approval of Revisions to Regents' Policies 05.06.575 – Conditions for Use of Innovative Procurements and 05.06.577 – Record of Innovative Procurement</u>

References 20-21

#### **PASSED**

"The Board of Regents approves revisions to Regents' Policies 05.06.575 and 05.06.577 regarding innovative procurements as presented. This motion is effective June 6, 2014."

2. <u>Project Change Request for the University of Alaska Anchorage Engineering and Industry Building</u>

Reference 22

#### **PASSED**

"The Board of Regents approves the project change request for the University of Alaska Anchorage Engineering and Industry Building project as presented in compliance with the campus master plan, and authorizes the university administration to continue with project construction adding \$45.6 million in FY15 capital funding, not to exceed a total expenditure of \$123.2 million. This motion is effective June 6, 2014."

3. <u>Schematic Design Approval for the University of Alaska Fairbanks</u> <u>Combined Heat and Power Plant Major Upgrade</u> Reference 23

#### **PASSED**

"The Board of Regents approves the schematic design approval request for the University of Alaska Fairbanks Heat and Power Plant Major Upgrade for site preparation and major equipment purchase as presented in compliance with the campus master plan, and authorizes the university administration to complete construction bid documents to bid and award a contract within the approved budget of \$248,000,000, and to proceed with project site construction and major equipment purchase not to exceed \$53,000,000. This motion is effective June 6, 2014."

4. <u>Schematic Design Approval for the University of Alaska Fairbanks</u>
<u>Akasofu Restoration</u>
Reference 24

#### **PASSED**

"The Board of Regents approves the schematic design approval request for the University of Alaska Fairbanks Akasofu Restoration as presented in compliance with the campus master plan, and authorizes the university administration to complete construction bid documents to bid and award a contract within the approved budget, and to proceed to completion of project construction not to exceed a total project cost of \$4,400,000. This motion is effective June 6, 2014."

# 5. <u>Project Change Request for the University of Alaska Fairbanks</u> <u>Engineering Facility</u> Reference 25

# **PASSED**

"The Board of Regents approves the project change request for the University of Alaska Fairbanks Engineering Facility as presented in compliance with the campus master plan, and authorizes the university administration to continue with project construction adding \$5.0 million in FY15 capital funding, not to exceed a total expenditure of \$80.3 million. This motion is effective June 6, 2014."

# XXV. New Business and Committee Reports

### A. Academic and Student Affairs Committee

In addition to action items, the committee discussed convening a special meeting over the summer months to review Regents' Policy revisions, received a presentation on ANSWERS – P-20W Statewide Longitudinal Data System and heard reports regarding student advising and health care programs at UA. Due to time constraints, the developmental education discussion and the credit transfer summary report were postponed to a future committee meeting.

#### B. Audit Committee

The Audit Committee approved the following motion:

# 1. Approval of the FY15 Annual Audit Plan

Reference 28

### **PASSED**

"The Board of Regents' Audit Committee approves the annual audit plan for fiscal year 2015 as presented. This motion is effective June 6, 2014."

# 2. Committee Report

In addition to the action item, the committee heard a status report on procurement card credit risk, the Sikuliaq research vessel, GASB 68 Pensions, the InfoEd project and internal and external audits. Due to time constraints, the common issues and risks discussion was postponed to a future committee meeting.

# C. Facilities and Land Management Committee

The Facilities and Land Management Committee approved the following motions:

1. <u>Schematic Design Approval for University of Alaska Fairbanks Irving I</u>
<u>Repurpose for Veterinary Medicine</u>
Reference 26

#### **PASSED**

"The Facilities and Land Management Committee approves the schematic design approval request for the University of Alaska Fairbanks Irving 1 Repurpose for Veterinary Medicine as presented in compliance with the campus master plan, and authorizes the university administration to complete construction bid documents to bid and award a contract within the approved budget, and to proceed to completion of project construction not to exceed a total project cost of \$4,000,000. This motion is effective June 5, 2014."

 Formal Project Approval for University of Alaska Bragaw Office Complex Capital Reinvestment Reference 27

#### **PASSED**

"The Facilities and Land Management Committee approves the formal project approval request for the University of Alaska Statewide Bragaw Office Complex Capital Reinvestment as presented and authorizes the university administration to proceed through schematic design not to exceed a total project cost of \$2,100,000. This motion is effective June 5, 2014."

#### 3. Committee Report

In addition to action items, the committee heard reports on the Washington DC Waterford House unit 711, UAA Alaska Airlines Center, UAA Engineering and Industry Building, UAA ConocoPhillips Integrated Science Building, UAF engineering facility, UAF P3 student dining development, FY12-FY14 deferred maintenance and renewal distribution change report, deferred maintenance spending and construction in progress.

An update on security issues was not provided at this meeting.

### **XXVI. Future Agenda Items**

Regent Wickersham requested creating a resolution supporting a tobacco-free campus at UAA and asked for an open discussion guided by UA administration regarding teacher education including a robust rural component during the September meeting. Regent Fisher asked that the Academic and Student Affairs Committee review the culinary arts program waitlist, Cuddy Center renovation issues and the occupational health and safety degree request heard during the June 2014 meeting and for UA administration to review efforts to coordinate similar programs offered at UA and AVTEC.

# **XXVII.** Approval of Presidential Contract

Regent Brady moved, seconded by Regent Cowell and passed with Regents Anderson, Brady, Cowell, Enright, Heckman, Hughes, O'Neill, Powers, Wickersham and Jacobson voting in favor and Regent Fisher voting in opposition that:

#### **PASSED**

"The Board of Regents approves an extension of Patrick K. Gamble's contract of employment as president of the University of Alaska System at an annual salary of \$320,000 per year, retroactive to June 1, 2013, and continuing through May 31, 2016, with terms as authorized by the board. This motion is effective June 6, 2014."

# **XXVIII.** Executive Session

Regent Cowell moved, seconded by Regent Fisher and passed with Regents Anderson, Brady, Cowell, Enright, Fisher, Heckman, Hughes, O'Neill, Powers, Wickersham and Jacobson voting in favor that:

### **PASSED**

"The Board of Regents goes into executive session to discuss matters that by law are required to be confidential related to providing legal advice regarding Title IX, a USDA complaint, a UAF CTC injection issue, weapons on campus and personnel. This motion is effective June 6, 2014."

The Board of Regents went into an executive session at 2:00 p.m. and concluded an executive session at 4:00 p.m. Alaska Time in accordance with AS 44.62.310 to discuss matters that by law are required to be confidential related to providing legal advice regarding Title IX, a USDA complaint, a UAF CTC injection issue, weapons on campus and personnel. The session included members of the Board of Regents, President Gamble, General Counsel Hostina, and such other university staff members designated by the president and lasted approximately 2 hours.

#### **XXIX.** Old Business

A. <u>Consideration of a Board Member's Request to Review Regents' Policy</u> 02.09.020 – Possession of Weapons

Regent Hughes moved, seconded by Regent Powers and passed with Regents Brady, Cowell, Enright, Heckman, Hughes, Powers, Wickersham and Jacobson voting in favor and Regents Anderson and Fisher voting in opposition that:

#### **PASSED**

"The Board of Regents approves revisions to Regents' Policy 02.09.020 – Possession of Weapons as presented. This motion is effective June 6, 2014."

# XXX. Board of Regents' Comments

Regent Enright thanked Chancellor Case and his staff for hosting the meeting and the reception; thanked Chair Jacobson, President Gamble and Vice President Thomas for their efforts in planning the meeting with the Alaska State Board of Education; noted she is looking forward to education objectives and outcomes being created from future meetings of the two boards; thanked the three chancellors for their coordination efforts in the budget planning process; stated in May 2014 she completed her first decade of public service; said her focus is to inspire others to leadership roles and stated leadership and inspiring others has been incredibly modeled by the UA leadership team for which she is grateful.

Regent Anderson thanked Chancellor Case for the hospitality; stated appreciation for being able to attend the Association of Governing Board of Universities and Colleges (AGB) conference and provided conference highlights; noted the opening plenary welcome speech at AGB was provided by Regent Hughes describing UA's important place in higher education for Alaskans; attended two breakout sessions at AGB: 1) regarding the changing environment of teaching and learning primarily related to Massive Open Online Courses and competency based education and 2) regarding the reason higher education should partner with K-12; is looking forward to additional meetings between the Board of Regents and Alaska State Board of Education to focus on the success of Alaska's K-20 students; shared notable quotes from the AGB conference and ended his comments with the following quote from Lao Tzu, "Watch your thoughts; they become words. Watch your words; they become actions. Watch your actions; they become habit. Watch your habits; they become character. Watch your character; it becomes your destiny."

Regent Brady thanked Chancellor Case for the hospitality; reflected on the great work the board has accomplished with the assistance of UA administration; stated an outstanding leadership team is in place to endure the upcoming challenges in higher education; said Shaping Alaska's Future (SAF) is good work and is looking forward to seeing how UA will move forward with the changes in the coming years.

Regent Powers thanked Chancellor Case for the hospitality; noted the Academic and Student Affairs Committee looks forward to having the Faculty Alliance chair actively engaged in the work of the committee and said he enjoyed working with Robert Boeckmann, outgoing Faculty Alliance chair, this past year.

Regent Heckman thanked Chancellor Case and staff for the hospitality and the very nice reception; said the SAF video shown during this meeting was extremely well done; noted the smoke-free policy is personally important to her and she encouraged UA to be timely by developing the policy as soon as possible and complimented those individuals working so diligently on the budget adjustments.

Unofficial Minutes

Meeting of the Full Board
June 5-6, 2014
Anchorage, Alaska

Regent Fisher congratulated Chancellor Case on 45 years of marriage and thanked Chancellor Case and his staff for the hospitality.

Regent Cowell thanked Chancellor Case and his staff for the hospitality; appreciated the robust discussions during this meeting and noted his stay in UAA housing during this trip was a great opportunity to see and experience the student housing options on campus.

Regent Wickersham said President Gamble warned the board last fall that phase two of SAF would be much more difficult than phase one; stated the board has endorsed the process and product along the way and is cognizant and respectful of the changes ahead for UA.

Regent Jacobson thanked UAA for hosting the meeting, Chancellor Case for the lovely reception and staff for putting this meeting together; noted appreciation for General Counsel Hostina and the work he does for the board; said the Alaska Commission on Postsecondary Education and the UA Athletes reports have been moved to written reports within the agenda; noted the passing of past regent and UA's first female civil engineering graduate (1936) Helen Atkinson; congratulated Vice President Thomas on being selected as the UA Foundation's Edith R. Bullock Prize for Excellence recipient; felt this was a difficult but productive and most worthwhile meeting with tough decisions being made with many more ahead; stated with the leadership of President Gamble, Vice Presidents Thomas and Beam and others, UA is poised to make adjustments needed in an educated, thoughtful, careful and responsible manner and said she looks forward to the journey with her able colleagues, the Board of Regents.

President Gamble thanked Chancellor Case and his staff for the hospitality; noted the efforts of the great teamwork it takes to prepare and organize the board meetings; said the board meeting are much more pithy as the university engages in tough situations and decisions; stated appreciation that the board recognizes the efforts of staff; stated one of the most important outcomes of this meeting is placing SAF in Regents' Policy; said such policy will guide UA into the future with a statement of intent and a sense of urgency and noted SAF is a reflection of three year's worth of work.

Chancellor Case thanked everyone for coming to Anchorage; noted in May 2014 UAA chartered their tenth alumni association establishing the Alaska Native Alumni Association; stated excitement regarding the memorandum of understanding signed with the Anchorage School District focusing on the high priorities of student success and dual credit efforts; thanked Ken Jernstrom for his technical expertise, Executive Officer Berg for her first-rate efforts and General Counsel Hostina for his excellent work on behalf of UAA; noted the Title IX discussion is sobering and extensive training is in place for all UAA faculty, staff and students and stated 67.1 percent of graduates in May 2014 brought in transfer credits from outside universities and other UA campuses noting UAA is committed to continuing to streamline the transfer of credit process

# XXXI. Adjourn

Chair Jacobson adjourned the meeting at 4:35 p.m. on Friday, June 6, 2014.

# **Revised Minutes**

# **Board of Regents Meeting of the Full Board**

November 6, 2013 Anchorage, Alaska

# **Regents Present:**

Patricia Jacobson, Chair Kirk Wickersham, Vice Chair Jyotsna Heckman, Treasurer Dale Anderson Timothy Brady Fuller A. Cowell (attended via audio) Courtney Enright Kenneth Fisher Mary K. Hughes (attended via audio) Gloria O'Neill

Patrick K. Gamble, Chief Executive Officer and President, University of Alaska

# **Regent Absent:**

Michael Powers, Secretary

#### **Others Present:**

Tom Case, Chancellor, University of Alaska Anchorage Brian Rogers, Chancellor, University of Alaska Fairbanks

Michael Hostina, General Counsel

Carla Beam, Vice President for University Relations

Ashok Roy, Vice President of Finance & Administration and Chief Financial Officer

Dana Thomas, Vice President for Academic Affairs

Kit Duke, Chief Facilities Officer & Associate Vice President, Facilities and Land Management

Michelle Rizk, Associate Vice President, Budget

Kate Ripley, Director, Public Affairs

Brandi Berg, Executive Officer, Board of Regents

Barbara Nilsen Assistant, Board of Regents

# I. Call to Order

Chair Jacobson called the meeting to order at 9:00 a.m. on Wednesday, November 6, 2013.

# II. Adoption of Agenda

Regent Wickersham moved, seconded by Regent Anderson and passed with Regents Anderson, Brady, Cowell, Enright, Fisher, Heckman, Hughes, Wickersham and Jacobson voting in favor that:

# **PASSED AS AMENDED** (amendment noted by \*)

"The Board of Regents adopts the agenda as presented.

- I. Call to Order
- II. Adoption of Agenda
- **III.** Governance Report
- **IV.** Public Testimony
- V. Approval of Tuition Rates for Academic Year 2015
- VI. Approval of the University of Alaska FY15 Operating Budget Request
- \*VI.A. Executive Session (added)
- VII. Approval of the University of Alaska FY15 Capital Budget Request
- VIII. Approval of the University of Alaska 10-Year Capital Improvement Plan FY15-FY24
- IX. Approval of Revisions to the Industrial Security Resolution
- X. Board of Regents' Comments
- XI. Adjourn

This motion is effective November 6, 2013."

# III. Governance Report

Carey Brown, Staff Alliance chair, thanked the board for allowing governance the opportunity to participate in the meeting; noted in response to the work life survey conducted by the alliance a resolution was presented to President Gamble advocating for bullying training across the university system and revising Regents' Policy to address bullying concerns; said an outreach effort is underway at the campuses promoting a smoke-free and tobacco-free learning environment, stated appreciation for including staff in Shaping Alaska's Future dialogue and noted systemwide practices are being reviewed to ensure and promote a diverse hiring process at the university.

Robert Boeckmann, Faculty Alliance chair, said work is being done to resolve a setback that has occurred in establishing common English placement scores at the university; stated an effort to develop policy regarding distance delivery of science labs is underway; noted participation in the October 31 Shaping Alaska's Future meeting and looks forward to future faculty involvement regarding the initiative.

Shauna Thornton, Coalition of Student Leaders speaker, noted the majority of students support the extremely conservative tuition percentage increase; said students prefer a flatrate fee structure; noted preparation for the student legislative summit and this year's

Revised Minutes **Meeting of the Full Board** November 6, 2013 Anchorage, Alaska

> theme: Education Equals Bright Ideas for Alaska; said efforts are underway to redefine the coalition's constitution and bylaws and noted an increase in Facebook membership and participation amongst the students.

# IV. Public Testimony

Abigail Carter, UAA student, asked the board to support the occupational therapy program and the Area Health Education Center (AHEC) budget; spoke about her experience at AHEC, how it offered her the opportunity to give back to her community and boosted her confidence as a student.

Jarmyn Kramlich, United Students of UAS president, noted appreciation for the effort put forth by the administration to provide time for open discussions with students regarding the proposed tuition increase and thanked President Gamble and the Board of Regents for their service to the university.

Drew Lemish, Union of Students of UAA president, spoke in support of the tuition increase; noted November 22 has been declared the first-ever smoke-free day at UAA and stated student government efforts are ongoing to establish a smoke-free environment at UAA.

Caity-Ann Stigon, UAA Resident Hall Association president, stated students at UAA are interested in learning more about tuition and what the increase supports.

# V. Approval of Tuition Rates for Academic Year 2015

Reference 1

Note for the record: Regent Enright is a student at the UAF School of Management, and Regents Fisher and Heckman both have students attending the University of Alaska. All three regents reported conflicts with the tuition rates motion. Because the tuition rate motion is a university-wide issue that equally affects all students, Chair Jacobson determined that any benefits to Regents Enright, Fisher and Heckman were indirect and therefore not substantial and material as prohibited by the Ethics Act.

Regent O'Neill moved, seconded by Regent Enright and passed with Regents Brady, Cowell, Enright, Heckman, Hughes, O'Neill, Wickersham and Jacobson voting in favor and Regents Anderson and Fisher voting in opposition that:

#### **PASSED**

"The Board of Regents approves tuition rates for Academic Year 2015 as presented. This motion is effective November 6, 2013."

#### POLICY CITATION

Regents' Policy 05.10.01 states: "Recognizing that state general fund support is not sufficient to pay the full cost of education and that students have a responsibility to contribute to the cost of their higher education, tuition and student fees will be established to the extent practicable in accordance with the following objectives: (1) to

provide for essential support to the university's instructional programs; (2) to make higher education accessible to Alaskans who have the interest, dedication, and ability to learn; and (3) to maintain tuition and student fees at levels which are competitive with similarly situated programs of other western states. Tuition revenues will be used primarily to maintain and expand the educational opportunities provided to students, to preserve and improve the quality of existing programs and support services, to respond to enrollment trends, and to implement new programs."

### RATIONALE/RECOMMENDATION

In September 2012, the board confirmed for academic year (AY) 2014 a 2 percent increase for undergraduate, graduate and non-resident graduate tuition. In addition, the board approved a 4 percent increase to non-resident undergraduate tuition. The AY14 increase was one of the smallest tuition increases in over a decade at UA. This was made possible because of belt tightening and other cost savings measures employed throughout the university, such as a hiring delay of up to 90 days on all open positions. While these and other fiscal practices will continue, the need to offset increasing fixed costs and to help maintain the quality of UA's programs and services requires a tuition increase. After careful consideration of the university system budget requirements from among the chancellors, staff, and university community, the president proposed the following tuition increases:

- for undergraduate resident (100-400) level courses a \$6.00 per credit increase;
- for graduate resident (500-600) level courses a \$12.00 per credit increase;
- for undergraduate non-resident (100-400) level a \$12.00 per credit increase and
- for graduate non-resident (500-600) level courses a \$12.00 per credit increase.

Reference 1 reflects the previously approved AY2014 tuition rates and the proposed increases for AY2015.

The Board of Regents reserves the right to revise tuition rates per Regents' Policy 05.10.060.E.

	AY2014	AY2015
Lower Division:		
PWSCC	\$145	\$152
Kodiak	\$147	\$153
All Other	\$168	\$174
Upper Division	\$204	\$210
Graduate	\$391	\$403
Nonresident Undergraduate Surcharge	\$432	\$444
Nonresident Graduate Surcharge	\$408	\$420

# VI. Approval of the University of Alaska FY15 Operating Budget Request Reference 2

Regent Enright moved, seconded by Regent Heckman and passed with Regents Anderson, Brady, Cowell, Enright, Heckman, Hughes, O'Neill, Wickersham and Jacobson voting in favor and Regent Fisher voting in opposition that:

#### **PASSED**

"The Board of Regents approves the FY15 operating budget request in accordance with the plan as presented. This motion is effective November 6, 2013."

#### POLICY CITATION

Regents' Policy 05.01.01.A. – Budget Policy, states: "The budget of the university represents an annual operating plan stated in fiscal terms. All budgetary requests shall be adopted by the board prior to submittal to the Office of the Governor or the legislature."

#### RATIONALE/RECOMMENDATION

Associate Vice President Rizk provided an in-depth review of UA's FY15 Operating Budget Request. During the presentation, changes from the previous drafts were discussed. Reference 2 provides details for the proposed FY15 Operating Budget Request.

# \*VI.A. <u>Executive Session</u> (added)

Regent Heckman moved, seconded by Regent Wickersham and passed with Regents Anderson, Brady, Cowell, Enright, Fisher, Heckman, Hughes, O'Neill, Wickersham and Jacobson voting in favor that:

#### **PASSED**

"The Board of Regents goes into executive session to discuss matters the immediate knowledge of which would have an adverse effect on the finances of the university related to the UAF Heat and Power Plant. This motion is effective November 6, 2013."

The Board of Regents goes into executive session at 12:50 p.m. Alaska Time in accordance with AS 44.62.310. The session will include members of the Board of Regents, President Gamble, General Counsel Hostina, and such other university staff members as the president may designate and will last approximately 15 minutes.

The Board of Regents concluded an executive session at 1:20 p.m. Alaska Time in accordance with AS 44.62.310 to discuss matters the immediate knowledge of which would have an adverse effect on the finances of the university related to the UAF Heat and Power Plant. The session included members of the Board of Regents, President Gamble, General Counsel Hostina, and such other university staff members as the president designated and lasted approximately 30 minutes.

# VII. Approval of the University of Alaska FY15 Capital Budget Request

Reference 3

Regent Anderson moved, seconded by Regent Brady and passed with Regents Brady, Cowell, Fisher, Heckman, Hughes, O'Neill, Wickersham and Jacobson voting in favor that:

#### **PASSED**

"The Board of Regents approves the FY15 capital budget request in accordance with the plan as presented. This motion is effective November 6, 2013."

#### POLICY CITATION

Regents' Policy 05.01.010.A. – Budget Policy, states: "The budget of the university represents an annual operating plan stated in fiscal terms. All budgetary requests shall be adopted by the board prior to submittal to the Office of the Governor or the legislature."

#### RATIONALE/RECOMMENDATION

Associate Vice Presidents Rizk and Duke provided an in-depth review of UA's FY15 Capital Budget Request. During the presentation, changes from the previous drafts were discussed. Reference 3 provides details of the proposed FY15 capital budget request.

# VIII. Approval of the University of Alaska 10-Year Capital Improvement Plan FY15-FY24 Reference 3

Regent O'Neill moved, seconded by Regent Heckman and passed with Regents Brady, Cowell, Enright, Fisher, Heckman, Hughes, O'Neill, Wickersham and Jacobson voting in favor that:

#### **PASSED**

"The Board of Regents approves the 10-Year Capital Improvement Plan for FY15-FY24 as presented. This motion is effective November 6, 2013."

#### POLICY CITATION

Regents' Policy 05.12.032 - Budget Policy, states:

A. "Annually, within the capital budget process, each MAU will prepare and update a 6-year capital plan proposal. The MAU 6-year capital plan proposals, which are developed based upon approved strategic, academic and other planning assumptions, will be consolidated into a systemwide 6-year capital plan in accordance with guidelines approved by the board and procedures established by the chief finance officer. The systemwide 6-year capital plan will be presented to regents' committees responsible for facilities and budgeting for review and comment prior to submission to the full board for approval. Once the 6-year capital plan is approved, the MAU 6-year capital plans shall consist of those projects in the sequence and with the funding sources as identified in the board-approved 6-year capital plan.

Revised Minutes **Meeting of the Full Board** November 6, 2013 Anchorage, Alaska

B. The 6-year capital plans shall be reviewed and updated each year as part of the capital budget submission process. Year one of the approved systemwide 6-year capital plan, exclusive of any operating leases and other property or facilities funded from current operating funds, shall become the university's capital budget request for the next capital appropriation cycle.

C. Each MAU shall include as part of its budget submittal such information regarding reportable leased facilities as may be requested by the chief finance officer."

#### RATIONALE AND RECOMMENDATION

The scope of the 6-year capital plan was extended to include a 10-year period in order to display additional information that is congruent with the 10-year fiscal plan submitted to the State of Alaska.

Associate Vice Presidents Rizk and Duke presented, reviewed, and discussed the proposed 10-year capital improvement plan which clearly demonstrates that the deferred maintenance (DM) and renewal & repurposing is, and will continue to be, the highest priority until the backlog of DM is reduced to a reasonable level. Reference 3 provides details of the proposed 10-year capital improvement plan.

# IX. Approval of Revisions to the Industrial Security Resolution

Regent O'Neill moved, seconded by Regent Fisher and passed with Regents Brady, Cowell, Enright, Fisher, Heckman, Hughes, O'Neill, Wickersham and Jacobson voting in favor that:

#### **PASSED**

"The Board of Regents approves the Industrial Security Resolution as revised to reflect a change in university administration, and authorizes the chair and secretary of the board to sign the resolution. This motion is effective November 6, 2013."

#### RATIONALE/RECOMMENDATION

The president and selected members of the university administration are routinely designated by the Board of Regents to handle any duties and responsibilities relating to classified information in connection with contracts with the Department of Defense and other federal agencies. These individuals are given an extensive security screening and are the only members of the administration, including the Board of Regents, to have access to classified information.

The university has received similar security clearances since the mid-1950s. Execution of the resolution allows regents and other members of the administration to be exempted from security clearance procedures.

The resolution is identical to resolutions previously passed except for changes to university administration which includes adding a primary and a secondary facility security officer at the University of Alaska Fairbanks.

### X. Board of Regents' Comments

Regent Wickersham stated it is the board's responsibility to be good stewards of the university, to carry UA's message to the legislature and the public thus allowing the governor and the legislature to do what is best for state of Alaska; noted if UA takes care of the students, everything else will take of itself and congratulated administration on the excellent Shaping Alaska's Future work session noting five board members attended. Regent Heckman thanked staff and administration for preparing the budget information in the context of the Strategic Direction Initiatives; noted appreciation for the format in which the presentations were done and stated it was a good meeting.

Regent O'Neill stated this was her first year approving the budgets noting it was an interesting process; concurred with Regent Wickersham regarding being good ambassadors for the university system as it relates to the overall success of the budget planning process; is looking forward to Shaping Alaska's Future and to further defining the board's role in planning for the challenges and opportunities ahead.

Regent Fisher stated with all the national attention on the Arctic it is an opportunity for UA to highlight the research efforts at Toolik Field; noted during the December meeting he would like a broader discussion regarding revising the employee education benefits policy using the savings of such to reduce student tuition and debt; said as chair of the audit committee, the UAF heat and power plant is the university's number one risk and stated a preference for adding deferred maintenance and repurposing into the operating budget.

Regent Enright thanked administration for putting forth a thoughtful and carefully crafted budget; thanked Chancellor Case for hosting the meeting; is interested in seeing diverse options for tuition proposals that may assist in accomplishing some of the Shaping Alaska's Future goals and suggested board members tour the UAF heat and power plant in Fairbanks either before or after the December meeting.

Regent Hughes said Regent O'Neill summed up her thoughts regarding being good ambassadors for the university and apologized for not being able to attend the meeting in person.

Regent Cowell thanked Chair Jacobson for a productive meeting; noted this meeting was the first time he has attended a full board meeting electronically; said the technology worked amazingly well and the addition of web-streaming is a wonderful option.

Regent Jacobson thanked Chancellor Case and administration for all the preparation that made the meeting a success and noted she met with former Regent Marrs who sends his regards to the board.

President Gamble thanked the board for their support regarding the FY15 budget; noted the importance in finding the right level of information for board members that keeps them informed without going too deeply into the day-to-day efforts of the administration;

Revised Minutes **Meeting of the Full Board** November 6, 2013 Anchorage, Alaska

invited board members to attend the August budget planning meeting to get more involved, to see the details and to watch the process administration uses to formulate the budget information before the proposed budget comes to the board for approval.

Chancellor Rogers said he would schedule a tour of the UAF heat and power plant for board members in December; thanked Chancellor Case and staff for hosting the meeting and noted a video of Vice Chancellor Pitney's trip to the Arctic will be highlighted at the December meeting.

Chancellor Case stated it is always a pleasure to host the board meetings and would communicate the board's hospitality appreciation to staff; thanked the statewide team for their efforts in setting up the meeting; noted an Alaska Scholars event which was attended by 300 future UA students and the importance of engaging students in conversations about the advantages of continuing their education; said the Shaping Alaska's Future process has been fruitful thus far and is providing an enriched opportunity for the three universities to work together.

Provost Caulfield introduced Interim Vice Chancellor for Administrative Services Michael Ciri; said the leadership training session offered on October 31 was well attended and very valuable; noted the Shaping Alaska's Future conversations are productive and said there are many good ideas being shared with the creation of the effect statements.

Interim Vice Chancellor Ciri noted excitement about the UAS campus renovation projects; said food service at UAS is being reviewed particularly with the opening of the freshman housing complex; stated the dining services contract with NANA will not be renewed next fiscal year and noted effective July 1, 2014, UAS will be responsible for food services on campus and catering with be outsourced to local businesses.

# XI. Adjourn

Chair Jacobson adjourned the meeting at 2:07 p.m. on Wednesday, November 6, 2013.

#### CURRENT LANGUAGE with TRACK CHANGES for PROPOSED CHANGES

# P04.02.012. Equal Employment Opportunity Program.

The program of equal employment opportunity consists of two parts: nondiscrimination and a program of affirmative action.

#### A. Nondiscrimination

1. In accordance with federal and state laws and regulations, the university will not engage in impermissible discrimination. In accordance with federal and state law and regulation, the university makes its programs and activities available without discrimination on the basis of race, religion, color, national origin, citizenship, age, sex, physical or mental disability, status as a protected veteranstatus, marital status, changes in marital status, pregnancy, childbirth or related medical conditions, parenthood, sexual orientation, political affiliation or belief, or genetic information. Among the federal and state laws and regulations prohibiting discrimination in employment that pertain to the university as of June 2007-September 2014 are:

Equal Pay Act
Title VI and Title VII of the Civil Rights Act of 1964
Executive Order 11246
Age Discrimination in Employment Act
Title IX of the Education Amendments of 1972
Section 504 of the Rehabilitation Act of 1973
Vietnam Era Veterans' Readjustment Assistance Act of 1974
Pregnancy Discrimination Act of 1978
Immigration Reform & Control Act of 1986
Civil Rights Restoration Act of 1987
Americans with Disabilities Act of 1990 as amended
Age Discrimination Act of 1975
Genetic Information Non-Discrimination Act of 2008
Alaska Statute 14.40.050 and 18.80.220.

2. Individual merit will be considered by the university. University hiring decisions will be based on the individual's qualifications, demonstrated abilities, and performance, as appropriate.

# B. Affirmative Action

The university seeks to hire, train and promote individuals based on qualifications and demonstrated ability to perform the job. In its commitment to affirmative action, the university is committed to recruit and retain women and minorities in positions of employment where they have been traditionally under-represented. The concept of affirmative action requires that practices that adversely impact protected classes should be eliminated unless the university can demonstrate a legally permissible basis. To accomplish the goals of its affirmative action program, the university encourages employment applications from and makes special efforts to recruit protected classes.

#### PROPOSED FINAL LANGUAGE

# P04.02.012. Equal Employment Opportunity Program.

The program of equal employment opportunity consists of two parts: nondiscrimination and a program of affirmative action.

#### A. Nondiscrimination

1. In accordance with federal and state laws and regulations, the university will not engage in impermissible discrimination. In accordance with federal and state law and regulation, the university makes its programs and activities available without discrimination on the basis of race, religion, color, national origin, citizenship, age, sex, physical or mental disability, status as a protected veteran, marital status, changes in marital status, pregnancy, childbirth or related medical conditions, parenthood, sexual orientation, political affiliation or belief, or genetic information. Among the federal and state laws and regulations prohibiting discrimination in employment that pertain to the university as of September 2014 are:

Equal Pay Act
Title VI and Title VII of the Civil Rights Act of 1964
Executive Order 11246
Age Discrimination in Employment Act
Title IX of the Education Amendments of 1972
Section 504 of the Rehabilitation Act of 1973
Vietnam Era Veterans' Readjustment Assistance Act of 1974
Pregnancy Discrimination Act of 1978
Immigration Reform & Control Act of 1986
Civil Rights Restoration Act of 1987
Americans with Disabilities Act of 1990 as amended
Age Discrimination Act of 1975
Genetic Information Non-Discrimination Act of 2008
Alaska Statute 14.40.050 and 18.80.220.

2. Individual merit will be considered by the university. University hiring decisions will be based on the individual's qualifications, demonstrated abilities, and performance, as appropriate.

### B. Affirmative Action

The university seeks to hire, train and promote individuals based on qualifications and demonstrated ability to perform the job. In its commitment to affirmative action, the university is committed to recruit and retain women and minorities in positions of employment where they have been traditionally under-represented. The concept of affirmative action requires that practices that adversely impact protected classes should be eliminated unless the university can demonstrate a legally permissible basis. To accomplish the goals of its affirmative action program, the university encourages employment applications from and makes special efforts to recruit protected classes.

89

# *No change to P04.02.030:*

# P04.02.030. Reasonable Accommodation for People with Disabilities: Prohibition Against Discrimination on the Basis of Disability.

All members of the university community have a right to a working and learning environment free of all forms of illegal discrimination including discrimination against people with disabilities. It is the university's intent that no employee, or user of university facilities, be subjected to unlawful discrimination based on disability.

(06-06-07)

# Delete the following policies; updated definitions and procedures have been adopted as University Regulation R04.02.030 – R04.02.036:

#### P04.02.032. Definitions.

In P04.02.030 - 04.02.038, unless the context requires otherwise:

- A. "ADA" means the federal law known as the Americans with Disabilities Act of 1990, as amended;
- B. "ADA coordinator" means the individual designated to administer the university's disability discrimination compliance program;
- C. "affirmative action officer," or "AAO" means the regional affirmative action officer, director, or designee, whichever reference is applicable;
- D. "complainant" means the person or persons asserting a complaint;
- E. "person with a disability" means an individual who:
  - 1. has a documented physical or mental impairment that substantially limits a major life activity;
  - 2. has a documented record of a physical or mental impairment that substantially limits a major life activity; or
  - 3. is regarded as having a physical or mental impairment that substantially limits a major life activity;
- F. "reasonable accommodation" means the process of modifying or adjusting the work environment to reasonably accommodate the functional limitation caused by a disability;
- G. "reasonable accommodation resolution" means the process whereby the ADA coordinator or AAO facilitates the development of an appropriate reasonable accommodation; and

H. "respondent" means the university employee, officer, agent, or representative whose act or failure to act is being disputed.

(06-06-07)

#### P04.02.033. Request for Accommodation.

- A. The purpose of this process is to educate the person or persons involved about the applicable provisions of the law, to provide a non-retaliatory environment to determine accommodations, and to initiate change in behavior, practice, or treatment that will lead to a positive work environment.
- B. A university employee who believes that the employee requires accommodation must present medical documentation to the employee's immediate supervisor, with copies to the AAO or designee, when the disability or need for accommodation is not known or obvious to the supervisor.
- C. An informal complaint to the AAO must state the employee's name, and provide a detailed description of the practice or action that allegedly requires accommodation or constitutes discrimination on the basis of disability.
- D. No person who acts in good faith will be subject to restraint, interference, coercion, reprisal, or retaliation for initiating a request or complaint or participating as a witness or in another capacity in any proceeding designed to foster compliance with this policy and university regulation.
- E. In attempting to informally determine an appropriate accommodation or resolve a complaint, the AAO will obtain and clarify relevant information from the employee, the supervisor, the regional personnel director, and other involved persons. The outcome of a reasonable accommodation generally will not include documentation copied to the personnel file or widespread distribution of decisions regarding any accommodations made. However, any documentation relating to a disability complaint will be kept in a separate file apart from the employee's personnel file.

-(06-06-07)

# P04.02.034. Formal Complaint.

An employee who claims to have been subjected to discrimination based upon a disability and who has exhausted the reasonable accommodation process may initiate a written formal complaint. Formal complaints must be presented to the ADA coordinator and must include, at a minimum, the following information:

A. the name of the complainant and the respondent;

B. a clear and concise description of the event and the alleged discriminatory action or conduct;

C. an explanation of the impact upon the complainant of the alleged discriminatory action or conduct;

D. a summary of attempts taken to resolve the complaint informally; and

E. the remedy requested.

 $(06 \cdot 06 \cdot 07)$ 

# P04.02.035. Determination of Formal Complaint.

A. The AAO and ADA coordinator are responsible for ensuring compliance with the provisions in the Americans with Disabilities Act and other applicable laws in their efforts to coordinate disputes involving people with disabilities within the university.

B. The ADA coordinator will determine whether there is reasonable basis to justify relief in the form of a reasonable accommodation. If so, the ADA coordinator will identify appropriate solutions, including recommendations for reasonable accommodation, and report those recommendations to the respondent's immediate supervisor, regional human resources office, and other appropriate administrative officers.

-(06-06-07)

#### P04.02.036. Remedies.

A. Unjustified delay or refusal to implement the solutions or the reasonable accommodations recommended by the ADA coordinator may lead to referral to an appropriate administrative officer to obtain proper and timely action. An employee who delays or refuses unjustifiably to implement the recommendations is subject to disciplinary action.

B. If the ADA coordinator determines that there is not just cause to support a disability discrimination complaint, the coordinator will notify the complainant in writing of that determination and the reason therefore. The complainant may appeal the ADA coordinator's determination or the respondent's alleged improper action by submitting a grievance to the grievance council at Step 3 of the grievance resolution process contained in P04.08 and R04.08. The grievance must be filed within 45 working days of the date on which the complainant received the ADA coordinator's written determination.

-(06-06-07)

# P04.02.037. Confidentiality.

The university cannot guarantee confidentiality. However, all university employees are expected to make a reasonable effort to protect the legitimate privacy interests of involved persons.

(06-06-07)

### P04.02.038. Records Retention.

The affirmative action officer and ADA coordinator will maintain appropriate records concerning complaints brought under the provisions of the informal and formal complaint

processes. These dispute resolution records are considered confidential under federal law and will be maintained accordingly.

(05-04-99)

#### CURRENT LANGUAGE with TRACK CHANGES for PROPOSED CHANGES

# P04.06.140. Additional Leave and Holiday Benefit Provisions.

The president will establish by university regulation provisions for the following:

- A. <u>administrative leave</u>;
- B. the schedule of holiday observances;
- BC. sick leave without pay;
- **DE**. family and medical leave;
- **EF**. jury duty;
- FG. military leave;
- GH. nonmedical leaves of absence; leaves granted under regulations promulgated under this paragraph must be approved by the chancellor, or in appropriate cases, the president; granting nonmedical leaves of absence will not affect the employee's status except as established by regulation or as agreed in writing at the time leave is granted;
- **HI**. special assignments to non-faculty staff of the university duties that require absence from their units for periods of time;
- L. proportionate annual, sick, and holiday leave benefits for extended temporary employees.

#### PROPOSED FINAL LANGUAGE

# P04.06.140. Additional Leave and Holiday Benefit Provisions.

The president will establish by university regulation provisions for the following:

- A. administrative leave;
- B. the schedule of holiday observances;

C.	sick leave	without	pay:

- D. parental leave;
- E. family and medical leave;
- F. jury duty;
- G. military leave;
- H. nonmedical leaves of absence; leaves granted under regulations promulgated under this paragraph must be approved by the chancellor, or in appropriate cases, the president; granting nonmedical leaves of absence will not affect the employee's status except as established by regulation or as agreed in writing at the time leave is granted;
- I. special assignments to non-faculty staff of the university duties that require absence from their units for periods of time;
- J. proportionate annual, sick, and holiday leave benefits for extended temporary employees.

#### CURRENT LANGUAGE with TRACK CHANGES for PROPOSED CHANGES

#### P04.07.040. Corrective Action.

Α.

Supervisors will apply necessary and appropriate corrective action whenever an employee fails to meet the required standards of conduct or performance. Corrective action may be necessary because of employment related problems, including but not limited to: inattention to duty, unsatisfactory performance, insubordination, absenteeism, violation of law, regents' policy, or university regulation, dishonesty, theft or misappropriation of public funds or property, inability to work effectively with others, fighting on the job, acts endangering others, inappropriate behavior toward or harassment of others, bullying or other misconduct.

R

Corrective actions may include: formal discussion, written communications detailing performance and behavior standards and expectations, written reprimands, which are sent to the official personnel file, disciplinary probation, suspension, dismissal, or any reasonable combination of these or other actions.

#### PROPOSED FINAL LANGUAGE

#### P04.07.040. Corrective Action.

Α.

Supervisors will apply necessary and appropriate corrective action whenever an employee fails to meet the required standards of conduct or performance. Corrective action may be necessary because of employment related problems, including but not limited to: inattention to duty, unsatisfactory performance, insubordination, absenteeism, violation of law, regents' policy, or university regulation, dishonesty, theft or misappropriation of public funds or property, inability to work effectively with others, fighting on the job, acts endangering others, inappropriate behavior toward or harassment of others, bullying or other misconduct.

B. Corrective actions may include: formal discussion, written communications detailing performance and behavior standards and expectations, written reprimands, which are sent to the official personnel file, disciplinary probation, suspension, dismissal, or any reasonable combination of these or other actions.

# Approved

# Proposed

	AY2014 (fall 2013 and spring 2014)	AY2015 (fall 2014 and spring 2015)	AY2016 (fall 2015 and spring 2016)
	Tuition Rates (2 & 4% for UG); 2% for GR)	Tuition Rates increase of \$6.00 for UG; \$12.00 increase for all other	Tuition Rates increase of \$6/7/8 for UG; \$16/17/18 increase for all other
	2%/4%/2%	\$6.00/\$12.00	\$6.00-\$18.00
Lower Division			
PWSC	145	152	158
Kodiak	147	153	159
All Others	168	174	181
Upper Division	204	210	218
Graduate	391	403	419
Non Resident Surcharge U-GRAD	432	444	462
Non Resident Surcharge GRAD	408	420	437

Patrick Gamble, President Phone: (907) 450-8000 Fax: (907) 450-8012

Email: ua.president@alaska.edu



202 Butrovich Building 910 Yukon Drive P.O. Box 755000 Fairbanks, AK 99775-5000

# MEMORANDUM

DATE: July 14, 2014

TO: Board of Regents

Coalition of Student Leaders System Governance Council

FROM: Pat Gamble, President

RE: Tuition Adjustment Notice AY2015-2016

In my April 2014 tuition adjustment notice I shared that after the legislative session ended, the chancellors and I would meet to conclude a recommendation for UA's AY2015-2016 tuition rates. Those meetings have occurred and resulted in the following recommendation.

For AY2015-2016 I propose a tuition increase of 4% for all undergraduate and graduate rates of tuition. This increase will apply to both resident and non-resident students.

The Chancellors and I did not arrive at this recommendation easily. However, in light of state general funding and in order to preserve quality we need additional tuition revenue.

On the following page please find the already approved and proposed rates of tuition. I will present the recommendation for AY2015-2016 to the Board of Regents at the September 18-19, 2014 meeting.

Board of Regents, Coalition of Student Leaders, System Governance Council July 14, 2014 Page 2

	Арр	oroved	Proposed		
	AY2014 (fall 2013 and spring 2014)	AY2015 (fall 2014 and spring 2015)	A Y2016 (fall 2015 and spring 2016)		
	Tuition Rates (2 & 4% for UG); 2% for GR)	Tuition Rates increase of \$6.00 for UG; \$12.00 increase for all other	Tuition Rates increase of \$6/7/8 for UG; \$16/17/18 increase for all other		
	2%/4%/2%	2%/4%/2% \$6.00/\$12.00			
Lower Division					
PWSC	145	152	158		
Kodiak	147	153	159		
All Others	168	174	181		
Upper Division	204	210	218		
Graduate	391	403	419		
Non Resident Surcharge U-GRAD	432	444	462		
Non Resident Surcharge GRAD	408	420	437		

# **PKG**

cc: Tom Case, UAA Chancellor John Pugh, UAS Chancellor Brian Rogers, UAF Chancellor UA System Governance Office Patrick Gamble, President Phone: (907) 450-8000 Fax: (907) 450-8012

Email: ua.president@alaska.edu



202 Butrovich Building 910 Yukon Drive P.O. Box 755000 Fairbanks, AK 99775-5000

# MEMORANDUM

DATE: April 14, 2014

TO: Board of Regents

Coalition of Student Leaders System Governance Council

FROM: Pat Gamble, President /-

RE: Annual Scheduled Tuition Adjustment Notice AY2016-2017

The state's budget shortfall has clearly impacted the University of Alaska (UA) and we are having to carefully consider and prioritize each budget line item. UAA's Program Prioritization, UAF's Budget Options Group, UAS's Strategic Planning and Budget Advisory Committee, and the System Office are all heavily engaged in working toward a lean, balanced university budget. The operating appropriation we ultimately receive from the state and our stewardship of those dollars become the two most significant factors influencing tuition setting at UA. The decision on tuition rates, a key final component of the UA budget request, comes from the Board of Regents (BOR).

The whole budget process is highly transparent by design, is informed by broad university community input, and is usually set as far in advance as practicable. Throughout the year I receive advice and recommendations on tuition considerations from students, faculty and staff. For example, the Advisory Task Force on Tuition, comprised of community campus directors, budget and finance staff, and students, all influenced UA's decision to keep a differential between lower level and upper level tuition for AY2015. These recommendations are important inputs into the formula of tuition building.

To summarize our intentions for AY2015-2016 and AY2016-2017:

AY2015-2016: After the legislative session ends, the chancellors and I will meet to conclude a recommendation for UA's AY2015-2016 tuition rates. At this point in time, however, the overall process has incomplete data and we are not yet ready

Board of Regents, Coalition of Student Leaders, System Governance Council April 14, 2014 Page 2

to declare a tuition number. Upon BOR approval, a proposed tuition rate will be announced, but not later than September 2014. If, however, the budget and economic outlook is sufficiently clear to allow an earlier approval, the tuition announcement will be made sooner.

AY2016-2017: I propose to present a rate of tuition for BOR consideration no later than September 2015.

Warm regards,

# **PKG**

cc: Tom Case, UAA Chancellor John Pugh, UAS Chancellor Brian Rogers, UAF Chancellor UA System Governance Office Ashok K. Roy, Ph.D., MS, M.Ed., MA, CIA, CBA, CFSA Vice President for Finance & Administration / CFO & Associate Professor of Business Administration Phone: (907) 450-8028

Phone: (907) 450-8028 Fax: (907) 450-8023 akroy@alaska.edu



# Reference 6

Butrovich Building 910 Yukon Drive, Suite 208 P.O. Box 755120 Fairbanks, AK 99775-5120

# REVISED MEMORANDUM

To:

Board of Regents

Thru:

Patrick Gamble, President

From:

Ashok Roy, VP Finance/CFO

Jim Lynch, AVP Finance

Date:

August 5, 2014

Subject:

Proposed modifications to the UA Scholars Program

We wish to present to you, in advance, a more clarified background paper on the program recommendations, along with various award increase scenarios, so that you have the opportunity to review the proposal and pose any questions we might address before the next Board meeting.

# PRINCIPAL CONSIDERATIONS & INITIAL RECOMMENDATIONS MADE TO THE BOARD

Recommendations for the proposed changes were guided by four principal considerations:

- 1. Align the UA Scholars Program with the APS Scholarship Program and the priorities of *Shaping Alaska's Future*, specifically the recruitment and retention of high achieving students;
- 2. Encourage students from all across the state to attend and graduate from UA;
- 3. Increase the amount of the award as previously recommended by the Board of Regents and the public; and
- 4. Keep the cost of the UA Scholars Program within sustainable limits.

These principal considerations resulted in the three recommendations we initially brought to the board:

- 1. Increase the required minimum credit hours earned per academic year from 24 to 30 credit hours beginning in year two of the award: this recommendation addresses better alignment with the APS Program and Shaping Alaska's Future (Theme 1: Student Achievement and Attainment). Students who do not take 30 credits or more annually simply will not graduate in four years.
- 2. Require incoming scholars to register for classes at UA for the fall semester immediately following high school graduation: this recommendation creates a significant financial incentive (\$12,000) to choose UA rather than attend outside or simply skip a year. Of the estimated 550 scholars that attend college outside of Alaska each year or take a year off, only about 50 return to UA. According to national statistics, students who defer attendance for the one year are shown to be less likely to return to school or graduate in six years.
- 3. Increase the amount of the award from \$11,000 to \$12,000 (\$1,500 per semester): this recommendation aligns with suggestions by the Board and others to increase the amount of the award, yet be financially sustainable in the future. Note: If approved, the recommendations above will be implemented in FY2016, and have a cost impact of approximately \$330,000. The increase in cost should be covered by earnings of the fund.

# QUERIES RAISED BY THE BOARD ABOUT THE PROGRAM AND RECOMMENDATIONS

**Query 1:** What is the impact of increasing the annual credit hour requirement for the second and third year from 24 to 30 credit hours?

Response: The proposal is to leave the freshman credit hour requirement at 24 credit hours and increase the requirement from 24 to 30 credit hours for year two through four of the award. The proposal brings the UA Scholars Program into better alignment with the Alaska Performance Scholarship (APS) requirements for full-time students and supports the goals of Shaping Alaska's Future and the Stay on Track Program. Projecting the reduced cost to the program will require additional research to determine the potential savings; however, the staff does not believe that the number of students that are unable to carry a 30 hour course load will be significant.

Query 2: What is the impact of dropping the one year-deferral period for year one?

**Response:** Historically, about 50 students have taken advantage of the UA one year deferral in the first year to attend another institution or simply take time off for some reason. The modification is intended to support the goals of the Board-approved *Shaping Alaska's Future*, the UA "Stay on Track Program," and to provide compelling incentive to attend UA. The cost savings for 50 students (if all of them turned down the UA

Board of Regents August 5, 2014 – Revised Memorandum Page 3

scholarship) would be \$600,000 (50 awards at \$12,000); however, the staff expects that would not be the case and many of those students would be incentivized to enroll immediately.

**Query 3:** What would be the impact of funding only books, room, and board for APS eligible students in order to avoid the last dollar issue?

Response: The impact on APS students is expected to be none. By law, AS 14.43.825 and 20 AAC 16.220(i) (Attachments 5 and 6), the distribution from APS is limited to the total the cost of attendance for federal financial aid purposes less any scholarships and non-loan aid. Clearly the legislature did not want APS to be a substitute for any other non-loan aid or scholarship, and so it is the last to pay out. Only ten out of more than a thousand UA students received reduced APS awards due to receiving excess funding. In order to provide additional background and information for your consideration of the recommendations, four attachments regarding program costs and funding projections are enclosed.

#### **ATTACHMENTS**

# **Attachment 1:** Data Summary

This summary is intended to provide a conceptual view of the number of awards and dollars involved. Since the start of the program, approximately 42% of those classified as scholars have attended UA.

# Estimated Cost at Various Increase Levels

Five scenarios at \$1,000 increments identify the dollar impact on the program at various levels from \$3.6 Million at the current \$11,000 level to \$5.3 Million at the \$16,000 level).

# Attachment 2: Program Five-Year Award Cost Projection

This schedule reflects the stability of the number of awards accepted, approximately 2,650 and the projected cost of the program for FY2015 through FY2019 if the \$1,000 award increase is effective for FY2016.

# Attachment 3: Program Funding Projection

This schedule identifies the projected spending allowance (funding) from the Land Grant Endowments that will be available for the UA Scholars program and the significant assumptions used to develop the projections. It includes a projection of the balance of the Endowment for the next five years. Since the annual funding is based on a five year moving average of the endowment at December 31<sup>st</sup> of each year, please note that future earnings of the Endowment will depend on market returns and contributions to the fund (income from trust properties and inflation proofing). There are also three priority annual distributions (i.e., Land Management Operations, System-Based Scholarships, and the UA Scholars Program). To be financially prudent, the university should limit any other

Board of Regents August 5, 2014 – Revised Memorandum Page 4

expenditures to "one-time" distributions *only*. Alternatively, in lieu of distributions the money could also be put in a "reserve" for any unforeseen catastrophic event.

The impact of the Scholars Award on the APS Awards to students is negligible at the current \$11,000 award level (e.g. three students at UAF and ten students university-wide). The potential impact of increasing to the \$15,000 or \$16,000 level has yet to be researched, but is unlikely affordable at this time. It should also be noted that the original intent of the UA Scholars Program in 1999 was not only to fund tuition, but to subsidize the overall cost of education and provide an incentive to attend UA without regard to exactly what education-related needs awards were spent on. At FY14 tuition rates (\$192/credit avg. cost) the program covers approximately 48% of tuition. Most scholars can go on to close the gap with APS and other awards/scholarships available.

Attachment 4: Award Cost Increase Scenario Projection

This page shows in graph form the impact of the cost and funding gap of five scenarios with \$1,000 increments to the UA Scholars award. It includes what would happen if the number of students accepting awards were to increase by 10% and 20% due to an increase in the annual award amount: The first graph shows the "unfunded" cost if the number of students accepting the award remains the same. The second and third graph show the "unfunded" cost if the number of students accepting the award increased by 10% and 20% respectively.

Attachment 5: Alaska Statute, AS 14.43.825

Attachment 6: Alaska Administrative Code, 20 AAC 16.22

#### RECOMMENDATION

We recommend the Board support the following motion:

"The Board of Regents approves three significant revisions to the UA Scholars Program, which will take effect in fall 2015 for all UA Scholars:

- 1) Increase the required minimum credit hours earned per academic year from 24 to 30 credit hours beginning in year two of the award.
- 2) Require incoming scholars to register for classes at a University of Alaska campus for the fall semester immediately following high school graduation.
- 3) Increase the amount of the award from \$11,000 to \$12,000 to be distributed at \$1,500 per semester.

Number of semester awards paid annually	2,650
Current amount of a one semester award	\$1,375
Proposed amount of a semester award	\$1,500
Proposed increase per semester award	\$125
Annual cost increase (2,650 * \$125)	\$331,250
Annual cost of awards @ \$1,500 per semester (2,650 * \$1,500)	\$3.975.000

Cohort year	Designated	Attended	%Attended
2013	1027	444	43.23%
2012	1068	434	40.64%
2011	1027	443	43.14%
2010	1077	473	43.92%
2009	1072	463	43.19%
2008	1025	434	42.34%
2007	1039	416	40.04%
2006	1006	411	40.85%
2005	948	421	44.40%
2004	969	431	44.50%
2003	973	459	47.20%
2002	924	377	40.80%
2001	904	392	43.40%
2000	879	349	39.70%
1999	813	271	33.30%
	980	410	42%

# **Estimated Cost at Various Increase Levels:**

Award increase	<u>o</u>	\$1,000	\$2,000	\$3,000	\$4,000	\$5,000
4 year award value	\$11,000	\$12,000	\$13,000	\$14,000	\$15,000	\$16,000
Semester award value	\$1,375	\$1,500	\$1,625	\$1,750	\$1,875	\$2,000
Number semester of awards paid annually	2650	2650	2650	2650	2650	2650
Total annual cost	\$3,644,000	\$3,975,000	\$4,306,000	\$4,638,000	\$4,969,000	\$5,300,000
Cost increase over current cost	\$0	\$331,000	\$662,000	\$994,000	\$1,325,000	\$1,656,000
Percent increase over current cost	0%	9%	18%	27%	36%	45%

# **Program Five-Year Award Cost Projection**

UA Scholar's Program:													
High School Juniors High School Seniors High School Graduates High School Graduation Rate	FY2008 10599 10092 8008 76%	FY2009 10937 9787 7943 73%	FY2010 10874 9778 7749 71%	FY2011 10725 9643 7950 74%	FY2012 9989 9977 7414 74%	FY2013 9701 9798 7274 75%	FY2014 9668 9605 7320 76%	Avg. 10316 9765 7665 74%	FY2015 9829 9368 7304 74%	10256	10307	10222 9776	10051 9549 7469
Awards Offered Percent of Graduates	1039 <u>13.0%</u>	1025 <u>12.9%</u>	1072 <u>13.8%</u>	1077 13.5%	1027 13.9%	1068 <u>14.7%</u>	1027 <u>14.0%</u>	1048 13.7%	1020 <u>14.0%</u>				
Freshmen Paid Percent of Offers	416 40.0%	434 42.3%	463 43.2%	473 <u>43.9%</u>	443 <u>43.1%</u>	434 40.6%	444 <u>43.2%</u>	444 42.4%	432 42.4%	434 <u>42.4%</u>			
Full Award Amount  Total Actual Distributions			\$11,000 \$3,638,938	\$11,000 \$3,674,000	\$11,000 \$3,639,625	\$11,000 \$3,646,500	\$11,000 \$3,583,250	<i>Avg.</i> \$3,636,463	\$11,000	\$11,000	\$12,000	\$12,000	\$12,000
Semester Award Amount			\$1,375	\$1,375	\$1,375	\$1,375	\$1,375	\$1,375	\$1,375	\$1,375	\$1,500	\$1,500	\$1,500
Number of Semester Awards Paid			2647	2672	2647	2652	2606	2645	2650	2650	2650	2650	
Total Projected Award Cost									\$3,644,000	\$3,644,000	\$3,975,000	\$3,975,000	\$3,975,000

# Significant Assumptions:

- 1 HS graduation rate remains constant
- 2 Awards offered based on Junior class projections
- 3 Freshmen paid remains constant
- 4 No significant change resulting from proposed modifications
- 5 Award increase from \$11,000 to \$12,000 in FY2017

# **Program Funding Projection**

	FY2011	FY2012	EV2042		Projected						
		F1ZU1Z	FY2013	FY2014	FY2015	FY2016	FY2017	FY2018	FY2019	FY2020	
Endowment Value Dec. 31	\$117,570,000	\$123,850,000	\$115,850,000	\$128,950,000	\$139,000,000	\$145,250,000	\$151,780,000	\$158,600,000	\$165,730,000	\$173,180,000	
Increase Rate		<u>5.3%</u>	<u>-6.5%</u>	11.3%	7.8%	4.5%	4.5%	<u>4.5%</u>	4.5%	4.5%	
	Average Endowm	ent Increase FY20	012 - FY2015		<u>4.5%</u>						
	5-Year Moving Average  Spending Rate			e=	\$125,040,000	\$130,580,000	\$136,170,000	\$144,720,000	\$152,072,000	\$158,908,000	
			Spending Rate		Spending Rate			4.5%	4.5%	<u>4.5%</u>	<u>4.5%</u>
	Spending Allowan	ce		\$5,260,339	\$5,627,240	\$5,876,000	\$6,128,000	\$6,512,000	\$6,843,000	\$7,151,000	
	Spending Plan:										
	Land Mgt. Sup System-Based Other Distribu UA Scholars Pr	Scholarships tions	-	(\$1,050,000) (\$160,000) (\$120,000) (\$3,583,000)	(\$1,000,000) (\$160,000) (\$667,000) (\$3,644,000)	(\$1,050,000) (\$160,000) (\$667,000) (\$3,644,000)	(\$1,103,000) (\$176,000) (\$667,000) (\$3,975,000)	(\$1,158,000) (\$194,000) (\$667,000) (\$3,975,000)	(\$1,216,000) (\$213,000) (\$667,000) (\$3,975,000)	(\$1,277,000) (\$234,000) (\$667,000) (\$3,975,000)	
	Projected Availabl	е	=	\$347,339	\$156,240	\$355,000	\$207,000	\$518,000	\$772,000	\$998,000	
	Potential total funding available for the UA Scholars Program				\$3,800,240	\$3,999,000	\$4,182,000	\$4,493,000	\$4,747,000	\$4,973,000	

#### Significant Assumptions:

- 1 Endowment increase FY2016 FY2020 will be the same as FY2012 FY2015
- 2 Spending allowance rate will remain at the current 4.5% level
- 3 Land Mgt. expenses will grow by 5% per year
- 4 System-Based Scholarships will increase by 10% per year based on estimated increases in foster youth participation
- 5 The number of UA Scholars awards will remain constant FY2015 -FY2020
- 6 The amount of the UA Scholars award will increase to \$12,000 for FY2017
- 7 Other Distributions represents a margin of safety for Scholars funding shortfalls or one-time awards
- Projected Available represents the funds available for potential variations in the number of Scholars' awards accepted and administrative e. Commitment of all of the fund available would not be prudent based on the potential for unforeseen changes in activity levels.
- 9 Potential funding available for the UA Scholars Program represents the sum of the estimated scholars program distributions and the remaining uncommitted funds which become dedicated to the UA Scholars Program for scholars administrative costs and unanticipated fluctuations in the number of awards, unless otherwise approved by the board.

#### Note:

- A UA Scholars award costs are based on estimates which are subject to significant change in award acceptance based on economic and social conditions.
- B Future endowment increases are subject to market returns, contribution of the net proceeds for land development and sales.
- C Due to the uncertain nature of the revenue stream, consideration should be given to limiting other distributions to one-time activities that can be discontinued.
- D Impact of the Scholars Award on the APS Awards to students in negligible at the current \$11,000 award level (e.g. 3 students at UAF). The impact of increasing the Scholars' to the \$15,000 or \$16,000 level has yet to be researched.

#### Award Cost Increase Scenario Projections

Scenario 1: Increased award with no affect on utilization

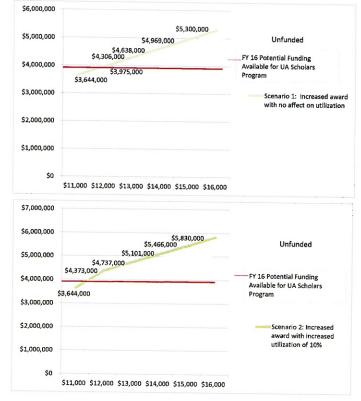
Award Amount	Total Cost	Awards paid annually (utilization)	Semester award	FY 16 Potential Funding Available for UA Scholars Program	Unfunded amount	%Increase to current expense
\$11,000	\$3,644,000	2650	\$1,375	\$3,999,000	\$355,000	0%
\$12,000	\$3,975,000	2650	\$1,500	\$3,999,000	\$24,000	9%
\$13,000	\$4,306,000	2650	\$1,625	\$3,999,000	(\$307,000)	
\$14,000	\$4,638,000	2650	\$1,750	\$3,999,000	(\$639,000)	
\$15,000	\$4,969,000	2650	\$1,875	\$3,999,000	(\$970,000)	
\$16,000	\$5,300,000	2650	\$2,000	\$3,999,000	(\$1,301,000)	45%

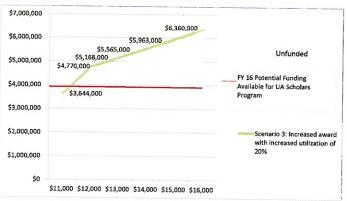
#### Scenario 2: Increased award with increased utilization of 10%

Award Amount	Total Cost	Awards paid annually (utilization)	Semester award amount	FY 16 Potential Funding Available for UA Scholars Program	Funding Gap Available v. Projected FY 16	%increase to current expense
\$11,000	\$3,644,000	2650	\$1,375	\$3,999,000	\$355,000	0%
\$12,000	\$4,373,000	2915	\$1,500	\$3,999,000	(\$374,000)	20%
\$13,000	\$4,737,000	2915	\$1,625	\$3,999,000	(\$738,000)	30%
\$14,000	\$5,101,000	2915	\$1,750	\$3,999,000	(\$1,102,000)	40%
\$15,000	\$5,466,000	2915	\$1,875	\$3,999,000	(\$1,467,000)	50%
\$16,000	\$5,830,000	2915	\$2,000	\$3,999,000	(\$1,831,000)	60%

#### Scenario 3: Increased award with increased utilization of 20%

Award Amount	Total Cost	Awards paid annually (utilization)	Semester award amount	FY 16 Potential Funding Available for UA Scholars Program	Funding Gap Available v. Projected FY 16	%Increase to current expense
\$11,000	\$3,644,000	2650	\$1,375	\$3,999,000	\$355,000	0%
\$12,000	\$4,770,000	3180	\$1,500	\$3,999,000	(\$771,000)	
\$13,000	\$5,168,000	3180	\$1,625	\$3,999,000	(\$1,169,000)	42%
\$14,000	\$5,565,000	3180	\$1,750	\$3,999,000	(\$1,566,000)	53%
\$15,000	\$5,963,000	3180	\$1,875	\$3,999,000	(\$1,964,000)	64%
\$16,000	\$6,360,000	3180	\$2,000	\$3,999,000	(\$2,361,000)	75%





#### Significant Assumptions:

- 1 The earliest possible implementation of an increase is FY17
- 2 Award utilization is calculated by determining the total awards paid in an academic year. (Total cost / Semester award amount, i.e., \$3,644,000/\$1375.)

# Alaska Statute, AS 14.43.825

**Sec. 14.43.825. Maximum annual awards.** (a) The maximum annual awards for the Alaska performance scholarships are as follows:

- (1) the first award level is \$4,755 and requires a
  - (A) 3.5 grade-point average or above; and
  - (B) very high minimum score on a college entrance examination;
- (2) the second award level is \$3,566 and requires a
  - (A) 3.0 grade-point average or above; and
  - (B) high minimum score on a college entrance examination;
- (3) the third award level is \$2,378 and requires a
  - (A) 2.5 grade-point average or above; and
  - (B) moderately high minimum score on a college entrance examination.
- (b) A student's eligibility for a scholarship terminates six years after the date the student graduates from high school unless the student qualifies for an extension of time allowed by the department by regulation.
- (c) Except as provided in (b) of this section, a student receiving a scholarship may remain eligible for up to eight semesters of enrollment in good standing at a qualified university or college, which may include graduate courses.
- (d) Scholarships may be awarded to a full-time student or, if a student is enrolled part time, prorated based on the number of credits. In this subsection, "full time" means enrollment in a course of study that is not less than 12 credits, and "part time" means enrollment in a course of study that is not less than six credits but less than 12 credits.
- (e) The amount of a scholarship award may not exceed the amount of the student's costs of attendance as certified by the postsecondary institution for the purposes of federal financial aid, less any other scholarships or nonloan financial aid awarded to the student.
- (f) Payment of a scholarship is subject to appropriation and the availability of funds for expenditure under AS 37.14.750. If insufficient funds are appropriated or available in a fiscal year to pay all eligible scholarships, the commission may not award a scholarship to a new applicant, and the commission shall pay existing awards on a pro rata basis for that fiscal year.

requirements of (b)(3) and (c)(3) of this section through an approved, accelerated method of delivery.

- (e) A student enrolled in an otherwise qualifying accelerated program of study may have accelerated award eligibility. For purposes of this subsection,
- (1) "accelerated program of study" means an academic program of study in which the student is completing at least 150 percent of the full-time credit hours required in this section, per calendar year of attendance;
- (2) "accelerated award eligibility" means eligibility for an additional 50 percent of full-time award, based on the number of credits being completed in the accelerated program of study; and
- (3) accelerated awards reduce the student's semesters of eligibility as set out in  $\underline{AS}$   $\underline{14.43.825}$  (c).
- (f) The minimum scholarship award per school year is \$500.
- (g) For purposes of determining award eligibility and estimating annual scholarship amounts each year, the executive director shall set and publish on the commission's website a deadline for certification of scholarship applicant enrollment by postsecondary institutions. Scholarship applicants certified by postsecondary institutions as having qualifying enrollment after the deadline will be considered for an award if money is available after payment to students certified by the deadline.
- (h) If appropriations are insufficient to pay all eligible scholarship applicants, existing awards will be paid first on a pro rata basis. For purposes of this section, existing awards are those certified by the postsecondary institution before the published deadline established in (g) of this section.
- (i) For purposes of calculating maximum award eligibility, scholarship money is used to supplement other non-loan aid. In order not to supplant other non-loan aid, an otherwise qualifying student's costs of attendance are reduced by other scholarships, grants, or stipends, or any other non-loan aid that would be paid to support the student's participation in the educational program for the same program of study or academic period.
- (j) For purposes of this section, a grant received under <u>AS 14.43.400</u> reduces an applicant's eligible costs of attendance for purposes of calculating a scholarship award amount.
- (k) A student is eligible for only one scholarship award per career and technical school certificate program completed. A student who enrolls in multiple career and



#### Board of Regents Program Action Request University of Alaska

Proposal to Add, Change, or Delete a Program of Study

With Indigent on Years							
1a. Major Academic Unit (choose one) UAS	ollege	1c. Department or Program Health Sciences/Career and					
2. Complete Program Title Medical Assisting C	ertificate						
3. Type of Program							
☑ Undergraduate Certificate ☐ AA/AA	Baccal	laureate	Post-Baccalaureate Certi	ificate			
Master's Gradua	te Certificate		☐ Doctorate				
4. Type of Action		5. Implementat	tion date (semester, year)				
✓ Add		<b>⊠</b> Fall □	Spring Year 2015				
6. Projected Revenue and Expenditure Summary. Not Required if the requested action is deletion.  (Provide information for the 5 <sup>th</sup> year after program or program change approval if a baccalaureate or doctoral degree program; for the 3 <sup>rd</sup> year after program approval if a master's or associate degree program; and for the 2 <sup>nd</sup> year after program approval if a graduate or undergraduate certificate. If information is provided for another year, specify (1st) and explain in the program summary attached). Note that Revenues and Expenditures are not always entirely new; some may be current (see 7d.)							
Projected Annual Revenues in FY 17			nnual Expenditures in FY 17	C07 004			
Unrestricted			enefits (faculty and staff)	\$87,884			
General Fund	\$		modities, services, etc.)	\$10,000			
Student Tultion & Fees	\$60,900		TOTAL EXPENDITURES \$97,884				
Indirect Cost Recovery	\$		One-time Expenditures to Initiate Program (if >\$250,000)				
TVEP or Other (specify): Yr 3 TVEP	\$88,000		(These are costs in addition to the annual costs, above.)				
Restricted			Year 1 \$29,700				
Federal Receipts	\$		Year 2 \$ \$ Year 3				
TVEP or Other (specify):	\$						
TOTAL REVENUES	\$148,900	Year 4		\$			
Page # of attached summary where the budge	et is discussed, in	cluding initial ph	ase-in: Page 4 section Sc) Pro	ojected Budget			
7. Budget Status. Items a., b., and c. Indicate t contracts will supply revenue needed by the p	he source(s) of the rogram, indicate	he General Fund amount anticip	revenue specified in item 6. ated and expiration date, if a	if any grants or pplicable.			
Revenue source			Continuing	One-time			
a. In current legislative budget request			\$	\$			
b. Additional appropriation required		···	\$	\$			
c. Funded through new Internal MAU redis	tribution		\$	\$			
d. Funds already committed to the program			\$	\$			
e. Funded all or in part by external funds, e			\$	\$			
f. Other funding source Specify Type: TVEP funding awarded for FY15 and \$88,000 \$29,700 anticipated for FY16 and FY17							
8. Facilities: New or substantially (>\$25,000 cost) renovated facilities will be required.  Yes  No if yes, discuss the extent, probable cost, and anticipated funding source(s), in addition to those listed in sections 6 and 7 above.							

<sup>&</sup>lt;sup>1</sup>Sometimes the courses required by a new degree or certificate program are already being taught by an MAU, e.g., as a minor requirement. Similarly, other program needs like equipment may already be owned. 100% of the value is indicated even though the course or other resource may be shared.

							-
9. Projected enrollme	ents (headc	ount of majors). If	this is a progr	am deletio	n request, proje	ct the teach out er	rollments.
Year 1: 10 studer	nts	Year 2: 15 stud	ents	Year 3: 2	0 students	Year 4: 25 s	tudents
Page number of attac	hed summ	ary where demand	for this progr	am is discu	ssed: Page 1 sec	The second secon	
10. Number* of new 1			11. Number*	of TAs or	aculty to be rea	ssigned:	
program deletion):	ar or positiv	ons chimilated it a	Graduate T	٨			
Graduate TA			Adjunct				
Adjunct			Term Tenure trac	l.			
Term	1.0 FTE						
Tenure track			Former assign	nment of a	ny reassigned fa	culty:	
			For more info attached sun	ormation se nmary.	e page Page 5 s	ection 5d) Faculty	Requirements of the
12. Other programs af	fected by t	he proposed action	n, including th	ose at othe	r MAUs (please	list):	
Program Affec					cipated Effect		
UAF Medical Assistar						accrediting associa	
UAA Medical Assistin						accrediting associa	
Page number of attach Existing UA Programs	ned summa	ry where effects o	n other progra	nms are dis	cussed: Page 3 s	ection 4) Summar	y of Impact on
13. Specialized accreditation or other external program certification needed or anticipated. List all that apply or 'none': Graduates will be eligible to sit for the Certified Medical Assistant (CMA) examination.			14. Aligns with University or campus mission, goals, core themes, and objectives (list):  UA Shaping AK Future Theme 3: Productive Partnerships  UA Shaping AK Future Theme 5: Accountability  UAS Core Theme 1: Student Success  UAS Core Theme 2: Teaching and Learning  UAS Core Theme 3: Community Engagement  Page in attached summary where alignment is discussed: Page 2 section 2)				
15. State needs met by	, this asses	and Highly	Summary of E	ducational	Mission Alignm		
- Medical Assistant occ	cupation an	nong fastest growi				to de: (check all that	
<ul> <li>Southeast health care providers confirm regional need</li> <li>Listed as a State of Alaska High Priority Industry of Hea occupation</li> <li>Listed as a priority occupation in the Alaska Health Wo Development Plan</li> </ul>			Health Care g	rowth	Sitka, Ket  Available	to students atten ichikan, Juneau car to students via e-l	mpus(es). earning.
- Program of study sup	ports the A	Maska Career and 1	rechnical Educ	ation Plan	Partially a	ivailable students	via e-learning.
Page in the attached summary where the state needs to be met are discussed: Page 1 section 1) Summary of Need for Program						ched summary wh ge 6 section 5g) E-l	
Submitted by the Univ	ersity of Al	aska Southeast wit	h the concurre	ence of its I	Faculty Senate.		
Provi	ost	2/ 1	Date Date		Chancellor	20)	7/10/14 Date
Recommend Approval  Recommend Disapproval  UA Vice President for Academic Affairs on behalf of the Statewide Academic Council							

there is one not new t	ulvalents). For example, if a faculty member will be reassign aculty member. Use fractions if appropriate. Graduate TAs information provided.	sed from another program, but his/her original program will hire a replacement are normally 0.5 FTE. The numbers should be consistent with the
Attachments:	Summary of Degree or Certificate Program Proposal	Cther (optional)
Revised: 10/10/201	2	

•

### UA Board of Regents Program Action Request (PAR) Attachment Summary of Certificate Program Proposal

Medical Assisting Certificate
UAS Sitka Campus
Career and Technical Education
Undergraduate Certificate
Proposed Implementation Date: Fall 2015

#### 1) Summary of Need for Program

UA R10.04.020.C.6

Alaska's health care and social services sector is one of the fastest growing, and in Southeast Alaska it's no different. Major health care employers including Southeast Alaska Regional Health Consortium, Bartlett Regional Hospital, and PeaceHealth Ketchikan Medical Center are among the largest employers in the region. Continued industry growth is expected due to national health care reform, the aging population's increased need for services, and the recent Centers for Medicare and Medicaid Services order entry rule expanding the medical assistant scope of practice.<sup>1</sup>

Moreover, future occupational growth in health care is anticipated due to the introduction of Patient Centered Medical Homes (PCMH), with medical assistants playing a key team role, potentially driving further need for these workers above the current ambulatory care personnel shortage. Of 16 Southeast Alaska health care facilities surveyed in 2013, seven (7) have plans to or have already adopted the PCMH model of care delivery.<sup>2</sup>

The Alaska Department of Labor reports employment growth for the medical assistant occupation as among the fastest growing occupations in Alaska, with a 31.9% anticipated growth between 2010 and 2020.<sup>3</sup> As of February 2014, medical assistants in Alaska earn an average hourly wage of \$19.18. The average annual openings is 66, accounting for both growth and replacement.<sup>4</sup>

Nationally, medical assistants held 553,140 jobs in 2012. Approximately 60 percent were in physicians' offices, 13 percent in general medical and surgical hospitals, including private and state facilities and 10 percent worked in offices of other health practitioners, such as chiropractors and podiatrists. The rest worked mostly in outpatient care centers, public and private educational services, other ambulatory health care services, state and local government agencies, medical and diagnostic laboratories, nursing care facilities, and employment services.<sup>5</sup>

According to the 2012 Alaska Health Workforce Vacancy Study, there were an estimated 1066 medical assisting positions in Alaska at the time of the study, with 55 vacancies, resulting in a vacancy rate of five percent. Highest estimated positions (44.3%) were located in hospitals and 38.5% were in offices of physicians, the remaining positions (17.2%) were in offices of dentists,

nursing and residential, home health care, social assistance, other ambulatory services, and other health. Estimated medical assisting vacancy rates were higher among rural organizations at nine percent than the five percent in urban areas.<sup>6</sup>

The new University of Alaska Southeast (UAS) Medical Assisting program supports the Alaska Workforce Investment Board's (AWIB) strategies of:

- Identifying priority industries and occupations for the investment of scarce workforce investment resources (Medical Assisting is considered a growth occupation in the State of Alaska High Priority Industry of Health Care)<sup>7</sup>
- Developing targeted workforce development plans, such as the Alaska Health Workforce Development Plan (Medical Assisting is considered a priority one, "most critical, requires immediate attention" occupation (2010 p 24))<sup>8</sup>
- Supporting the Alaska Career and Technical Education Plan by aligning education programs for K-12 students with Alaska's in-demand occupations (Medical Assisting is included in the UA Health Sciences Priority Career Clusters and current UAS Tech Prep agreements with SE High Schools can be expanded to include additional Health Care related courses)

#### 2) Summary of Educational Mission Alignment

UA R10.04.020.C.4

Development of a Medical Assisting Certificate program for Southeast Alaska originated through conversations with regional employers, with UAA health programs leadership, and with national accreditors. The proposal aligns with the *UAS Strategic and Assessment Plan* and *UA Shaping Alaska's Future* by expanding health program of study opportunities which lead to employment for students in high demand fields. The proposal responds to industry partners, meets employer needs for skilled workers in the region, aligns with other UA program offerings, and maximizes the use of newly remodeled university facilities.

Relevant UAS Core Themes and UA Effects include:

UAS Core Theme 1: Student Success

- Access to High Demand Career Pathways
- Success by Obtaining Employment

UAS Core Theme 2: Teaching and Learning

Breadth of Programs and Services

UAS Core Theme 3: Community Engagement

Expand Community Engagement through Community Partnerships

UA Shaping Alaska's Future Theme 3: Productive Partnerships

 Effect: UA meets the needs of the public sector and private industry for skilled employees ... via partnerships that are strategic, mutually beneficial and address the needs of the state

UA Shaping Alaska's Future Theme 5: Accountability

 Effect: UA facilities are efficiently utilized to meet student, academic, community ... needs

### 3) Summary of Proposal Development

UA R10.04.020.C.3, C.5, C.17, C.18

The University of Alaska Southeast (UAS) has had a longstanding relationship with large and small health care employers in the region for meeting education and training needs for a variety of health care occupations. Over the past few years, conversations between UAS and PeaceHealth Ketchikan Medical Center, Bartlett Regional Hospital, Southeast Alaska Regional Health Consortium (SEARHC) and various medical clinics have specifically focused on provider needs for medical assistant training. The most recent inquiry which prompted this effort was from PeaceHealth Ketchikan Medical Center, which is interested in upgrading the skills of ten currently-employed Certified Nurse Aides.

As a result of these discussions, UAS secured Alaska Workforce Investment Board (AWIB) funding to conduct a feasibility study. The consultant's information gathering included industry representatives and university partners (UA Health Programs and Medical Assisting Program Directors – Robin Wahto, UAA and Christa Bartlett, UAF) which led to a finding of significant need for a Medical Assisting Program in Southeast Alaska. In the UAS feasibility study, Developing a Medical Assistant Certificate Program for Southeast Alaska<sup>9</sup>, the consultant also reported on evaluating the possibility of partnering with either the UAA or UAF programs, similar to the UA campus partnerships with UAA Nursing. However, it was determined that this was not feasible due to accreditation standards (see section 4).

The UAS Health Advisory Committee, comprised of representatives from regional health-related employers and government services along with UAS Health faculty and staff, supports this initiative and has been involved in the process from the beginning. UAS Health faculty then proposed the new program and curriculum components to the UAS Curriculum Committee in spring of 2014 garnering Faculty Senate approval in May of 2014.

The UAS program will be created using the professional standards as defined by the American Association of Medical Assistants (AAMA). Plans include submitting the program for external accreditation to the Commission on Accreditation of Allied Health Education Programs (CAAHEP) to be reviewed by AAMA's Medical Assisting Education Review Board (MAERB), which is also utilized for the UAA and UAF Medical Assistant offerings.

# 4) Summary of Impact on Existing UA Programs UA R10.04.020.C.7

UAA and UAF have Medical Assisting programs. Their program directors have been assisting UAS with development planning and alignment of course work with their own programs and the professional accreditation standards. With additional coursework, this program will articulate with both the UAA and UAF AAS degrees in Medical Assisting.

A separate UAS program with independent accreditation is required because MAERB typically will only allow multi-campuses to be accredited if they are within 120 driving miles of each other. This is prompted by a requirement for the Program Director to travel to each campus offering the accredited program at least once bi-weekly. UAS petitioned MAERB and was granted a waiver of the distance requirement by successfully arguing that the UAS campuses (Juneau, Sitka and Ketchikan) have no land-based road system between the communities. However, site visits by the Program Director can be made within 2 hours by air travel (equivalent to the distance by driving time of 120 miles).

# 5) Summary of Program Projections and Implementation Requirements UA R10.04.020.C.2, C.8, C.10, C.11, C.12, C.13, C.14, C.15, C.16

The UAS Medical Assisting Certificate program has been designed in response to industry interest in Southeast Alaska, in alignment with comparable programs at UAA and UAF, and by following the guidelines of the professional accreditation standards.

UAS Sitka Campus has been tasked with hosting this program due to its programmatic focus on health sciences, experience with successful online course delivery, a newly remodeled health sciences wing, and related offerings in the Health Information Management program.

#### 5a) Projected Schedule

Program projections:

- Board of Regents approval Fall 2014
- Northwest Commission on Colleges and Universities (NWCCU) approval Fall 2014
- Hire Program Director Fall 2014
- First program enrollment Fall 2015
- First Medical Assisting graduates Fall 2016
- First graduates sit for CMA (AAMA) examination Spring 2017
- CAAHEP accreditation for certification granted 2017 (retroactive for 2016 graduates)
- Additional Medical Assisting Tech Prep courses available in Southeast Alaska high schools as demand warrants

#### 5b) Projected Enrollment

It is projected that 10 new program students will be enrolled each year based on the population of the region and the need for skilled workers. These estimates may even be a little low and are expected to grow with appropriate exposure/marketing of the program.

	Year 1	Year 2	Year 3	Year 4	Year 5
FTE Enrollment	7.5	10	12.5	15	15
Enrollment HC	10	15	20	25	25
Graduates	-	5	5	5	5

#### 5c) Projected Budget

UAS has been awarded FY15 TVEP funding for a regular term faculty/program director position and program startup costs. In anticipation of two additional years of TVEP support, measures will begin toward moving this position into the general fund by FY18 through:

- Increased student enrollments and credit hours
- Natural increase in tuition
- Additional grant funding sought
- Employer funding for upgrading employee skills
- Growth in the health care sector hence, program growth will be an indicator of the true need for additional staff, subsequently moving this position into general funds

Budget projections for tuition revenue are based on \$174 per credit. The planned course sequence for the program is to deliver 12 credits each in semester one and two, 8 credits in semester three and 4 credits in semester four. The total revenue of \$60,900 for Year Two of the program (F16 – Sp17 – Su17) is based on a ratio of part-time and full-time student enrollment.

Anticipated annual expenses directly related to the program include Program Director salary/benefits and \$10,000 for required instructional / site visit travel and incidental supplies and materials.

The one-time cost of \$29,700 in Year One is for equipment specific to medical assisting clinical procedures (see list in section 5f).

#### 5d) Faculty Requirements

One full time faculty/Program Director with qualified adjuncts is needed for successful implementation the UAS Medical Assisting Certificate program. CAAHEP accreditation requires placement of a Program Director who is responsible for regular assessment of program effectiveness, including outcomes, organization, administration, continuous review, planning and development. To qualify, a Program Director must:

- have a minimum of an associate degree
- have completed a minimum of 10 contact hours in educational practices
- be currently credentialed in medical assisting by a credentialing organization accredited by the National Commission for Certifying Agencies (NCCA)
- have a minimum of three (3) years of employment in a healthcare facility, including a minimum of 160 hours in an ambulatory healthcare setting performing administrative and clinical procedures as performed by medical assistants
- have a minimum of 1 year teaching experience in postsecondary and/or vocational/technical education.

The Program Director can fulfill the responsibilities of the Practicum Coordinator which is also a necessary position under CAAHEP.

Other health career pathway programs within UAS include pre-nursing, health sciences, health information management, coding specialist, and health information technology. Four of the 11

courses in the certificate program are regularly scheduled in the UAS six-year sequence (two GERs and 2 Health Sciences). Existing UAS faculty will develop and teach the new A & P and Quantitative Methods courses, and the remaining five administrative, clinical, and practicum courses specific to medical assisting will be the responsibility of the Program Director.

#### 5e) Student Services and Resources

As part of UAS, the Sitka Campus Student Success Center (SSC) provides comprehensive support for students enrolled at the Sitka campus as well as for students taking distance/eLearning classes from other University of Alaska campuses and in towns and villages across Alaska. The SSC supports and tracks students from their initial inquiry (recruitment) with the goal of increasing retention by lowering dropout rates and increasing course completion rates. This has been accomplished through aggressive early intervention efforts by our Student Success Specialists who develop and maintain an online student support system (EMAS) to increase student tracking, and when needed, increase personal contact with students throughout their course of study.

The following resources are available to both our on-campus and on-line students: A comprehensive, individualized online student support system, academic advising, financial aid, (including FAFSA, grants and scholarships), reference and reserve materials for UAS courses, quiet study room, computers connected to campus network and internet, and tutoring assistance (online and local) as well as proctoring and testing services (including Remote Proctoring).

The SSC is staffed by a multitalented team of Student Support Specialists, who spearhead UAS Sitka efforts to become a model eLearning provider, making readily accessible UA's excellent education programs supported by fully cohesive and responsive student success services.

Additionally, the University of Alaska Southeast system provides regionally accessible online library services and information technology infrastructure and support.

#### 5f) Space and Equipment

UAS Sitka houses a newly remodeled health sciences wing which provides appropriate space for both Medical Assisting lecture and lab courses. TVEP funding has been secured to equip the space for students to practice the required clinical skills. No new facility or renovated space is required for this program.

Items specific to medical assisting procedures include fully equipped medical examination tables arranged to resemble a patient exam room (curtains to separate space is acceptable), EKG machine, baby scale, eye chart, ophthalmoscope, autoclaves, urinalysis reagent sticks, disposable specimen cups, blood pressure cuffs, stethoscopes, phlebotomy and capillary puncture supplies, otoscope, electronic or manual ear irrigation devices and fluid receptacles, hemoglobinometer, wheelchair, crutches, lift belt, CPR mannequins, sinks with hand washing supplies, adequate areas for prep and storage cabinets.

#### 5g) E-learning

UAS Sitka has long been in a leader in delivery of distance education to Alaskans. Much of this program curriculum will be available by web-based delivery, building on this history of success. Similar course work is already available via distance through other Sitka health programs, so it is expected that the courses to be developed will be approached from a distance learning environment wherever possible.

Instructional design support is available on the Sitka Campus to assist instructors in learning new technologies and in deciding which are appropriate for each set of learning objectives. A variety of tools and strategies can enhance both the online and offline components of students' learning experiences.

Because CAAHEP requirements include observed demonstration of a student's competency in clinical skills, the curriculum for the clinical courses will be a blend of face-to-face and distance delivery. The administrative procedures coursework will incorporate virtual lab work currently modeled by Sitka's Health Information Management and Health Information Technology programs.

#### 5h) Clinical Partners

Offering a Medical Assisting Certificate program will allow UAS to provide quality entry-level medical assistants to community and regional health care employers. Employer involvement is critical for providing practicum sites which allow the opportunity for students to satisfactorily demonstrate the medical assisting skills required for program completion while ensuring patient safety.

Clinical partners within each community are needed to accept these uncompensated students and to work with the Program Director in assuring that correct and appropriate clinical and administrative tasks are performed. While further outreach will be necessary to develop specific partnership agreements in each community, the following health care providers have expressed interest:

- Southeast Alaska Regional Health Consortium (SEARHC)
- Sitka Medical Center
- Mountainside Family Healthcare
- Sitka Community Hospital
- Bartlett Regional Hospital
- Valley Medical Clinic
- Juneau Family Health and Birth Center
- Southeast Medical Clinic
- Family Practice Physicians
- PeaceHealth Ketchikan Medical Center
- Creekside Family Health Clinic
- Ketchikan Indian Community Health Clinic

#### 5i) Catalog Descriptions

Medical Assisting Certificate

Medical assistants are multi-skilled health professionals specifically educated to work primarily in ambulatory care settings, such as physician's offices, clinics and outpatient care centers under the direct supervision of physicians, nurse practitioners or physician assistants. Medical assistants perform both administrative and clinical duties.

#### Admission Requirements

Students must complete the following admission procedure:

- 1. Place into ENGL S110 (or higher), MATH S054 (or higher) and CIOS S105 or placement test.
- 2. Program director approval and completed application with criminal background check, health examination, current TB test and immunizations

#### Certificate Requirements

Minimum grade of C- is required for all courses with an overall 2.0 GPA or higher for certificate completion.

Courses in Medical Assisting Procedures (Clinical I & II and Administrative I & II) can only be taken by students admitted to the Medical Assisting Program.

The Practicum serves as the capstone and can be taken only after other program requirements are completed. Accreditation standards require the practicum to be unpaid.

MINIMUM CREDIT HOURS	35-36
GENERAL REQUIREMENTS	9
ENGL S111 Methods of Written Communication Social Sciences	3
PSY S101 Intro to Psychology Computational Skills	3
HS S116 Quantitative Methods in Healthcare (or 100 level MATH)	3
PROGRAM REQUIREMENTS	26-27
HS S102 CPR and First Aid (or current first aid and provider level CPR)	0-1
HS S114 Fundamentals of Anatomy & Physiology	3
HS S133 Med Assisting Procedures: Administrative I	4
HS S135 Medical Terminology	3
HS S142 Med Assisting Procedures: Clinical I	4
HS S233 Med Assisting Procedures: Administrative II	4
HS S242 Med Assisting Procedures: Clinical II	4
HS S294A Medical Assisting Practicum (240 hours)	4

#### **NEW COURSES**

HS S114 - Fundamentals of Anatomy & Physiology

3 credits

Non-laboratory overview of human structure and function. Includes integumentary, skeletal, muscular, nervous, endocrine, cardiovascular, lymphatic, immune, respiratory, urinary, digestive and reproductive systems. Applicable only to Medical Assisting Certificate. Prerequisite: HS S135 (or concurrent enrollment) C- or higher

HS S116 - Quantitative Methods in Healthcare

3 credits

Focused coverage of computational skills in health care related to administrative and clinical functions. Includes arithmetic review, percentages, interest and ratio, proportion, unit factors, metric system, medication calculation, graphs, charts and measurement instruments. Applicable only to Medical Assisting Certificate. Prerequisite: Placement into MATH S054 or higher

HS S133 - Medical Assisting Procedures: Administrative I 4 credits
Introduces business aspects of medical offices and administrative duties of medical assistants.
Lecture and practice activities include telephone and reception procedures, appointment scheduling, medical law and ethics, professionalism, verbal communication, and medical record keeping. Special fees may apply. Prerequisite: Admission into Medical Assisting Program; HS S114, HS S116 or 100 level math or higher, C- or higher for all prerequisites

HS S142 - Medical Assisting Procedures: Clinical I

4 credits

Introduction to the theory and competencies for clinical duties performed by medical assistants in outpatient facilities. Includes care of patients in the examining room, use and care of medical instruments and supplies, assisting with clinical procedures, classification and pharmacodynamics of medications, safety and emergency practices. Special fees apply. Course requires lecture and lab work. Prerequisites: Admission into Medical Assisting Program; HS S102, concurrent enrollment, or current first aid and provider level CPR; HS S114, S116 or 100 level Math or higher; Grade of C- or higher in all prerequisite classes

HS S233 - Medical Assisting Procedures: Administrative II 4 credits
Continuation of HS 133. Includes office management and basic financial practices used in
medical offices, managed care and insurance, procedural and diagnostic coding. Course
requires lecture and lab work. Special fees may apply. Prerequisites: HS S133 C- or higher.

HS S242 - Medical Assisting Procedures: Clinical II 4 credits
Continued theory and competencies for clinical duties performed by medical assistants in
outpatient facilities. Includes urinalysis, electrocardiograph, subcutaneous and intramuscular
injections, routine laboratory procedures, venipuncture, emergencies and assisting with
specialty examinations. Special fees apply. Course requires lecture and lab work. Prerequisites:
HS S142.

# 6) Summary of Student Opportunities, Outcomes and Program Assessment UA R10.04.020.C.3, C.9

The accredited Medical Assisting curriculum must include anatomy and physiology, applied mathematics, applied microbiology/infection control, effective communications, administrative functions, best practice finances, managed care/insurance, procedural and diagnostic coding, legal implications, ethical considerations and protective practices. A practicum that provides practical experience in qualified physicians' offices, accredited hospitals, or other ambulatory health care settings is required.

The Practicum serves as the program capstone and can only be undertaken after other program requirements are fulfilled. For the students, it provides 240 hours of uncompensated, supervised work in a real world environment. As experienced in other UAS health programs, practicums generally lead to employers hiring program students upon graduation.

Once the UAS Medical Assisting Certificate program is accredited, eligible students will be qualified to sit for the Certified Medical Assistant (American Association of Medical Assistants) exam after completion of their Practicum.

#### 6a) Student Outcomes

The goal of an accredited Medical Assisting program is to prepare competent entry-level medical assistants that meet or exceed national Medical Assisting Education Review Board standards in cognitive (knowledge), psychomotor (skills), and affective (behavior) learning domains and are prepared for local industry needs.

MAERB's *Core Curriculum for Medical Assistants*<sup>10</sup> provides clear guidance for incorporating the cognitive, psychomotor, and affective requirements into program curriculum. Additionally, recommended outcome assessment methods found in the *Educational Competencies for Medical Assistants*<sup>11</sup> provide suggested methods to be used for evaluating student performance/competence in each of the 128 tasks required for program completion.

Successful graduates must exhibit the required outcomes below, as determined by CAAHEP:

- Take the national certification examination qualifying them for employment as a Certified Medical Assistant
- Safely and effectively perform a variety of clinical and administrative tasks as an entry level medical assistant
- Display professionalism in the workplace and communicate effectively both verbally and in writing within a work environment
- Manage time and prioritize multiple tasks effectively, meanwhile solving situations in a work environment
- Follow standards, policies and procedures of the physician's office within the medical assistant scope of practice demonstrating ethical and legal behaviors

 Successfully pursue a career in medical assisting, phlebotomy, and other medical assistant duties as assigned

#### 6b) Program Assessment

Outcomes assessment for meeting accreditation requirements established by the Medical Assisting Education Review Board (MAERB) includes:

- National credentialing examination(s) performance
- Programmatic retention / attrition
- Graduate satisfaction
- Employer satisfaction
- Job (positive) placement
- Programmatic summative measures
- Meet thresholds set by MAERB

Required Medical Assisting program data tracking for reporting includes:

- New admit numbers
- Graduate numbers
- Length of time for completing the program
- Practicum completion organization and location
- Student support services offered and used
- Recruitment strategies
- Employer partnerships

#### 7) References

<sup>&</sup>lt;sup>1</sup> AAMA Triumphs in CMS Order Entry Rule (2012) http://www.aama-ntl.org/news/reports

<sup>&</sup>lt;sup>2</sup>Medical Assisting in the Southeast Region (2013) UAA Office of Health Programs Development and Southeast Alaska AHEC

<sup>&</sup>lt;sup>3</sup> Alaska Economic Trends, Oct 2012, p14 <a href="http://labor.alaska.gov/trends/oct12.pdf">http://labor.alaska.gov/trends/oct12.pdf</a>

<sup>&</sup>lt;sup>4</sup> http://live.laborstats.alaska.gov/occ/occ.cfm?o=319092

<sup>&</sup>lt;sup>5</sup> http://www.aama-ntl.org/docs/default-source/about-the-profession-and-credential/medical-assisting-career.pdf

<sup>&</sup>lt;sup>6</sup> 2012 Alaska Health Workforce Vacancy Study, Alaska Center for Rural Health, University of Alaska Anchorage, February 2014

<sup>&</sup>lt;sup>7</sup> http://www.alaska.edu/research/wp/career-clusters/

<sup>8</sup> http://labor.state.ak.us/awib/forms/Healthcare\_Workforce\_Plan.pdf

<sup>&</sup>lt;sup>9</sup> Feasibility Study: Developing a Medical Assistant Certificate Program for Southeast Alaska, 2013, HealthCare Considerations

<sup>&</sup>lt;sup>10</sup> http://www.caahep.org/documents/file/For-Program-Directors/MA2008Standards0209.pdf

<sup>11</sup> http://www.maerb.org/Portals/0/General%20Reference/ECMA%20-%20Final%20Version.pdf



#### Board of Regents Program Action Request University of Alaska

Proposal to Add, Change, or Delete a Program of Study

Education   Early Childhood Education	Many Traditions One Alaska	317 4550-50						
Undergraduate Certificate, AAS, Graduate Certificate, MAT Early Childhood Education, MEd Early Childhood Education; (Child Development Associate Occupational Endorsement also to be deleted)  3. Type of Program    Undergraduate Certificate	1a. UA University (choose one) UAS		1.5					
Master's   Graduate Certificate   Associate   Baccalaureate   Post-Baccalaureate   Certificate   Master's   Graduate Certificate   Doctorate	Undergraduate Certificate, AAS, Graduate Certificate, MAT Early Childhood Education, MEd Early							
Master's	3. Type of Program							
4. Type of Action  Add	□ Undergraduate Certificate	te Baccal	aureate 🔲	Post-Baccalaureate Certif	ficate			
Add Change Delete		e Certificate		Doctorate				
6. Projected Revenue and Expenditure Summary. Not Required if the requested action is deletion.  (Provide information for the 5 <sup>th</sup> year after program or program change approval if a baccalaureate or doctoral degree program; for the 3 <sup>th</sup> year after program approval if a master's or associate degree program; and for the 2 <sup>th</sup> year after program approval if a graduate or undergraduate certificate. If information is provided for another year, specify (1st) and explain in the program summary attached). Note that Revenues and Expenditures are not always entirely new; some may be current (see 7d.)  Projected Annual Revenues in FY  Projected Annual Expenditures in FY  Unrestricted  Salaries & benefits (faculty and staff) \$  General Fund  \$ Other (commodities, services, etc.) \$  Student Tuition & Fees  \$ TOTAL EXPENDITURES  \$ Indirect Cost Recovery  \$ One-time Expenditures to Initiate Program (if >\$250,000)  TVEP or Other (specify):  \$ (These are costs in addition to the annual costs, above.)  Restricted  Year 1  \$ Federal Receipts  \$ Year 2  \$ TYEP or Other (specify):  \$ Year 2  \$ Year 3  TOTAL REVENUES  \$ Year 4  \$ S  Page # of attached summary where the budget is discussed, including initial phase-in:  7. Budget Status. Items a., b., and c. indicate the source(s) of the General Fund revenue specified in item 6. If any grants or contracts will supply revenue needed by the program, indicate amount anticipated and expiration date, if applicable.  Revenue source  \$ Continuing One-time  a. In current legislative budget request  \$ \$ \$  b. Additional appropriation required  \$ \$ \$  c. Funded through new internal MAU redistribution  \$ \$ \$  c. Funded control in part by external funds, expiration date  \$ \$  c. Funded all or in part by external funds, expiration date  \$ \$  c. Funded all or in part by external funds, expiration date  \$ \$  c. Funded all or in part by external funds, expiration date  \$ \$  c. Funded all or in part by external funds, expiration date  \$ \$  c. Funded all or in part by external funds, expiration date	4. Type of Action		5. Implementation	date (semester, year)				
the 3" year after program approval if a master's or associate degree program; and for the 2" year after program approval if a graduate or undergraduate certificate. If information is provided for another year, specify (1st) and explain in the program summary attached). Note that Revenues and Expenditures are not always entirely new; some may be current (see 7d.)  Projected Annual Revenues in FY  Projected Annual Expenditures in FY  Unrestricted  Salaries & benefits (faculty and staff) \$  General Fund \$ Other (commodities, services, etc.) \$  Student Tuition & Fees \$ TOTAL EXPENDITURES \$  Indirect Cost Recovery \$ One-time Expenditures to Initiate Program (if >\$250,000)  TVEP or Other (specify): \$ (These are costs in addition to the annual costs, above.)  Restricted Year 1 \$  Federal Receipts \$ Year 2 \$  TVEP or Other (specify): \$ Year 3 \$  TOTAL REVENUES \$ Year 4 \$  Page # of attached summary where the budget is discussed, including initial phase-in:  7. Budget Status. Items a., b., and c. indicate the source(s) of the General Fund revenue specified in item 6. If any grants or contracts will supply revenue needed by the program, indicate amount anticipated and expiration date, if applicable.  Revenue source Continuing One-time  a. In current legislative budget request \$ \$  b. Additional appropriation required \$ \$  c. Funded all or in part by external funds, expiration date \$  d. Funded all or in part by external funds, expiration date  f. Other funding source Specify Type: \$  Securities: New or substantially (>\$25,000 cost) renovated facilities will be required.	☐ Add ☐ Change ☐ Delete			ng Summer Year 2	014			
Unrestricted  Salaries & benefits (faculty and staff)  General Fund  \$ Other (commodities, services, etc.)  \$ Student Tuition & Fees  \$ TOTAL EXPENDITURES  \$ Indirect Cost Recovery  \$ One-time Expenditures to Initiate Program (if >\$250,000)  TVEP or Other (specify):  \$ (These are costs in addition to the annual costs, above.)  Restricted  Year 1  \$ Federal Receipts  \$ Year 2  \$ TVEP or Other (specify):  \$ Year 3  \$ TOTAL REVENUES  \$ Year 4  \$ Page # of attached summary where the budget is discussed, including initial phase-in:  7. Budget Status. Items a., b., and c. indicate the source(s) of the General Fund revenue specified in item 6. If any grants or contracts will supply revenue needed by the program, indicate amount anticipated and expiration date, if applicable.  Revenue source  a. In current legislative budget request  b. Additional appropriation required  c. Funded through new internal MAU redistribution  d. Funds already committed to the program by the MAU  d. Funds already committed to the program by the MAU  s. S S  e. Funded all or in part by external funds, expiration date  f. Other funding source Specify Type:  8. Facilities: New or substantially (>\$25,000 cost) renovated facilities will be required.  Yes	the 3 <sup>rd</sup> year after program approval if a master graduate or undergraduate certificate. If information summary attached). Note that Revenues and Expression of the state of t	's or associate de mation is provide	egree program; and ed for another year, not always entirely	for the 2 <sup>nd</sup> year after prog specify (1st) and explain i new; some may be currer	ram approval if a n the program			
General Fund \$ Other (commodities, services, etc.) \$ Student Tuition & Fees \$ TOTAL EXPENDITURES \$ Indirect Cost Recovery \$ One-time Expenditures to Initiate Program (if >\$250,000) TVEP or Other (specify): \$ (These are costs in addition to the annual costs, above.) Restricted Year 1 \$ Federal Receipts \$ Year 2 \$ TVEP or Other (specify): \$ Year 3 \$ TVEP or Other (specify): \$ Year 3 \$ TOTAL REVENUES \$ Year 4 \$  Page # of attached summary where the budget is discussed, including initial phase-in:  7. Budget Status. Items a., b., and c. indicate the source(s) of the General Fund revenue specified in item 6. If any grants or contracts will supply revenue needed by the program, indicate amount anticipated and expiration date, if applicable.  Revenue source Continuing One-time a. In current legislative budget request \$ \$ b. Additional appropriation required \$ \$ c. Funded through new internal MAU redistribution \$ \$ d. Funds already committed to the program by the MAU¹ \$ \$ e. Funded all or in part by external funds, expiration date \$ f. Other funding source Specify Type: \$ \$  8. Facilities: New or substantially (>\$25,000 cost) renovated facilities will be required. Yes					16			
Student Tuition & Fees \$ TOTAL EXPENDITURES \$ Indirect Cost Recovery \$ One-time Expenditures to Initiate Program (if >\$250,000)  TVEP or Other (specify): \$ (These are costs in addition to the annual costs, above.)  Restricted Year 1 \$ \$ Federal Receipts \$ Year 2 \$ \$ \$ \$ Year 3 \$ \$ \$ \$ \$ Year 4 \$ \$ \$ \$ Year 5 \$ \$ Year 5 \$ Year 6 \$ Year 7 \$ \$ Year 9 \$ \$ Year 9 \$ \$ Year 9 \$ \$ Year 9 \$ \$ \$ Year 9 Y		*						
Indirect Cost Recovery \$ One-time Expenditures to Initiate Program (if >\$250,000)  TVEP or Other (specify): \$ (These are costs in addition to the annual costs, above.)  Restricted Year 1 \$ Federal Receipts \$ Year 2 \$ TVEP or Other (specify): \$ Year 3 \$ TOTAL REVENUES \$ Year 4 \$  Page # of attached summary where the budget is discussed, including initial phase-in:  7. Budget Status. Items a., b., and c. indicate the source(s) of the General Fund revenue specified in item 6. If any grants or contracts will supply revenue needed by the program, indicate amount anticipated and expiration date, if applicable.  Revenue source  a. In current legislative budget request  b. Additional appropriation required  c. Funded through new internal MAU redistribution  d. Funds already committed to the program by the MAU¹  e. Funded all or in part by external funds, expiration date  f. Other funding source Specify Type:  S SNO								
TVEP or Other (specify): \$ (These are costs in addition to the annual costs, above.)  Restricted Year 1 \$ Federal Receipts \$ Year 2 \$ TVEP or Other (specify): \$ Year 3 \$ TOTAL REVENUES \$ Year 4 \$  Page # of attached summary where the budget is discussed, including initial phase-in:  7. Budget Status. Items a., b., and c. indicate the source(s) of the General Fund revenue specified in item 6. If any grants or contracts will supply revenue needed by the program, indicate amount anticipated and expiration date, if applicable.  Revenue source Continuing One-time  a. In current legislative budget request \$ \$ b. Additional appropriation required \$ \$ c. Funded through new internal MAU redistribution \$ \$ d. Funds already committed to the program by the MAU¹ \$ e. Funded all or in part by external funds, expiration date \$ f. Other funding source Specify Type: \$  8. Facilities: New or substantially (>\$25,000 cost) renovated facilities will be required.   Year 1  \$ Year 1  \$ Year 2  \$ Year 3  \$ Year 3  \$ Year 4  \$ Year 3  \$ Year 4  \$  Continuing One-time  5  \$ \$ \$ \$  Continuing One-time  5  \$ \$ \$ \$  Continuing One-time  5  \$ \$  Continuing One-time  5  \$ \$  Continuing One-time  5  \$  Continuing One-time  5  Continuing One-time  6  Continuing One-time  7  Continuing One-time  8  Continuing One-time  9  Continuing One-time  1  Continuing One-time  2  Continuing One-time  5  Continuing One-time  6  Continuing One-time  7  Continuing One-time  6  Continuing One-time  7  Continuing One-time  8  Continuing One-time  9  Continuing One-t								
Restricted	Indirect Cost Recovery		One-time Expen	ditures to Initiate Progran	n (if >\$250,000)			
Federal Receipts \$ Year 2 \$  TVEP or Other (specify): \$ Year 3 \$  TOTAL REVENUES \$ Year 4 \$  Page # of attached summary where the budget is discussed, including initial phase-in:  7. Budget Status. Items a., b., and c. indicate the source(s) of the General Fund revenue specified in item 6. If any grants or contracts will supply revenue needed by the program, indicate amount anticipated and expiration date, if applicable.  Revenue source Continuing One-time  a. In current legislative budget request \$ \$ \$  b. Additional appropriation required \$ \$ \$  c. Funded through new internal MAU redistribution \$ \$  d. Funds already committed to the program by the MAU¹ \$ \$  e. Funded all or in part by external funds, expiration date \$ \$  f. Other funding source Specify Type: \$ \$  No	TVEP or Other (specify):	\$	(These are costs in addition to the annual costs, above.)					
TVEP or Other (specify): \$ Year 3 \$  TOTAL REVENUES \$ Year 4 \$  Page # of attached summary where the budget is discussed, including initial phase-in:  7. Budget Status. Items a., b., and c. indicate the source(s) of the General Fund revenue specified in item 6. If any grants or contracts will supply revenue needed by the program, indicate amount anticipated and expiration date, if applicable.  Revenue source  a. In current legislative budget request  b. Additional appropriation required  c. Funded through new internal MAU redistribution  d. Funds already committed to the program by the MAU <sup>1</sup> \$ \$  e. Funded all or in part by external funds, expiration date  f. Other funding source Specify Type:  S No	Restricted		Year 1	Year 1 \$				
TOTAL REVENUES \$ Year 4 \$  Page # of attached summary where the budget is discussed, including initial phase-in:  7. Budget Status. Items a., b., and c. indicate the source(s) of the General Fund revenue specified in item 6. If any grants or contracts will supply revenue needed by the program, indicate amount anticipated and expiration date, if applicable.  Revenue source  a. In current legislative budget request  b. Additional appropriation required  c. Funded through new internal MAU redistribution  d. Funds already committed to the program by the MAU¹  e. Funded all or in part by external funds, expiration date  f. Other funding source Specify Type:  S No	Federal Receipts	\$	Year 2	Year 2 \$				
Page # of attached summary where the budget is discussed, including initial phase-in:  7. Budget Status. Items a., b., and c. indicate the source(s) of the General Fund revenue specified in item 6. If any grants or contracts will supply revenue needed by the program, indicate amount anticipated and expiration date, if applicable.  Revenue source  a. In current legislative budget request  b. Additional appropriation required  c. Funded through new internal MAU redistribution  d. Funds already committed to the program by the MAU <sup>1</sup> e. Funded all or in part by external funds, expiration date  f. Other funding source Specify Type:  S No	TVEP or Other (specify):	\$	Year 3	Year 3 \$				
Page # of attached summary where the budget is discussed, including initial phase-in:  7. Budget Status. Items a., b., and c. indicate the source(s) of the General Fund revenue specified in item 6. If any grants or contracts will supply revenue needed by the program, indicate amount anticipated and expiration date, if applicable.  Revenue source  a. In current legislative budget request  b. Additional appropriation required  c. Funded through new internal MAU redistribution  d. Funds already committed to the program by the MAU <sup>1</sup> e. Funded all or in part by external funds, expiration date  f. Other funding source Specify Type:  S No	TOTAL REVENUES	\$	Year 4	Year 4 \$				
a. In current legislative budget request  b. Additional appropriation required  c. Funded through new internal MAU redistribution  d. Funds already committed to the program by the MAU <sup>1</sup> e. Funded all or in part by external funds, expiration date  f. Other funding source Specify Type:  \$ \$ \$  8. Facilities: New or substantially (>\$25,000 cost) renovated facilities will be required.	Page # of attached summary where the budget is discussed, including initial phase-in:  7. Budget Status. Items a., b., and c. indicate the source(s) of the General Fund revenue specified in item 6. If any grants or							
a. In current legislative budget request  b. Additional appropriation required  c. Funded through new internal MAU redistribution  d. Funds already committed to the program by the MAU <sup>1</sup> e. Funded all or in part by external funds, expiration date  f. Other funding source Specify Type:  \$ \$ \$  8. Facilities: New or substantially (>\$25,000 cost) renovated facilities will be required.	Revenue source			Continuing	One-time			
b. Additional appropriation required \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$								
c. Funded through new internal MAU redistribution  d. Funds already committed to the program by the MAU  e. Funded all or in part by external funds, expiration date  f. Other funding source Specify Type:  S S  8. Facilities: New or substantially (>\$25,000 cost) renovated facilities will be required.								
d. Funds already committed to the program by the MAU¹ \$ \$ \$ \$ \$ \$ e. Funded all or in part by external funds, expiration date \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$ \$		ribution						
e. Funded all or in part by external funds, expiration date f. Other funding source Specify Type:  \$ \$  8. Facilities: New or substantially (>\$25,000 cost) renovated facilities will be required.  Yes								
f. Other funding source Specify Type: \$ \$  8. Facilities: New or substantially (>\$25,000 cost) renovated facilities will be required. Yes								
8. Facilities: New or substantially (>\$25,000 cost) renovated facilities will be required. Yes								
	f. Other funding source Specify Type:			\$	\$			
			•	-addition 1. The transfer				

126

<sup>&</sup>lt;sup>1</sup>Sometimes the courses required by a new degree or certificate program are already being taught by an MAU, e.g., as a minor requirement. Similarly, other program needs like equipment may already be owned. 100% of the value is indicated even though the course or other resource may be shared.

9. Projected enrollments (headcount of majors). If this is a program deletion request, project the teach out enrollments.								
Year 1:		Year 2:		Year 3:			Year 4:	
Page number of attac	hed summa	ary where deman	d	for this program is disc	cussed	:		
10. Number* of new anticipated (or number			a	11. Number* of TAs o	r facul	ty to be reassign	ed:	
program deletion):				Graduate TA				
Graduate TA				Adjunct Term	1			
Adjunct				Tenure track	-			
Term						200 2000		
Tenure track				Former assignment of				
	W			For more information	see pa	age of the	attached summ	iary.
12. Other programs a	ffected by t	he proposed acti	or	n, including those at ot	her M	AUs (please list):		
Program Affec	cted			Ar	nticipat	ted Effect		
Early Childhood Edu	cation,			degree offerings onlin			70.	nated
UAF		transition of so	me	students from UAS to	UAF	as part of require	d 'teach-out'	
Page number of attac	hed summa	ary where effects	0	n other programs are o	discuss	ed:		
13. Specialized accred				14. Aligns with Univer	sity or	campus mission	, goals, core the	mes, and
program certification		anticipated. List		objectives (list):				
all that apply or 'none	e:None			UAS Strategic and Ass	occmo	nt Plan: account	ability and inter	nal reallesation
				OAS Strategic and Ass	C33111C	iit Fiaii. account	ability and inter	ilai realiocation
				Page in attached sumi	mary v	where alignment	is discussed: 1	
15. Aligns with Shapin	ng Alaska's I	Future themes:				16. Aligns with	Academic Mast	er Plan goals:
						Page in attache	ed summary wh	ere alignment is
Page in attached sum	mary where	e alignment is dis	cu	ssed: 1		discussed: 1		
17. State needs met b	v this prog	ram (list):				18. Program is	initially planned	to be: (check all
		2 9				that apply)	, , , , , , , , , , , , , , , , , , , ,	
	summary w	here the state ne	ee	ds to be met are discus	sed:	□ Ausilable 4		- 1'1
1							to students atte ipus(es).	nding classes at
							o students via e	-learning
						o students via e	icuming.	
						Partially av	ailable students	s via e-learning.
Page # in attached summary where e-learning is discussed: 1								
Submitted by the University of Alaska Southeast with the concurrence of its Faculty Senate.								
				alist.	^	- 6		010161
la	4		1_	5/4/14	1	000		3/4/14
Prov	Provost Date Chancellor Date							

	$\sim$						
Recommend Approval	Ala HA 1 8/12/2014						
Recommend Disapproval	UA Vice President for Academic Affairs on behalf of Date						
	the Statewide Academic Council						
*Net FTE (full-time equivalents). For example, if a faculty member will be reassigned from another program, but his/her original program will hire a replacement, there is one net new faculty member. Use fractions if appropriate. Graduate TAs are normally 0.5 FTE. The numbers should be consistent with the revenue/expenditure information provided.							
Attachments: Summary of Degree or Cert	icate Program Proposal						
Revised: 07/10/2014							

#### **ATTACHMENT A:**

#### **UAS REQUEST TO ELIMINATE EARLY CHILDHOOD EDUCATION PROGRAMS**

#### **RATIONALE**

August 1, 2014

#### **SUMMARY:**

This document accompanies the "Board of Regents Program Action Request" seeking elimination of the following UAS Early Childhood Education program offerings:

Early Childhood Education Certificate
Early Childhood Education—Associate of Applied Science
Early Childhood Education—Graduate Certificate
Early Childhood Education—Masters of Teaching (MAT)
Early Childhood Education—Masters of Education (MEd)
Child Development Associate—Occupational Endorsement (approved at Chancellor level)

#### **RATIONALE:**

UAS had a long history of offering Early Childhood Education program offerings, not unlike those at UAA and UAF. In the early 2000s, UAS and UAF cooperated in offering ECE offerings online in part because both universities offered similar programs. In 2009-2010 UAS conducted a regular academic program review of its ECE offerings. That review revealed declining enrollments and a low graduation/completer rate. Moreover, the review pointed to significant duplication between the UAS and UAF programs. Both the UAS dean and provost at the time recommended that UAS discontinue its program and work with UAF in particular to serve this need, including for Southeast Alaska students. A memorandum of agreement (dated March 8, 2012) was signed between the two universities to accomplish this.

In light of this program review, UAS formally notified the Northwest Commission on Colleges and Universities (NWCCU) on March 9, 2012 of our intention to suspend admissions to these programs and to seek elimination of the offerings once a reasonable 'teach-out' period had been completed. The NWCCU affirmed this action and approved the teach-out plan as presented.

UAS has now completed the planned teach-out and requests that the Board eliminate these degree offerings, effective Fall 2014. The program had two term faculty members associated with it. In one case the faculty member's term contract was not renewed. In the other, a reallocation was made of the faculty member's workload to support a related Education degree offering.

This proposed action reflects the outcome of a careful program review as called for in Board policy. The action contributes to *Shaping Alaska's Future*—Theme 5: Accountability to Alaska's People. It eliminates duplication of online programs and redirects resources to high-demand programs that are expanding. Moreover, the action reflects focused attention to our UAS mission and core themes—emphasizing those programs that build on our institution's assets and strategic opportunities.



#### UNIVERSITY OF ALASKA ANCHORAGE

Office of Academic Affairs 3211 Providence Drive Anchorage, AK 99508-4614

Date: May 7, 2014

To: Dana Thomas, Vice President of Academic Affairs, Statewide Academic Council Chair

From: Elisha Baker, Provost and Vice Chancellor for Academic Affairs Broke I

Through: Thomas Case, Chancellor TCan-

Subject: Proposed Speech-Language Pathology Post-Baccalaureate Certificate

The College of Education is proposing a post-baccalaureate certificate in Speech-Language Pathology. The program proposal has been approved by the faculty, dean, and appropriate UAA curriculum committees. It is now being submitted to the Statewide Academic Council for consideration of placement on the Board of Regents agenda.

The program development was informed by a healthcare workforce vacancy study and feedback from administrators from Alaska's three largest school districts and the state Department of Education and Early Development.

Since 2004, UAA has had an affiliation agreement with East Carolina University to provide an Alaska Cohort in ECU's distance Communication Sciences and Disorders master's degree. The proposed certificate builds on the prerequisite courses UAA offers for the ECU master's degree, and provides a transcripted credential for students completing this coursework.

The certificate will provide a pathway to graduate study in speech-language pathology, and prepare individuals in related fields to better understand, teach, and/or interact with individuals with speech, language, and/or hearing impairment. As all of the courses will be available by e-learning, the certificate will meet the needs of the entire state.

Since the courses are already being offered, the financial needs of this program will be met through existing investments, increased tuition and fee revenue, and internal college reallocations.

A minor with similar content and shared resources has been submitted to the NWCCU for the final stage of approval, since minors do not require approval by the Regents.

Attachments: BOR Program Action Request Form, Program Executive Summary and Prospectus CC: Vice Provost Kalina, Assistant Vice Provost Carlson, Dean Ryan, Professor Brigham



#### Board of Regents Program Action Request University of Alaska

Proposal to Add, Change, or Delete a Program of Study

Many Traditions One Alaska								
1a. Major Academic Unit (choose one) UAA	1b. School or C College of Educ	-	1c. Department or Program Special Education					
2. Complete Program Title Speech-Language	Pathology Post-Ba	accalaureate Cer	tificate					
3. Type of Program								
Undergraduate Certificate AA/AAS Baccalaureate Spost-Baccalaureate Certificate								
☐ Master's ☐ Gradua	te Certificate		Doctorate					
4. Type of Action		5. Implementat	ion date (semester, year)					
		☐ Fall ☐ S	pring Year 2014					
6. Projected Revenue and Expenditure Summary. Not Required if the requested action is deletion. (Provide information for the 5 <sup>th</sup> year after program or program change approval if a baccalaureate or doctoral degree program; for the 3 <sup>rd</sup> year after program approval if a master's or associate degree program; and for the 2 <sup>nd</sup> year after program approval if a graduate or undergraduate certificate. If information is provided for another year, specify (1st) and explain in the program summary attached). Note that Revenues and Expenditures are not always entirely new; some may be current (see 7d.)								
Projected Annual Revenues in FY 15		Projected An	nual Expenditures in FY 15					
Unrestricted		Salaries & be	enefits (faculty and staff)	\$52,561				
General Fund	\$24,713	Other (comn	nodities, services, etc.)	\$				
Student Tuition & Fees	\$27,848	TOTAL EXPE	NDITURES	\$52,561				
Indirect Cost Recovery	\$	One-time Ex	One-time Expenditures to Initiate Program (if >\$250,000)					
TVEP or Other (specify):	\$		osts in addition to the annua					
Restricted	т	Year 1						
Federal Receipts	\$		Year 2 \$					
TVEP or Other (specify):	\$	Year 3		\$				
TOTAL REVENUES	\$52,561	<u> </u>	Year 4					
TOTAL REVENUES	\$52,501	Teal 4		\$				
Page # of attached summary where the budge								
financial support the college has committed t								
within the college. Revenues and expenditure	s will be shared v	vith a minor with	similar requirements. Financ	cial projections are				
based on personnel requirements.								
7. Budget Status. Items a., b., and c. indicate to contracts will supply revenue needed by the p								
Revenue source			Continuing	One-time				
a. In current legislative budget request			\$	\$				
b. Additional appropriation required			\$	\$				
c. Funded through new internal MAU redis	tribution		\$	\$				
d. Funds already committed to the program			\$52,561	\$				
e. Funded all or in part by external funds, e	•		\$	\$				
f. Other funding source Specify Type:			\$	\$				
in other randing source speedly Type.			<del> </del>	Υ				
8. Facilities: New or substantially (>\$25,000 c	•			⊠No				
If yes, discuss the extent, probable cost, an	u anticipateu iuni	aing source(s), in	addition to those listed in se	ections o and 7 above.				

<sup>&</sup>lt;sup>1</sup>Sometimes the courses required by a new degree or certificate program are already being taught by an MAU, e.g., as a minor requirement. Similarly, other program needs like equipment may already be owned. 100% of the value is indicated even though the course or other resource may be shared.

9. Projected enrollme	ents (headc	ount of majors). If	this	is a program deleti	ion	request, project the teach out enrollments.	
Year 1: 8		Year 2: 16	16		18	8 Year 4: 20	
Page number of attac	hed summ	ary where demand	l for t			7,001,1120	
10. Number* of new TA or faculty hires anticipated (or number of positions eliminated if a			11.	Number* of TAs o	r fac	aculty to be reassigned:	
program deletion):			Graduate TA 0		0		
		Ac	djunct	0			
Graduate TA	0		Te	erm	0		
Adjunct	0			enure track	0		
Term	.5						
Tenure track	0		Former assignment of any reassigned faculty:  For more information see page 3 of the attached summary.				
12. Other programs a	ffected by t	the proposed action					
Program Affect	ted			Anticipated Effect			
See note.		The program is no	ot ex			er programs because it is in an area not currently	
				•		e program's home department.	
		The program is a	path	way to graduate st	tudy	dy. It also enables individuals in related fields to	
					rvice	ce delivery for individuals with speech, language,	
		and/or hearing in	npair	rment.			
Page number of attac	hed summa	ary where effects o	n oth	her programs are d	liscu	cussed: 2	
13. Specialized accreditation or other external program certification needed or anticipated. List all that apply or 'none': Planned submission to AK Professional Licensing Board for consideration as one avenue to satisfy educational requirement for licensure as an SLP Assistant.			14. Aligns with University or campus mission, goals, core themes, and objectives (list):UAA 2017 Strategic Priority A (education and training for workforce development and high demand careers) and C (increased educational opportunity and student success), Academic Master Plan Goal 4 (develop and enhance programs in response to state needs in education and healthcare)				
			Pag	Page in attached summary where alignment is discussed: 1			
15. State needs met by this program (list): Currently there is no undergraduate program in speech-language pathology in Alaska. The provide a pathway to graduate study. A 2012 Health Workforce VacStudy, the Health Workforce Development Plan, and support from Director of Special Education and administrators from Anchorage, N				n Alaska. This will orkforce Vacancy oport from AK nchorage, Mat-Su,		<ul> <li>16. Program is initially planned to be: (check all that apply)</li> <li>Available to students attending classes at Anchorage campus(es).</li> <li>Available to students via e-learning.</li> </ul>	
and Fairbanks school districts all demonstrate the needs this program meet.				this program will		Partially available students via e-learning.	
Page in the attached summary where the state need discussed: 2			ds to be met are			Page # in attached summary where e-learning is discussed: 3	
Submitted by the University	versity of Al	aska Anchorage wi	ith th	ne concurrence of i	ts F	Faculty Senate.	
6/	John	) 110	18/	05/2014 _	7	Than R Cen 17 May 200,	
Prov	ost		Dat	te A	_	Chancellor Date	
Recommend Appro		UA Vice		sident for Academie Statewide Academ		Affairs on behalf of Bate	
*Not ETE /full_time equivale	ntcl For avai	male if a faculty memb	or will	he reassigned from an	othe	ner program, but his/her original program will hire a replacement.	

\*Net FTE (full-time equivalents). For example, if a faculty member will be reassigned from another program, but his/her original program will hire a replacement, there is one net new faculty member. Use fractions if appropriate. Graduate TAs are normally 0.5 FTE. The numbers should be consistent with the revenue/expenditure information provided.

Attachments:  $\square$  Summary of Degree or Certificate Program Proposal

Revised: 10/10/2012

☑ Other (optional) Letters of Support



### New Program Proposal

### **Executive Summary**

(See University Regulation R10.04.020.C)

This is a summary of a full prospectus. The full prospectus is available upon request.

#### Degree/Certificate Title & Responsible Program

Major Academic Unit	School or Colle	ege	Department		
University of Alaska Anchorage	College of	of Education	Special Education		
Complete Program Title Speech-Language Pathology Post-Baccalaureate Certificate					
Type of Program U	ndergrad Certificate	AA/AAS	Post-Baccalaureate Certificate		
□ M	asters	Graduate Certificate	Doctoral		

# 1. Relationship of the proposed program relative to the educational mission of the University of Alaska and the MAU.

The proposed Speech-Language Pathology (SLP) Post-Baccalaureate Certificate is designed to provide education and training for workforce development and high demand careers, aligning with UAA's 2017 Strategic Plan Priority A. UAA, in conjunction with the UA Office of Health Programs Development, has assessed Alaska's workforce needs and identified a significant need for speech-language pathologists in healthcare. Letters from the State of Alaska Department of Education & Early Development (DEED) Special Education Administrator and administrators from Anchorage, Matanuska-Susitna, and Fairbanks North Star Borough School Districts also identify a significant shortage of speech-language pathologists to meet the needs of public school children in Alaska. The proposed program supports the UA Academic Master Plan Goal 4 to develop and enhance programs in response to state needs in education and healthcare.

The SLP Post-Baccalaureate Certificate also aligns with the goals in UAA 2017 Strategic Plan Priority C that relate to increased educational opportunity and student success. Students completing the program will be highly qualified candidates to apply to graduate programs in speech-language pathology.

#### 2. History of the development of the proposed program.

Since 2004 the UAA College of Education has had an affiliation agreement with East Carolina University's (ECU) Communication Sciences and Disorders Department distance Master's Degree program. An Alaska Cohort was established in the ECU Distance Education program to enable Alaska to "Grow our own speech-language pathologists." UAA provides the required prerequisite courses via distance delivery and graduate level internship courses for students accepted to the affiliated program. ECU provides the graduate academic program via distance delivery. To date, 90% of the graduates of the Alaska Cohort have accepted positions in Alaska. The prerequisite courses have been available as non-degree seeking professional level courses. Course enrollees were individuals who had just completed a Bachelor's degree and needed the required prerequisites prior to applying to graduate school as well as the second career students returning to school to become speech-language pathologists.

The Alaska Speech-Language Hearing Association, the UAA Office of Health Programs Development, and the UA Allied Health Alliance support development of educational programs to train speech-language pathologists. The SLP Post-Baccalaureate Certificate will allow students who already have a baccalaureate degree in another field to obtain required coursework in preparation for graduate study in Speech-Language Pathology. It will also enable individuals in related fields to obtain information that will help an educator or health professional to better understand, teach and/or interact with individuals with speech, language, and/or hearing impairment.

- 3. Impact of the proposed program on existing UA programs, including the GER.
  - There is no impact on the GERs because they are not required for the Post-Baccalaureate Certificate nor is there a negative impact on other academic units. The Post-Baccalaureate Certificate is a pathway to graduate study in speech-language pathology for those who choose to become fully certified speech-language pathologists. There is no other program in Alaska that provides coursework to achieve this goal. The Post-Baccalaureate Certificate also enables individuals in related fields to obtain information that will facilitate service delivery for individuals with speech, language, and/or hearing impairment. As the courses will be available by distance delivery the Post-Baccalaureate Certificate meets the needs of the entire state.
- 4. State needs met by the proposed program (citing manpower studies or similar statistics), relation to State of Alaska long-range development, relation to other programs in the University of Alaska that may depend on or interact with the proposed program.

Currently there is no undergraduate program in speech-language pathology in Alaska. Providing an SLP Post-Baccalaureate Certificate program will meet the need for qualified speech-language pathologists in Alaska by providing a pathway to graduate study in speechlanguage pathology. The 2012 Alaska Health Workforce Vacancy Study<sup>1</sup> and letters from the State of Alaska Director of Special Education and special education administrators from Anchorage, Matanuska-Susitna, and Fairbanks North Star Borough school districts document the need for speech-language pathology education programs in Alaska.<sup>2</sup>

The Alaska Health Workforce Development Plan (May 2010) assessed occupational priorities for Alaska. Three priority groups were established with Priority 1 representing those occupations "Most critical; requires immediate attention." Speech-Language Pathology was rated priority 1.

Having an SLP Post-Baccalaureate Certificate program in Alaska will make it possible for a greater number of Speech-Language Pathology jobs to be filled by Alaskans rather than by outside contractors who are not likely to become permanent residents of Alaska. This program will allow Alaskans who are changing careers, to obtain the education required to apply to graduate study in speech-language pathology without leaving Alaska.

<sup>1</sup> https://app.box.com/s/xqh4aj46ooc46e62r6q9

<sup>&</sup>lt;sup>2</sup> See Attachment for letters of support.

<sup>&</sup>lt;sup>3</sup> http://labor.state.ak.us/awib/forms/Healthcare Workforce Plan.pdf

The courses that comprise the Post-Baccalaureate Certificate in Speech-Language Pathology may serve as potential electives for students in a variety of UAA College of Education programs. Courses at the 200-400 level could be used as electives for the B.A. in Early Childhood. Several of the 400 level courses may serve as electives for the M.Ed. in Teaching and Learning, the M.Ed. in Special Education, and the M.Ed. in Early Childhood Special Education. Outcomes of meetings with the College of Health indicate that this may be the case for majors in that college as well.

#### 5. Student opportunities, outcomes, and enrollment projections.

Completion of the SLP Post-Baccalaureate Certificate program is a pathway to graduate study in speech-language pathology for those who choose to become fully certified speech-language pathologists. It will also enable individuals in related professions to obtain information that will facilitate service delivery for individuals with speech, language, and/or hearing impairment.

Table 5
Enrollment Projections

	Year 1	Year 2	Year 3	Year 4	Year 5
FTE Enrollment	8	8	10	10	10
<b>Enrollment Headcount</b>	8	16	18	20	20
Graduates	0	8	8	10	10

#### 6. Program availability.

Courses will be offered using a variety of delivery modes. Distance delivery will be available for all courses to enable students from around the state to participate in this program. Distance delivery is considered essential for statewide access as this is the only speech-language pathology program in Alaska that prepares post bachelors students for graduate study in speech-language pathology.

#### 7. Faculty and staff workload implications.

The program requires a minimum of a full time and a .5 term faculty position as well as sufficient adjunct faculty to meet program needs. Ellen Brigham is the ongoing Program Coordinator who currently serves as a bipartite term faculty Assistant Professor. A .5 term assistant professor will be hired effective fall 2014. A new prerequisite course was added (EDSL A410) as required for application to the affiliated graduate program with East Carolina University. All courses except EDSL A410 and EDSL A411 are also requirements for the SLP Minor that is being proposed concurrently with the SLP Post-Baccalaureate Certificate. Advising will be done jointly by the advisors in the College of Education Office of Student Engagement and by the faculty advisor in the Speech-Language Pathology Program. No additional advisors will be needed.

<sup>&</sup>lt;sup>4</sup> Since minors do not require approval by the Board of Regents, the SLP minor has been separately submitted to the Northwest Commission on Colleges and Universities for final approval.

#### 8. Fiscal Plan for the proposed program.

The projected budget below indicates the financial support College of Education has committed to the Speech-Language Pathology Post-Baccalaureate Certificate. Funding at the level shown will be accomplished from reallocations with the college with a portion offset by tuition and fee revenue. The salary for the ongoing bipartite term Assistant Professor, Ellen Brigham, is currently covered by COE and will be ongoing. Please note, the projected .51 faculty and adjunct cost is shared with SLP Minor. Incremental increases are due to projected yearly salary increases.

Table ES8
Incremental Expenses, Revenues, and Balances

Year	New Expenses	New Revenue	Balance
Yr 1	52,561	27,848	24,713
Yr 2	53,876	27,848	24,713
Yr 3	55,222	34,810	20,412
Yr 4	56,602	34,810	31,792
Yr 5	58,017	34,810	31,792



# **Anchorage School District**

## Special Education Department

5530 E. Northern Lights Blvd. • Anchorage, AK 99504 • 907-742-6021 • www.asdk12.org/sped/

Wednesday, November 6, 2013

Subject: University of Alaska Speech Program Support

Dear Ms. Brigham,

It is my understanding that the University of Alaska- Anchorage is currently working on a proposal for a minor or post-baccalaureate certificate in speech-language pathology.

Anchorage School District continues to find it extremely difficult to recruit and hire Speech and Language Pathologists. As a result, we would value any preparatory education that the University of Alaska can do to support the needs of Anchorage School District with Speech and Language Pathologists.

Additionally, Alaska school districts have a significant demand for the services of Speech and Language Pathologists. As the Secretary of the Council of Administrators of Special Education (CASE) of the Alaska Counsel of Exceptional Children (CEC), the shortage of Speech and Language Pathologists is frequently brought up in our meetings. We have worked together to discuss the recruitment and retention strategies, salary scales, and service delivery models.

Anchorage School District is in full support of the programs proposed. As a direct benefit of the University of Alaska's program, Anchorage School District will be able to employee trained and skilled staff. This in turn provides the best support for students at-risk and/or receiving special education services under IDEA.

Cordially,

Shawn A. Bernard

Special Education Coordinator: Related Service

Bettye Davis, Treasurer

Eric Croft

email: Bernard\_shawn@asdk12.org

office: 907.742.6019



### FAIRBANKS NORTH STAR BOROUGH SCHOOL DISTRICT

520 Fifth Avenue Fairbanks, Alaska 99701-4756 (907) 452-2000 www.kl2northstar.org



October 29, 2013

Dear Ellen,

Year after year the FNSBSD is put in the position of hiring Speech Language Pathologists (SLPs) from contracting companies as well as hiring retired SLPs, who may only work a few hours per week in order to continue receiving their pension, in order to fill vacancies. We are always in need of SLPs who would like to become vested as school district employees. I would love to see a Communicative Disorders program (both an undergraduate and graduate) available in the state.

Thank you for addressing this very important need.

Kathie Kenaston, SLP – MS-CCC Special Education Coordinator FNSB School District (T) 907-452-2000 Ext. 11416 (F) 907-451-6005 kathie.kenaston@k12northstar.org



#### OFFICE OF STUDENT SUPPORT SERVICES

Lucy Hope - Director ◆ Dale Sweetser - Assistant Director

Mission: Mat-Su Borough School District prepares students for success

10/30/13

To Whom It May Concern,

I am writing a letter of support for your proposal to offer a minor and post-bac certificate in speech-language pathology. Alaska has a significant demand for the services of SLPs and anything that you and UAA can do to meet the needs of Alaskan school students will be greatly appreciated in our professional community.

The Matanuska Susitna Borough School District in Palmer Alaska places considerable time recruiting related service staff each year, including Speech Language Pathologists. Over the past three years, we have yet to reach our optimal number of qualified Speech Language Pathologists resulting in the need to hire contracted staff versus district employees. Our goal would be to only hire employees in order to have consistency and longevity, as well as reduce costs in our staffing budget. We have had anywhere from 2 to 8 contracted Speech Language Pathologists each year for the past four years.

Dale Sweetser Assistant Director Student Support Services

501 N. Gulkana Street

Palmer, Alaska 99645-6147

Ph: 907.746.9221 Fax: 907.761.4089

#### SEAN PARNELL, GOVERNOR

### **Department of Education & Early Development**

Division of Teaching & Learning Support Special Education

Goldbelt Place 801 West 10th Street, Suite 200 P.O. Box 110500 Juneau, Alaska 99811-0500 Donald Enoch (907) 465-2972 Phone (907) 465- 2806 Fax Donald.Enoch@alaska.gov

November 4, 2013

Ellen Brigham M.S., CCC-SLP **Assistant Professor** Speech-Language Program Coordinator Special Education Department 3211 Providence Drive, PSB 220D Anchorage, AK 99508-4614

This is a letter of support for the University of Alaska, Anchorage (UAA) to consider a proposal for a minor in speech-language pathology. It is my understanding that this action, if approved, would give undergraduates at UAA the opportunity to be ready to apply to the graduate program two years earlier than they can currently. In addition to this proposal, I support consideration of a change to providing a post BAC certificate in support of career changers taking prerequisite courses and applying to the graduate program.

I am aware that thirty two students have completed the graduate program with 90% accepting positions in Alaska. Of this percentage, 72% accepted positions in public schools. While this is a positive note, a severe shortage of Speech Language Pathologists continues. The 2012 Alaska Health Workforce Vacancy Study included data from a number of Alaska School Districts. This study identified a 20% statewide vacancy rate for Speech Language Pathologists (SLPs). Letters from the school districts in Fairbanks, Mat-Su and Anchorage indicate that strategies used to address the shortage include hiring contractors and retired SLPs willing to work a couple of days a week. The other practices to meet this growing challenge include increasing caseloads and supports. These temporary solutions do not sufficiently address the problem that exists and is growing as more SLPs retire.

This is an important issue and critical for Alaskan students receiving these services. If there is anything I can do to assist in this work, please do not hesitate to contact me personally. Thank you for your support in these efforts.

Sincerely,

Donald E. Enoch Jr.

Special Education Administrator

#### CURRENT LANGUAGE with TRACK CHANGES for PROPOSED CHANGES

#### P04.04.047. Termination of Faculty Appointment.

- A. Termination is the severance of the employment relationship of a faculty member which is based on a decision to discontinue an existing employment relationship. Faculty may be terminated under any of the conditions set out in this section.
- B. Non-retention. Non-retention follows a decision not to continue the employment of a non-tenured faculty member in a tenure track position or of a faculty member holding special academic rank and a continuing appointment. The chancellor or the chancellor's designee will notify the faculty member of this decision in writing not less than:
  - 1. three months prior to the end of an appointment expiring at the end of a faculty member's first year of uninterrupted service within the university system, but not later than March 1 for appointments ending in May, June, July or August;
  - 2. six months prior to the end of an appointment expiring after the completion of one, but not more than two, years of service within the university system, but not later than December 15 for appointments ending in May, June, July or August;
  - 3. twelve months prior to the expiration of an appointment after two or more years of uninterrupted service within the university system.
- C. Failure to receive tenure. Following a decision not to award tenure in the mandatory year for tenure review, the faculty member will receive notice at least twelve months prior to the end of the academic or fiscal year of final service.
- D. Retirement. Retirement eligibility is determined by the Teachers Retirement System, the Public Employees Retirement System of the State of Alaska, or the University of Alaska Optional Retirement Plan. Faculty planning to retire shall notify their supervisor as soon as possible prior to the anticipated retirement date.
- E. Resignation. A faculty member intending to resign from employment with the university system shall file with the appointing authority a written resignation stating the effective date. A faculty member is expected to provide notice adequate to allow for his or her orderly replacement.
- F. Discontinuance of program. When a decision is made to discontinue a program following program review as specified in R10.06.010, a good faith effort must be made to place tenured faculty in another program where appropriate. The chancellor or the chancellor's designee will notify each faculty member of the decision to terminate employment in writing not less than:
  - 1. Three months prior to the end of the academic or fiscal year of a faculty member's first year of uninterrupted service within the university system, but not later than

March 1 for appointments ending in May, June, July or August.

- 2. Six months prior to the end of the academic or fiscal year after the completion by a faculty member of one, but not more than two, years of service within the university system, but not later than December 15 for appointments ending in May, June, July or August.
- 3. Twelve months prior to the end of the academic or fiscal year after two or more years of uninterrupted service within the university system.
- 4. Should the program be reactivated within two years, a tenured faculty member shall be invited to return to the program faculty. The faculty member must notify the university of the decision to decline or accept within 30 days of receipt of this invitation.
- 5. Notwithstanding the foregoing, faculty on term contracts, including regular term faculty and adjuncts, may be terminated pursuant to the terms of their appointment letter or this provision, but in no event will any required notice exceed the duration of the project, grant, contract or specific end date in the appointment letter.
- G. Reduction in program. When a decision is made to reduce a program following program review under R10.06.010 a good faith effort must be made to retain tenured faculty in preference to non-tenured faculty, or to place tenured faculty in another program where appropriate. The chancellor or chancellor's designee will notify each faculty member of the decision to terminate employment in writing not less than:
  - 1. Three months prior to the end of the academic or fiscal year of a faculty member's first year of uninterrupted service within the university system, but not later than March 1 for appointments ending in May, June, July or August.
  - 2. Six months prior to the end of the academic or fiscal year after the completion by a faculty member of one, but not more than two, years of service within the university system, but not later than December 15 for appointments ending in May, June, July or August.
  - 3. Twelve months prior to the end of the academic or fiscal year after two or more years of uninterrupted service within the university system.
  - 4. Should the program be expanded within two years, tenured faculty members shall be invited to return to the program faculty. The faculty member must notify the university of the decision to decline or accept within 30 days of receipt of this invitation.
  - 5. Notwithstanding the foregoing, faculty on term contracts, including regular term faculty and adjuncts, may be terminated pursuant to the terms of their

appointment letter or this provision, but in no event will any required notice exceed the duration of the project, grant, contract or specific end date in the appointment letter.

- H. Financial exigency. Following a declaration of financial exigency under P04.09 and related university regulation, faculty members are entitled to a minimum of 60 calendar days notice in advance of the cessation of their employment. Notwithstanding the foregoing, faculty on term contracts, including regular term faculty and adjuncts, may be terminated pursuant to the terms of their appointment letter or this provision, but in no event will any required notice exceed the duration of the project, grant, contract or specific end date in the appointment letter.
- I. Cause. Faculty may be dismissed immediately for cause. In this section, "cause" means some substantial shortcoming that renders continuance in employment detrimental to appropriate discipline and efficiency of service including incompetency, neglect of duty, unprofessional conduct, or other conduct that interferes substantially with the continued performance of duties. "Cause" may also include physical or mental incapacity, subject to the requirements of applicable state and federal law.

(04-15-04)

#### PROPOSED FINAL LANGUAGE

#### P04.04.047. Termination of Faculty Appointment.

- A. Termination is the severance of the employment relationship of a faculty member which is based on a decision to discontinue an existing employment relationship. Faculty may be terminated under any of the conditions set out in this section.
- B. Non-retention. Non-retention follows a decision not to continue the employment of a non-tenured faculty member in a tenure track position or of a faculty member holding special academic rank and a continuing appointment. The chancellor or the chancellor's designee will notify the faculty member of this decision in writing not less than:
  - 1. three months prior to the end of an appointment expiring at the end of a faculty member's first year of uninterrupted service within the university system, but not later than March 1 for appointments ending in May, June, July or August;
  - 2. six months prior to the end of an appointment expiring after the completion of one, but not more than two, years of service within the university system, but not later than December 15 for appointments ending in May, June, July or August;
  - 3. twelve months prior to the expiration of an appointment after two or more years of uninterrupted service within the university system.
- C. Failure to receive tenure. Following a decision not to award tenure in the mandatory year for tenure review, the faculty member will receive notice at least twelve months prior to

the end of the academic or fiscal year of final service.

- D. Retirement. Retirement eligibility is determined by the Teachers Retirement System, the Public Employees Retirement System of the State of Alaska, or the University of Alaska Optional Retirement Plan. Faculty planning to retire shall notify their supervisor as soon as possible prior to the anticipated retirement date.
- E. Resignation. A faculty member intending to resign from employment with the university system shall file with the appointing authority a written resignation stating the effective date. A faculty member is expected to provide notice adequate to allow for his or her orderly replacement.
- F. Discontinuance of program. When a decision is made to discontinue a program following program review as specified in R10.06.010, a good faith effort must be made to place tenured faculty in another program where appropriate. The chancellor or the chancellor's designee will notify each faculty member of the decision to terminate employment in writing not less than:
  - 1. Three months prior to the end of the academic or fiscal year of a faculty member's first year of uninterrupted service within the university system, but not later than March 1 for appointments ending in May, June, July or August.
  - 2. Six months prior to the end of the academic or fiscal year after the completion by a faculty member of one, but not more than two, years of service within the university system, but not later than December 15 for appointments ending in May, June, July or August.
  - 3. Twelve months prior to the end of the academic or fiscal year after two or more years of uninterrupted service within the university system.
  - 4. Should the program be reactivated within two years, a tenured faculty member shall be invited to return to the program faculty. The faculty member must notify the university of the decision to decline or accept within 30 days of receipt of this invitation.
  - 5. Notwithstanding the foregoing, faculty on term contracts, including regular term faculty and adjuncts, may be terminated pursuant to the terms of their appointment letter or this provision, but in no event will any required notice exceed the duration of the project, grant, contract or specific end date in the appointment letter.
- G. Reduction in program. When a decision is made to reduce a program following program review under R10.06.010 a good faith effort must be made to retain tenured faculty in preference to non-tenured faculty, or to place tenured faculty in another program where appropriate. The chancellor or chancellor's designee will notify each faculty member of the decision to terminate employment in writing not less than:

- 1. Three months prior to the end of the academic or fiscal year of a faculty member's first year of uninterrupted service within the university system, but not later than March 1 for appointments ending in May, June, July or August.
- 2. Six months prior to the end of the academic or fiscal year after the completion by a faculty member of one, but not more than two, years of service within the university system, but not later than December 15 for appointments ending in May, June, July or August.
- 3. Twelve months prior to the end of the academic or fiscal year after two or more years of uninterrupted service within the university system.
- 4. Should the program be expanded within two years, tenured faculty members shall be invited to return to the program faculty. The faculty member must notify the university of the decision to decline or accept within 30 days of receipt of this invitation.
- 5. Notwithstanding the foregoing, faculty on term contracts, including regular term faculty and adjuncts, may be terminated pursuant to the terms of their appointment letter or this provision, but in no event will any required notice exceed the duration of the project, grant, contract or specific end date in the appointment letter.
- H. Financial exigency. Following a declaration of financial exigency under P04.09 and related university regulation, faculty members are entitled to a minimum of 60 calendar days notice in advance of the cessation of their employment. Notwithstanding the foregoing, faculty on term contracts, including regular term faculty and adjuncts, may be terminated pursuant to the terms of their appointment letter or this provision, but in no event will any required notice exceed the duration of the project, grant, contract or specific end date in the appointment letter.
- I. Cause. Faculty may be dismissed immediately for cause. In this section, "cause" means some substantial shortcoming that renders continuance in employment detrimental to appropriate discipline and efficiency of service including incompetency, neglect of duty, unprofessional conduct, or other conduct that interferes substantially with the continued performance of duties. "Cause" may also include physical or mental incapacity, subject to the requirements of applicable state and federal law.

(XX-XX-XX)



Total Project Cost	\$ 17,800,000
Approval Level:	Full Board

TO:

Pat Gamble

President

THROUGH:

Kit Duke

AVP Facilities and Land Management

THROUGH:

**Tom Case** 

**UAA Chancellor** 

DocuSigned by:

Tom Case August 18, 2014

THROUGH:

William Spindle

Vice Chancellor, UAA Admin Services

THROUGH: 6 Chris Turletes

Associate Vice Chancellor, UAA F&CS

THROUGH:

John Faunce

Director, UAA FP&C

FROM:

Summer Sauve JM Sance

8/13/14

Project Manager, UAA FP&C

DATE:

August 12, 2014

SUBJECT:

Project Type:

**New Construction Project** 

Project Name: UAA KPC Kenai River Campus Student Housing Complex

Project No.:

10-0066

cc:



Name of Project: UAA KPC Kenai River Campus Student Housing Complex

Project Type: New Construction

Location of Project: KPC Kenai River Campus, Soldotna, AK

Project Number: 10-0066

Date of Request: August 5, 2014

Total Project Cost: \$ 17,800,000
Approval Required: Full Board

Prior Approvals: Preliminary Administrative Agreement: March, 2011

Project Agreement: May 11, 2011
Formal Project Approval: June 3, 2011
Schematic Design Approval: September 23, 2012

A Project Change Request (PCR) is required for all Capital Projects with a Total Project Cost in excess of \$250,000.

For projects that have changes in the source of funds, increases or decreases in budget, savings to the construction budget, and/or material changes in program or project scope identified subsequent to schematic design approval shall be determined by the chief facilities officer based on the extent of the change and other relevant circumstances. This determination requires judgment, but will generally be based on the nature of the funding source, the amount, and the budgetary or equivalent scope impact relative to the approved budget at the schematic design approval stage. Any changes with an estimated impact in excess of \$400,000 will require approval by the Facilities and Land Management Committee (F&LMC) or the full Board of Regents depending on the amount of the impact.

## Action Requested

The Facilities and Land Management Committee recommends that the Board of Regents approve the Project Change Request for the University of Alaska Anchorage KPC Kenai River Campus Student Housing Complex as presented in compliance with the campus master plan, and authorizes the University administration to complete construction bid documents to bid and award contracts within the approved budget, and to proceed to completion of project construction with the Pavilion, Exterior Improvements, Site Drainage Improvements, Exterior Covered Parking and Storage not to exceed a Total Project Cost of \$17,800,000. This motion is effective September 18, 2014.

#### Project Change Request Abstract

Request approval to utilize remaining funds in this project to provide elements that were approved in the Schematic Design, but were not built due to high initial cost estimates.

Due to funds remaining from the successful management of a Design -Bid -Build process and successful completion of the construction of the project, there is a remaining balance of \$1,140,000. The University

requests to use these funds to construct improvements and enhancements to the UAA KPC Student Housing Project:

- 1. Outdoor pavilion concept budget \$400,000 construction cost.
- 2. Exterior improvements concept budget of \$80,000 construction cost.
- 3. Site drainage improvements concept budget of \$130,000.
- 4. Exterior covered parking and storage concept budget of \$220,000.
- 5. Design fees, project management, and contingency concept budget of \$310,000.

### RATIONALE AND REASONING

## **Background**

The Outdoor pavilion was identified and approved in the SDA stating, "Create an outdoor space to be used in fall, spring and summer for classes, study and socializing." The covered walkway from the facility to the parking lot/bus drop-off was identified and approved in the SDA. The SDA included the following: "...a covered walkway to the bus drop-off at the parking lot."

Also included in the SDA was a "Centrally located housing maintenance shop and parking garage." The Schematic Design also stated the need to "Expand grounds' irrigation system as a means of wildfire safety." This need became very apparent with the Funny River fire this summer. These items were cut from the project as 65% when the cost estimate came in higher than the budget.

## Programmatic Need

Remains the same for elements identified in the approved SDA. The need for additional site drainage work and exterior improvements was identified after one year of occupancy.

#### Project Scope

- 1. Outdoor pavilion to be used by resident students for a variety of activities to include: instructional space for classes, outdoor area for conferences and training seminars that are already utilizing the residence hall during the summer months and for small community/public square events.
- 2. Exterior improvements including placing a roof over the existing sidewalk, additional sidewalks, lighting, landscaping and irrigation system.
- 3. Site drainage improvements. Install catch basin at west side of parking lot to eliminate ponding and sidewalk overflow. Install catch basin at dumpster pad to eliminate ponding.
- 4. Exterior covered parking for the housing van and for Resident Life Coordinator who is required to live on-site. This would also include secured exterior storage for grounds/maintenance equipment and enclosed cold storage for furniture, conferencing and seasonal equipment.

## **Project Impacts**

Constructing the items that were identified and approved in the SDA, and the items identified in the Project Scope, will enable the residence hall to better serve its mission. There will be minimal disruption to the current residents.

## Proposed Total Project Cost and Funding Source(s)

Total Project Cost		\$17,800,000
FY11 Legislative Capital Funds	564346-22720	\$1,800,000
FY 11 GO Bond	512031-22720	\$16,000,000

# Annual Program and Facility Cost Projections

**Total Annual Program Cost Increase** 

**\$0** 

## Estimated Annual Maintenance and Operating Costs (O&M)

<b>Total Annual O&amp;M Cost</b>		\$395,400/year
Facility Operating Costs	remains the same	\$208,500/year
Maintenance and Repair	remains the same	\$186,900/year

All operational costs, including maintenance and repair will be covered by student housing fees, summer conferences and courses, and training workshops. Full time students (12 credits or more) will occupy the 96 bed complex.

## Project Schedule for Additional Scope

DESIGN	
Conceptual Design	Sept 2014
Schematic Design	Nov 2014
Construction Documents	Feb 2015
BID & AWARD	
Advertise and Bid	March 2015
Construction Contract Award	April 2015
CONSTRUCTION - Phase 1	
Start of Construction	May 2015
Construction Complete	Aug 2015
Date of Beneficial Occupancy	Aug 2015
Warranty Period	1 year

## Project Delivery Method

Design-Bid-Build

#### Affirmation

This project complies with Regents Policy, the campus master plan and the original Project Agreement.

# **Supporting Documents**

One-page Project Budget

Budget for Pavilion and Additional Work

Site Plan

Schematic Design of Pavilion

## **Approvals**

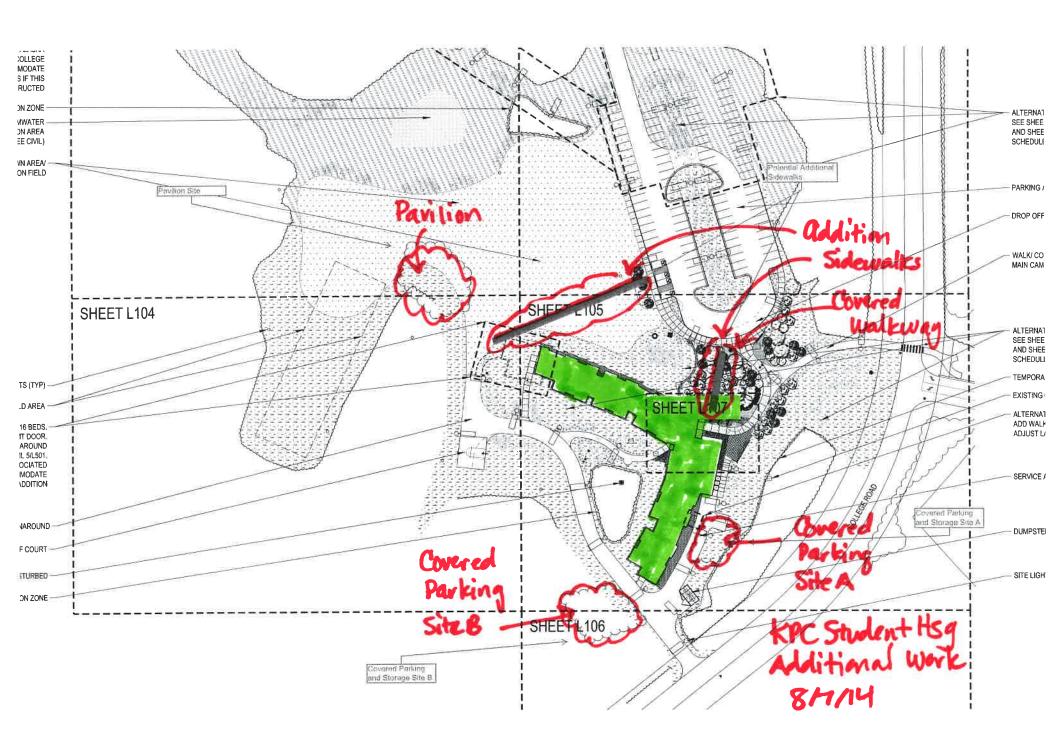
The level of approval required for PCR shall be based upon the estimated TPC as follows:

- Changes with an estimated impact in excess of \$1.0 million will require approval by the Board based on recommendations from the Facilities and Land Management Committee (F&LMC);
- Changes with an estimated impact in excess of \$0.4 million but not more than \$1.0 million will require approval by the **F&LMC**.
- The new policy language does not address approval requirements for project change requests with and impact between \$1 \$400,000. Based on past practices and policy language, project changes that increase a project budget or that significantly impact project scope should be submitted to the AVPFLM for approval.

UN	VERSITY OF ALASKA					
Pro	iect Name:	KPC Kenai River Car	npu	s Student Housin	g Co	mplex
MA	U:	UAA	•			·
Bui	ding:	New	Dat	e:		8/7/2014
		Prei	pared by:	S. S	auve	
	•		'	•		22720-512031
Pro	iect #:	10-0066	Fun	ding:	2	22720-564346
	al GSF Affected by Project:			35,000		42,551
	DJECT BUDGET			SDA Budget		PCR Budget
Α.	Professional Services					
	Advance Planning, Program Developme	ent	\$	30,000	\$	_
	Consultant: Design Services		\$	1,280,000	\$	1,500,000
	Site Survey, Civil Engineering WCB		\$	15,000	\$	95,439
	Soils Testing & Engineering		\$	40,000	\$	60,639
	Special Inspections		\$	150,000	\$	18,660
	Plan Review Fees / Permits		\$	130,000	\$	39,069
	Interior Design				\$	26,350
	Pavilion and Other Improvements				\$	95,000
	Profession	al Services Subtotal	\$	1,645,000	\$	1,835,157
В.	Construction					
	General Construction Contract(s)		\$	12,800,000	\$	13,039,846
	Utilities, Water, Power, Sewer		\$	270,000	\$	150,000
	Pavilion and Other Improvements		\$	-	\$	920,000
	Construction Contingency		\$	1,280,000	\$	-
	Co	nstruction Subtotal	\$	14,350,000	\$	14,109,846
	Construction Cost per GSF			410		332
C.	<b>Building Completion Activity</b>					
	Make Ready & Equipment - food prep a	irea, phones	\$	125,000	\$	141,099
	Furnishings		\$	548,800	\$	540,599
	Art		\$	128,000	\$	128,000
	Pavilion and Other Improvements				\$	48,000
	Building Completi	on Activity Subtotal	\$	801,800	\$	857,698
D.	<b>Owner Activities &amp; Administrative Cost</b>	S				
	Project Plng, Staff Support		\$	417,200	\$	417,200
	Project Management		\$	576,000	\$	484,820
	Pavilion and Other Improvements				\$	77,000
	Misc. Expenses: Advertising, Printing, So	• •	\$	10,000	\$	18,279
	Owner Activities & Administra	ative Costs Subtotal	\$	1,003,200	\$	997,299
E.	Total Project Cost		\$	17,800,000	\$	17,800,000
	Total Project Cost per GSF		\$	509	\$	418
F.	Total Appropriation(s)	T		17,800,000		17,800,000
* A	oproved by BOR at \$17,800,000					

\$

UN	IVERSITY OF ALASKA				
Pro	ject Name:	KPC Kenai Rivo	er Campus Student Ho	using Comp	lex
MΑ		UAA	<u> </u>	<u> </u>	
	lding:	New	Date:		8/7/2014
	npus:	Kenai	Prepared by:	S. Sauv	
	ject #:	10-0066	Funding:	0.0001	
	al GSF Affected by Project:				
_	DJECT BUDGET			FP.	A Budget
Α.	Professional Services				<u> </u>
	Advance Planning, Program Deve	lopment			
	Consultant: Design Services	·		\$	95,000
	Soils Testing & Engineering				
	Special Inspections				
	Plan Review Fees / Permits				
	Prof	fessional Services Sub	total	\$	95,000
В.	Construction				
	General Construction Contract(s)	)			
	Pavilion and Other Improvemen	ts		\$	400,000
	Exterior Improvements			\$	80,000
	Site Drainage Improvements			\$	130,000
	Covered Parking & Storage			\$	220,000
	Construction Contingency			\$	90,000
		Construction Sub	total	\$	920,000
	Construction Cost per GSF				
C.	<b>Building Completion Activity</b>				
	Equipment			\$	20,000
	Furnishings			\$	28,000
	Art				
	Other (Interim Space Needs or Te	emp Reloc. Costs)			
	Building Co	mpletion Activity Sub	total	\$	48,000
D.	Owner Activities & Administrativ	re Costs			
	Project Plng, Staff Support			\$	22,000
	Project Management			\$	55,000
	Misc. Expenses: Advertising, Prin				
	Owner Activities & Adr	ninistrative Costs Sub	total	\$	77,000
E.	Total Project Cost			\$	1,140,000
	Total Project Cost per GSF				
F.	Total Appropriation(s)				1,140,000



# Site 'B'

## Pros

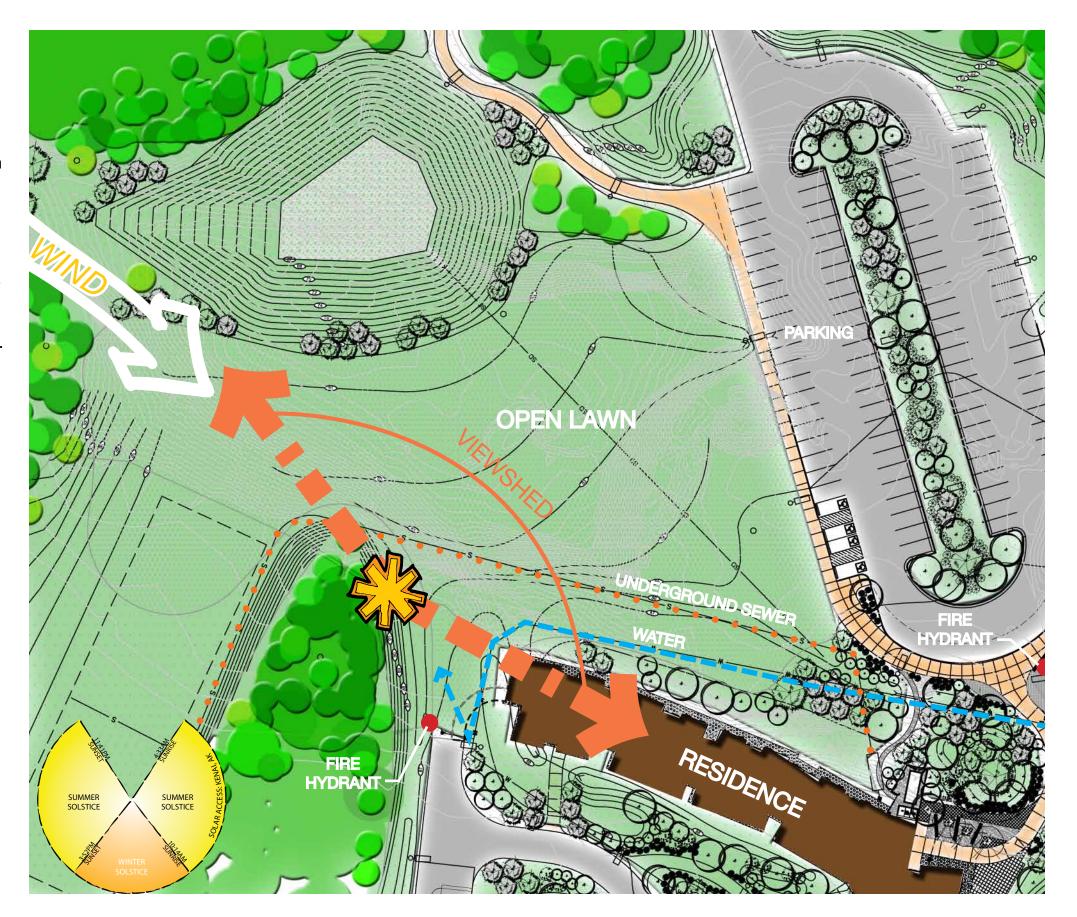
- 1. Great views of the play field area.
- 2. Location would make this more of a resident facility because it is separated from the main campus pedes in circulation and vehicle circulation.
- 3. Close to an existing fire hydrant.

# Cons

- 1. Distance from established pedestrian circulation routes on the campus makes this site fairly inaccessible for non-residents.
- 2. Poor solar exposure; north facing
- 3. Not close to parking or accessible parking stalls. Approx. 250' from parking area.
- 4. Vehicle access not as intuitive as Site 'A'.
- 5. Existing sewer line lies below or very close to this location. May impede or at least influence location and orientation of the shelter.
- 6. Likely significant re-grading involved.
- 7. Higher FFL and location of Site 'B' would likely expose the shelter to wind more so than Site 'A'.

# Summary:

Site 'B's distance from primary pedestrian and vehicle circulation and parking make this location suitable for a pavilion only if an intimate 'resident' structure is more desirable than a campus wide use structure. This location has poor solar exposure and is more exposed to seasonal winds than Site 'A'. Site 'B' also may also be more problematic to accommodate a structure due to existing underground utilities and surface drainage patterns.















<b>Total Project Cost</b>	\$ 15,250,000
Approval Level:	Full Board

TO:

Pat Gamble

President

THROUGH:

Kit Duke

AVP Facilities and Land Management

DocuSigned by:

THROUGH:

Tom Case Tom Case August 18, 2014

UAA Chancellos B02B1426410...

THROUGH:

William Spindle

Vice Chancellor, UAA Admin Services

THROUGH:

**Chris Turletes** 

Associate Vice Chancellor, UAA F&CS

THROUGH:

John Faunce

Director, UAA FP&C

FROM:

Summer Sauve DIN Since

Project Manager, UAA FP&C

DATE:

August 12, 2014

SUBJECT:

Project Type:

**New Construction Project** 

Project Name: UAA KPC Kenai River Career & Technical Education Center

Project No.:

10-0013

cc:



Name of Project: UAA KPC Career & Technical Education Center

Project Type: New Construction

Location of Project: KPC Kenai River Campus, Soldotna, AK

Project Number: 10-0013

Date of Request: August 12, 2014

Total Project Cost: \$ 15,250,000

Approval Required: Full Board

Prior Approvals: Preliminary Administrative Agreement: November 2010

Formal Project Approval:

Schematic Design Approval:

Project Change Request #1

Project Change Request #2

February 18, 2011

September 22, 2011

April 13, 2012

November 11, 2013

A Project Change Request (PCR) is required for all Capital Projects with a Total Project Cost in excess of \$250,000.

For projects that have changes in the source of funds, increases or decreases in budget, savings to the construction budget, and/or material changes in program or project scope identified subsequent to schematic design approval shall be determined by the chief facilities officer based on the extent of the change and other relevant circumstances. This determination requires judgment, but will generally be based on the nature of the funding source, the amount, and the budgetary or equivalent scope impact relative to the approved budget at the schematic design approval stage. Any changes with an estimated impact in excess of \$400,000 will require approval by the Facilities and Land Management Committee (F&LMC) or the full Board of Regents depending on the amount of the impact.

## Action Requested

The Facilities and Land Management Committee recommends that the Board of Regents approve the Project Change Request for the University of Alaska Anchorage KPC Kenai River Campus Career & Technical Education Center as presented in compliance with the campus master plan, and authorizes the University administration to complete construction bid documents to bid and award contracts within the approved budget, and to proceed to completion of project construction with the R&R projects, instructional equipment purchases and building improvements not to exceed a Total Project Cost of \$1,200,000. This motion is effective September 18, 2014.

## Project Change Request Abstract

Request approval to use \$750,000 of the remaining funds on Renewal and Renovation projects for the Kenai River Campus and to use \$275,000 toward instructional equipment and \$175,000 to building improvements.

Due to funds remaining from the successful management of a Design -Bid -Build process and successful completion of the construction of the project and due to an addition of Campus Operating funds of \$750,000, there is a remaining balance of \$1,200,000. The University requests to use these funds as noted below.

#### RATIONALE AND REASONING

### Background

The Career Tech Center opened last year and has a remaining balance of \$1,200,000 in funding.

### Programmatic Need

The fabrication shop was cut from the project during programing and design of the facility due projected cost and budget constraints. KPC submitted a Project Change Request and BOR FLMC approval was granted in April 2012 to add \$750K of KPC campus operating funds to the project for the fabrication shop square footage to be added back into the project. As a result of a very favorable bidding climate, these additional funds were not required to complete the approved project scope. If returned to the campus, \$750,000 would go to the following projects:

- Fire Alarm: The Career and Technical Education Center and Student Housing complex have fire alarm systems that allow for enhanced voice annunciation on all building audio message distribution throughout the building. A "Fireworks" Front end would be installed at the main campus which would notify a central point on the main campus of any Fire Alarm activity on campus. From this single point on campus an audio message could be broadcast to all locations on campus for lock downs, campus closures, or other activities that require a mass audio notification across all campus locations. This will pay dividends in campus and life safety. The existing campus fire alarm system is near end of life and will need replacement within 3 years as the manufactures support is schedule to end on September 30, 2017.
- Video Monitoring: The Career and Technical Center Video Monitoring system would be enhanced to included video monitoring on the main campus. Software, Servers and storage devices are in place. With the addition of data and electrical power sources video monitoring enhancements would be included on the main campus. This project will enhance loss prevention and personal safety for students, faculty and staff.
- Sewer: The Career and Technical Center included a storm and waste water collection system to collect storm and waste water from the east side of (main campus) College Road to the West Side of College Road (Student Housing Area) for disposal in a storm water basin and sewer leach field. The effluent from the Ward Building Sewer system was taken into account in sizing the collection and leach field systems. A stub extension was made for the future connection to the Ward Building sewer system. The Ward Building septic system was installed in 1982. While this leach field appears to be working at this time a planned connection to the stub would be in the better choice than an unplanned emergency.
- Roofing: Phase 1 and Phase 2 of the backfill have taken place in buildings that need new roofs. The Goodrich is in progress of being replaced with renewal money. The Ward Building roof has not failed but is ready for replacement.
- Access Control: The Career and Technical Center Access Control system can be enhanced to
  included access control on the main campus. Software, Servers and storage devices are in
  place. With the addition of data and electrical power sources and door hardware enhancements,

the access control to the building will be significantly enhanced. This will enhance loss prevention and personal safety for students, faculty and staff.

## Project Scope

- 1. \$750,000 to Campus Renovation and Renewal, including new fire alarm, video monitoring, sewer extension, roofing and access control.
- 2. \$275,000 to Instructional Equipment to support the new facility, including process tech control loops and additional training modules and sequences, building energy usage dashboard, well head control simulator.
- 3. \$175,000 to Re-commissioning and additional building improvements.

### **Project Impacts**

There will be minimal disruption to the current residents.

# Proposed Total Project Cost and Funding Source(s)

<b>Total Project Cost</b>		\$15,250,000
FY11 Operating	106210-22719	\$73,000
FY12 Operating	590084-22719	\$677,000
FY 11 GO Bond	512030-22720	\$14,500,000

## Annual Program and Facility Cost Projections

No significant change in program or facility costs is anticipated as a result of this PCR.

#### Project Schedule

T	$\Box C1$		NΤ
. ,	_ \	ιι т	ıvı

Formal Project Approval	Oct 2014
Schematic Design	Nov 2014
Schematic Design Approval	Dec 2014
Construction Documents	Feb 2015
BID & AWARD	
Advertise and Bid	March 2015
Construction Contract Award	April 2015
CONSTRUCTION	
Start of Construction	May 2015
Construction Complete	Aug 2015
Date of Beneficial Occupancy	Aug 2015
Warranty Period	1 year

## Project Delivery Method

Design-Bid-Build

#### Affirmation

This project complies with Regents Policy, the campus master plan and the original Project Agreement.

# **Supporting Documents**

One-page Project Budget

# **Approvals**

The level of approval required for PCR shall be based upon the estimated TPC as follows:

- Changes with an estimated impact in excess of \$1.0 million will require approval by the Board based on recommendations from the Facilities and Land Management Committee (F&LMC):
- Changes with an estimated impact in excess of \$0.4 million but not more than \$1.0 million will require approval by the **F&LMC**.
- The new policy language does not address approval requirements for project change requests with and impact between \$1 \$400,000. Based on past practices and policy language, project changes that increase a project budget or that significantly impact project should be submitted to the AVPFLM for approval.

UNI	VERSITY OF ALASKA					
Pro	ect Name: UAA KPC Career and Technical Education Center					
MA	U: UAA					
Buil	ding: New		Dat	e:		8/12/2014
	npus: Kenai River Campus			pared by:		S. Sauve
	ect #: 10-0013		Acc	· •		512030
	al GSF Affected by Project: New Buildin	ng		17,054		17,054
	Backfill	Ŭ		4,215		4,215
PRC	DJECT BUDGET		Pre	vious Budget		PCR Budget
Α.	Professional Services					
	Advance Planning, Program Development					
	Consultant: Design Services (Including Backfill)		\$	1,180,500	\$	1,180,500
	Consultant: Construction Phase Services					
	Site Survey					
	Soils Testing & Engineering			00.000	_	20.020
	Special Inspections		\$	80,000	\$	29,920
	Plan Review Fees / Permits		\$	50,000	\$	50,000
	Other Professional Services Su	htotal	\$	1 210 E00	\$	1,260,420
В.	Construction	Diotai	Ą	1,310,500	Ŷ	1,260,420
Ь.	General Construction Contract(s)		\$	8,350,000	\$	8,082,500
	Replace existing Septic/Storm System		\$	200,000	\$	-
	Backfill Phase 1 - Paramedic & Nursing		\$	1,500,000	\$	1,000,000
	Backfill Phase 2 - Ward Offices		\$	-	\$	1,800,000
	Renewal and Renovation		•		\$	750,000
	Building Re-Commissioning & Renewal				\$	175,000
	Construction Contingency		\$	855,000	\$	-
	Construction Su	btotal	\$	10,905,000	\$	11,807,500
	Construction Cost per GSF New Buildi	ng		551		474
C.	Building Completion Activity					
	Equipment		\$	230,000	\$	50,000
	Process Tech Equipment		\$	1,500,000	\$	1,100,000
	Additional Process Tech Equipment				\$	275,000
	Furnishings		\$	240,000	\$	50,000
	Signage not in construction contract		\$	15,000	\$	-
	Move-In Costs		\$	-		
	Art		\$	80,000	\$	80,000
	Maintenance Operation Support		\$	-		
	Building Completion Activity Su	btotal	\$	2,065,000	\$	1,555,000
D.	Owner Activities & Administrative Costs					
	Project Plng, Staff Support		\$	290,000	\$	290,000
	Project Management		\$	679,500	\$	337,080
	Misc. Expenses					
	Owner Activities & Administrative Costs Su	btotal	\$	969,500	\$	627,080
Ε.	Total Project Cost		\$	15,250,000	\$	15,250,000
	Total Project Cost per GSF		\$	717	\$	717
F.	Total Appropriation(s)		\$	15,250,000	\$	15,250,000



Total Project Cost	\$ 5,400,000
Approval Level:	Full BOR

TO:

Pat Gamble

President

THROUGH:

Kit Duke

**AVP Facilities and Land Management** 

DocuSigned by: kit Duke

AE942A3E43944E1..

August 19, 2014

THROUGH:

**Brian Rogers** 

Chancellor

Brian Rogeragust 19, 2014

2C0063C06AC9457... DocuSigned by:

DocuSigned by:

THROUGH:

Pat Pitney

Vice Chancellor

August 18, 2014

THROUGH:

Scott Bell, P.E.

Associate Vice Chancellor

Scott Bell

August 18, 2014

D9735D1432C0469..

THROUGH:

Jenny Campbell

Interim Director 8/18/2014

FROM:

Reed Morisky

Project Manager

DATE:

August 15, 2014

SUBJECT:

Project Type:

Deferred Maintenance & Renewal/ Renewal and Replacement/

**New Construction** 

Project Name: Irving 1 Repurpose for Veterinary Medicine

Project No.:

2014079 I1RVM

cc:

I1RVM (101)



Name of Project: Irving 1 Repurpose for Veterinary Medicine

Project Type: Deferred Maintenance & Renewal, Renewal & Replacement, New

Construction

Location of Project: UAF, Fairbanks Campus, Irving 1 Building FS902

Project Number: 2014079 I1RVM

Date of Request: July 31, 2014

Total Project Cost: \$5,400,000 (Increase spending authority by \$1,400,000)

Approval Required: Full Board

Prior Approvals: Preliminary Administrative Approval March 6, 2014

Formal Project Approval April 9, 2014
Schematic Design Approval June 6, 2014
Project Change Request September 2014

A Project Change Request (PCR) is required for all Capital Projects with a Total Project Cost in excess of \$250,000.

For projects that have changes in the source of funds, increases or decreases in budget, savings to the construction budget, and/or material changes in program or project scope identified subsequent to schematic design approval shall be determined by the chief facilities officer based on the extent of the change and other relevant circumstances. This determination requires judgment, but will generally be based on the nature of the funding source, the amount, and the budgetary or equivalent scope impact relative to the approved budget at the schematic design approval stage. Any changes with an estimated impact in excess of \$400,000 will require approval by the Facilities and Land Management Committee (F&LMC) or the full Board of Regents depending on the amount of the impact.

#### Action Requested

The Facilities and Land Management Committee recommends that the Board of Regents approve the Project Change Request in the amount of \$1,400,000 for the University of Alaska Fairbanks Irving 1 Repurpose for Veterinary Medicine as presented in compliance with the campus master plan, and authorizes the University administration to increase the project budget by \$1,400,000, not to exceed a Total Project Cost of \$5,400,000. This motion is effective September 18, 2014.

## Project Change Request Abstract

Project cost change request: Due to project scoping and cost estimate refinements, the Total Project Cost amount has increased to \$5,400,000.

Project schedule change request: Demolition of the existing bear pens at Irving 1 is part of the project scope of work but cannot be accomplished by August 2015 due to the lack of FY15 Deferred

Maintenance funds to construct a replacement bear habitat enclosure. The bear habitat enclosure must be completed to house the bears before the existing pens are demolished. UAF anticipates that the project completion date will be changed to December 31, 2015.

#### RATIONALE AND REASONING

### Background

No Changes.

In reference to the SDA, The West Ridge Deferred Renewal (WRDM) Plan identified space needs for the faculty and staff, the two student cohorts and three main teaching spaces of the Vet-Med program. It went on to identify additional research labs and support spaces for the faculty yet to be hired. The planning committee recommended that space being vacated in Irving 1 be renewed and repurposed for at least the classroom, class lab and student spaces required by the new Veterinary Medicine Program.

### Programmatic Need

No Changes.

In 2009, the Board of Regents inquired about the possibility of a veterinary college at UAF. At the time, the cost to build and operate a fully accredited program was very high and thus deemed unfeasible. However, at the time of the BOR inquiry, UAF was evaluating programs such as veterinary medicine to enhance the efforts in biomedical research and education. Between 2009 and 2011, UAF began communicating with the CSU College of Veterinary Medicine and Biomedical Sciences. In January 2010, CSU and UAF began discussions on a formal 2+2 program that would allow a cohort of students to complete the first two years of academic studies at UAF then finish their degree at CSU in the remaining two years.

The Board of Regents approved the program in December 2011, and in December 2013 UAF and CSU signed the Memorandum of Understanding, thus solidifying the 2+2 Veterinary Medicine Program. The academic plan calls for a cohort of ten (10) students each year (twenty students total at any one time) studying a range of topics, focusing on anatomy, physiology and necropsy. The program will begin with the first ten-student cohort at UAF in the Fall Semester of 2015.

## Project Scope

The Total Project Cost has increased \$1,400,000 over the SDA TPC of \$4,000,000. Below is a discussion of why the TPC increased, and how UAF reviewed the current design documents and West Ridge space assignments in search of ways to reduce the TPC below \$5.4M. Significant changes to the project layout were determined not to be in the best interests of the program. UAF is allocating additional operating funds and diverting deferred maintenance funds to the project to address the overrun.

#### **Budget Overrun Evaluation**

**SDA submittal was underdeveloped**. UAF decided to ask for SDA at the June Board of Regents meeting in an effort to accelerate the project schedule and ensure the space would be ready at the start of the fall 2015 semester for the first cohort of students in the Veterinary Medicine Program. The SDA TPC was determined using standard UAF square foot costs for lab renovations and the square footage allotted the program in the WRDM plan, instead of a fully vetted cost estimate. Only in the early Design Development phase in July did we receive a revised TPC estimate of \$6M from our consultants. Another estimate received on August 13 and based on a more developed design reduced the TPC to \$5.4M.

When the higher cost estimate was received, UAF began an internal review of the situation. The SDA and subsequent TPC cost estimates were compared line by line. It became clear underestimation had resulted

primarily from not including the cost of the unassigned square footage needed to support the programmed square footage.

Underestimating the required gross floor area: During project development (as part of the West Ridge Deferred Renewal (WRDM) Plan) the Veterinary Medicine Program space request was almost 13,000 gsf accommodated mostly in Irving I with some space in Margret Murie and Arctic Health. Approximately 6,700 gsf was allocated to the Irving 1 space vacated by the relocation of the animal quarters to the BiRD and Virology buildings. Preliminary cost estimates for this project were based on 6,700 gsf which resulted in the \$4M TPC. Once the design was more fully developed the needed space at Irving 1 is 12,982 gsf; 10,682 gsf of demolished and renewed space and 2,300 gsf of infill for the Gross Anatomy Lab. Since June the design has progressed to the Design Development phase and a better cost estimate was developed by our consultants.

### Cost Reduction Options Considered

From the beginning, the project was approached frugally. To make use of existing space, parts of the program are located in several different buildings. The dean's and faculty offices will be in the Arctic Health Research Building, core instruction areas in Irving 1, and the program will share the existing Necropsy lab in BiRD and Immunology/Physiology lab in Murie. A minimalist approach is being taken with the renovations to Irving I, and construction of the Gross Anatomy Lab is modern but modest. Examples of the frugal approach include:

- The existing 30(+)-year-old HVAC systems are being refurbished and not replaced.
- Visible electrical and mechanical systems in corridor ceiling spaces are not being covered.
- Modification of existing concrete and CMU walls are being kept to a minimum. This results in some spaces being larger than needed (such as the offices at 145 sf) but reduces the overall project cost by eliminating saw cutting and patching, and lighting, HVAC and fire sprinkler systems modifications.

In an effort to reduce the TPC from \$5.4M, the following scope of work changes and reductions were considered.

• A thorough examination of the possible options for alternate space assignments for the Veterinary Medicine Program has been resurrected from the original WRDM efforts. All available unassigned, underutilized, or potential shared spaces on campus have been examined as options to house this premier program. Under WRDM, the initial total space request by the program was split into four facilities which presents many challenges to the unique teaching methodology used in veterinary medicine. While Irving 1 is proposed to host the majority of the student cohort and teaching spaces, the remaining teaching spaces such as necropsy and immunology will share existing labs in Murie and the BiRD buildings and the program's administrative functions will move into underutilized space in Arctic Health.

By placing the student study spaces and teaching functions in one space in Irving 1, UAF will provide a teaching model similar to CSU. The student will be immersed in the space, spending most of their days in the labs and classrooms and less time moving from location to location to attend class. **Further subdividing of the program space** could negatively affect student preparation and is not in line with similar facility operations at Colorado State University, and is not recommended.

• The Gross Anatomy Lab (GAL), and adjacent student locker rooms, is the most critical and highly used space in the program, providing students a hands-on learning environment important

to developing veterinarian skills. It is also the most expensive space. Co-locating the GAL, locker rooms, student offices and classrooms provides an excellent learning space for this intensive program. Sharing space with an existing lab was considered but UAF does not currently have a space that can serve the very unique facility needs and the high-utilization requirements of the teaching program.

- Eliminating the programmed classrooms near the GAL was considered but with students spending eight to ten hours a day in the classrooms, sharing classroom space with other West Ridge classes is not feasible. West Ridge classroom space is very limited so sharing would require students to commute between Core Campus and West Ridge several times a day.
- Other campus locations were considered for the VetMed program GAL lab, offices and support spaces but were rejected. Some options spread the program locations too far apart, and some were more expensive than the current plan.

## Cost Comparison

Although a painful increase, the \$5.4M TPC is an average of \$416/gsf; reasonable for the remodel of existing space for classrooms and offices, and the build-out of a 2,300 GAL gsf lab. For comparison, the TPC for the new Murie Building was \$870/gsf (completed in 2013) and for the AHRB Phase 2 remodel was \$605/gsf (completed in 2011). Also, remodeling basic medical office space for new tenants is running \$250/gsf in Fairbanks.

### **Project Impacts**

No change since SDA

From the SDA: Since the project will be renewing vacant space in Irving I, the impact to campus will be minimal. At Irving I, the main four story tower will be minimally impacted to the extent that noise from demolition and construction may filter into occupied spaces. Building access will be maintained and parking will not be altered as large staging areas already exist for contractors in the project vicinity.

Partial funding for the project has been allocated from previous deferred renewal funding. The Formal Project Approval committed an additional \$1.4M in anticipated FY15 DM&R funding from the State of Alaska. Due to lack of FY15 funding from the State, UAF identified already received DM funding and reallocated that from other projects into this project. If funding is delayed beyond FY15, the project will be phased to at least complete the GAL teaching lab portion of the program and allow the first cohort of students to begin classes in the fall of 2015 as agreed upon in the MOU with CSU.

# Total Project Cost and Funding Sources

Funding Title	Fund/Org Account#	Original Amount	New Amount
FY12 DM&R Funding	571317-50216	\$718,393	\$1,532,224
FY13 DM&R Funding	571345-50216	\$2,147,328	\$2,207,497
Series Q Bond (UAF Debt DM)	514506-50216	\$184,279	\$184,279
FY14 DM&R Funding	571371-50216	\$850,000	\$1,126,000
FY 14 UAF Operating Funds	590157-50216	\$100,000	\$100,000
FY 15 UAF Operating Funds	590157-50216	n/a	\$250,000
Total Project Cost		\$4,000,000	\$5,400,000

#### Program Costs:

No changes to Program Costs, since SDA.

## Facilities Costs:

No changes to Facilities Costs, since SDA.

## Project Schedule

### **DESIGN**

Formal Project Approval	April 9, 2014
Schematic Design Approval	June 6, 2014
Schematic Design	July 2014
Project Change Request	September 18, 2014
Construction Documents	November 2014

### BID & AWARD -

Advertise and Bid	November 2014
Construction Contract Award	December 2014

### CONSTRUCTION -

Start of Construction	February 2015
Construction Complete	August 2015
Date of Vet Med Beneficial Occupancy	August 2015
Start of Demolition of Large Animal Pens	July 2015
Project Completion	December 2015
Warranty Period	One Year

#### Project Delivery Method

Design-Bid-Build

### Affirmation

This project complies with Regents Policy, the campus master plan and the amended Project Agreement.

### **Supporting Documents**

One-Page Project Budget (Budget Change Request)

Project Change Documentation

Drawing(s) Indicating Floor Plan Changes from SDA to PCR

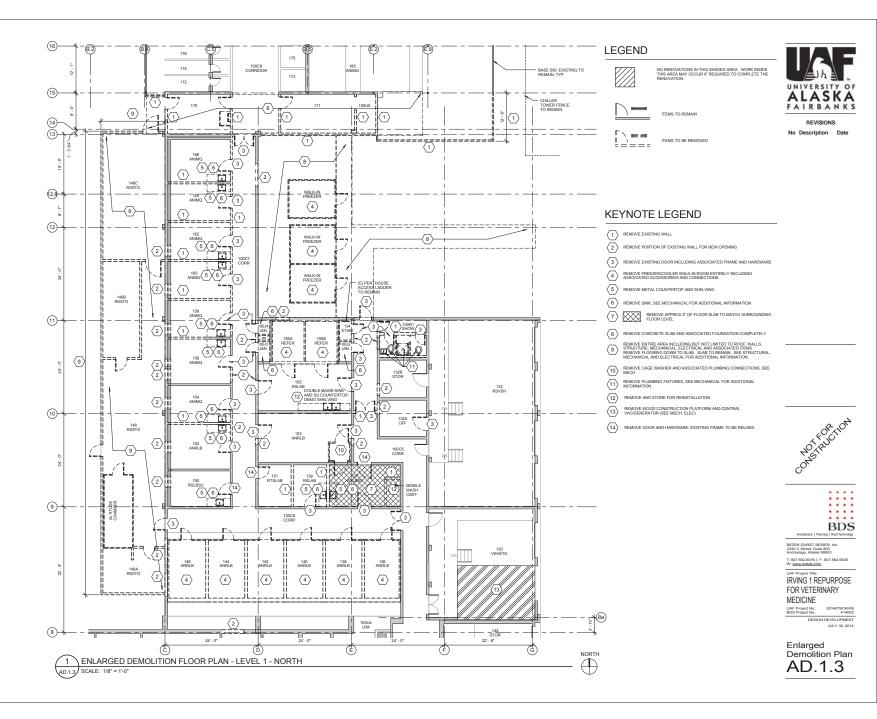
-Updated Program Square Footages

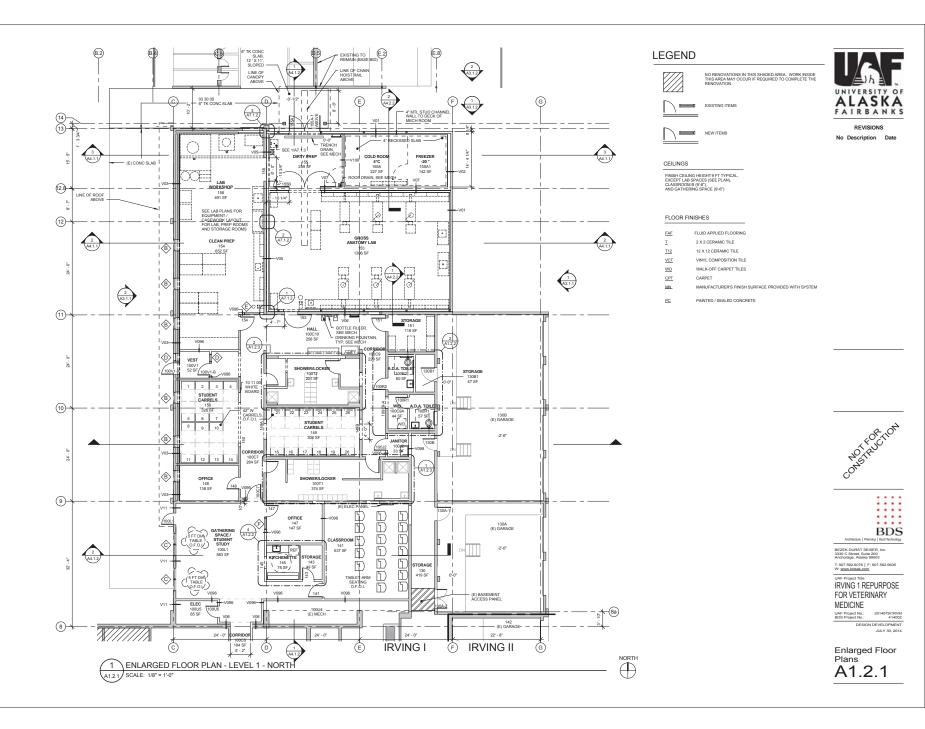
#### **Approvals**

The level of approval required for PCR shall be based upon the estimated TPC as follows:

- Changes with an estimated impact in excess of \$1.0 million will require approval by the Board based on recommendations from the Facilities and Land Management Committee (F&LMC);
- Changes with an estimated impact in excess of \$0.4 million but not more than \$1.0 million will require approval by the F&LMC.
- The new policy language does not address approval requirements for project change requests with and impact between \$1 \$400,000. Based on past practices and policy language, project changes that increase a project budget or that significantly impact project scope should be submitted to the AVPFLM for approval.

UN	VERSITY OF ALASKA		
Pro	ect Name: Irving 1 Repurpose for Veterinary Medicine		
MA	U: UAF		
Buil	ding: Irving I	Date: 8/18/14	
Can	npus: UAF	Prepared by: Reed M	1orisky
Pro	ject #: 2014079 I1RVM	71345, 514506, 5713	71, 590157-50216, T
Tot	al GSF Affected by Project:	8,500	12,982
PRC	DJECT BUDGET	SDA Budget	Amended Budget
A.	Professional Services		
	Advance Planning, Program Development	\$0	\$0
	Consultant: Design Services	\$250,000	\$326,440
	Consultant: Construction Phase Services	\$50,000	\$89,134
	Consul: Extra Svcs- Site Survey to verify grade	\$0	\$19,411
	Site Survey (Haz-Mat)	\$0	\$16,873
	Soils Testing & Engineering	\$0	\$0
	Special Inspections	\$0	\$0
	Plan Review Fees / Permits	\$2,000	\$0
	Other	ć202.000	Ć454 050
D	Professional Services Subtotal  Construction	\$302,000	\$451,858
B.		\$3,000,000	¢2 600 241
	General Construction Contract(s) Other Contractors (List:)	\$5,000,000	\$3,688,241 \$0
	Construction Contingency	\$271,350	\$536,064
	Construction Subtotal	\$3,286,350	\$4,224,305
	Construction Cost per GSF	\$387	\$325
C.	Building Completion Activity	7001	7525
	Equipment (Es'd AV w/ OIT)	\$0	\$18,500
	Fixtures (FF&E Est'd.)	\$0	\$250,000
	Furnishings	\$0	\$0
	Signage not in construction contract	\$0	\$0
	Move-Out Costs	\$0	\$0
	Move-In Costs	\$0	\$0
	Art	\$0	\$0
	Other (Interim Space Needs or Temp Reloc. Costs)	\$0	\$0
	OIT Support	\$10,000	\$10,000
	Maintenance Operation Support	\$10,000	\$5,000
	Building Completion Activity Subtotal	\$20,000	\$283,500
D.	Owner Activities & Administrative Costs		
	Project Plng, Staff Support	\$126,292	\$173,588
	Project Management	\$228,858	\$258,737
	Misc. Expenses: Advertising, Printing, Supplies, Etc.	\$36,500	\$8,012
<u> </u>	Owner Activities & Administrative Costs Subtotal	\$391,650	\$440,337
E.	Total Project Cost	\$4,000,000	\$5,400,000
_	Total Project Cost per GSF	\$471	\$416
F.	Total Appropriation(s)	\$4,000,000	\$5,400,000





# Options for Vet-Med Gross Anatomy Lab and Student Cohort--Approximately 6700 square feet of program

The renewal and repurposing project will provide space to meet a majority of the programmatic needs of the 2+2 Veterinary Medicine program at UAF. By placing the new cohort and teaching functions in colocated space, UAF will be able to follow the teaching model similar to CSU where the student is immersed in the space, spending most of their days in the labs and classrooms and less time moving from location to location to attend class. To meet this model and be consistent with the teaching methodology, the gross anatomy lab (GAL), classrooms, student study carrels, and student support spaces such as a kitchenette and changing rooms is included in an approximate 6700 square foot co-located space program and is the basis of design for the Irving 1 Renovations for Veterinary Medicine Project. Colocation means students can easily and quickly move from the classroom to changing rooms and into the GAL, and vice-versa, over a 10-12 hour day. (Students will carry approximately 22 credit hours a semester)

Location	Description	Pro's	Con's	Adjacency	Cost savings (based on \$5.4M)
Irving 1 Animal Quarters + Courtyard infill (current project)	Renovate animal quarters that will be vacated in February 2015 to serve Gross Anatomy Lab (GAL), support, student spaces, and classroom.		Cost exceeds current funding	Excellent	N/A, \$5.4M
		Utilizes space that will be vacant, unassigned in 2015, follows the WRDM Master Plan	Heavy DM cost, lots of concrete walls that will need to be worked around.		
		Existing Irving 1 courtyard can be utilized more efficiently for the GAL			
		Design for this scope is already at 65% complete and provides the best opportunity to meet the academic calendar for Vet Med			
Irving 1 Animal Quarters + Irving 1 Loading Dock Extension	Renovate animal quarters that will be vacated in February 2015 to serve lab support, student spaces, and classroom. Renovate Irving 1 loading dock with limited expansion to		Cost exceeds current funding	Excellent	Potential cost savings of not building as much
	serve GAL.	Utilizes space that will be vacant, unassigned in 2015.	Heavy DM cost, lots of concrete walls that will need to be worked around.		new square footage but will still need modest foundation
		moved and was already planned to be vacated for Toolik Lake Logistics. Would still leave a small everyday type loading and	Garage is 3 feet lower in elevation from the rest of the space, a Ramp would need to be provided for ADA and moving lab equpiment and specimens.		and structure work and all new ventilation.
		Design for a portion of this scope is already	Column spacing at existing garage doors would need to be modified.		
			IAB/Toolik Lake was scheduled to move into the space for logistics support. A separate facility would be needed to replace this request.		

Arctic Health SNRAS	Repurpose the headhouse currently used by SNRAS	Code required ventilation already installed,	Major space reassignment and	Fair to Good	Some savings for
Headhouse	serving the greenhouses.	recently renovated lab.	repurpose needed to accommodate	depending on	the GAL but higher
			GAL support spaces such as showers,	student space	cost to convert
			prep labs, etc., would likely displace	locations.	space for support
			SFOS Fresh Water teaching program.		functions and high
					cost to displace
					SFOS Fresh Water
		In same building as most faculty in Vet Med	No student spaces currently, no		teaching program.
		program	classroom		
		While the headhouse directly supports	Floor of headhosue is above grade,		
		teaching and research in the new	will require significant investment to		
		greenhouse, it could be relocated to the	ensure a washable surface that will		
		lower level of the new greenhouse and only	not leak to the space below.		
		have slightly reduced usability.			
		Loading Dock with at grade access.	Low Ceiling heights, will hamper		
			movement of large animals used on		
			teaching		

Lola Tilly Commons	Repurpose Ground Level of Lola Tilly to Vet Med Program	Entire Vet Med program except Necropsy	No adjacency to West Ridge, Animal	Poor in terms of	Cost Neutral at
		and Immunology/Physiology Labs will fit into	Care Facility, shared teachign labs,	access to West	\$4M.
		the ground floor.	etc.	Ridge	
		Utilizes space that will be vacant fall 2014	Low Ceiling heights, will hamper		
			movement of large animals used on		
			teaching		
		Space has existing air handling that may	Does not allow for off campus lease		
		handle the code required ventilation rates	spaces to move into Lola Tilly		
			Kitchen Demo cost contingent on		
			how much Dining Services leaves		
			behind		
			GAL would need to be placed in		
			dining area and have significant		
			ventilation duct work upgrades and		
			electrical work.		

NA dia Eura and Found	Description to the force of the second of Namic to the	Ic.	lyar - I al and a second of	le.:.	Northwell:f
Murie Freezer Farm	Reassign the freezer farm in the basement of Murie to the		Would require displacement of	Fair	Neutral if
	Gross Anatomy Lab and prep spaces.	needs in Murie and BiRD, close to new MRI	research freezers		showers/changing
		Suite	Children and an and alcome an atill not	-	can be
		Open space with high ceilings, GAL and support spaces would fit in the existing floor	Student spaces and classroom still not		accommodated
					nearby in existing
		plan.	Health Library may have to be used.		spaces.
		On grade so washable flooring is doable.	Ventilation upgrade would be spendy		
			to get the required air changes		
		Adjacent to Animal Care Facility.	Lack of showers and changing rooms		
Arctic Health BioScience	Repurpose the BioSciences Library to serve the lab and	Consolidates all of Vet Med into one building	Would require displacement of either	Good	Neutral for the GAL
ibrary- <b>Heavy</b>	lab support spaces, reassign other spaces in AHRB to	with the exceptions of two teaching labs	the West Ridge Café or a bank of		and student spaces,
, ,	serve other program needs	(that already exist in Murie and BiRD)	offices assigned to IAB/Bonanza		but would have
			Creek		additional cost for
		Utilizes library which is being vacated in	Library was to be reassigned to Vet		the Vet Med Offices
		2015.	Med faculty and administrative		and relocation cost
			offices, would require additional		
			space for those request		
			Would require significant utilities		
			work.		
Arctic Health BioScience	Repurpose the BioSciences Library to serve all student	Less cost of demo in Irving for student	Would require same renovation and	Fair	Some cost savings
Library- <b>Light</b> , GAL in Irvin	g 1 support spaces, keep the Gal and lab support spaces in	spaces, can simply go to cubicles in AHRB.	ventilation upgrades for the GAL in		for the project itself.
Animal Qtrs	Irving 1 Animal Quarters (current project)		Irving 1.		Unknown cost for
		Utilizes library which is being vacated in	Library was to be reassigned to Vet	1	the faculty
		2015.	Med faculty and administrative		offices/admin space.
			offices, would require additional		· '
			space for those request		
			Would require use of the entire		
			library, forcing the BioSci compact		
			shelving to another location on WR.		
			Would remove students from direct		
			access to the GAL.		

Irving 103/101 and Half of Animal Quarters- <b>A</b>	• • • • • • • • • • • • • • • • • • • •	work in Animal Quarters. Utilizes a lab that was vacated to Murie in 2013 that has an open floor plan and could be converted to student spaces easily.  Allows students to be close to the GAL, a key	DM work for Irving 1 proper is not scheduled for several years and beginning work in there may have unseen consequences and domino affects that will increase the cost.  Displaces CNSM/IAB Grad students in 101.	Good	Some savings as long as unforeseen DM in Irving 1 proper does not have unforeseen consequences
Irving 103/101 and Half of Animal Quarters- <b>B</b>	Place student spaces and classrooms into Animal Quarters with GAL in Irving 103 and 101.	work in Animal Quarters. Utilizes a lab that was vacated to Murie in 2013 that has an open floor plan and could be converted to student spaces easily.  Allows students to be close to the GAL, a key tenant of the teaching methodology  Does not require new construction	DM work for Irving 1 proper is not scheduled for several years and beginning work in there may have unseen consequences and domino affects that will increase the cost.  Floor of headhosue is above grade, will require significant investment to ensure a washable surface that will not leak to the space below.  Requires expensive HVAC upgrade from level 1 to level 5 penthouse to	Good	Some savings from not construction new square footage but that may be traded off with the ventilation upgrades in Irving and unforseen DM work.
Plan BATCO's and BiRD	Increase use of BiRD Necropsy for the GAL and place students and classrooms into 2-3 ATCO units		Conflicting uses and security issues with student access requirements of Vet Med Solution is not consistent with how UAF wants to sell, brand, and build the program.	Poor	Large cost savings, delays the need for large capital and allows Vet Med to submit a formal capital request.

Basement of Virology and	Stop current project (WRARF) in basement of BiRD and	Spaces meets ALL of the criteria needed for	Animals and their care takers would	Fair. Student	Substantial Cost
BiRD	Virology and place GAL and support spaces there, keeping	the GAL and support spaces	remain in a non-compliant facility and	spaces need to be	Savings to Vet Med
	the animals housed at Irving 1		the cost of DM to leave animals in	identified.	project but
			Irving 1 is higher than cost to		significnat increase
			repurpose for Vet Med (\$8M TPC to		to revitalize the
			renovate vs \$5.5M TPC to repurpose)		Animal Quarters in
					Irving to be
		If current contract was unencumbered,	Current contractor would be due		compliant. In the
		there is sufficient funding in that project to	their profit and overhead cost if a		end, probably cost
		handle the change in user/program	stop work order was issued.		prohibitive due to
					high cost of DM for
			Goes against the space reallocation		animal quarters in
			methodology approved in the West		Irving.
			Ridge DM Plan		
			Work is already 25% completed so		
			some cost to demo brand new walls.		



Total Project Cost	\$ 5,371,000
Approval Level:	BOR

# **SCHEMATIC DESIGN APPROVAL REQUEST**

TO:

Pat Gamble

President

TO/THROUGH: Kit Duke

AVP Facilities and Land Management

THROUGH:

John Pugh

Chancellor

THROUGH:

Michael Ciri

Vice Chancellor

THROUGH:

W. Keith Gerken

Director

DATE:

August 15, 2014

SUBJECT:

**Project Type:** 

DM &R&R

Project Name: Juneau Campus Modifications 2014 - 2016

Phase 1 - Hendrickson Renovations

Michael C:

Project No.:

2013-13

Cc:



# SCHEMATIC DESIGN APPROVAL

Name of Project: Juneau Campus Modifications 2014 - 2016

Phase 1 – Hendrickson Renovations

Project Type: Deferred Maintenance and R&R

Location of Project: University of Alaska Southeast, Juneau Campus

• JS101 Hendrickson Building

JS137 BAS Building

Project Number: 2013-13

Date of Request: August 15, 2014

Total Project Cost: \$5,371,000 (Phase 1) (TPC all Phases \$12,771,000)

Approval Required: Full Board

Prior Approvals: Formal Project Approval February 22, 2014

A Schematic Design Approval (SDA) is required for all Capital Projects with a Total Project Cost in excess of \$250,000.

SDA represents approval of the location of the facility, its relationship to other facilities, the functional relationship of interior areas, the basic design including construction materials, mechanical, electrical, technology infrastructure and telecommunications systems, and any other changes to the project since formal project approval. Unless otherwise designated by the approval authority or a material change in the project is subsequently identified, SDA also represents approval of the proposed cost of the next phases of the project and authorization to complete the design development process, to bid and award a contract within the approved budget, and to proceed to completion of project construction. Provided however, if a material change in the project is subsequently identified, such change will be subject to the approval process.

## Action Requested

The Facilities and Land Management Committee recommends that the Board of Regents approve the Schematic Design Approval request for the University of Alaska Southeast Campus Modifications 2014-16, Phase 1, Hendrickson Building Renovations as presented in compliance with the campus master plan, and authorizes the university administration to complete construction bid documents to bid and award a contract within the approved budget, and to proceed to completion of project construction not to exceed a Total Project Cost of \$5,371,000. This motion is effective September 18, 2014.

#### **Project Abstract**

The Hendrickson and Whitehead buildings require upgrades to major building systems including mechanical and electrical systems, exterior envelope, and building controls. These improvements are

needed to improve energy efficiency, reduce operational costs, and replace systems and components that are at or nearing the end of their service lives.

In early 2014, we evaluated the current space use at the Juneau Auke Lake campus and identified needs. The working group saw opportunities to create a more vibrant, collaborative, student-centered campus community by reorganizing current spaces in a number of campus locations, starting with the Hendrickson and Whitehead buildings. Co-location of departmental spaces fosters a strong and connected academic community where various departments can collaborate and share resources -- a community of scholars compatible with the UAS Mission and Core Values.

As described in the Formal Project Approval, UAS plans to repurpose the spaces to find efficiencies within departments assigned to the spaces as part of the remodel to replace original building heating, ventilating and electrical systems. Upgrades to major building systems including mechanical and electrical systems, exterior envelope, and building controls are needed to improve energy efficiency, reduce operational costs, and replace systems and components that are nearing the end of their useful service lives.

At the Formal Project Approval, UAS identified two phases of the project beginning with the Whitehead building as Phase 1. Since the FPA, Phases 1 and Phase 2 were swapped. Renovation of the Hendrickson Building is now Phase 1, and Whitehead Building is Phase 2. Since both buildings were built during the same time period the mechanical, electrical and building envelope renovation work is similar in scope.

In this phase current Hendrickson occupants will be moved to other locations so that the contractor can have total access to the building. When completed the Chancellor and Provost's offices will occupy the upper floor of the Hendrickson Building. Information Technology Services (ITS) will move from the Whitehead Building to the ground floor of Hendrickson Building and UAS Health Sciences (currently in Hendrickson) and UAA Nursing will be co-located in the former UAS Bookstore space.

## RATIONALE AND REASONING

<u>Phase 1A – Health Sciences classroom labs</u>: UAS School of Career and Technical Education Health Sciences/CNA program is temporarily housed (previously located in Bill Ray Center) on the upper floor of Hendrickson. In order to co-locate the UAS Health Sciences program with the UAA Nursing program, these two groups would move to the former UAS Bookstore space. This project would construct two new three-bed classroom/labs for that purpose. This work must be the first element of this project in order to vacate the Hendrickson Building. Other current Hendrickson occupants will be moved to vacant or current classroom space in the Whitehead Building.

## Phase 1B - renovation of Hendrickson Building

This phase will include:

- Replace heating system with an energy efficient air to water heat exchange system;
- Replace existing ventilation system;
- Replace exterior windows for greater energy efficiency;
- Upgrade building automation controls;
- Replace electrical systems and lighting;
- Integrate open office schemes with flexible furniture and "right to light" design.
- Upper Floor co-locating administrative and academic functions including the Chancellor, Provost
  and staff, Alumni Relations and Development, Public Relations and Human Resources.
  Combining these offices will strengthen and enhance UAS' academic mission, as well as creating
  efficiencies through shared resources.

• Ground Floor design to centralize *Information Technology Services* management and staff, including Network and Desktop Support, Media Services, campus infrastructure and Information systems.

## Project Scope

The scope of the project is twofold:

- to renovate and replace failing building systems with energy efficient systems; and
- re-purpose and reorganize spaces for greater efficiencies for sharing resources and with the intent to create a more vibrant, collaborative and student-centered campus in line with UAS mission and values.

## **Project Impacts**

Funding for this phase is currently in place. The campus bookstore through a change in its service model will move to smaller campus space. The current bookstore space will be remodeled for UAA's Nursing program and UAS Health Sciences CNA program. UAA expressed a strong desire for its 2-year nursing program in Juneau to be co-located with UAS Health Sciences/CNA program. Through a MOU with UAA, costs to build the classroom lab will be shared between UAS and UAA. The design includes two 3-bed classroom labs with shared common spaces including a control room for testing, storage, a student lounge space and video-conference room. CNA classes are scheduled on Tuesday and Thursday, and UAA nursing classes are scheduled on M-W-F allowing flexibility for classroom lab set up if both classroom labs are needed. Five offices are provided for UAS, UAA and UAF faculty and one shared staff coordinator.

#### Variances

Since the FPA was granted in February, the Whitehead and Hendrickson R&R phases were swapped:

- Hendrickson Building Renovation is now Phase 1; Whitehead R&R is Phase 2;
- IT Services will still move out of Whitehead but to the Hendrickson Building instead of the Egan Library; and
- UAS Health Sciences will move from Hendrickson and be co-located with UAA Nursing at the BAS building rather than moving to new space in the lower Hendrickson Building.

## Total Project Cost and Funding Sources

Funding Title	Fund Account	Amount
FY09 Capital appropriation	77101-563118	\$3,000,000
FY15 DM&R Funding	77101-563145	\$1,788,325
UAA Nursing contribution		\$582,675
Phase 1 Project Funding		\$5,371,000

## Annual Program and Facility Cost Change Projections

Program Costs:-program costs are not affected

## Facilities Cost Changes:

Energy costs are anticipated to be reduced due to more efficient lighting and ventilation systems.

### Project Schedule -

DESIGN – Phase 1

Conceptual DesigncompletedFormal Project ApprovalFebruary 22, 2014Schematic DesignAugust 2014Schematic Design ApprovalSeptember 19, 2014

Construction Documents	January 2015
BID & AWARD - Phase 1A and 1B	
Advertise and Bid	January 2015
Construction Contract Award	February 2015
CONSTRUCTION-Phase 1	
Start of Construction (1A CNA/UAA classroom labs)	February 2015
Construction Complete (1A CNA/UAA classroom labs)	Fall 2015
Start of Construction (1B Hendrickson Renovation)	October 2015
Construction Complete (1B Hendrickson Renovation)	Spring 2016
Date of Beneficial Occupancy	2015-2016
Warranty Period	1 year from substantial completion

### Project Delivery Method

Design-Bid-Build

### Project Design Team

The project Design team is:

Northwind Architects, Evelyn Rousso, Prime Consultant Murray and Associates, Mechanical Consulting Engineers Begenyi Engineering, Electrical Engineer Jay Lavoie, Cost Estimator Alaska Energy Engineering, Energy consultant

### **Supporting Documents**

One-page Project Budget Design Narrative Documents Schematic Floor plans

### **Affirmation**

This project complies with Regents Policy, the campus master plan and the Project Agreement.

### Approvals

The level of approval required for SDA shall be based upon the estimated TPC as follows:

- TPC > \$4.0 million will require approval by the board based on the recommendations of the Facilities and Land Management Committee (FLMC).
- TPC > \$2.0 million but not more than \$4.0 million will require approval by the FLMC.
- TPC > \$1.0 million but not more than \$2.0 million will require approval by the Chair of the FLMC
- TPC ≤ \$1.0 million will require approval by the AVP of Facilities and Land Management.

UNIVERSITY OF ALASK	A				
roject Name: Juneau	Campus Modifications 2013-2015				
MAU: UAS	Sampas Modifications 2013 2013				
		Data	Iul 14		
Building: Several		Date: Jul-14			
Campus: Juneau	A b 11	Prepared by:	Gerken		
•	oject #: 2013-13 Acct #: various			11161	005
otal GSF Affected by Project:			14,464		
DOLECT BUIDCET			FPA Budget Total	FPA Budget	Schematic
PROJECT BUDGET			Project	Phase 1	Phase 1
A. Professional Serv				400.000	
_	, Program Development		120,000	120,000	120,00
Consultant: Desig		12.0%	1,092,080	431,436	321,00
	ruction Phase Services	3.0%	273,020	107,859	108,00
	rices (List:)				
Site Survey					
Soils Testing & En	gineering				
Special Inspection	S				
Plan Review Fees	/ Permits		40,000	20,000	20,000
Other					
	Pro	ofessional Services Subtotal	1,525,100	679,295	569,000
. Construction					
General Construc	tion Contract(s)		9,100,666	3,595,302	
Hendricks	on				2,861,00
Health Sci					865,000
Other Contractor	s (List:				
Construction Con		10.0%	910,067	359,530	373,000
	<i>.</i>	Construction Subtotal	10,010,733	3,954,832	4,099,00
Construction Cost	per GSF			, ,	283.3
. Building Completi					
Equipment	,				
Fixtures					
Furnishings			350,000	250,000	350,00
-	nstruction contract		330,000	230,000	330,00
Move-Out Costs	istraction contract		50,000	25,000	25,00
Move-In Costs			30,000	23,000	25,00
Art					
7 2	and Norda on Tanan Balan Conta				
	ace Needs or Temp Reloc. Costs)				
OIT Support					
Maintenance Ope	• •				
		ompletion Activity Subtotal	400,000	275,000	375,00
	& Administrative Costs			,	
Project Plng, Staff	Support	3.0%	358,075	147,274	151,00
CIP Indirect Costs		3.5%	417,754	171,819	177,00
Misc. Expenses: A	dvertising, Printing, Supplies, Etc.				
		dministrative Costs Subtotal	775,829	319,093	328,00
. Total Project Cost			12,711,662	5,228,221	5,371,000
Total Project C	ost per GSF				371.34



### **Project Overview**

As a result of the 2013 UAS Masterplan the University sold the Bill Ray Center in downtown Juneau. That property housed two health sciences programs; a Certified Nursing Certificate Program within the School of Career Education and an Associate of Applied Science in Nursing program taught partially by distance from the University of Alaska Anchorage School of Nursing.

After the sale of Bill Ray the UAS program moved into a classroom on the main campus while the UAA program is currently housed in the Career Tech Center. Neither space was considered permanent and neither is ideal. Furthermore there are definite synergies, space efficiencies and benefits for students in housing these two programs in the same building.

In 2014 the University of Alaska Southeast decided to relocate their bookstore from the satellite bookstore and administrative services (BAS) building to a smaller space on the main campus. The BAS building is a heavy wood frame sloped structure with concrete walls. It was originally built in 1966 or 1967 as a hardware store. UAS purchased the building in 1999 and in 2006 it was renovated to house administrative offices and the Bookstore.

The combined nursing programs are a good fit for backfilling the space that will be left vacant by the bookstore. The size approximates what is needed for the labs and offices required for both program. The UAA program requires access to a video conference room for lectures from Anchorage; such a room already exists in the BAS and is adjacent to the Bookstore space. Furthermore once students in the nursing programs complete their prerequisite classes they have little need of the services located on the main campus.



### **Features of the Design**

- → Two skills labs each designed for three hospital beds
- ♦ A control room for overseeing testing at bedside
- ♦ Muliple sinks to teach proper hygiene
- An informal student hang out area
- → Five offices which will include a health sciences advisor.
- ♦ An existing overhead window will provide a source of natural light in the corridor.
- ♦ Offices will have windows onto the corridor
- ♦ The removal of an overhead door provides an opportunity to install high windows in one of the labs
- ♦ Casework and equipment designed for use in health science teaching environments.
- ♦ New lighting
- ♦ Reconfiguration of existing ventilation and heating systems to meet the new need.

### **Building Code**

The building is construction type VB with a Business Occupancy. The building has an automatic sprinkler system which is used for one hour substitution.

Fire rated construction – One hour fire ratings are required for shafts and storage rooms over 100 s.f.

Means of Egress – the largest classroom is 1,023 square feet which has a code defined occupancy for means of egress of 20 people. Since this is less than 49 one means of egress is sufficient for this classroom. Since the building has a sprinkler system the maximum allowd exit access travel is 300'; the actual maximum travel distance in the proposed layout is 130'.

Accessibility – The building entrance is accessible, and all teaching spaces will also be accessible per CBJ codes and standards.

### **Materials**

Exterior walls – The existing walls are concrete. The infilled areas will be a wood stud wall with cemenetitous panels.

Exterior windows – We are installing two new windows. They should be aluminum framed, thermally broken windows that match the other windows in the building. They will not be operable.

Walls – All interior partitions will have some acoustic treatment. Walls will be terminated at the underside of the structure above to ensure sound does not transfer. Walls will have batt insulation and sound board on one side.



Interior glass walls - hollow metal with 3/8" tempered glass.

Interior Doors – 7' standard hollow metal with metal frames

Flooring – Carpet will be used in all offices and the corridor. The teaching labs will have a sheet vinyl marketed to the medical industry such as Armstrong's Connection Corlon series. The break rooms and storage rooms will be linoleum 0.125" thick. Wall base to be 4" rubber.

Ceilings – Ceilings in the offices will be 2x2 ACT suspended. The area under the lower roof between gridlines 5 & 6 will retain their existing 12" x 12" adhered tile ceiling, which will require some patching. The wood decking will be left exposed in the corridor, labs and storage room.

Casework – cabinets will be plastic laminate with edge banding. Countertops will be a solid surface.



### **Mechanical Design**

Report by Roger Smith, Murray and Associates

**DESIGN CRITERIA:** The mechanical systems will be designed and constructed in accordance with the following codes and standards:

2009 International Building Code (IBC)

2009 International Mechanical Code (IMC)

2009 Uniform Plumbing Code (UPC)

2009 International Fire Code (IFC)

CBJ Title 19 and State of Alaska Code Modifications

National Fire Protection Association (NFPA)

ASHRAE - American Society of Heating, Refrigeration, and Air-conditioning Engineers

### **DESIGN PARAMETERS**

Inside Air Temperature: 70F
Outside Air Temperature: 0F
Outside air per ASHRAE 62.1-2010

### **GENERAL SCOPE OF WORK**

The scope of mechanical work for the Health Science Renovation includes modifications to the duct distribution system and sprinkler system, relocation of thermostats, and additions to the plumbing systems as needed to accommodate the revised architectural layout.

Contractor shall provide submittal data, O&M data, as-built drawings, adjustment of ventilation and heating systems with report log, and training of the mechanical systems.

### **HEATING SYSTEM**

The existing heating/cooling system will be retained. The existing rooftop air handlers (AC-1 and AC-2) serving the renovated area will be reused along with the existing duct mounted booster coils to provide the heating for the renovated Health Science classrooms and offices.

Each zone shall be controlled by its own respective wall mounted DDC room thermostat connected to the existing booster coils. Each Skills Lab will have its own heating/cooling zone. The interior office spaces will be on another zone, also with its own heating/cooling thermostat. There will be a total of 4 zones for the renovated area; 2 zones each for AC-1 and AC-2 systems.

Except for the removal of the existing unit heater and heating piping in the loading area (new Skills Lab), modifications to the existing heating piping system are not anticipated.

### COOLING SYSTEM

Cooling air is provided by the rooftop AC-1 and AC-2 units when required.

Health Sciences Bookstore & Administrative Services Building Renovation Schematic Design Narrative

Project No: 2013-13

### **VENTILATION AND EXHAUST AIR SYSTEM**

The existing ductwork, diffusers, and grilles will be modified as needed for the new Architectural layout.

Supply air ductwork will be removed back to the existing AC-1 and AC-2 rooftop units and their existing booster coils located at the discharge of these rooftop units. New supply air ductwork will be routed to provide outdoor air ventilation and heating/cooling air to the renovated spaces. Each room will have new supply air diffusers/grilles. Installation of transfer air openings and ductwork will allow air from each room to relieve to the large spaces where existing return air grilles and ductwork are located at the inlet to the existing rooftop units. Relief air grilles and ductwork will be installed and connected to existing exhaust air louvers to allow relief air to be exhausted from the building.

Dryer duct exhaust will be installed to an exterior wall cap as required for the clothes dryer located in the center storage room.

Modifications to the existing rooftop AC-1 and AC-2 units are not anticipated. Existing booster coils (4 total) will be re-used to provide heating of the 4 reconfigured zones.

### **CONTROLS**

Existing building Direct Digital Control System (DDC) will be modified only as needed to accommodate the new zone thermostat locations.

### PLUMBING SYSTEM

The proposed renovation work area does not currently have a plumbing system. New plumbing piping will be extended from the boiler room to new plumbing fixture locations shown on the architectural layout.

New domestic cold water, hot water, and hot water re-circulating piping will be routed to the renovated spaces from the existing boiler room, located approximately 80 feet away. 1-inch cold water and hot water piping mains are anticipated as well as ¾-inch hot water re-circulating piping. Once in the Health Sciences area, the piping will branch to the new plumbing fixtures. The domestic water piping material shall be hard-drawn copper tubing, ASTM B 88, Type L with 95-5 solder fittings or equivalent. Press fit joints will also be acceptable.

New underground sanitary waste piping will be routed to a centrally located underfloor sewage ejector located in the new Storage room. Pumped waste piping (2-inch size) will be routed at the ceiling to existing 4-inch waste main location at the existing toilet room, approximately 75 feet away. New vent piping will be installed and routed to a new vent-through-roof. Concrete slab cutting and patching will be required for routing of the underground waste piping to the new sewage ejector basin. Trenching and backfill will also be required.



Sanitary waste and vent piping shall be cast-iron hub-and-spigot below grade and no-hub cast-iron above the floor. Copper DWV shall be acceptable for above ground waste and vent for pipe sizes 2-inch and under. Equipment drains will be copper DWV or Schedule 40 black steel.

### PLUMBING FIXTURES AND EQUIPMENT

Fixtures complying with the Americans with Disabilities Act (ADA) will be specified where required by the architectural floor plan.

Existing toilet room plumbing fixtures and drinking fountain are located down the hallway from the Health Sciences spaces.

The sinks in the skills labs will consist of stainless steel single compartment bowls with gooseneck faucets and lever handles. At least one of these sinks will be ADA with shallow 6-1/2 inch depth.

A clothes washer and washer box will be located in the center storage room between the two skills labs.

The sewage ejector is anticipated to be a duplex type with 36-inch deep by 30-inch diameter sump basin, similar to Liberty 1100 Series. The sewage ejector shall be a factory pre-assembled unit including a 110 gallon basin, (1 hp) primary pump, (1 hp) backup pump, floats and controls, and 30-inch diameter basin cover. The removable basin cover will contain (2) pump covers, 2-inch discharge piping and vent piping, and 10-inch inspection cover. The sewage ejector will be located in a corner of the new Storage room with control panel adjacent.

### PIPE AND EQUIPMENT INSULATION

Vent piping within 10 feet of roof penetration and all domestic cold water, hot water, and hot water recirculating piping will be insulated with sectional pipe covering with vapor retardant jacket, mineral fiber, 1 inch IPS thick.

### **VALVES**

Domestic and heating water valves shall be provided rated for 400 psig working pressure. Valves are to be lead-free bronze body, two piece, quarter-turn full port ball valves. Valves will be installed accessibly to individually shut off domestic/heating water piping to each room/heating unit/fixture. Domestic water drain valves shall have vacuum breakers and caps. Heating water drain valves shall have caps.

### **SPRINKLER SYSTEMS**

The existing wet sprinkler system within the renovated area will be modified to accommodate the new room and ceiling layout.

Sprinkler heads to be semi-recessed where ceilings are present. Sprinkler piping will need to be installed in the structural joist space. The Sprinkler system shall be designed and installed per NFPA 13.

NorthWind Architects
July 2014

Page 6 of 9



Electrical Narrative Report by Barry Begenyi, Begenyi Engineering

### General

The electrical systems will comply with accepted codes, standards and recommended practices common to the electrical industry and as required by local and state authorities, including but not necessarily limited to the following:

- National Electrical Code (NEC)
- International Fire Code (IFC)
- National Fire Protection Association (NFPA)
- ASHRAE 90.1 Energy Standard
- Illuminating Engineering Society (IES)
- National Electrical Manufacturers Association (NEMA)
- National Electrical Contractors Association (NECA)

All equipment will be listed and labeled by a nationally recognized testing agency acceptable to the State of Alaska.

### General

The building was renovated into administrative space and a bookstore in 2007. The existing electrical systems are in good condition. This renovation will utilize the existing equipment as much as possible, with the addition of devices and components as required to coordinate with the new floor plan.

### **Utility Services and Distribution Equipment**

Power for the renovation will be sourced from existing Panelboard A, located in Hall 116. The panelboard is configured 120/240 volts, 1 phase and is equipped with an integral transient voltage surges suppressor unit.

### **Communications Services and Distribution Equipment**

A new 48-port patch panel will be installed on the existing data rack in Work Room 113 to terminate workstation cables. The patch panel will be 110-style, 48-port, with insulation displacement connectors.

### **Feeders and Branch Circuits**

Feeders and branch circuits will be single conductors in conduit. All conductors will be copper with insulation Type THHN-THWN. Connectors and splices will be of size, ampacity rating, material, type, and class for application and service required. Feeders and branch circuits will be concealed, except in unfinished spaces, or where otherwise approved by the Architect.

### **Grounding and Bonding**

Insulated copper equipment grounding conductors will be provided with all feeders and branch circuits.

### **Hangars and Supports**

The project will comply with NECA for application of hangars and supports for electrical equipment and systems. Interior support devices will be steel; exterior will be hot-dip galvanized with stainless steel hardware.

NorthWind Architects Page 7 of 9
July 2014

Health Sciences Bookstore & Administrative Services Building Renovation Schematic Design Narrative

Project No: 2013-13

### **Raceways and Boxes**

Exposed, outdoor conduit and interior locations subject to damage will be galvanized rigid steel. Electrical metallic tubing will be utilized for interior raceways not subject to damage or concealed in finished surfaces. Connections to vibrating equipment shall be flexible metal conduit, except liquid-tight flexible metal conduit will be applied in damp or wet locations. Outlet and devices boxes will be sheet metal.

### **Identification for Electrical Systems**

Branch circuit conductors will be identified with self-adhesive vinyl labels where conductors are accessible in panels, junction and pull boxes. All feeders and branch circuits will be color-coded for phase identification with factory applied color or half-lapped tape.

Equipment identification labels will be provided on each unit of equipment including disconnect switches and protection equipment, central or master units, control panels, control stations, and terminal cabinets. Systems include power, lighting, control, communication, signal, monitoring, and alarm.

### **Mechanical Equipment**

Branch circuits and connections for all mechanical equipment will be provided. Motors rated ½ HP and larger will be wired 240 volts, single phase. Motors less than ½ HP will be wired 120 volt, single phase. Disconnect switches will be heavy-duty type with fuses as required. Motor starter switches will be quickmake, quick-break toggle with on/off indication. Full voltage, across the line, magnetic controllers with bimetallic overload relays will be used for equipment requiring automatic control.

### **Lighting Systems**

The instructional spaces are planned to be open to structure and will be illuminated by linear direct fluorescent light fixtures in pendant mount configurations. The offices will be illuminated using pendant mounted, linear indirect/direct fluorescent light fixtures with a lay-in grid. Specification grade troffers will be utilized in the hallway. Illumination levels shall comply with recommended practices outlined by IES. All spaces will be controlled by occupancy sensors. Exit signs and emergency illumination will be provided as required along the means of egress.

### **Wiring Devices**

Receptacles will be provided for workstations and equipment. The design will provide sufficient devices to allow for flexibility. Convenience receptacles in the hallway will be located so that no point is more than 20-feet away from a receptacle. Four receptacles will be located in each office. The instructional spaces will be provided with devices spaced approximately 6-feet apart, and as required for equipment. Receptacles with special configurations will be provided as required by the Owner.

All devices will be specification grade, or better. Convenience receptacles will be 125V, 20A. Ground fault devices will be provided as required by the NEC, non-feed through type. Toggle switches will be 120/277V, 20A. Smooth, high-impact thermoplastic wall plates will be used, except in unfinished spaces where galvanized steel will be allowed. Wet location device plates will be NEMA 250, Type 3R with lockable cover.

**Low Voltage Devices and Wiring** 



Health Sciences Bookstore & Administrative Services Building Renovation Schematic Design Narrative

Project No: 2013-13

All components of the data system will comply with Category 6 performance criteria. Two data outlets with three jacks per outlet will be provided in each office. Instructional spaces will be provided with data outlets at the counters, spaced 4-feet apart.

Cables shall be 100-ohm, four-pair unshielded twisted pair with thermoplastic jacket. Cables will terminate at the existing rack in Work Room 113. Conduit for the data system will be 1" minimum. Conduit will be utilized in concealed and exposed conditions, except above accessible ceilings where unenclosed cabling methods may be used.

### Fire Alarm

Notification devices will have to be reconfigured to coordinate with the new floor plan. Combination horn/strobe units will be relocated to the hallway and each instructional space. Relocated devices will be connected to existing initiating and indicating circuits using new conduit and wire.

### **Access Control System**

The building is equipped with an access control system that secures and monitors the exterior doors. The system will be expanded to monitor the exterior storage room doors and the exterior door from the Nursing CNA space.

### **Camera Surveillance System**

The existing camera surveillance system monitors the receiving are and the bookstore. The system shall be removed.



Ph #907.586.6150 Fx #907.586.6181 www.northwindarch.com

© NorthWind Architects, LLC

**◆**—1" ACTUAL —

IF THE ABOVE DIMENSION DOES NOT MEASURE ONE INCH (1") EXACTLY, THIS DRAWING WILL HAVE BEEN ENLARGED OR REDUCED, AFFECTING ALL LABELED SCALES.

IVERSITY OF ALASKA SOUTHEAST
HEALTH SCIENCES
Bookstore & Administrative Building

SHEET TITLE:

ENTIRE BUILDING & DEMOLITION PLAN

DATE: JULY 18, 2014

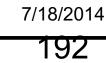
REVISION:

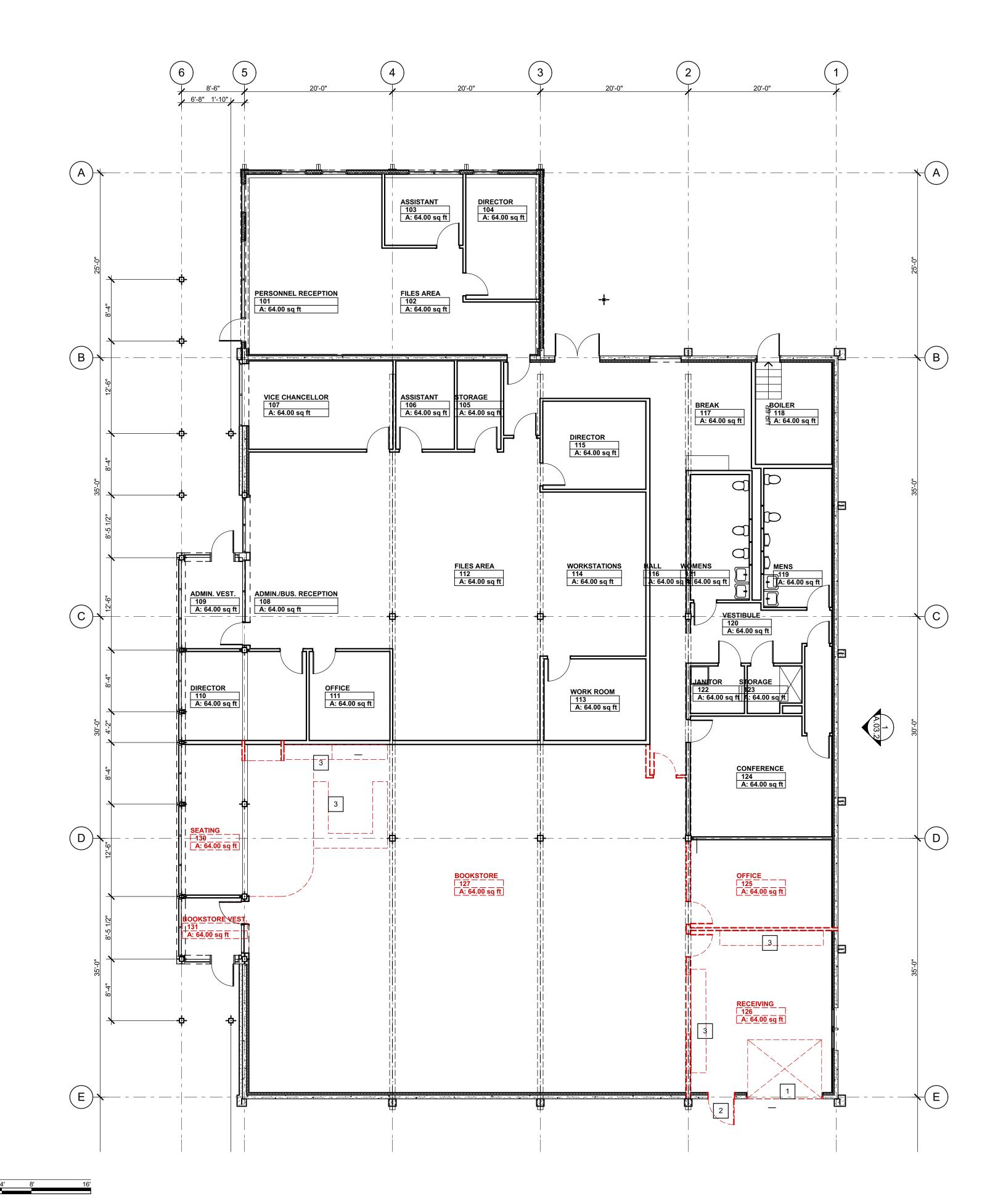
CHECKED BY:

DRAWN:

SHEET#

T DATE: 7/





(E) WALL/ITEM TO REMAIN

**FLOOR PLAN LEGEND** 

(E) WALL/DOOR TO BE DEMOLISHED

MEW WALL

### GENERAL NOTES

- VERIFY ALL EXISTING CONDITIONS IN THE FIELD PRIOR TO STARTING WORK. NOTIFY THE OWNER IMMEDIATELY OF DISCREPENCIES OR CONFLICTS PRIOR TO PROCEEDING.
- SEE MECHANICAL & ELECTRICAL DWGS FOR SCOPE OF DEMOLITION FOR THOSE TRADES. COORD REQUIRED NEW PENETRATIONS FOR ROUTING NEW SYSTEMS..
- . REMOVE ALL EXISTING FLOOR FINISHES AND BASES. .
- 4. PORTIONS OF THE EXISTING CONCRETE SLAB WILL BE REMOVED TO INSTALL A SEWAGE EJECTOR UNDER STORAGE ROOM. TRENCHING OF THE SLAB WILL BE REQUIRED TO CONNECT NEW PLUMBING FIXTURES TO THE EJECTOR. WHERE POSSIBLE FIXTURES IN THE SAME CABINET WILL SHARE TRENCHED PLUMBING LINES AND CONNECT WITHIN THE CABINET.

### **FLOOR PLAN KEY NOTES**

REMOVE OVERHEAD DOOR

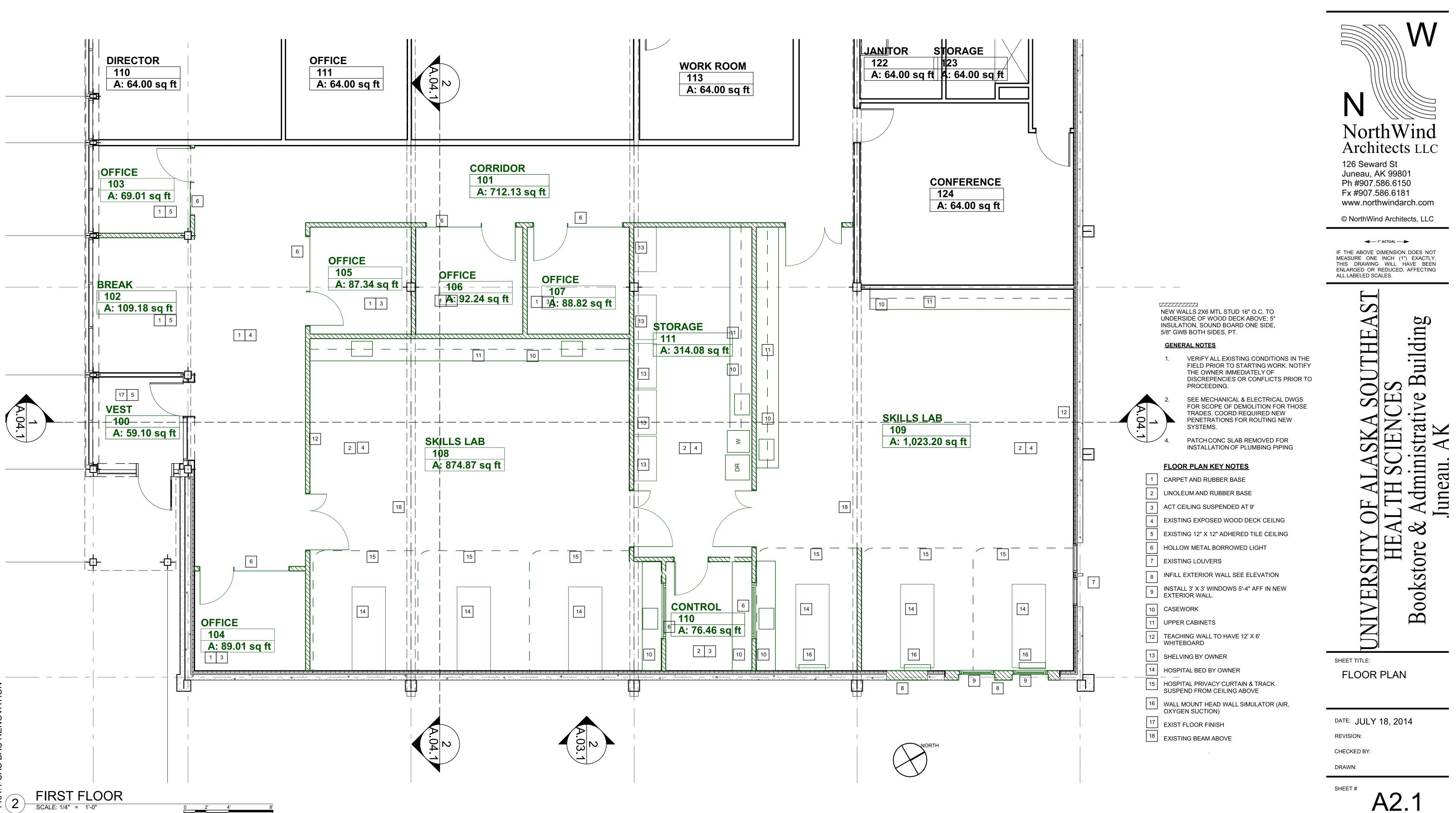
REMOVE DOOR AND FRAME

REMOVE CASEWORK

FIRST FL SCALE: 1/8" = 1'-

FIRST FLOOR

SCALE: 1/8" = 1'-0"



PLOT DATE:

7/18/2014

193

126 Seward St Juneau, AK 99801 Ph #907.586.6150 Fx #907.586.6181 www.northwindarch.com

© NorthWind Architects, LLC

◆ 1" ACTUAL →

IF THE ABOVE DIMENSION DOES NOT MEASURE ONE INCH (1") EXACTLY, THIS DRAWING WILL HAVE BEEN ENLARGED OR REDUCED, AFFECTING ALL LABELED SCALES.

# UNIVERSITY OF ALASKA SOUTHEAST HEALTH SCIENCES Bookstore & Administrative Building Juneau. AK

SHEET TITLE:

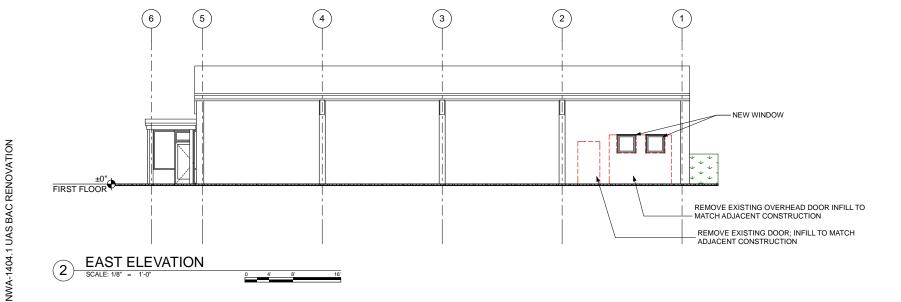
BUILDING ELEVATIONS

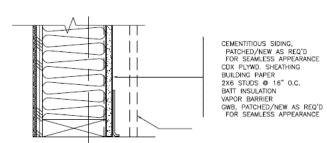
DATE: JULY 18, 2014

REVISION:

CHECKED BY:

A.03.1





PROPOSED INFILL CONSTRUCTION NOT TO SCALE

126 Seward St Juneau, AK 99801 Ph #907.586.6150 Fx #907.586.6181 www.northwindarch.com

© NorthWind Architects, LLC

◀──1" ACTUAL ──►

IF THE ABOVE DIMENSION DOES NOT MEASURE ONE INCH (1") EXACTLY, THIS DRAWING WILL HAVE BEEN ENLARGED OR REDUCED, AFFECTING ALL LABELED SCALES.

dministrative Building Bookstore

SHEET TITLE:

SECTIONS

BUILDING

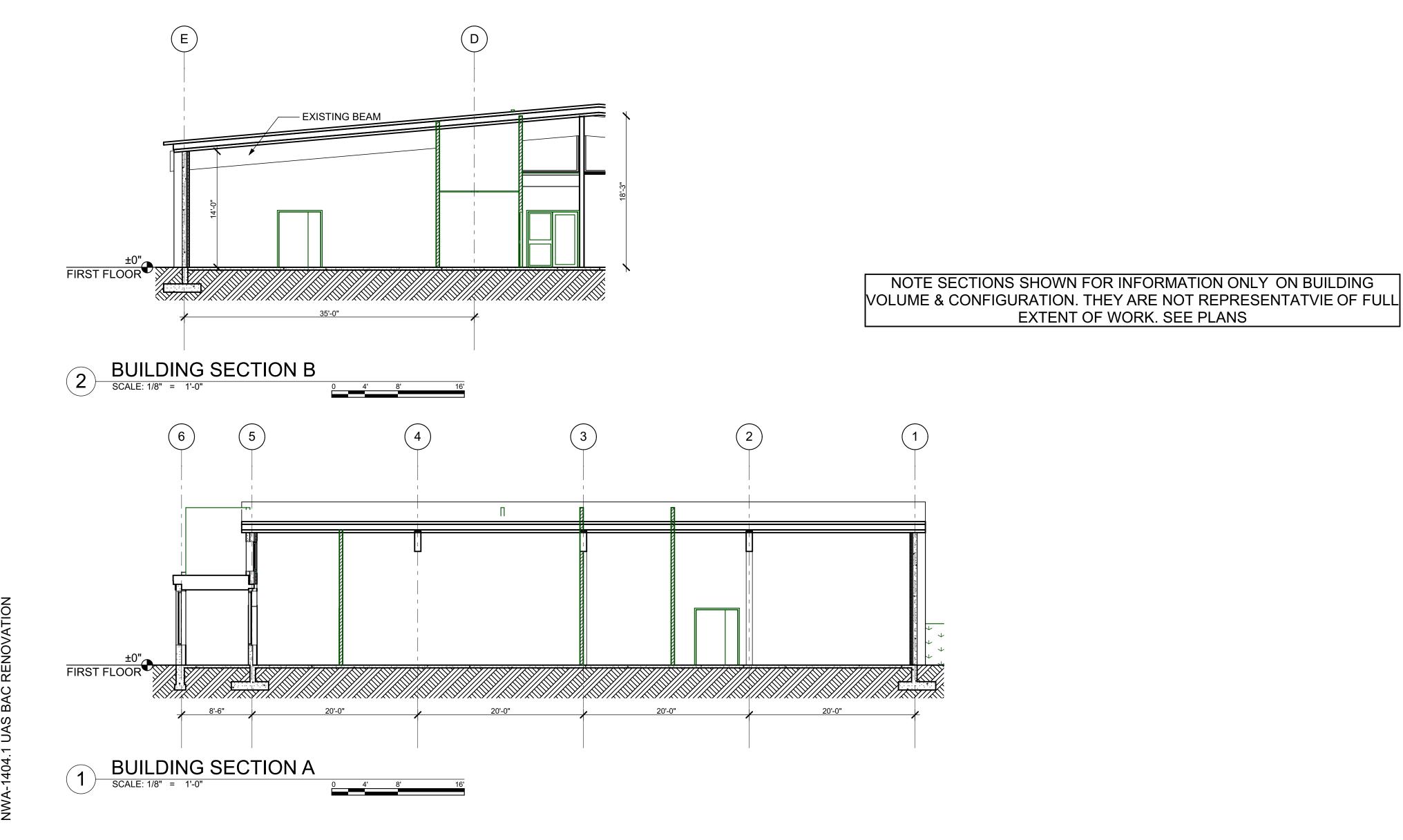
DATE: JULY 18, 2014

REVISION:

CHECKED BY:

SHEET#

7/18/2014 195





**Project Overview** 

The Hendrickson Building was constructed as a one story building in 1976 to house a woodshop. In 1979 a second floor was added for classroom space. Since that time the ground floor has been completely renovated to create classrooms and storage space, while many of the classrooms on the upper floor have been co-opted for office space. Based upon our review of University Records we have constructed a timeline of substantive work on the building since 1976.

1976 – construction one story building

1979 – construction of second story classroom wing

1983 – renovation of wood shop to create classrooms

1993 - window and door replacement

1999 – roof replacement

2007 – Code upgrades including restrooms

2008 - fire alarm system replacement

As a result of the 2013 UAS Masterplan NorthWind Architects and THA Architecture developed a plan to reorganize the campus that will be implemented as monies are available to renovate buildings in the course of regularly scheduled major maintenance projects. Although Hendrickson has been repurposed since it was built it has never been totally renovated, and the mechanical systems, windows and doors and electrical panels are nearing the end of their useful lifespans.

Renovation of Hendrickson allows UAS to implement some of the goals and priorities identified in the planning process. Relocating IT Services from Whitehead and Egan to the ground floor of Hendrickson will not only consolidate locations for IT staff but will open up Whitehead so the School of Arts and Sciences can co-locate their faculty and staff. Co-location of the Chancellor and Provost offices to the top floor brings the two top executives together in the same suite, and allows with School of Education to co-locate all of their faculty and staff in the space vacated by the Provost. Additionally this location is more convenient and more visible to students and the public than the annex buildings the Chancellor and Provost currently occupy.

The building is two stories and sits on a hillside. The back half of the ground floor is buried in to the hillside and is constructed with concrete. The remainder of the exterior walls are wood framed with a rustic shingle siding. The main structural elements (columns and beams) are steel. The original roof was supported by Truss Joists and has 1 1/8" plywood; 1 ½" of concrete was added when the second story was built. The second story roof is made of structural panels supported on sloped truss joists. The roof design features a parapet which is sloped and sheathed in shingles creating a feeling of a mansard roof.



**Features of the Design** 

- ♦ A key design principal of the planning process is access to natural light to all workstations; this plan calls for installation of structural skylights to bring light into the center of the building. Additionally the window sill height will be lowered on the upper floor, which will also allow views to the lake when seated. The main space on the ground floor will be open to the high ceilings of the original wood shop; new taller windows will allow light to penetrate deep into the building. Small openings will be made into the concrete wall on the south to allow light to filter in and additional windows will be added to the side walls.
- → Banks of walls will be glazed to allow transparency and light to enter spaces.
- ♦ Each floor will have a new break room or break area.
- ♦ In order to create an open office environment that is functional it is necessary to control noise. We propose using acoustical panels on select walls of the open office areas. This also provides an opportunity to introduce color and texture into the office areas.
- ♦ The executive suite features a sculptural wall that will serve several purposes.
  - o It will provide a natural focal point and draw people into the suite, while creating privacy for those working in the open office area.
  - We propose featuring local wood and woodworking skills, potentially designed and built by a local artist.
  - o The shape and material will help control noise by absorbing sound waves.
- ♦ The windows will be replaced.
- ♦ There will be no work in the restrooms.
- ♦ The upper floor will feature one large and one small conference room that can be used by anyone on campus. The large room will have a view of the lake.
- → The Alumni and Development Relations office will feature a lounge and conference room
  for students and alumni.
- ♦ The existing server room in Whitehead will not be located to Hendrickson. When Whitehead is renovated the three data racks for the network which are maintained by the IT department will be relocated to the lower level near the fiber backbone entrance. This space will have mechanical cooling.
- ♦ The ventilation system will be replaced. The new system will employ air to water heat pump technology for 50% of the heating load.
- → The original construction included a 600 square foot mezzanine for mechanical equipment. This will be retained for the new equipment. A new folding ladder will be installed to improve access.
- Heating piping and terminal heating units will be replaced. New units will be sized for a low temperature heating system.



**Building Code** 

The building is construction type VB with a Business Occupancy. The building has an automatic sprinkler system which is used for one hour substitution.

Fire rated construction – One hour fire ratings are required for shafts and storage rooms over 100 s.f.

Plumbing fixtures – The local authority CBJ uses the Uniform Plumbing Code to determine the required number of restrooms. An analysis of plumbing requirements was performed assessing the restrooms available between the three buildings on the east end of campus; Hendrickson, Soboleff and Whitehead. Occupancy was based on actual use for a total of occupancy of 146 for the three buildings. As the table below shows there is more than an adequate number of restrooms to serve the populations of these three buildings.

	toilet	urinal	lavatory			
Required - Classrooms						
MEN	2	2	2			
WOMEN	2		2			
Required - Offices						
MEN	2	2	1			
WOMEN	3		3			
TOTAL REQ.	9	4	8			
Existing						
MEN	3	5	5			
WOMEN	8		6			
UNISEX	1		1			
TOTAL EXIST.	12	5	12			
LAIST.						

The restrooms in Hendrickson are on the lower floor. It is more convenient for occupants of the upper floor to use the restrooms on the upper floor of Soboleff as they would need to leave the building to access the Hendrickson restrooms.

Accessibility – Currently there is no elevator in Hendrickson however the walkways between Hendrickson and Soboleff are enclosed on both levels, providing easy access via the elevator in Soboleff.

### **Materials**

Exterior walls – A Life Cycle Cost Analysis for Whitehead has determined that improving the thermal rating of the existing walls by add insulation is not a worthwhile investment. The energy engineer James Rehfeldt feels it is reasonable to extend this conclusion to Hendrickson, which is similar in size and construction materials.

NorthWind Architects
Page 3 of 12
July 2014



several new windows. All

Exterior windows – We are replacing all existing windows and installing several new windows. All new windows will be fiberglass with insulated glazing units; basis of design Milgard Ultra casement and picture units. Triple Glazing will be used as advised by the Life Cycle Cost Analysis.

Exterior doors – The only exterior door scheduled for replacement is the door on the ground in the north elevation. That door will be a fiberglass swing door to match the surrounding windows. The basis of design is the 3000 series by Milgard.

Skylights – We are proposing two aluminum framed 6' x 6' skylights; the basis of design is the pre engineered and pre-assembled skylight system by Versalight.

Roofing – EPDM on tapered insulation over 2" rigid insulation.

Walls – All interior partitions will have some acoustic treatment. Walls will be terminated at the underside of the structure above to ensure sound does not transfer. Walls will have batt insulation and sound board on one side.

Interior glass walls - there are two alternative options for the walls designated as glass walls in the floor plans. The first is to use an aluminum storefront system; an alternate approach is the Lightline series by KI, in which case it will be part provided and installed by the furnishings vendor. In either case doors within these walls will match the adjacent system. The glass walls in the IT conference room are to be colored and have the ability to serve as marker boards.

Interior Doors – Doors into the office suites will be 7' standard storefront aluminum doors in aluminum frames. All other doors will be hollow metal with metal frames

Flooring – Carpet will be used in all offices and conference rooms. The break rooms and storage rooms will be linoleum. Wall base to be 4" rubber.

Ceilings – Most ceilings will be 2x4 suspended ACT. Soffits will be drywall on a suspended framing system such as Quikstix by Armstrong Ceilings. In the information technology open office area we will replace the 12" x 12" adhered ceiling tiles.

Acoustical Panels – we are proposing using materials by Unika Vaev as the basis of design. We are proposing installing ecoustic moov panels above head height on selected walls in the information technology open office area, and propose installing tackable ecoustic print panels in select location in the Executive Suite open office area as well as in conference rooms.

Accessories – the large conference room will have a recessed pull down screen. The large conference room, the Chancellor's office and the Provost's office should all be outfitted for full teleconference capabilities. All conference rooms will have pull down screens.



### **Mechanical Design**

Report by Roger Smith, Murray and Associates

**DESIGN CRITERIA**: The mechanical systems will be designed and constructed in accordance with the following codes and standards:

- 2009 International Building Code (IBC)
- 2009 International Mechanical Code (IMC)
- 2009 Uniform Plumbing Code (UPC)
- 2009 International Fire Code (IFC)
- CBJ Title 19 and State of Alaska Code Modifications
- National Fire Protection Association (NFPA)
- ASHRAE American Society of Heating, Refrigeration, and Air-conditioning Engineers

### **DESIGN PARAMETERS**

Inside Air Temperature: 70FOutside Air Temperature: 0F

Outside air per ASHRAE 62.1-2010 Ventilation Rate Procedure, Chapter 6.2

### **GENERAL SCOPE OF WORK**

The scope of mechanical work includes a substantial renovation to the Hendrickson building mechanical systems including demolition of existing mechanical systems and the installation of a new heating system, new ventilation system, new exhaust air system, new low voltage DDC automatic controls, and new building fire sprinkler system. New fire sprinkler system will be connected to the existing 4-inch sprinkler main where it enters the building from the adjacent Soboleff Building and the location of the existing sprinkler header. New domestic water plumbing and sanitary waste piping system will be added for new plumbing fixtures and connected to the existing toilet room plumbing systems. The new heating system will be a low temperature heating water system consisting of perimeter finned pipe convectors, heating piping distribution system, circulation pumps, air handling unit heating coil, and air-water heat pumps. The air-to-water heat pump heating plant will be sized to provide 60% of the maximum design hourly heating load and approximately 90% of the yearly heating requirements. Supplemental heating will be provided by injecting heating water into the Hendrickson Building heating distribution system from the existing lower campus heating piping circulation loop.

Demolition of mechanical systems including the Hendrickson building's heating distribution system and heating units, building domestic water and waste piping systems outside of the existing toilet rooms, the building ventilation systems, sprinkler systems, and the entire pneumatic and electric control system will be required. Demolition shall be complete including hangers, rods, supports, conduit, wiring, tubing, and related appurtenances.

Contractor shall provide submittal data, O&M data, as-built drawings, adjustment of ventilation systems with report log, and training of the mechanical systems.

### **HEATING SYSTEMS**

All existing heating piping, heating equipment, terminal heating units, and related accessories located in the Hendrickson Building shall be removed in the renovation and replaced with new. The Hendrickson building heating system is currently connected to the lower campus heating circulation piping loop that routes heating water from boilers located in (3) adjacent buildings to the Hendrickson building and other lower campus buildings. These boilers are located in the Mourant Building (Primary Electric Boiler),

NorthWind Architects Page 5 of 12

July 2014



Novatney Building (Back-up Oil-Fired Boiler), and Soboleff Building (Alternate Back-up Oil-Fired Boiler System).

An air-water heat pump heating plant sized for 60% of design hourly heating load (90% of yearly heating requirements) is anticipated for primary heating of the Hendrickson Building. Supplemental heating will be provided from the existing lower campus heating piping distribution loop and injected into the Hendrickson building heating loop only when required.

The air-water heat pump heating plant will consist of the following equipment:

- 2 Outdoor units: (2) 8 ton units. Similar to Mitsubishi Hyper Heat Y-Series (PUHY-HP96-BS). Outdoor units with seacoast (anti-corrosion) protection coating.
- 3 Indoor heat exchanger units. (2) 6 ton and (1) 3 ton units. Similar to Mitsubishi HEX (PWFY-P72NMU-E-AU and P36NMU-E-AU)
- · 3 Hydronic controllers
- Buffer Tank (To reduce defrost cycles). 250 gallon insulated tank located as close to the mechanical mezzanine as possible.
- Each outdoor unit's dimensions would be approximately 48"x30".
- · Concrete Pad and Shed Roof. Approximate size of 144"x42".
- · Outdoor Electrical (2 circuits) at 71 MCA, 75 MOCP, 208 volt, 3 phase
- · Circulating Pump for each of the 3 indoor units. See below.
- · Refrigeration Piping between indoor and outdoor units
- · Communication and power wiring between indoor and outdoor units

The lower campus heating distribution piping mains routed through the Hendrickson building mechanical room will be demolished and revised to better integrate with the new air-water heat pump heating system. Minor modifications to the existing Soboleff building heating plant piping will be required for modified piping/pumps serving the two existing Hendrickson building heating loops.

Low Temperature Water Heating System: The building heating plant, distribution system, and terminal heating units will be designed to allow for the low temperature heating water of 100F- 110F produced by the energy efficient air-water heat pumps. Pipe configuration, pipe sizing, equipment sizing, finned pipe convectors, terminal heating units (heating coils), etc will be designed, configured, and sized to allow for this low temperature water.

The following heating circulation pumps are anticipated:

- P-1A and P-1B (Lead and Back-Up) Main Building Circulation Pumps: 47 GPM at 25'TDH, 1 hp, 208volt/3 phase. Located in Hendrickson Building Mechanical room.
- P-2, P-3, and P-4 Air-Water Heat Pump Heat Exchangers: 8 GPM at 14 ft Head. 1/8 hp, 115 volt/1 phase. Located in Hendrickson Building Mechanical room.
- P-5 District Heat Loop Injection Pump: 15 GPM at 20 ft Head. 1/3 hp, 115 volt/1 phase.
   Provides supplemental heating from lower campus heating loop. Located in Soboleff Boiler room.
- New pumps will be Grundfos for standardization.

The building heating will be provided primarily by perimeter wall mounted metal finned pipe convectors typically located beneath the exterior windows. 24 total perimeter heating zones served by finned pipe convectors are anticipated. Due to the low temperature heating water supplied, 3-tier finned pipe convectors are required with 24-inch total cabinet height. Flowsetters and automatic valves will be

NorthWind Architects Page 6 of 12



installed for all heating units and shall be located within the heating unit cabinets for improved maintenance access. Each zone shall be controlled by its own respective wall mounted DDC room thermostat.

Heating for interior spaces including the open office area will be provided by (2) booster coils and respective room thermostats.

A heating coil in the air handling unit (AHU-1) will provide tempered ventilation air supply to the building.

Heating piping mains and branch piping shall be hard-drawn copper tubing, ASTM B 88, Type L with press-fit joints, 95-5 solder fittings or equivalent. Press fit joints will also be acceptable.

### **VENTILATION AND EXHAUST AIR SYSTEM**

The existing ventilation system shall be removed and replaced in its entirety, including fans, ducts, VAV boxes, louvers, controls, and related systems.

Ventilation will be provided to the Hendrickson building by a new constant volume air-handling unit, AHU, with supply and return fans in an internally isolated insulated cabinet, located in the Mechanical Mezzanine. Preliminary size of the AHU unit is 7,200 cfm supply fan and 5,850 cfm return fan (7200 CFM SF at 2.175 TSP with 5hp 480 volt/3 phase motor; 5850 CFM RF at 1.2" TSP with 3 hp 480 volt/3 phase motor). The AHU would include a return fan RF section with airfoil backward-inclined centrifugal fan and exhaust air dampers, mixing box section with outside and return air dampers, MERV 13 high efficiency filter section, water heating coil, and airfoil backward-inclined centrifugal supply fan section. AHU outside air will be taken in through an intake louver and the AHU will exhaust/relieve air out a separate exhaust louver on opposite side of the Mechanical Mezzanine. The outdoor air louvers will be replaced with new. A new exhaust air louver with new exterior wall penetration will be required. The mezzanine exterior wall will need to be demolished/re-built in order to remove existing air handlers and install the new air handler.

Supply, return, and exhaust air ductwork distribution shall be installed above the lower floor and main floor ceilings to provide ventilation and exhaust for individual rooms as needed. Supply diffusers and return air grilles would be located in the ceiling tiles. Exhaust air will be provided for the toilet rooms and storage rooms as needed. Preliminary size of the EF unit is 1100 CFM @ 1.75"TSP with a 3/4hp, 480 volt/3phase motor. It is anticipated that the exhaust fan will be located on the roof (downblast type). Duct silencers would be installed on the return and supply air duct mains to limit transfer of sound from the fans to occupied spaces as needed. All ductwork shall be galvanized steel sheet metal.

Air handling system controls will be of the low voltage direct digital (DDC) type.

### **COOLING SYSTEM**

The new building ventilation system will not include a mechanical cooling system. The air handling system (AHU) shall utilize natural outdoor cooling air as necessary. Space in the air handling unit for a future cooling coil can be included if desired by UAS.

The UPS Room will contain heat generating equipment that will require cooling. A 1-1/2 ton (18,000 Btu/hr) cooling capacity split system air conditioning unit, similar to Mitsubishi Slim PKA-A18HA indoor unit and PUZ-A18NHA3-BS outdoor unit is anticipated. Indoor unit will be mounted on the UPS room

NorthWind Architects
Page 7 of 12
July 2014



wall. Outdoor unit will be located adjacent to air-water heat pumps under shed roof. Refrigeration piping, electrical, and controls will be routed to the outdoor condenser unit.

### **CONTROLS**

Existing building electric and pneumatic control systems will be demolished in their entirety and replaced with a new Direct Digital Control System (DDC). Demolition includes removal of thermostats, control devices, control panels, pneumatic tubing, control wiring, and all related control accessories. Control tubing will be removed to Soboleff Building pneumatic controls air compressor and plugged. Mechanical heating and ventilating units will be controlled through a new Direct Digital Control System (DDC) integrated into the existing UAS Siemens building automatic control system and graphics screens. If desired by the University, the automatic controls for the Hendrickson building could be opened up to other pre-approved control manufacturers. A new computer station and large screen monitor with graphics of the new building mechanical systems will be provided for ease in monitoring, trending, and scheduling operation of mechanical systems. An Ethernet BLN connection would be utilized for interbuilding communications. Terminal equipment controllers will be installed at each air handling system and possibly in several locations throughout the building for control of heating zones. Individual room temperature control will be provided for each heating zone. The room thermostats will be capable of remote monitoring and overrides.

The control system will be capable of remote monitoring and control from the UAS maintenance office. Feedback to the building automation system from the heating system, room temperature sensors, ventilation controls, and other heating/ventilation systems provide the maintenance crew with the ability to monitor the operation and energy use of the HVAC system on-site or remotely. We estimate that a total of 60 input-output control points will be required.

### **PLUMBING SYSTEM**

The existing toilet rooms on the lower floor will be retained. The remainder of the plumbing system outside of the existing toilet rooms will be demolished, including all plumbing fixtures and piping, abandoned plumbing piping, and related accessories. Existing cold water service and domestic hot water is provided from the adjacent Soboleff building. The new domestic water system will be connected to this existing domestic cold and hot water piping where it currently enters the Hendrickson building. Due to the piping distance to the last plumbing fixture, a hot water recirculating system for the Hendrickson building is anticipated utilizing a hot water recirculating pump to be located in the Soboleff building Mechanical room.

The domestic water piping material shall be hard-drawn copper tubing, ASTM B 88, Type L with 95-5 solder fittings or equivalent. Press fit joints will also be acceptable.

Sanitary waste and vent piping shall be cast-iron hub-and-spigot below grade and no-hub cast-iron above the floor. Copper DWV shall be acceptable for horizontal above ground waste and vent for pipe sizes 2-inch and under. Equipment drains will be copper DWV or Schedule 40 black steel. New sanitary waste piping will be connected to the existing waste piping entering the toilet room in the lower floor ceiling space. New vent piping will be installed and connected to an existing VTR in the main floor ceiling space. PLUMBING FIXTURES AND EQUIPMENT

Fixtures complying with the Americans with Disabilities Act (ADA) will be specified where required by the architectural floor plan.

Existing toilet room plumbing fixtures and drinking fountain will be retained.

NorthWind Architects
July 2014

Page 8 of 12



The sink in the main floor Break Room will be an ADA stainless steel double compartment bowl with single lever gooseneck faucet. The sink in the lower floor Break Room will be an ADA stainless steel single compartment bowl with single lever gooseneck faucet. These sinks will have a shallow 6-1/2 inch depth in order to meet ADA requirements.

### **ROOF DRAINAGE SYSTEM**

Existing roof drains will be re-used. Due to revised room layout, new roof drain piping is anticipated to be installed in the main floor and lower floor ceiling spaces to route drainage to existing underground roof drain piping. Roof drainage piping shall be no-hub cast-iron.

### PIPE AND EQUIPMENT INSULATION

Vent piping within 10 feet of roof penetration, roof drainage piping, and all domestic cold water, hot water, and hot water recirculating piping will be insulated with sectional pipe covering with vapor retardant jacket, mineral fiber, 1 inch IPS thick. Heating piping insulation will be mineral fiber, 1-1/2 inch thick. Outside air duct from louver to fan and exhaust ducts from exterior wall to backdraft damper or automatic damper will be insulated with faced 1-1/2 inch thick glass-fiber blanket having a minimum density of 1 pound per cubic foot and vapor barrier.

### **VALVES**

Domestic and heating water valves shall be provided rated for 400 psig working pressure. Valves are to be lead-free bronze body, two piece, quarter-turn full port ball valves. Valves will be installed accessibly to individually shut off domestic/heating water piping to each room/heating unit/fixture. Terminal heating unit isolation valves will be located inside the respective heating unit cabinets for ease of maintenance. Domestic water drain valves shall have vacuum breakers and caps. Heating water drain valves shall have caps.

### **SPRINKLER SYSTEMS**

The entire existing wet sprinkler system within the Hendrickson building will be removed and replaced with new. A new wet sprinkler system shall be installed to serve the warm spaces of the building according to the new room and ceiling layout. The new sprinkler system will connect to the existing 4-inch sprinkler main where it enters the building through the corridor wall from the adjacent Soboleff building and the existing sprinkler header serving both buildings. The new Hendrickson building sprinkler system will be installed with its own sprinkler zone and flow alarms, separate from the Soboleff Building, as currently installed.

Sprinkler heads to be semi-recessed where ceilings are present. Clerestories and skylights will have exposed piping and high temperature heads. Sprinkler piping will need to be installed in the structural joist space throughout. The Sprinkler system shall be designed and installed per NFPA 13.



### **Electrical Narrative**

Report by Barry Begenyi, Begenyi Engineering

### General

The electrical systems will comply with accepted codes, standards and recommended practices common to the electrical industry and as required by local and state authorities, including but not necessarily limited to the following:

- National Electrical Code (NEC)
- International Fire Code (IFC)
- National Fire Protection Association (NFPA)
- ASHRAE 90.1 Energy Standard
- Illuminating Engineering Society (IES)
- National Electrical Manufacturers Association (NEMA)
- National Electrical Contractors Association (NECA)

All equipment will be listed and labeled by a nationally recognized testing agency acceptable to the State of Alaska.

### **Utility Services and Distribution Equipment**

Power for the Hendrickson Building will be sourced from the main distribution panels in the Soboleff Building electrical room, similar to the existing configuration. A 225 amp, 277/480 volt panelboard will be provided to supply large mechanical equipment and special equipment. A 60 amp, 277/480 volt panelboard with electronically operated circuit breakers will be sub-fed from the 225 amp panel to serve the lighting system. A two section 225 amp, 120/208 volt panelboard will be provided to supply workstations, convenience receptacles, and small mechanical equipment. Separate feeders for each panelboard will be provided from the Soboleff Building electrical room.

The emergency power system was upgraded in 2004 with new 277/480 volt distribution equipment to provide power to several existing 120/208 volt panelboards, including the Soboleff Building. Emergency branch circuits for egress lighting and the fire alarm system will be sourced from the existing 120/208 volt panelboard in the Soboleff Building electrical room.

Back-up power will be provided by a 15kVA UPS. The UPS output will feed a 60 amp, 120/208 volt, 3 phase panelboard dedicated to computer workstation branch circuits and other convenience loads that require back-up power.

Indoor equipment will be NEMA 250, Type 1. Equipment buses and conductor connections will be tinplated aluminum. Panelboards will be flush mounted, except in unfinished spaces where surface mounting is acceptable. Overcurrent protective devices will be molded case circuit breakers. All equipment will be commercial grade.

The UPS and panelboards will be located in a dedicated electrical room that also houses the communications services and distribution equipment described below. Cooling will be provided for the space.



### **Communications Services and Distribution Equipment**

A new space will be provided on the ground floor for communication equipment. The space will house termination provisions for copper and fiber optic outside plant cables, network racks, and the UPS mentioned above. Two data racks will be provided for servers, voice-over-IP components, and patch panels and switches for local data terminations.

Connecting blocks, cross-connects, and patch panels will be 110-style with insulation displacement connectors. Patch panels will be 48-port, minimum. The racks will be equipped with horizontal and vertical cable management and power strips.

### **Feeders and Branch Circuits**

Feeders and branch circuits will be single conductors in conduit. All conductors will be copper with insulation Type THHN-THWN. Connectors and splices will be of size, ampacity rating, material, type, and class for application and service required. Feeders and branch circuits will be concealed, except in unfinished spaces, or where otherwise approved by the Architect.

### **Grounding and Bonding**

Insulated copper equipment grounding conductors will be provided with all feeders and branch circuits.

### Hangars and Supports

The project will comply with NECA for application of hangars and supports for electrical equipment and systems. Interior support devices will be steel; exterior will be hot-dip galvanized with stainless steel hardware.

### **Raceways and Boxes**

Exposed, outdoor conduit and interior locations subject to damage will be galvanized rigid steel. Electrical metallic tubing will be utilized for interior raceways not subject to damage or concealed in finished surfaces. Connections to vibrating equipment shall be flexible metal conduit, except liquid-tight flexible metal conduit will be applied in damp or wet locations. Outlet and devices boxes will be sheet metal.

### **Identification for Electrical Systems**

Branch circuit conductors will be identified with self-adhesive vinyl labels where conductors are accessible in panels, junction and pull boxes. All feeders and branch circuits will be color-coded for phase identification with factory applied color or half-lapped tape.

Equipment identification labels will be provided on each unit of equipment including disconnect switches and protection equipment, central or master units, control panels, control stations, and terminal cabinets. Systems include power, lighting, control, communication, signal, monitoring, and alarm.

### Mechanical Equipment

Branch circuits and connections for all mechanical equipment will be provided. Motors rated ½ HP and larger will be wired 480 volts, 3 phase. Motors less than ½ HP will be wired 120 volt, single phase. Disconnect switches will be heavy-duty type with fuses as required. Motor starter switches will be quickmake, quick-break toggle with on/off indication. Full voltage, across the line, magnetic controllers with bimetallic overload relays will be used for equipment requiring automatic control.

NorthWind Architects Page 11 of 12
July 2014



**Lighting Systems** 

The ground floor and open area of the main floor will predominately use linear indirect/direct fluorescent light fixtures in pendant mount configurations. The remainder of the main floor will use recessed indirect light fixtures placed in an acoustic tile ceiling. Illumination levels shall comply with recommended practices outlined by IES. The enclosed offices, storage rooms, conference rooms, and break room will be controlled by occupancy sensors. Exterior lighting will be provided along decks, walkways, and stairs. Exit signs and emergency illumination will be provided as required along the means of egress, including the exit discharge. Lighting circuits will be connected to a separate panelboard with electronically operated circuit breakers.

### **Wiring Devices**

Receptacles will be provided for workstations and equipment. The design will provide sufficient devices to allow for flexibility. Convenience receptacles throughout corridors and common areas will be located so that no point is more than 20-feet away from a receptacle. Four receptacles will be located in each enclosed office. Systems furniture will be electrified with separate power and communications raceway systems. Common areas, conference room walls will be provided with devices spaced approximately 6-feet apart. Receptacles with special configurations will be provided as required by the Owner.

All devices will be specification grade, or better. Convenience receptacles will be 125V, 20A. Ground fault devices will be provided as required by the NEC, non-feed through type. Toggle switches will be 120/277V, 20A. Wall box dimmers will be modular, full-wave, solid-state units with integral, on-off switches. Smooth, high-impact thermoplastic wall plates will be used, except in unfinished spaces where galvanized steel will be allowed. Wet location device plates will be NEMA 250, Type 3R with lockable cover.

### **Low Voltage Devices and Wiring**

All components of the data system will comply with Category 6 performance criteria. Two data outlets with three jacks per outlet will be provided in each enclosed office and workstation. Common work spaces will be provided with jacks as required for convenience and equipment.

Cables shall be 100-ohm, four-pair unshielded twisted pair with thermoplastic jacket. Cables will terminate on rack mounted patch panels in the communications room. Conduit for the data system will be 1" minimum. Conduit will be utilized in concealed and exposed conditions, except above accessible ceilings where unenclosed cabling methods may be used.

### Fire Alarm

The fire alarm system was recently replaced with a digital, addressable configuration. The fire alarm control panel, annunciator, digital alarm communicator, smoke and heat detectors and notification appliances shall be reused. Anticipate approximately 25% new initiating and notification appliances will be required to coordinate with the new architectural configuration. New conduit, cables, and wire will be provided. The sprinkler system will be monitored for valve tamper, water flow, and low pressure in the dry system. Duct smoke detectors will be provided on the supply side of mechanical equipment in excess of 2000 cubic feet per minute.

### HENDRICKSON RENOVATION

SCHEMATIC DESIGN COST ESTIMATE - 07/16/2014

ARCHITECT STRUCTURAL ENGINEER MECHANICAL ENGINEER

ELECTRICAL ENGINEER



NorthWind Architects, LLC 126 Seward Street
Juneau, Alaska 99801
(907) 586-6150
\*\*\* ARCHITECT \*\*\*, AIA



R&M Engineering 6205 Glacier Highway Juneau, AK 99801 (907) 780-6060 Mark Pusich, PE



Murray & Associates 907 Capitol Avenue Juneau, AK 99801 (907) 780-6151 Doug Murray, PE



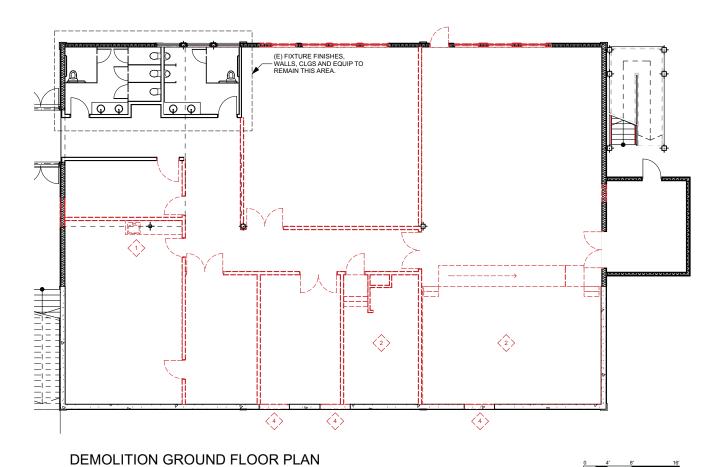
### ARCHITECTURAL SHEET INDEX

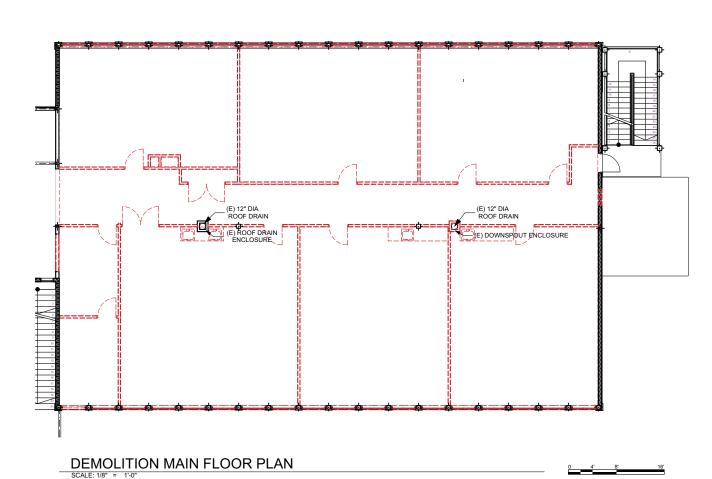
- G1 TITLE SHEET / GENERAL INFO
- A1.1 DEMOLITION PLANS
- A1.3 DEMOLITION ELEVATIONS A1.4 DEMOLITION ELEVATIONS
- A2.1 GROUND FLOOR PLAN A2.2 MAIN FLOOR PLAN
- A2.3 ROOF PLAN & ENTRY
- A3.1 SECTIONS
  A4.1 BUILDING ELEVATIONS
  A4.2 BUILDING ELEVATIONS

HENDRICKSON RENOVATION #Site City, #Site State NWA-1404.2

SHEET#

G1 7/16/2014





### DEMOLITION GENERAL NOTE

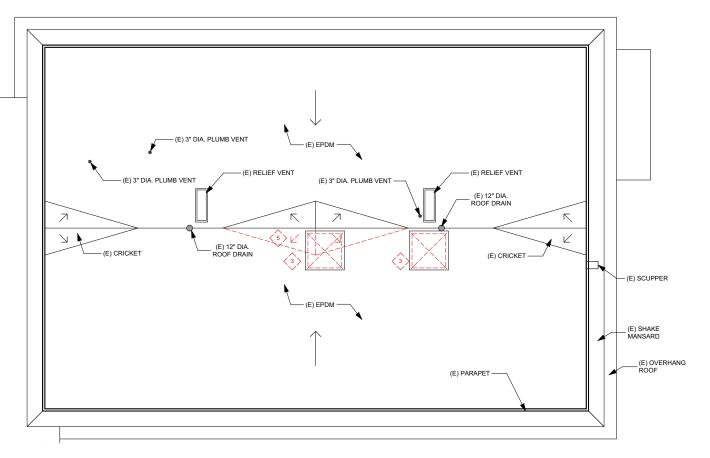
- VERIFY ALL EXISTING CONDITIONS IN THE FIELD PRIOR TO STARTING WORK.
   NOTIFY THE OWNER IMMEDIATELY OF DISCREPENCIES OR CONFLICTS PRIOR TO
   PROCEEDING.
- 2. SEE MECHANICAL & ELECTRICAL DWGS FOR SCOPE OF DEMOLITION FOR THOSE TRADES. COORD REQUIRED NEW PENETRATIONS FOR ROUTING NEW SYSTEM.
- 3. SEE SHEET A1.2 AND A1.3 FOR EXTENT OF DEMOLITION OF EXTERIOR WINDOWS, WALLS AND SIDING
- 4. REMOVE ALL FINISHES, CEILING (ACT) & FLOORING (CPT & VINYL) EXCEPT IN RESTROOMS.
- 5. PATCH (N) ROOF OPENING TO MATCH (E).

### **DEMOLITION KEY NOTES**

- (1) REMOVE CASEWORK & SINK.
- ? REMOVE STAGE AND ASSOCIATED STAIRS & RAMPS.
- REMOVE EPDM MEMBRANE, INSULATION & ROOF SHEATHING TO PREPARE FOR NEW SKYLIGHTS.
- REMOVE 5' WIDE X 1'-8" HIGH CONC WALL 7'-6" AFF.
- FEMOVE ROOF CRICKET AS REQUIRED TO INSTALL (N) SKYLIGHTS

WALL TO BE REMOVED

DOOR & FRAME TO BE REMOVED



NorthWind Architects LLC

126 Seward St Juneau, AK 99801 Ph #907.586.6150 Fx #907.586.6181 www.northwindarch.com

© NorthWind Architects, LLC

1" ACTUAL ---

IF THE ABOVE DIMENSION DOES NOT MEASURE ONE INCH (1") EXACTLY, THIS DRAWING WILL HAVE BEEN ENLARGED OR REDUCED, AFFECTING ALL LABELED SCALES.

## HENDRICKSON RENOVATION

UNIVERSITY OF ALASKA SOUTHEAST AUKE BAY CAMPUS Juneau, Alaska

SHEET TITLE:

DEMOLITION
PLANS

DATE: 07/16/2014

REVISION:

CHECKED BY:

DRAWN:

A 1.1 Po (A) 7/16/2014

DEMOLITION ROOF PLAN

SCALE: 1/8" = 1'-0"

### **DEMOLITION GENERAL NOTE**

- VERIFY ALL EXISTING CONDITIONS IN THE FIELD PRIOR TO STARTING WORK.
   NOTIFY THE OWNER IMMEDIATELY OF DISCREPENCIES OR CONFLICTS PRIOR TO PROCEEDING.
- 2. SEE MECHANICAL & ELECTRICAL DWGS FOR SCOPE OF DEMOLITION FOR THOSE TRADES. COORD REQUIRED NEW PENETRATIONS FOR ROUTING NEW SYSTEM.
- 3. SEE SHEET A1.2 AND A1.3 FOR EXTENT OF DEMOLITION OF EXTERIOR WINDOWS, WALLS AND SIDING.
- 4. REMOVE ALL FINISHES, CEILING (ACT) & FLOORING (CPT & VINYL) EXCEPT IN RESTROOMS.
- 5. PATCH (N) ROOF OPENING TO MATCH (E).



(E) WDW TO BE REMOVED



(E) EXT WALL AND FRAMING TO BE REMOVED FOR (N) WINDOW

NorthWind Architects LLC

126 Seward St Juneau, AK 99801 Ph #907.586.6150 Fx #907.586.6181 www.northwindarch.com

© NorthWind Architects, LLC

IF THE ABOVE DIMENSION DOES NOT MEASURE ONE INCH (1") EXACTLY, THIS DRAWING WILL HAVE BEEN ENLARGED OR REDUCED, AFFECTING ALL LABELED SCALES.

## HENDRICKSON RENOVATION

UNIVERSITY OF ALASKA SOUTHEAST AUKE BAY CAMPUS Juneau, Alaska NWA-1404.2

SHEET TITLE: **DEMOLITION** 

**ELEVATIONS** 

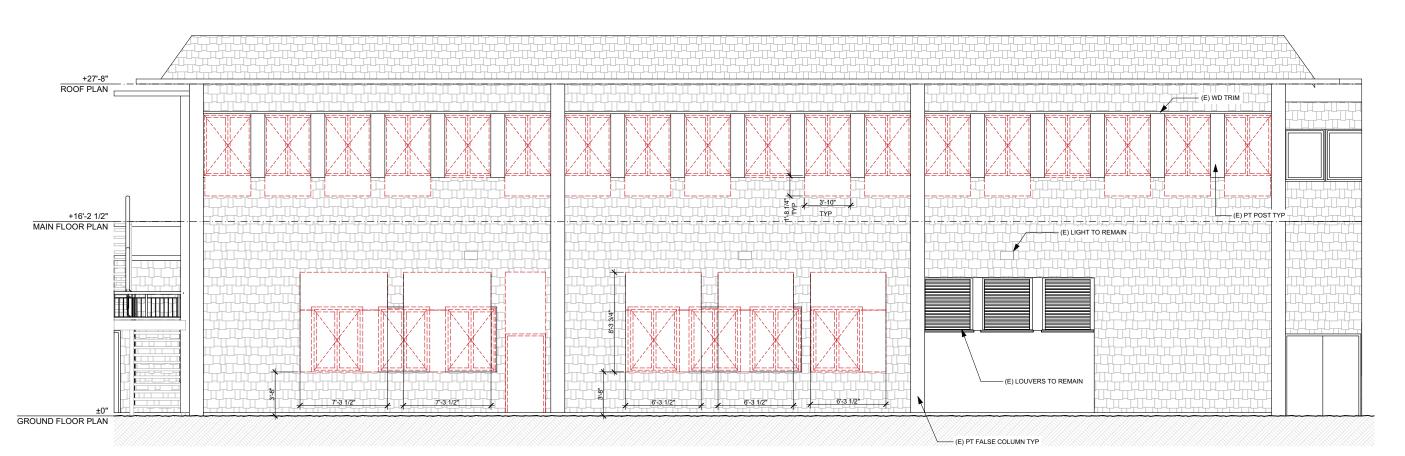
07/16/2014

REVISION:

CHECKED BY DRAWN:

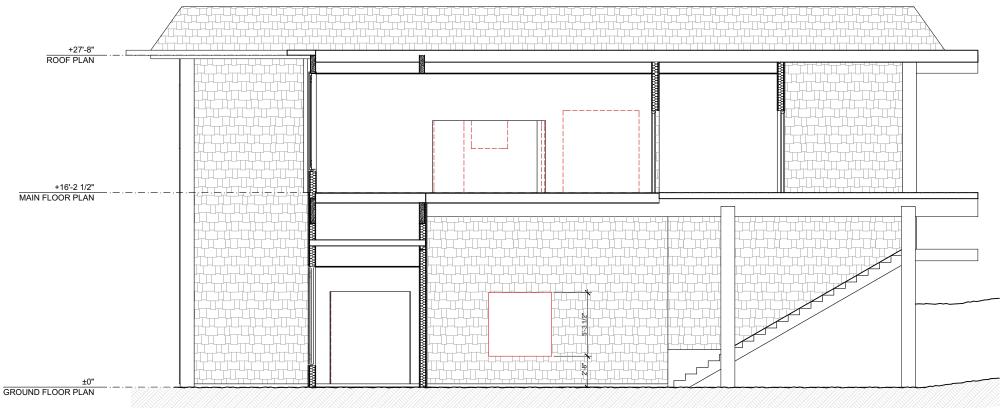
SHEET#

EAST ELEVATION
SCALE: 1/4" = 1'-0"



NORTH ELEVATION





### DEMOLITION GENERAL NOTE

- VERIFY ALL EXISTING CONDITIONS IN THE FIELD PRIOR TO STARTING WORK.
   NOTIFY THE OWNER IMMEDIATELY OF DISCREPENCIES OR CONFLICTS PRIOR TO PROCEEDING.
- 2. SEE MECHANICAL & ELECTRICAL DWGS FOR SCOPE OF DEMOLITION FOR THOSE TRADES. COORD REQUIRED NEW PENETRATIONS FOR ROUTING NEW SYSTEM.
- 3. SEE SHEET A1.2 AND A1.3 FOR EXTENT OF DEMOLITION OF EXTERIOR WINDOWS, WALLS AND SIDING.
- 4. REMOVE ALL FINISHES, CEILING (ACT) & FLOORING (CPT & VINYL) EXCEPT IN RESTROOMS.
- 5. PATCH (N) ROOF OPENING TO MATCH (E).



(E) WDW TO BE REMOVED



(E) EXT WALL AND FRAMING TO BE REMOVED FOR (N) WINDOW

NorthWind Architects LLC

126 Seward St Juneau, AK 99801 Ph #907.586.6150 Fx #907.586.6181 www.northwindarch.com

© NorthWind Architects, LLC

IF THE ABOVE DIMENSION DOES NOT MEASURE ONE INCH (1") EXACTLY, THIS DRAWING WILL HAVE BEEN ENLARGED OR REDUCED, AFFECTING ALL LABELED SCALES.

HENDRICKSON RENOVATION UNIVERSITY OF ALASKA SOUTHEAST AUKE BAY CAMPUS Juneau, Alaska NWA-1404.2

SHEET TITLE:

**DEMOLITION ELEVATIONS** 

DATE: REVISION:

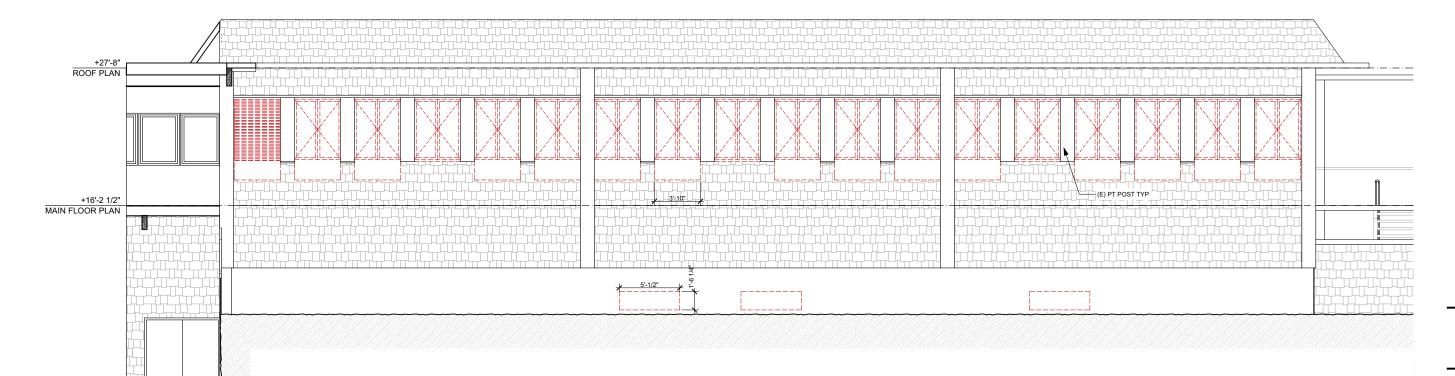
CHECKED BY:

DRAWN:

SHEET#

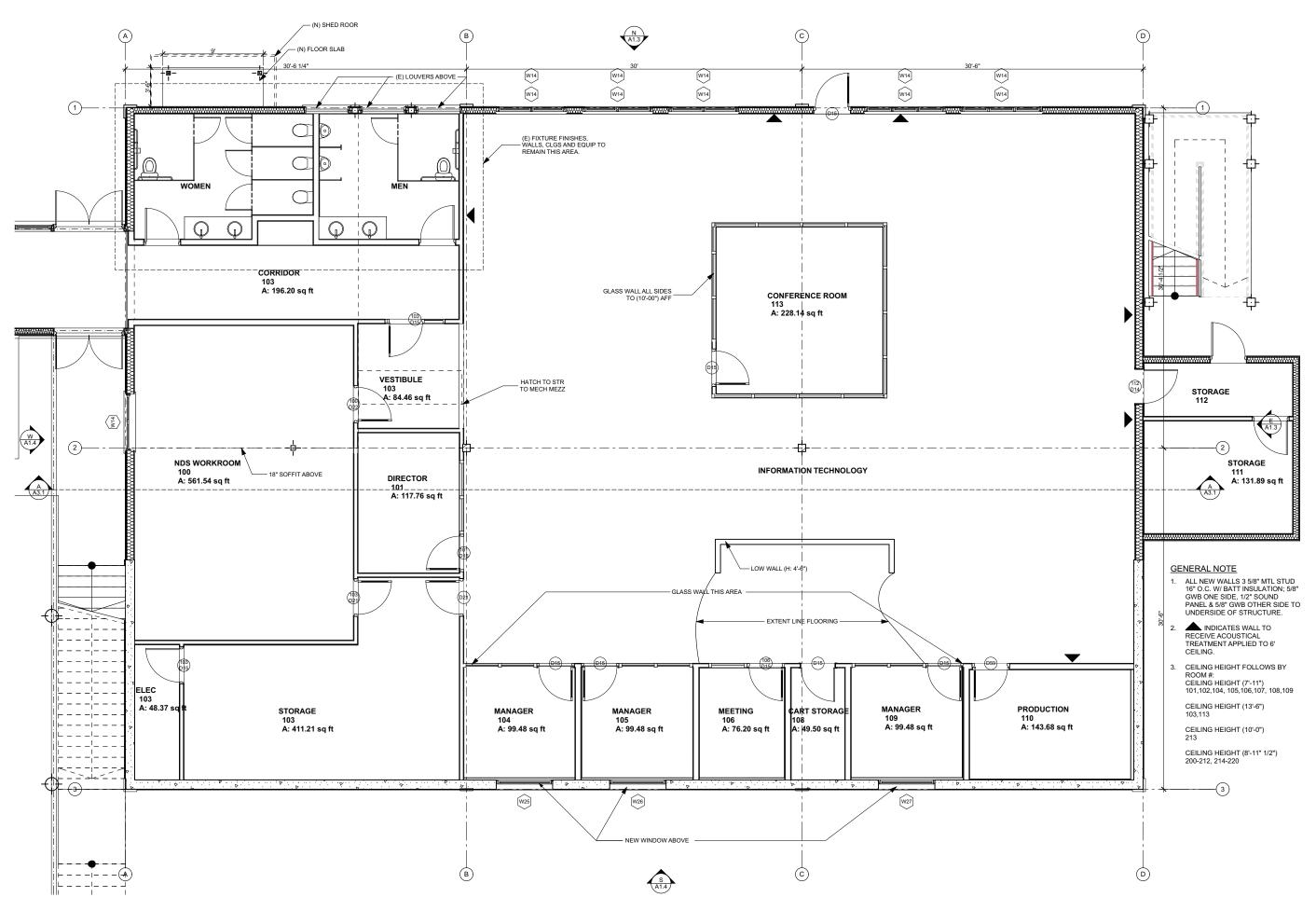
07/16/2014

WEST ELEVATION



SOUTH ELEVATION

±0" GROUND FLOOR PLAN





126 Seward St Juneau, AK 99801 Ph #907.586.6150 Fx #907.586.6181 www.northwindarch.com

© NorthWind Architects, LLC

−1" ACTUAL ——

IF THE ABOVE DIMENSION DOES NOT MEASURE ONE INCH (1") EXACTLY, THIS DRAWING WILL HAVE BEEN ENLARGED OR REDUCED, AFFECTING ALL LABELED SCALES.

## HENDRICKSON RENOVATION

UNIVERSITY OF ALASKA SOUTHEAST AUKE BAY CAMPUS Juneau, Alaska NWA-1404.2

SHEET TITLE:

GROUND FLOOR PLAN

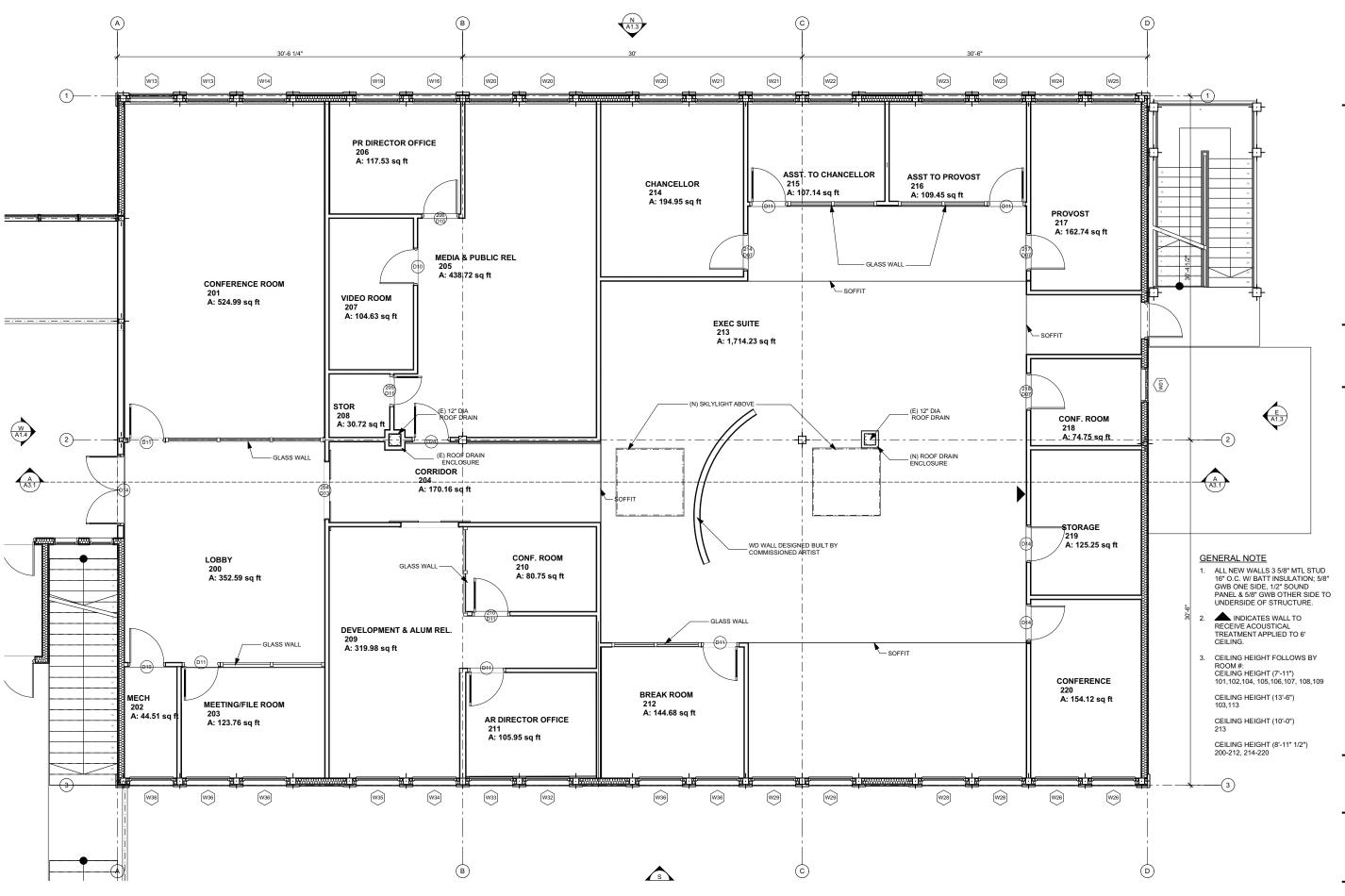
DATE: 07/16/2014
REVISION:

CHECKED BY:

SHEET#

A2.1 2014 7/16/2014

GROUND FLOOR PLAN



MAIN FLOOR PLAN



126 Seward St Juneau, AK 99801 Ph #907.586.6150 Fx #907.586.6181 www.northwindarch.com

© NorthWind Architects, LLC

-1" ACTUAL ---

IF THE ABOVE DIMENSION DOES NOT MEASURE ONE INCH (1") EXACTLY, THIS DRAWING WILL HAVE BEEN ENLARGED OR REDUCED, AFFECTING ALL LABELED SCALES.

### ALL LABELED SCALES.

HENDRICKSON RENOVATION
UNIVERSITY OF ALASKA SOUTHEAST
AUKE BAY CAMPUS
Juneau, Alaska
NWA-1404.2

SHEET TITLE:

MAIN FLOOR PLAN

07/16/2014

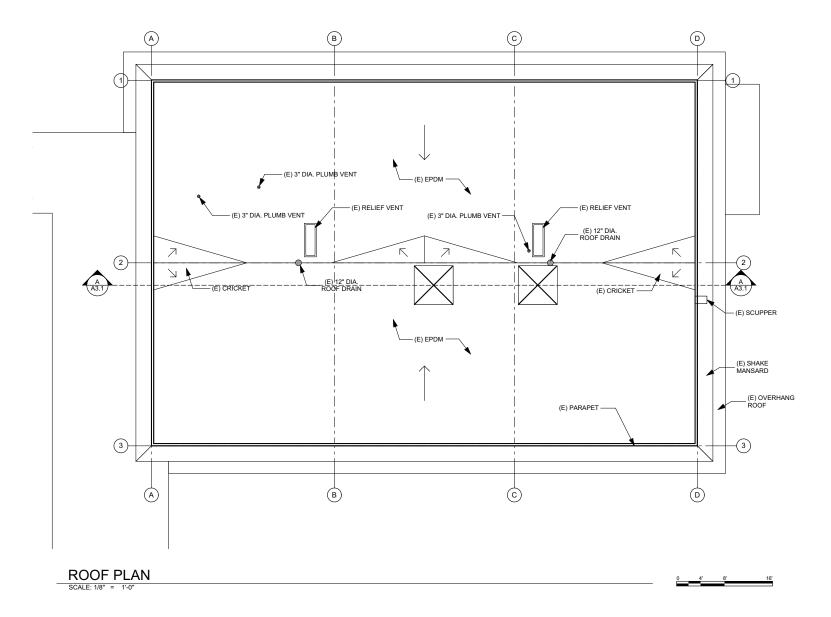
REVISION:
CHECKED BY:

RAWN:

SHEET#

2 A 2 2

2 OT DATE: 7/16/2014



### **GENERAL NOTE**

- VERIFY ALL EXISTING CONDITIONS IN THE FIELD PRIOR TO STARTING WORK. NOTIFY THE OWNER IMMEDIATELY OF DISCREPENCIES OR CONFLICTS PRIOR TO PROCEEDING.
- SEE MECHANICAL & ELECTRICAL DWGS FOR SCOPE OF DEMOLITION FOR THOSE TRADES, COORD REQUIRED NEW PENETRATIONS FOR ROUTING NEW SYSTEM.
- 3. SEE SHEET A1.2 AND A1.3 FOR EXTENT OF DEMOLITION OF EXTERIOR WINDOWS, WALLS AND SIDING.
- REMOVE ALL FINISHES, CEILING (ACT) & FLOORING (CPT & VINYL) EXCEPT IN RESTROOMS.
- 5. PATCH (N) ROOF OPENING TO MATCH (E).



126 Seward St Juneau, AK 99801 Ph #907.586.6150 Fx #907.586.6181 www.northwindarch.com

© NorthWind Architects, LLC

◀──1" ACTUAL ──►

IF THE ABOVE DIMENSION DOES NOT MEASURE ONE INCH (1") EXACTLY, THIS DRAWING WILL HAVE BEEN ENLARGED OR REDUCED, AFFECTING ALL LABELED SCALES.

## HENDRICKSON RENOVATION UNIVERSITY OF ALASKA SOUTHEAST AUKE BAY CAMPUS Juneau, Alaska NWA-1404.2

SHEET TITLE:

ROOF PLAN & ENTRY

DATE:

REVISION:
CHECKED BY:

DRAWN:

A2.3 7/16/2014

07/16/2014

### **GENERAL NOTE**

- VERIFY ALL EXISTING CONDITIONS IN THE FIELD PRIOR TO STARTING WORK. NOTIFY THE OWNER IMMEDIATELY OF DISCREPENCIES OR CONFLICTS PRIOR TO PROCEEDING.
- SEE MECHANICAL & ELECTRICAL DWGS FOR SCOPE OF DEMOLITION FOR THOSE TRADES. COORD REQUIRED NEW PENETRATIONS FOR ROUTING NEW SYSTEM
- 3. SEE SHEET A1.2 AND A1.3 FOR EXTENT OF DEMOLITION OF EXTERIOR WINDOWS, WALLS AND SIDING.
- 4. REMOVE ALL FINISHES, CEILING (ACT) & FLOORING (CPT & VINYL) EXCEPT IN RESTROOMS.
- 5. PATCH (N) ROOF OPENING TO MATCH (E).



SECTION
SCALE: 1/4" = 1'-0"



126 Seward St Juneau, AK 99801 Ph #907.586.6150 Fx #907.586.6181 www.northwindarch.com

© NorthWind Architects, LLC

◀──1" ACTUAL ──►

IF THE ABOVE DIMENSION DOES NOT MEASURE ONE INCH (1") EXACTLY, THIS DRAWING WILL HAVE BEEN ENLARGED OR REDUCED, AFFECTING ALL LABELED SCALES.

## HENDRICKSON RENOVATION UNIVERSITY OF ALASKA SOUTHEAST AUKE BAY CAMPUS Juneau, Alaska NWA-1404.2

SHEET TITLE:

SECTIONS

DATE:

REVISION:
CHECKED BY:

DRAWN:

SHEET#

A3.1 2014 5 7/16/2014

07/16/2014

### NORTH ELEVATION



### GENERAL NOTE

- VERIFY ALL EXISTING CONDITIONS IN THE FIELD PRIOR TO STARTING WORK. NOTIFY THE OWNER IMMEDIATELY OF DISCREPENCIES OR CONFLICTS PRIOR TO PROCEEDING.
- SEE MECHANICAL & ELECTRICAL DWGS FOR SCOPE OF DEMOLITION FOR THOSE TRADES. COORD REQUIRED NEW PENETRATIONS FOR ROUTING NEW SYSTEM.
- 3. SEE SHEET A1.2 AND A1.3 FOR EXTENT OF DEMOLITION OF EXTERIOR WINDOWS, WALLS AND SIDING.
- 4. REMOVE ALL FINISHES, CEILING (ACT) & FLOORING (CPT & VINYL) EXCEPT IN RESTROOMS.
- 5. PATCH (N) ROOF OPENING TO MATCH (E).



126 Seward St Juneau, AK 99801 Ph #907.586.6150 Fx #907.586.6181 www.northwindarch.com

© NorthWind Architects, LLC

IF THE ABOVE DIMENSION DOES NOT MEASURE ONE INCH (1") EXACTLY, THIS DRAWING WILL HAVE BEEN ENLARGED OR REDUCED, AFFECTING ALL LABELED SCALES.

### HENDRICKSON RENOVATION UNIVERSITY OF ALASKA SOUTHEAST AUKE BAY CAMPUS Juneau, Alaska NWA-1404.2

BUILDING

REVISION:

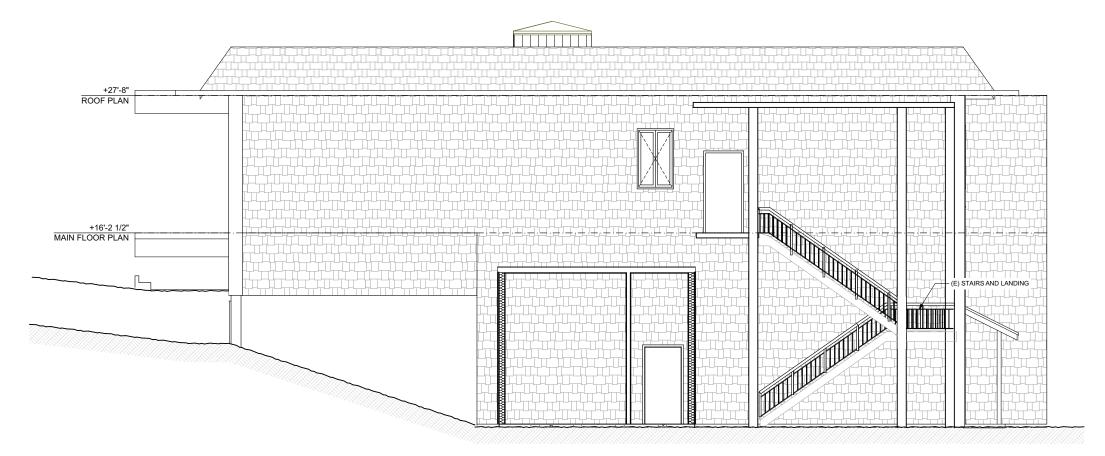
SHEET TITLE:

**ELEVATIONS** 

DATE: 07/16/2014

CHECKED BY: DRAWN:

SHEET#



#### **GENERAL NOTE**

- VERIFY ALL EXISTING CONDITIONS IN THE FIELD PRIOR TO STARTING WORK. NOTIFY THE OWNER IMMEDIATELY OF DISCREPENCIES OR CONFLICTS PRIOR TO PROCEEDING.
- SEE MECHANICAL & ELECTRICAL DWGS FOR SCOPE OF DEMOLITION FOR THOSE TRADES. COORD REQUIRED NEW PENETRATIONS FOR ROUTING NEW SYSTEM.
- 3. SEE SHEET A1.2 AND A1.3 FOR EXTENT OF DEMOLITION OF EXTERIOR WINDOWS, WALLS AND SIDING.
- 4. REMOVE ALL FINISHES, CEILING (ACT) & FLOORING (CPT & VINYL) EXCEPT IN RESTROOMS.
- 5. PATCH (N) ROOF OPENING TO MATCH (E).



126 Seward St Juneau, AK 99801 Ph #907.586.6150 Fx #907.586.6181 www.northwindarch.com

© NorthWind Architects, LLC

—1" ACTUAL —

IF THE ABOVE DIMENSION DOES NOT MEASURE ONE INCH (1") EXACTLY, THIS DRAWING WILL HAVE BEEN ENLARGED OR REDUCED, AFFECTING ALL LABELED SCALES.

EAST ELEVATION

ROOF PLAN

192-107

MAIN PLOOR PLAN

9,9FF MAIR COLUMN FF

SOUTH ELEVATION

GROUND FLOOR PLAN

SHEET TITLE:

BUILDING ELEVATIONS

HENDRICKSON RENOVATION

UNIVERSITY OF ALASKA SOUTHEAST AUKE BAY CAMPUS Juneau, Alaska NWA-1404.2

DATE: 07/16/2014

REVISION:

CHECKED BY: DRAWN:

SHEET#

A4.2 2011 TET 7/16/2014

0 2' 4' 8'

## Summary and Guidance for Evaluation of Proposed Policy Changes

## REGENTS' POLICY PART V – FINANCE AND BUSINESS MANAGEMENT Chapter 05.11 – Real Property

The policy changes are meant to address state statute changes and improve clarity:

Recommendations by the regents in attendance at the August 12, 2014 work session and comments received prior to that meeting have been incorporated into this version of the policy.

#### PO5.11.010. Purpose.

No changes proposed.

#### PO5.11.020. Definitions.

Lists key definitions governing how to collect data, spend money and think about facilities. Changes made ensure consistency of language and intent with regard to industry practice, university and system office academic and strategic planning, and external communications with OMB and the legislature.

- A. Development Plan
- B. Development Project
- C. Disposal Plan
- D. Educational Facilities
- E. Educational Property
- F. Investment Property
- G. Trust Land
- H. University Real

*Proposed wording change to definitions B, C, and G for added clarity.* 

#### PO5.11.030. Fiduciary Responsibility.

Designates the chief financial officer as the sole authority for delegation of approval authority to others.

*No changes proposed.* 

#### PO5.12.040. Classification of Real Property.

No changes proposed.

#### PO5.11.041. Plans and Reports for University Real Property.

*No changes proposed.* 

#### PO5.11.042. Development Plan and Disposal Plan Notice Requirements.

Conforms this section to be consistent with the Supreme Court ruling which overturned the detailed requirements of this section originally contained in

AS14.40.366. The only remaining requirement in law is that the university must provide public notice. Removing these details that are no longer required allows the administration to have a more responsive and cost effective public notice process as appropriate to each transaction.

## PO5.11.043. Offer of First Refusal to Nearest Municipality Pursuant to AS.14.40.366.

This whole provision can be removed as a result of the courts arguably striking down the related portions of AS14.40.366. (As per M. Hostina)

#### PO5.11.044. Fair Market Value and Other Considerations.

Proposed change clarifies that this analysis is the responsibility of the administration, not just the system office chief finance officer.

#### PO5.11.050. Real Property Acquisitions.

*No changes proposed, one sentence is rearranged for improved clarity.* 

#### PO5.11.060. Negotiation, Approval and Execution of Real Property Transactions.

*No changes proposed, statute reference added for clarity.* 

# REGENTS' POLICY PART V – FINANCE AND BUSINESS MANAGEMENT Chapter 05.11 – Real Property

#### P05.11.010. Purpose and Scope.

- A. This chapter establishes guidelines for the prudent management, including trust management, and use of all university real property.
- B. Except as provided in C. of this section, this policy applies to all real property owned by the university or in which the university has a substantial beneficial interest.
- C. This policy does not apply to university acquisitions of certain space leasehold interests that are administered in accordance with university regulation relating to procurement or to the development of educational facilities. Any third party use of such facilities is subject to this chapter.

(06-08-06)

#### **P05.11.020.** Definitions.

In this chapter, unless the context requires otherwise,

- A. "development plan" means a brief, general description of the major actions to be taken by or on behalf of the university as part of a development project, to include location, type of development, approximate acreage, and property map, and any amendments to the development plan.
- B. "development project" means a substantial, purposeful alteration of investment property. "Development project" includes activities occurring on investment property such as subdivision and related construction activities; commercial timber harvest, other than to clear the land or for personal use; materials extraction for commercial purposes; oil and gas leasing, exploration or development; mining leasing, exploration or development; or construction of significant structures, not including repairs, maintenance, expansion, or upgrade which does not materially change the essential character of the structure. "Development project" does not include; the grant of an easement or right-of-way or related construction activities; the development of educational facilities; activities to enhance the value of investment property where such activities are minor or incidental to the development of investment property, including such as but not limited to clearing land, investigating soils, incidental filling, drilling water wells, constructing driveways, installing utilities, landscaping, and or minor construction; and "Development project" also does not include environmental remediation or other actions that are specifically required by government agencies.
- C. "disposal plan" means a brief, general description of university real property including location, acreage, property map, minimum price and the terms and conditions of the disposal, if available, of the real property for which a sale, lease, exchange or transfer of interest, excluding easements and rights-of-way, is proposed by the university, which

- includes location, acreage, property map, minimum price, if appropriate, the terms and conditions of the disposal, and any amendments to the disposal plan.
- D. "educational facilities" means campus facilities, buildings, improvements, fixtures and major equipment items situated on educational property.
- E. "educational property" means all university real property designated for education, research, related support, or administrative purposes.
- F. "investment property" means all university real property not designated as educational property.
- G. "trust land" means all land and interests in land directly or indirectly acquired in connection with federal grants under the March 4, 1915 and January 29, 1929 Acts of Congress—and pursuant to AS 14.40.365, or otherwise received by or granted to the board for purposes of funding the land-grant endowment trust fund—established pursuant to the 1929 Act of Congress and AS 14.40.400. For purposes of this definition, "indirectly acquired" land and interests in land include replacement land acquired from the State of Alaska and land exchanged for trust land. The boardBoard of Regents, as a constitutionally created instrumentality of the State of Alaska, has been named by the legislature as trustee and holds such land in trust for the exclusive benefit of the university.
- H. "university real property" means all land and interests in land of any kind or nature, including all appurtenances, where title is held by either the board or the university, whether acquired through purchase, grant, gift, exchange, or other means.

(06-08-06)

#### P05.11.030. Fiduciary Responsibility.

- A. The board affirms its fiduciary responsibility to prudently manage all university real property for the exclusive benefit of the university, subject to restrictions imposed by law, conveyance documents or gift instruments.
- B. All trust land shall be managed in accordance with sound trust management principles, consistent with the specific fiduciary duties and legal obligations applicable to such land. The chief finance officer is charged with the responsibility of managing trust land in a manner consistent with the fiduciary duties and legal obligations of the board, and shall be directly accountable to the board for the management of such land.

(06-08-06)

#### P05.11.040. Classification of Real Property.

The chief finance officer shall designate which university real property parcels will be managed as investment property and which will be managed as educational property. Such designations will not preclude the compatible use of such university real property parcels for both educational and investment purposes. The president will resolve any disputes regarding classification of properties for investment or educational purposes.

(06-08-06)

#### P05.11.041. Plans and Reports for University Real Property.

The chief finance officerOffice of Land Management shall:

- A. develop, maintain, and periodically update a general strategic plan for the comprehensive management and development of investment property that includes a statement of the goals and objectives to be accomplished;
- B. prepare and publish proposed development plans that describe development projects being considered for university real property;
- C. prepare and publish proposed disposal plans that describe university real property parcels being considered for disposal by sale, lease, exchange or transfer of interest; and
- D. prepare an annual report for the board that contains a summary of the financial performance of the university's land management operations for the prior fiscal year including a summary of receipts, land sales and acquisitions, and land-grant endowment trust fund balances. The report shall distinguish between receipts from trust land and from all other university real property.

(06-08-06)

#### P05.11.042. Development Plan and Disposal Plan Notice Requirements.

The <u>chief finance officerOffice of Land Management</u> shall provide public notice and seek public comment prior to approval of development plans and disposal plans. Notice shall be provided not less than 30 days before the proposed action<u>and such notice shall be:</u>

A. sent to local legislators, municipalities, and legislative information offices in the vicinity of the action and at other locations as the chief finance officer may designate;

B. published in newspapers of general circulation in the vicinity of the proposed action at least once each week for two consecutive weeks; and

C. published on the State of Alaska Online Public Notice Internet Website and the University of Alaska Land Management Internet Website.

(06-08-06)

## **P05.11.043.** Offer of First Refusal to Nearest Municipality Pursuant to AS 14.40.366. (Deleted)

A. Before the university offers a parcel of land for sale that was acquired under AS 14.40.365, the chief finance officer will notify the closest municipality of the intention to sell the parcel of land and will, pursuant to AS 14.40.366, offer to such municipality the right to purchase the parcel of land on the terms and conditions applicable to the offering, for a period of 30 days.

B. As used in this section, "offers a parcel of land for sale" means the offer to sell the fee title interest in the surface estate of a parcel of land. It does not include the offer to lease or develop such land, or the sale, lease, or development of natural resources, including without limitation timber, sand, gravel or other materials, coal, ores, minerals, fissionable materials, geothermal resources, and fossils, oil and gas on or in such land. It also does not include the issuance of any permit, easement, license, contract, right of way, or other partial interest in the surface estate of such land.

(06 - 08 - 06)

#### P05.11.044. Fair Market Value and Other Considerations.

In exercising its fiduciary responsibility, the board must administer university real property for the exclusive benefit of the university. In the absence of conflict with the board's fiduciary duties and responsibilities, the <u>chief finance officeradministration</u> will consider the following principles in managing and developing university real property:

#### A. Fair Market Value.

Disposals of university real property interests shall be at not less than fair market value, and acquisitions of real property or interests shall be at not more than fair market value, unless a direct and substantial benefit to the university can be documented, such as when adjacent university property will become more accessible, marketable, or valuable due to increased availability of utilities or access, or when the transaction offers other tangible benefits to the university. Reasonable fees may be established for routine transactions such as permits and temporary uses of university real property.

#### B. Economic Feasibility.

Development projects shall not be undertaken unless the estimated return exceeds the estimated cost of development in an amount commensurate with the risk involved or the project will position the university to benefit from future opportunities.

#### C. Legally and Environmentally Sound Development.

University real property shall be developed consistent with local zoning and platting ordinances and in an environmentally responsible manner, consistent with applicable environmental laws and regulations, including those governing wetlands, water and wastewater, forests, wildlife and habitat, and the coastal zone.

#### D. Jobs for Alaskans.

To the extent economically feasible and prudent, development projects will provide an opportunity for the creation of jobs for Alaskans by encouraging development of in-state value-added industries.

#### E. Access Through University Real Property.

Subject to receipt of acceptable indemnification or tort immunity, the chief finance officer shall:

- 1. recognize, or provide alternative access for, RS 2477 rights-of-way and existing state-identified historic trails that cross university real property; and
- 2. consider the grant of access easements and rights-of-way at fair market value, including any diminution of value, provided such easements and rights-of-way do not interfere with the ability to develop or use such real property or other university real property.
- F. Reasonable Public Uses of University Real Property.

To the extent practicable, the university shall permit reasonable activities of the public on university real property that do not interfere with the university's use or the management of such real property.

G. Compatible Research and Educational Uses of Investment Property.

Investment property shall be made available to faculty and staff for research and educational purposes provided such use is compatible with development plans and disposal plans and approved by the chief finance officer. Academic units will be responsible for all costs and liabilities associated with such research/educational use.

(06-08-06)

#### P05.11.050. Real Property Acquisitions.

A. Campus Land Acquisitions.

In order to provide an adequate land base to support current and future campus programs, the chief finance officer shall pursue strategic land acquisitions that meet the goals of the university's educational mission. To facilitate such real property acquisitions, the chief finance officer shall consider relevant campus land acquisition plans, as approved by the board as part of campus master plans.

B. Federal and State Land Grants.

To rectify inequities in the land grants to the State of Alaska compared to other states for their universities, the The board will seek to acquire additional real property through state and federal grants in order to rectify inequities in the land grants to the State of Alaska compared to other states for their universities. When selecting new grant lands, the chief finance officer will attempt to acquire parcels where the selection:

- 1. is consistent with and enhances the goals of the university's educational mission;
- 2. is located near communities that have a need for expansion and economic development, provided that such selections do not conflict with selections under the Municipal Entitlements Act;

- 3. enhances or diversifies the university real property portfolio; and or
- 4. has potential for residential, recreational or commercial development, timber harvesting, materials extraction, oil and gas development or mineral development.
- C. Other Real Property Acquisitions.

The chief finance officer shall consider acquisitions or exchanges of property adjacent to existing university real property, when such property consolidates university real property holdings or enhances the access or development potential of other university real property. When economically feasible, and in the university's best interests, the chief finance officer may acquire or invest in real property that will enhance the university real property portfolio.

(06-08-06)

#### P05.11.060. Negotiation, Approval, and Execution of University Real Property Transactions.

All university real property transactions and agreements are subject to the following:

A. Only individuals authorized <u>under AS 36.30 to execute space leases or</u> in writing by the chief finance officer to negotiate real property transactions may do so on behalf of the university or the board. These real property transactions include, without limitation, any transaction involving lease, sale, cooperative development, right of occupancy, use, permit, license, or contract relating to any real property, or any other real property transaction whether or not similar to the foregoing. All other persons or university officials discussing prospective real property transactions with potential third parties must disclose that they do not have authorization to negotiate or commit the university or the board to any transactions, terms, conditions, or diminution of an interest in real property.

#### B. The board shall approve:

- 1. strategic plans for the management and development of Investment Property;
- 2. development plans that consist of:
  - a. subdivisions that will result in the development of 10 or more lots;
  - b. timber sales, unless the president determines the sale will have minimal impact;
  - c. material extractions that are anticipated to result in the sale of 100,000 cubic yards or more of material from a new source; or
  - d. oil and gas leases and mining leases encompassing 5,000 or more acres;
- 3. development projects that are expected to result in disbursements of \$1,000,000 or more in value;

- 4. real property transactions that have not been approved as part of a development plan and are expected to result in receipts or disbursements of \$1,000,000 or more in value; and
- 5. Real property transactions that require the subordination of an interest in university real property of \$1,000,000 or more in value.
- C. The chief finance officer or the officer's designee shall approve the following:
  - 1. disposal plans;
  - 2. development plans that do not require the approval of the board;
  - 3. development projects that are expected to result in disbursements of not more than \$1,000,000 in value;
  - 4. real property transactions that have been approved by the board as part of a development plan or are expected to result in receipts or disbursements of not more than \$1,000,000 in value;
  - 5. Real property transactions that require the subordination of an interest in university real property of not more than \$1,000,000 in value; and
  - 6. Project cost increases for development projects previously approved by the board, not to exceed 20 percent of the original project cost estimate.
- D. The chief finance officer is authorized to execute all properly approved real property transactions and may delegate signatory authority to other university officials, provided that any such delegation shall be in writing. Any further delegation must be approved, in writing, by the chief finance officer.

(06-08-06)

# REGENTS' POLICY PART V – FINANCE AND BUSINESS MANAGEMENT Chapter 05.11 – Real Property

#### P05.11.010. Purpose and Scope.

- A. This chapter establishes guidelines for the prudent management, including trust management, and use of all university real property.
- B. Except as provided in C. of this section, this policy applies to all real property owned by the university or in which the university has a substantial beneficial interest.
- C. This policy does not apply to university acquisitions of certain space leasehold interests that are administered in accordance with university regulation relating to procurement or to the development of educational facilities. Any third party use of such facilities is subject to this chapter.

(06-08-06)

#### **P05.11.020.** Definitions.

In this chapter, unless the context requires otherwise,

- A. "development plan" means a brief, general description of the major actions to be taken by or on behalf of the university as part of a development project, to include location, type of development, approximate acreage, and property map, and any amendments to the development plan.
- B. "development project" means a substantial, purposeful alteration of investment property. "Development project" includes activities occurring on investment property such as subdivision and related construction activities; commercial timber harvest, other than to clear the land or for personal use; materials extraction for commercial purposes; oil and gas leasing, exploration or development; mining leasing, exploration or development; or construction of significant structures, not including repairs, maintenance, expansion, or upgrade which does not materially change the essential character of the structure. "Development project" does not include; the grant of an easement or right-of-way or related construction activities; the development of educational facilities; activities to enhance the value of investment property where such activities are minor or incidental to the development of investment property such as clearing land, investigating soils, incidental filling, drilling water wells, constructing driveways, installing utilities, landscaping, or minor construction; and environmental remediation or other actions specifically required by government agencies.
- C. "disposal plan" means a brief, general description including location, acreage, property map, minimum price and the terms and conditions of the disposal, if available, of the real property for which a sale, lease, exchange or transfer of interest, excluding easements and rights-of-way, is proposed by the university.

- D. "educational facilities" means campus facilities, buildings, improvements, fixtures and major equipment items situated on educational property.
- E. "educational property" means all university real property designated for education, research, related support, or administrative purposes.
- F. "investment property" means all university real property not designated as educational property.
- G. "trust land" means all land and interests in land directly or indirectly acquired in connection with federal grants under the March 4, 1915 and January 29, 1929 Acts of Congress, or otherwise received by or granted to the board for purposes of funding the land-grant endowment established pursuant to the 1929 Act of Congress and AS 14.40.400. For purposes of this definition, "indirectly acquired" land and interests in land include replacement land acquired from the State of Alaska and land exchanged for trust land. The Board of Regents, as a constitutionally created instrumentality of the State of Alaska, has been named by the legislature as trustee and holds such land in trust for the exclusive benefit of the university.
- H. "university real property" means all land and interests in land of any kind or nature, including all appurtenances, where title is held by either the board or the university, whether acquired through purchase, grant, gift, exchange, or other means.

(06-08-06)

#### P05.11.030. Fiduciary Responsibility.

- A. The board affirms its fiduciary responsibility to prudently manage all university real property for the exclusive benefit of the university, subject to restrictions imposed by law, conveyance documents or gift instruments.
- B. All trust land shall be managed in accordance with sound trust management principles, consistent with the specific fiduciary duties and legal obligations applicable to such land. The chief finance officer is charged with the responsibility of managing trust land in a manner consistent with the fiduciary duties and legal obligations of the board, and shall be directly accountable to the board for the management of such land.

(06-08-06)

#### P05.11.040. Classification of Real Property.

The chief finance officer shall designate which university real property parcels will be managed as investment property and which will be managed as educational property. Such designations will not preclude the compatible use of such university real property parcels for both educational and investment purposes. The president will resolve any disputes regarding classification of properties for investment or educational purposes.

(06-08-06)

#### P05.11.041. Plans and Reports for University Real Property.

#### The Office of Land Management shall:

- A. develop, maintain, and periodically update a general strategic plan for the comprehensive management and development of investment property that includes a statement of the goals and objectives to be accomplished;
- B. prepare and publish proposed development plans that describe development projects being considered for university real property;
- C. prepare and publish proposed disposal plans that describe university real property parcels being considered for disposal by sale, lease, exchange or transfer of interest; and
- D. prepare an annual report for the board that contains a summary of the financial performance of the university's land management operations for the prior fiscal year including a summary of receipts, land sales and acquisitions, and land-grant endowment trust fund balances. The report shall distinguish between receipts from trust land and from all other university real property.

(06-08-06)

#### P05.11.042. Development Plan and Disposal Plan Notice Requirements.

The Office of Land Management shall provide public notice and seek public comment prior to approval of development plans and disposal plans. Notice shall be provided not less than 30 days before the proposed action.

(06-08-06)

#### **P05.11.043.** (Deleted)

#### P05.11.044. Fair Market Value and Other Considerations.

In exercising its fiduciary responsibility, the board must administer university real property for the exclusive benefit of the university. In the absence of conflict with the board's fiduciary duties and responsibilities, the administration will consider the following principles in managing and developing university real property:

#### A. Fair Market Value.

Disposals of university real property interests shall be at not less than fair market value, and acquisitions of real property or interests shall be at not more than fair market value, unless a direct and substantial benefit to the university can be documented, such as when adjacent university property will become more accessible, marketable, or valuable due to increased availability of utilities or access, or when the transaction offers other tangible benefits to the university. Reasonable fees may be established for routine transactions such as permits and temporary uses of university real property.

#### B. Economic Feasibility.

Development projects shall not be undertaken unless the estimated return exceeds the estimated cost of development in an amount commensurate with the risk involved or the project will position the university to benefit from future opportunities.

C. Legally and Environmentally Sound Development.

University real property shall be developed consistent with local zoning and platting ordinances and in an environmentally responsible manner, consistent with applicable environmental laws and regulations, including those governing wetlands, water and wastewater, forests, wildlife and habitat, and the coastal zone.

D. Jobs for Alaskans.

To the extent economically feasible and prudent, development projects will provide an opportunity for the creation of jobs for Alaskans by encouraging development of in-state value-added industries.

E. Access Through University Real Property.

Subject to receipt of acceptable indemnification or tort immunity, the chief finance officer shall:

- 1. recognize, or provide alternative access for, RS 2477 rights-of-way and existing state-identified historic trails that cross university real property; and
- 2. consider the grant of access easements and rights-of-way at fair market value, including any diminution of value, provided such easements and rights-of-way do not interfere with the ability to develop or use such real property or other university real property.
- F. Reasonable Public Uses of University Real Property.

To the extent practicable, the university shall permit reasonable activities of the public on university real property that do not interfere with the university's use or the management of such real property.

G. Compatible Research and Educational Uses of Investment Property.

Investment property shall be made available to faculty and staff for research and educational purposes provided such use is compatible with development plans and disposal plans and approved by the chief finance officer. Academic units will be responsible for all costs and liabilities associated with such research/educational use.

(06-08-06)

#### P05.11.050. Real Property Acquisitions.

A. Campus Land Acquisitions.

In order to provide an adequate land base to support current and future campus programs, the chief finance officer shall pursue strategic land acquisitions that meet the goals of the university's educational mission. To facilitate such real property acquisitions, the chief finance officer shall consider relevant campus land acquisition plans, as approved by the board as part of campus master plans.

#### B. Federal and State Land Grants.

The board will seek to acquire additional real property through state and federal grants in order to rectify inequities in the land grants to the State of Alaska compared to other states for their universities. When selecting new grant lands, the chief finance officer will attempt to acquire parcels where the selection:

- 1. is consistent with and enhances the goals of the university's educational mission;
- 2. is located near communities that have a need for expansion and economic development, provided that such selections do not conflict with selections under the Municipal Entitlements Act;
- 3. enhances or diversifies the university real property portfolio; or
- 4. has potential for residential, recreational or commercial development, timber harvesting, materials extraction, oil and gas development or mineral development.

#### C. Other Real Property Acquisitions.

The chief finance officer shall consider acquisitions or exchanges of property adjacent to existing university real property, when such property consolidates university real property holdings or enhances the access or development potential of other university real property. When economically feasible, and in the university's best interests, the chief finance officer may acquire or invest in real property that will enhance the university real property portfolio.

(06-08-06)

#### P05.11.060. Negotiation, Approval, and Execution of University Real Property Transactions.

All university real property transactions and agreements are subject to the following:

A. Only individuals authorized under AS 36.30 to execute space leases or in writing by the chief finance officer to negotiate real property transactions may do so on behalf of the university or the board. These real property transactions include, without limitation, any transaction involving lease, sale, cooperative development, right of occupancy, use, permit, license, or contract relating to any real property, or any other real property transaction whether or not similar to the foregoing. All other persons or university officials discussing prospective real property transactions with potential third parties must disclose that they do not have authorization to negotiate or commit the university or the board to any transactions, terms, conditions, or diminution of an interest in real property.

#### B. The board shall approve:

- 1. strategic plans for the management and development of Investment Property;
- 2. development plans that consist of:
  - a. subdivisions that will result in the development of 10 or more lots;
  - b. timber sales, unless the president determines the sale will have minimal impact;
  - c. material extractions that are anticipated to result in the sale of 100,000 cubic yards or more of material from a new source; or
  - d. oil and gas leases and mining leases encompassing 5,000 or more acres;
- 3. development projects that are expected to result in disbursements of \$1,000,000 or more in value;
- 4. real property transactions that have not been approved as part of a development plan and are expected to result in receipts or disbursements of \$1,000,000 or more in value; and
- 5. Real property transactions that require the subordination of an interest in university real property of \$1,000,000 or more in value.
- C. The chief finance officer or the officer's designee shall approve the following:
  - 1. disposal plans;
  - 2. development plans that do not require the approval of the board;
  - 3. development projects that are expected to result in disbursements of not more than \$1,000,000 in value;
  - 4. real property transactions that have been approved by the board as part of a development plan or are expected to result in receipts or disbursements of not more than \$1,000,000 in value;
  - 5. Real property transactions that require the subordination of an interest in university real property of not more than \$1,000,000 in value; and
  - 6. Project cost increases for development projects previously approved by the board, not to exceed 20 percent of the original project cost estimate.
- D. The chief finance officer is authorized to execute all properly approved real property transactions and may delegate signatory authority to other university officials, provided

that any such delegation shall be in writing. Any further delegation must be approved, in writing, by the chief finance officer.

(06-08-06)

#### ♦ UNIVERSITY OF ALASKA FACILITIES AND LAND MANAGEMENT ♦

♦ 1815 Bragaw Street, Suite 101, Anchorage, Alaska 99508-3438 ♦

♦ Phone: (907) 786-7766 ♦ Fax: (907) 786-7733 ♦

## BUNNELL PARK LOTS 5A & 6A DISPOSAL PLAN

# FAIRBANKS, ALASKA T1S, R2W, SEC. 12, FAIRBANKS MERIDIAN PLAT 84-179 FAIRBANKS RECORDING DISTRICT

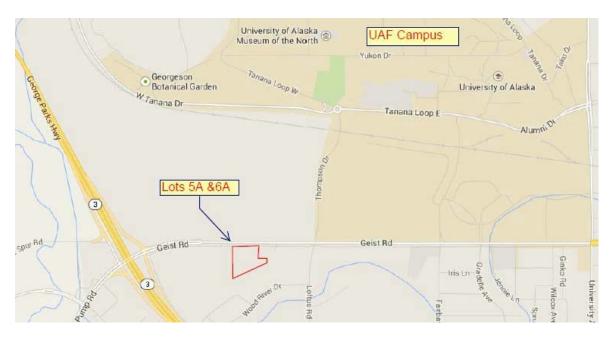
The University of Alaska has received an offer from a bona fide purchaser and has entered into negotiations for the sale of approximately 7.59 acres known as Lots 5A &6A the Bunnell Park subdivision located in Fairbanks. This property will be sold for Fair Market Value. The property is located at the south east corner of Geist Road and the platted Dennison Court. It is currently vacant undeveloped land.

This sale will be subject to University of Alaska Board of Regents approval. The sale will be closed in accordance with the "2014 Negotiated Commercial Property Sale Disposal Terms and Conditions", which is available online at www.ualand.com or upon written request at the address below.

Parties interested in commenting on the sale of Lots 5A & 6A must submit written comments to the University of Alaska, Facilities and Land Management office by fax at (907) 786-7733 or at the address above, by **no later than 5:00 P.M. on September 26, 2014** to be considered.

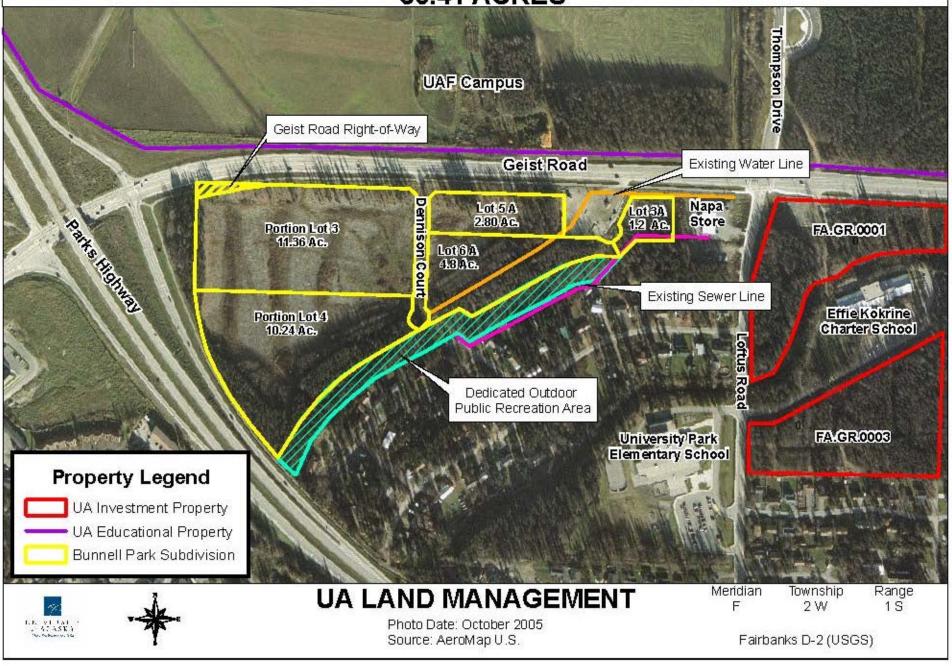
#### LEGAL DESCRIPTION AND PARCEL NUMBER

PARCEL#	<u>AREA</u>	<u>LOT</u> <u>BI</u>	LOCK ACRES	<b>PRICE</b>
FA.GR.0004	Fairbanks	Lot 5A & 6A	N/A 7.59	Fair Market Value



#### NEGOTIATED COMMERCIAL PROPERTY SALE

# BUNNELL PARK PARCELS 30.41 ACRES



## Memorandum

UNIVERSITY

of ALASKA

Many Traditions One Alaska

To:

Dr. Ashok<sub>1</sub>Roy

Thru:

Kit Duke

From:

Robert McMaster

Date:

8-26-2014

Re:

Purchase of 2.04 acres of land located a 1750 S. Bragaw in Anchorage, AK

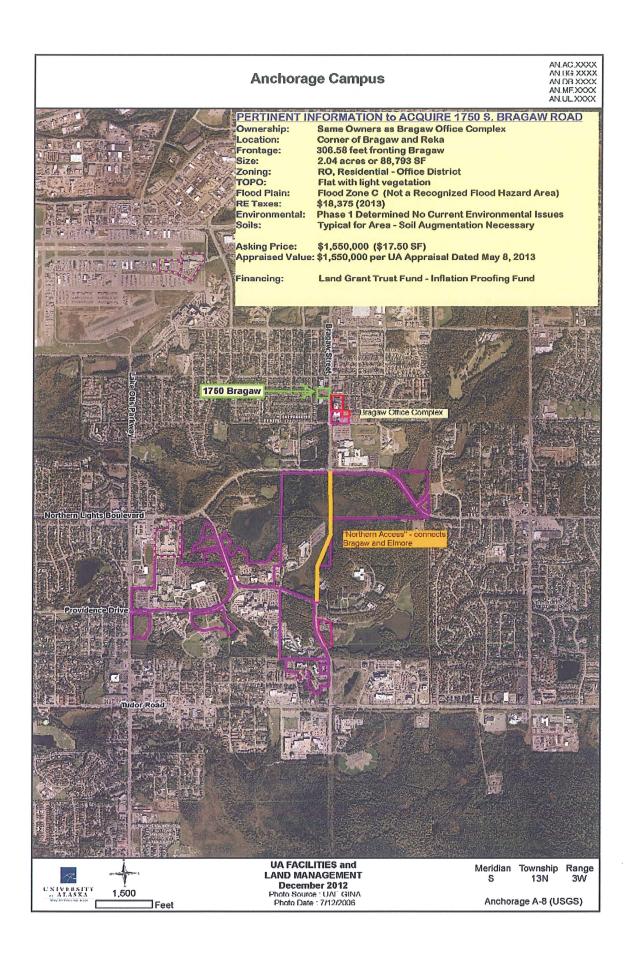
Statewide Facilities and Land Management ("FLM") recommends the University purchase approximately 2 acres ("1750 Bragaw") located at 1750 S. Bragaw. This property is located diagonally across the street from University's 1815 Bragaw building (see p. 3). As shown on page 2, this parcel has both practical and strategic value. The extension of Bragaw (Summer 2015/2016) through UAA campus to connect with Elmore creates a new "Northern Gateway" to UAA. It is in the University's best interest to have some control over the future of this Northern Gateway area. The University's initial strategic investment along the Northern Gateway was the purchase of the Bragaw Office Complex ("BOC") in June 2013.

The parcel is vacant, covered with light vegetation. FLM completed its due diligence on this parcel during the lead up to the purchase of BOC. The property was valued at \$1,550,000 in August 2013. The seller has agreed to sell the property to the University for \$1,500,000. FLM believes the property's highest and best use in near future would be for parking that would support either UAA's parking needs or an expansion of square footage at BOC. This additional parking would also be required in the future, if the University should ever wish to hold classes at BOC. The parcel could also be used for development of a future building for either educational or investment purposes. As a pure investment opportunity the land should appreciate significantly over the next to 5 to 10 years as traffic counts increase, due to the adjustment in traffic patterns from the extension of Bragaw to Elmore.

A brief, cursory review of the new Title 21 zoning regulations indicates that the property could accommodate between 175 and 200 parking spaces, depending upon design elements. Assuming 175 spaces, the University may be able to add up to 36,000 square feet to the BOC, using the 1750 Bragaw parcel. The example above is a rough estimate, but it demonstrates the property's practical value to the University.

Strategically, the parcels location along the Northern Gateway provides the University with the ability to influence how this important area is developed. The parcel could be developed into any number of uses, including but not limited to: office, retail, housing, hospitality (restaurant) or a multi-use complex. Future purchase consideration should be given to the two adjoining properties as well; the Chugachmiut Building to the south and Northstar Behavioral Health to the north.

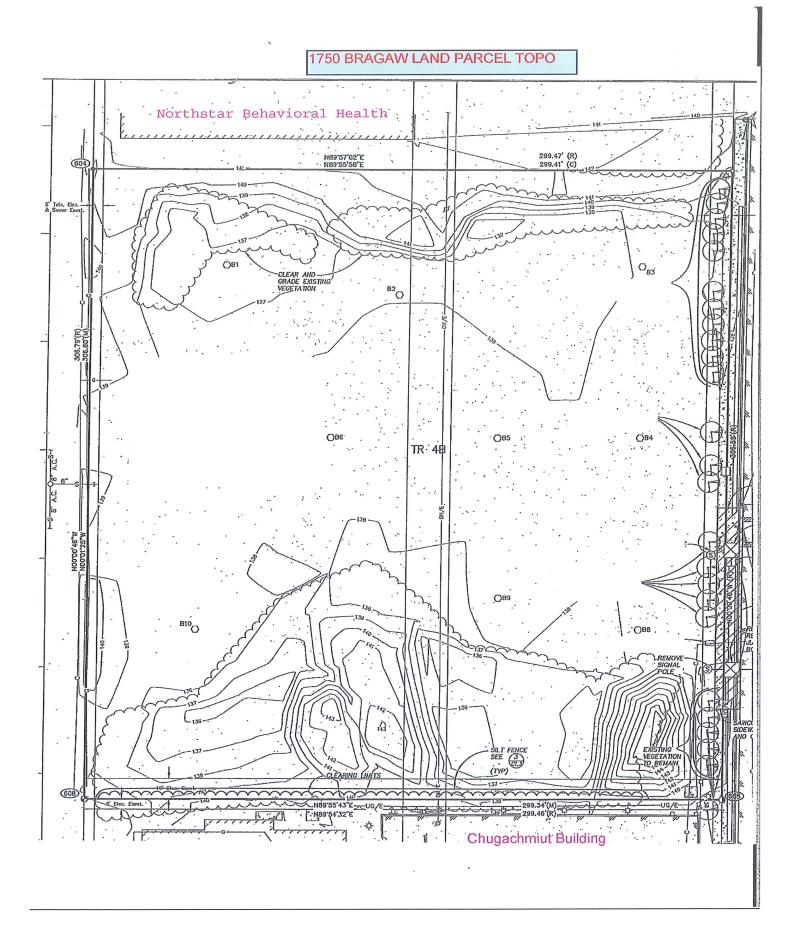
For the reasons mentioned above, Facilities and Land Management seeks commitment of appropriate funds from UA Finance and approval to execute a Purchase Agreement for the 1750 Bragaw property. The property will be purchased for \$1,500,000, contingent upon Board of Regents approval. The acquisition of this Investment Property would use funds from the Inflation Proofing Fund and be held as an Investment Property.







Page 4 of 5 239



Page 5 of 5 240



#### UAA Housing Acquisition & Debt Restructure August 18, 2014

#### Introduction:

The mission of University Housing (Housing) is to provide students with clean, comfortable, safe, and affordable housing that supports their academic success. Housing provides a living space that supports students' academic and personal growth and is fundamentally integrated into many aspects of Shaping Alaska's Future. In summer 2014, UAA's Prioritization Support Task Force determined Housing was a top-tier priority for the future of UAA; one of only 37 functions within UAA to be denoted as "Priority for Higher Investment."

UAA Housing is operated by Business Services, along with Dining and Conference Services (UHDCS). This model is different from the Fairbanks and Juneau campuses, where Student Affairs operates Housing along with the Residence Life program.

As an auxiliary operation of the university, Housing receives no General Funds to assist with expenses. Revenues from bed rates, meal plans, and summer conferencing pay all expenses.

The breakdown of bed space in Housing is as follows:

West, East, and North Halls 558 (186 each)

Main Apartment Complex (MAC) 308 (14 designated for the WWAMI program)

Templewood Condominiums 80

Built in the mid-1980s, both the Main Apartment Complex (MAC), and the Templewood condominiums, have no current debt. The Commons and the three residence halls were built in 1997 using a \$30M low interest loan from the Alaska Housing and Finance Corporation ("AHFC"). UAA Housing began repaying its original \$30M debt to AHFC in August 1999. Housing has paid off over \$17M of loan principal, during the last 14 years.

#### **Growth Opportunity:**



A high-end condominium complex containing 4 units ("Condos") located on property (see red outline) adjacent to the UAA's main Anchorage campus has become available for purchase. These Condos could be used to house faculty members, administrators, graduate students, visiting scholars, or researchers. The Condos acquisition price would be \$1.6M, which is approximately \$28,000 below their combined appraised value. It is a rarity to be able to purchase all of the units in condominium complex at one time, particularly one of only two complexes that are strategically

located along one of the main gateways to UAA. The purchase of these units provides Housing with additional units to meet the unmet demand for graduate, faculty, and scholar housing; additional revenue; and would allow UAA to positively impact one of its gateway entrances, by maintaining the upkeep of the Condos in the coming years and not allow them to slip into disrepair.

#### **Funding Option:**

At an informal meeting with AHFC, UA Facilities and Land Management, and Housing on June 27, 2014, AHFC loan officers described an opportunity for Housing to restructure its current AHFC debt, whereby UAA would receive additional loan proceeds to provide four high-end units, improve operations and protect existing assets. The crucial element to this financing option is AHFC's inclination to leave the current annual debt service payment unchanged. Most loans have four interconnected components; Loan Amount, Amortization Schedule, Debt Service Amount, and Interest Rate. A change to any of these components will cause the other components to adjust per a precise mathematical formula. A lender can choose to hold any of the components constant, which will cause the other components to change accordingly. AHFC proposed a restructure that would keep the current annual debt service the same, but would increase the loan amount, lengthen the amortization period of the loan and adjust the interest rate to a "blended" rate. The blended interest rate would maintain the existing low interest rate on the remaining \$13M of the original principal, but any borrowed "new" money would be priced by AHFC (interest rate %) at the current market.

#### Short-Term and Long-Term Benefits of Restructuring AHFC Debt:

AHFC has expressed a willingness to provide more than the \$1.65M needed to purchase the Condos. Indeed, an increase of up to \$7M in additional loan proceeds for UAA housing needs was favorably discussed during the June meeting. For all intents and purposes, this restructure would provide Housing with up to \$7M, without the additional burdens (increased debt service payments) that a traditional University financing solution (working capital loan or bond issue) would impose.

If UAA restructured its AHFC loan for the full \$7M offered, it would use \$1.65M for the purchase of the Condos and use the remaining \$5.4M to address critical Housing reinvestment needs. This would breathe new life into the residence halls, lower operating expenses, increase revenue and grow Housing's capital reinvestment reserves.

Despite sound structural integrity, most areas of the residential buildings have not been renewed or renovated in almost 20 years. Interior spaces throughout the 100 apartments on campus are degraded and in most cases the carpet, interior and exterior painting, lighting, and appliances are older than the residents themselves. Throughout the residential zone of the UAA campus, lighting fixtures are the original, low-efficiency fluorescent style with high annual costs and short bulb lifespan.

#### <u>Potential facilities upgrades include:</u>

- energy efficient lighting & switches
- apartment kitchen and bathroom remodeling
- interior and exterior painting
- new furnishings
- residence hall lobby and lounge remodeling

The operational benefits that would result from a refurbishment program include but are not limited to:

- M&R costs would decrease an estimated 15 percent (about \$75,000 per year), given reduced demand for contracted repairs of deteriorating carpet, lighting, bathrooms, and kitchens;
- Electric utilities costs would decrease an estimated 20 percent (about \$80,000), by upgrading to LED lighting and automated switches throughout the residential zone;
- Significant renovations would allow UAA to offer better accommodations to students, raise room rates slightly (5%) and continue to be a great value for students. The Office of Financial Assistance concludes that UAA Housing offers students room and board for 14.8% less than comparable off-campus accommodations. The increased room rates could generate an increase of as much as a \$500,000 annually.
- Improved cash flow will allow UAA Housing to double its annual R&R savings (\$157,000 in FY 12, FY13, and FY14; to \$300,000 annually)
- Housing maintenance and operations staff can focus on preventative maintenance rather than the backlog of deferred maintenance issues they now face.

The projections above plus the approximately \$100,000 in cash flow from the newly acquired Condos would result in an increased bottom line of approximately \$900,000, thus tripling Housing's annual uncommitted revenue. This can all be accomplished through an AHFC loan restructure that results in low transaction costs, quick closing date, minimum University staff time to originate loan, no increase in Housing's debt service obligation, and little or no Negative Arbitrage as the refurbishments would happen over a 3 – 4 year time frame, delaying the drawn down of the loan and

thus the interest carry cost.

Negative Arbitrage is the loss of interest caused by having to draw the full amount of a bond financing and then redeposit the bond funds in a safe interest bearing account, until they are required at a later date. Because borrowing rates are typically higher than deposit rates, the deposited funds earn a negative spread, which is the difference in interest earned on the deposited funds versus the interest paid on the borrowed money.



#### **AHFC Loan Modifications**

Current Loan Conditions	Actual	Modified Loan Conditions	Estimated
Principal Amount:	\$13 million	Principal Amount:	\$20million
Amortization Schedule:	25 years	Amortization Schedule:	32
Interest Rate:	1.826%	Blended Interest Rate:	2.5% - 3.5%
Annual Debt Service:	\$1.5 million	Annual Debt Service:	\$1.5 million
Loan Payoff Date	2024	Loan Payoff Date	2031

<del>243</del>

#### **Action Needed**

UAA is requesting Board of Regent Authorization for the following:

- 1. Authorization to purchase the 4 Condos located at 2831 UAA Drive, Units A D for \$1.6M
- 2. Authorization for the Administration to fund the initial Condo closing with a Working Capital Loan of up to \$1.65M
- 3. Authorization to borrow an additional \$1.65M from the Alaska Housing and Finance Corporation ("AHFC") for the specific use of paying back the Working Capital Loan and placing a AHFC mortgage on the Condo property
- 4. Authorization to borrow an additional \$5.4M from AHFC for the specific use of refurbishing existing UAA housing stock. (AHFC mortgage already in place)

Revenues	FY15 Board Approved Budget
UA SW Institutional Support  UA Statewide Institutional Support  Subtotal - SW Institutional Support	\$600,000 <b>\$600,000</b>
Unrestricted Endowment Distribution Spending Distribution from Unrestricted Quasi Endowment Subtotal - Unrestricted Endowment Distributions	\$43,353 <b>\$43,353</b>
Annual Endowment Administrative Fee Annual Endowment Administrative Fee (1%) Annual Endowment Administrative Fee - LGTF (.50%) Subtotal - Annual Endowment Administrative Fee	\$1,645,186 \$707,674 <b>\$2,352,860</b>
Administrative Fee on Gifts Administrative Fee on Gifts (1%) Subtotal - Administrative Fee on Gifts	\$175,000 <b>\$175,000</b>
Transfer from Unrestricted Fund Balance Transfer from Unrestricted Fund Balance Subtotal - Transfer from Unrestricted Fund Balance	\$100,000 <b>\$100,000</b>
Total All Revenue	\$3,271,213

Page 1 of 4 24

Expenses	FY15 Board Approved Budget
Personnel	
Foundation Administration/Operations President (30%) Executive Director Board Coordinator/Executive Support Scholarship Coordinator Administrative Support	\$79,489 \$156,783 \$105,985 \$81,825 \$61,338
Temporary Clerical Subtotal	\$18,821 <b>\$504,241</b>
Advancement Services Director Advancement Services Systems & Applications Administrator Temp Data Research Assistant Senior Data Analyst Data Analyst Consultant Data Analyst (2) Gift Processor (2) Gift/Fund Manager (2) Temp Data Assistant Subtotal  Finance and Accounting Accounting Manager Accounting Manager Accounting Specialist Chief Investment Officer (75%) Fiscal Technician Subtotal	\$138,397 \$117,121 \$61,947 \$85,136 \$0 \$150,268 \$127,609 \$154,682 \$23,533 <b>\$858,693</b> \$141,479 \$189,959 \$125,516 \$132,098 \$71,875 <b>\$660,927</b>
Development	¢04 205
Prospect Research & Management Director Prospect Research & Management Analyst Director of Planned Giving Gift Planning Manager Subtotal	\$91,285 \$67,109 \$141,450 \$46,159 <b>\$346,003</b>
Subtotal - Personnel	\$2,369,864

Page 2 of 4

Expenses	FY15 Board Approved Budget
Non-Personnel	- Lugot
Foundation Administration/Operations	
Board Expense	\$17,100
Consultants	\$3,000
Fixtures, Furnishings & Equipment	\$5,000
Investment Committee Expense	\$12,500
Insurance	\$8,000
Meetings - Foundation Board & Committees	\$19,500
Meetings - University Related	\$15,000
Office Expense	\$25,000
Rent Training & Stoff Davidonment	\$81,744 \$15,000
Training & Staff Development  Subtotal	\$15,000 <b>\$201,844</b>
Subtotal	φ201,044
Advancement Services	
Cash Management Support UAS	\$10,000
Computers & Equipment	\$10,000
Consultants	\$10,000
Database Expenses	\$77,000
Data Enhancement	\$7,000
Furniture	\$2,000
Office Expenses	\$12,000
Travel and Training	\$7,000
Subtotal	\$135,000
Finance and Accounting Expenses	
Audit	\$34,500
Consultants	\$8,000
Manager Site Visits	\$12,000
Meetings - Foundation Board & Committees	\$11,400
Office Expense	\$10,600
Training & Staff Development	\$9,000
Subtotal	\$85,500
Development	
Gift Planning Expenses	\$55,000
Marketing & Communications	\$25,000
Meetings - Donor	\$10,000
Prospect Research & Tracking	\$32,655
Stewardship	\$17,400
Web/Social Media	\$45,000
Subtotal	\$185,055
Subtotal Non-Personnel	\$607,399
Subtotal - Operating Expenses	\$2,977,263

Page 3 of 4 24

Expenses	FY15 Board Approved Budget
Special Expenditures	
Foundation Strategic Planning	\$35,000
Special Projects to Support Development	\$100,000
Faculty Travel to Support 'Shaping Alaska's Future'	\$57,950
Subtotal - Special Expenditures	\$192,950
Program	
Community Campus Development Grants	\$100,000
President's Discretionary Fund	\$1,000
Subtotal - Program	\$101,000
Total All Expenses	\$3,271,213
Total Revenue	\$3,271,213
Total Expenses	\$3,271,213
Anticipated Transfer to Fund Balance	\$0

Page 4 of 4 24

#### CURRENT LANGUAGE with TRACK CHANGES for PROPOSED CHANGES

#### P02.02.017. Chief Academic Officers.

- A. There is created the position of chief academic officer, who will be appointed by and report to the president. The position will oversee the university system's educational, research, and public service programs, and be assisted by the MAU chief academic officers and research leads of the three universities. The chief academic officer shall assist the president in:
  - 1. articulating the overall academic mission internally and externally;
  - 2. assigning the scope and responsibility for implementation of the mission;
  - 3. the administration and supervision of overall planning for instructional, research and creative activity, and public service programs;
  - 4. academic development and program review;
  - 5. advising the board on the status of current academic, research, public service, and student service programs, and the need for the addition or deletion of programs, and related facilities, funding, and equipment;
  - 6. facilitating student access to courses, programs, and academic support and student support services;
  - 7. the review, revision, and administration of faculty personnel human resource policies and procedures; and
  - 8. consulting with systemwide governance and UA General Counsel on matters of academic policy and university regulation;
  - 9. diversifying and expanding external funding, the development of intellectual property, and the engagement of undergraduate and graduate students in research; and
  - 10. consulting with the Chief Finance Officer, the Chief Information Technology Officer, and the Vice President for University Relations on issues related to academics, student services, public service, and research.
- B. As chief executive officer of an MAU university, the chancellor will appoint a chief academic officer for the MAU university, following consultation with the president and the board in accordance with the board bylaws on university personnel. This officer will be responsible for supervision and implementation of the academic programs of the MAU university.
- C. The MAU university chief academic officers will also be responsible for advising the chancellors and the university system chief academic officer on the needs and condition of the academic programs of the MAUs universities as well as the need for the addition or deletion of programs in an MAU university service area.

(06-06-07)

#### PROPOSED FINAL LANGUAGE

#### P02.02.017. Chief Academic Officers.

- A. There is created the position of chief academic officer, who will be appointed by and report to the president. The position will oversee the university system's educational, research, and public service programs, and be assisted by the chief academic officers and research leads of the three universities. The chief academic officer shall assist the president in:
  - 1. articulating the overall academic mission internally and externally;
  - 2. assigning the scope and responsibility for implementation of the mission;
  - 3. the administration and supervision of overall planning for instructional, research and creative activity, and public service programs;
  - 4. academic development and program review;
  - 5. advising the board on the status of current academic, research, public service, and student service programs, the need for the addition or deletion of programs, and related facilities, funding, and equipment;
  - 6. facilitating student access to courses, programs, academic support and student services;
  - 7. the review, revision, and administration of faculty human resource policies and procedures;
  - 8. consulting with systemwide governance and UA General Counsel on matters of academic policy and university regulation;
  - 9. diversifying and expanding external funding, the development of intellectual property, and the engagement of undergraduate and graduate students in research; and
  - 10. consulting with the Chief Finance Officer, the Chief Information Technology Officer, and the Vice President for University Relations on issues related to academics, student services, public service, and research.
- B. As chief executive officer of a university, the chancellor will appoint a chief academic officer for the university, following consultation with the president and the board in accordance with the board bylaws on university personnel. This officer will be responsible for supervision and implementation of the academic programs of the university.
- C. The university chief academic officers will also be responsible for advising the chancellors and the chief academic officer on the needs and condition of the academic programs of the universities as well as the need for the addition or deletion of programs in university service area.

(XX-XX-XX)

#### CURRENT LANGUAGE with TRACK CHANGES for PROPOSED CHANGES

#### **P04.04.022**. **Application**.

This chapter and the university regulation promulgated under it shall apply to the university system and are designed and intended for use with appropriate policies and procedures developed for each university and community college, which the board will also approve. These policies and procedures may differ from each other in their provisions, but no provision of regents' policy and university regulation may supersede the application of the this chapter be contrary to or in consistent with regents' policy, including Chapter 04.04, or university regulation.

(07-01-89)

#### PROPOSED FINAL LANGUAGE

#### **P04.04.022**. Application.

This chapter and the university regulation promulgated under it shall apply to the university system and are designed and intended for use with appropriate policies and procedures developed for each university and community college. These policies and procedures may differ from each other in their provisions, but no provision may be contrary to or in consistent with regents' policy, including Chapter 04.04, or university regulation.

#### CURRENT LANGUAGE with TRACK CHANGES for PROPOSED CHANGES

#### **P04.04.030.** Definitions.

In this chapter, unless otherwise specified or the context requires otherwise,

- A. "academic ranks" means the ranks held by persons having the title of professor, associate professor, and assistant professor; which titles denote academic rank exclusively; the title of instructor may also be a title of academic rank at the discretion of the policies and procedures approved for each university; subject to the provisions of E. of this section;
- B. "faculty" means those persons who have accepted and hold appointment to academic rank or special academic rank;
- C. "non-tenure track position" means a position that does not provide a faculty member any rights to consideration for appointment to tenure;
- D. "policies and procedures approved for each university" means those policies and procedures designed by each university for its own use and approved by the board;
- E. "special academic ranks" means those ranks held by persons having a title or a qualification to a title specified in this paragraph; these titles denote special academic rank exclusively:
  - 1. "adjunct" means a person employed to teach one or more courses up to 15 credit hours per year, or other academic assignment at less than 50 percent of a full-time appointment;
  - 2. "affiliate" means a person in voluntary faculty service, not employed by the university;
  - 3. "clinical" means a person in a special category reserved for practitioners in the health care delivery professions;
  - 4. "instructional" means a person employed to teach and perform other faculty functions as assigned;
  - 45. "instructor" means a faculty member employed to teach and perform other faculty functions as assigned;
  - 56. "lecturer" is a person employed to teach full- or part-time;
  - 67. "Rresearch" means a person in a position supported primarily by grant funding;
  - 78. "visiting" means a person :-employed to perform the faculty functions expected of academic rank for a specific period;

- 9. "collaborating" means a faculty member employed by one unit of the university in voluntary faculty service with another unit.
- 10. "joint" means a faculty member employed by two or more units of the university.
- F. "tenure" means the status of holding a faculty appointment on a continuing basis following evaluation and award according to the terms of P04.04.040.B;
- G. "tenure track position" means a position that may lead to consideration for appointment to tenure as described in the policies and procedures approved for each university; a tenure track position will require the performance of faculty function at least 50% of full-time; for exceptional cases, and when in the judgment of the chancellor the best interests of the university will be served, a faculty member may be appointed to a tenure track position at less than 100% but more than 50% of a full-time appointment;
- H. "university" means any one of the three universities within the University of Alaska.

Cross-reference: For other definitions applicable to this chapter, see P04.04.040.

(07-01-89)

#### PROPOSED FINAL LANGUAGE

#### **P04.04.030.** Definitions.

In this chapter, unless otherwise specified or the context requires otherwise,

- A. "academic ranks" means the ranks held by persons having the title of professor, associate professor, and assistant professor; which titles denote academic rank exclusively; the title of instructor may also be a title of academic rank at the discretion of the policies and procedures approved for each university; subject to the provisions of E. of this section;
- B. "faculty" means those persons who have accepted and hold appointment to academic rank or special academic rank;
- C. "non-tenure track position" means a position that does not provide a faculty member any rights to consideration for appointment to tenure;
- D. "policies and procedures approved for each university" means those policies and procedures designed by each university for its own use;
- E. "special academic ranks" means those ranks held by persons having a title or a qualification to a title specified in this paragraph; these titles denote special academic rank exclusively:

- 1. "adjunct" means a person employed to teach one or more courses up to 15 credit hours per year, or other academic assignment at less than 50 percent of a full-time appointment;
- 2. "affiliate" means a person in voluntary faculty service, not employed by the university;
- 3. "clinical" means a person in a special category reserved for practitioners in the health care delivery professions;
- 4. "instructional" means a person employed to teach and perform other faculty functions as assigned;
- 5. "instructor" means a faculty member employed to teach and perform other faculty functions as assigned;
- 6. "lecturer" is a person employed to teach full- or part-time;
- 7. "research" means a person in a position supported primarily by grant funding;
- 8. "visiting" means a person employed to perform the faculty functions expected of academic rank for a specific period;
- 9. "collaborating" means a faculty member employed by one unit of the university in voluntary faculty service with another unit.
- 10. "joint" means a faculty member employed by two or more units of the university.
- F. "tenure" means the status of holding a faculty appointment on a continuing basis following evaluation and award according to the terms of P04.04.040.B;
- G. "tenure track position" means a position that may lead to consideration for appointment to tenure as described in the policies and procedures approved for each university; a tenure track position will require the performance of faculty function at least 50% of full-time; for exceptional cases, and when in the judgment of the chancellor the best interests of the university will be served, a faculty member may be appointed to a tenure track position at less than 100% but more than 50% of a full-time appointment;
- H. "university" means any one of the three universities within the University of Alaska.

Cross-reference: For other definitions applicable to this chapter, see P04.04.040.

# P04.04.040. Appointment Categories.

The following categories of appointment shall be used to fully specify the type of appointment and associated rights:

## A. Type of Position

- 1. Tenure track position. Faculty appointed to tenure track positions either hold tenure or may become eligible for consideration for appointment to tenure under the conditions stated in P04.04.045. Time spent in these positions shall be counted towards the maximum time by which a tenure track appointee must be considered for tenure for continuation of employment. Faculty appointed to tenure track positions shall have titles of academic rank.
- 2. Non-tenure track position. Faculty appointed to non-tenure track positions have no rights to consideration for appointment to tenure, nor does time spent in these positions count toward tenure, except as otherwise agreed to in writing between a faculty member and the hiring authority at the time of hire into a tenure track position. Faculty appointed to these positions shall have titles of special academic rank.

#### B. Tenure Status

A faculty member appointed to a tenure track position may receive tenure only under the conditions of P04.04.045 and 04.04.050.

# C. Faculty rank and title.

- 1. Academic rank. Titles of academic rank shall be the same throughout the university system with the exception of the use of the title "instructor" as set out in C.3. below. Titles designating academic rank exclusively are: assistant professor, associate professor, and professor.
- 2. Special academic rank. Titles of special academic rank shall be the same throughout the university system with the exception of the use of the title "instructor" as set out in C.3. below. Titles designating special academic rank exclusively are: lecturer and titles of academic rank preceded by the terms adjunct, affiliate, visiting, instructional, research, or clinical.
- 3. Instructor. The title "instructor" is to be used for those faculty employed to teach and perform other faculty functions as assigned. A university may, in accordance with the policies and procedures approved for that university, use the title of instructor as a title of academic rank or special academic rank, but not both.

# D. Continuing and fixed term appointments

- 1. Continuing appointment. A continuing appointment is one that is expected to continue unless a faculty member is terminated in accordance with P04.04.047. Continuing appointments shall be given with appointment to academic rank and tenure track positions, with or without tenure. A continuing appointment may be appropriate for an appointment to special academic rank. Continuing appointments may be made for up to three years in duration. Appointment may be renewed subject to limitations imposed by P04.04.045.
- 2. Fixed term appointment. A fixed term appointment is one that is expected to expire at the end of a specified period of up to three years unless renewed or terminated early in accordance with P04.04.047. Such appointments may not be made for periods longer than three years, but may be renewed. Fixed term appointments may be given to a faculty member appointed to special academic rank.
- 3. Terminal appointment. A terminal appointment is a non-tenure track fixed term appointment used when a decision has been made to terminate a faculty member at the end of the next appointment.

#### E. Appointments of distinction for faculty.

- 1. Distinguished Professors. Tenured appointment as distinguished professor may be made by the president, subject to a process of review and recommendation established by the chancellor of the MAU in which the faculty member holds tenure.
- 2. Distinguished Visiting Professors. Appointment as distinguished visiting professor shall be made by the chancellor, following consideration of recommendations of the faculty. Such appointment shall be reported to the president and shall be a non-tenure track appointment for a period of time not to exceed three years. These appointments are renewable indefinitely.
- 3. Professor Emeritus or Emerita. Appointment as professor emeritus or emerita is an honor conferred by the chancellor, following consideration of recommendations by the faculty, upon an outstanding retiree of the university as described in Policy and Regulation 04.04.070 Emeritus Status.

(04-14-05)

#### PROPOSED FINAL LANGUAGE

## P04.04.040. Appointment Categories.

The following categories of appointment shall be used to fully specify the type of appointment and associated rights:

## A. Type of Position

- 1. Tenure track position. Faculty appointed to tenure track positions either hold tenure or may become eligible for consideration for appointment to tenure under the conditions stated in P04.04.045. Time spent in these positions shall be counted towards the maximum time by which a tenure track appointee must be considered for tenure for continuation of employment. Faculty appointed to tenure track positions shall have titles of academic rank.
- 2. Non-tenure track position. Faculty appointed to non-tenure track positions have no rights to consideration for appointment to tenure, nor does time spent in these positions count toward tenure, except as otherwise agreed to in writing between a faculty member and the hiring authority at the time of hire into a tenure track position. Faculty appointed to these positions shall have titles of special academic rank.

#### B. Tenure Status

A faculty member appointed to a tenure track position may receive tenure only under the conditions of P04.04.045 and 04.04.050.

# C. Faculty rank and title.

- 1. Academic rank. Titles of academic rank shall be the same throughout the university system with the exception of the use of the title "instructor" as set out in C.3. below. Titles designating academic rank exclusively are: assistant professor, associate professor, and professor.
- 2. Special academic rank. Titles of special academic rank shall be the same throughout the university system with the exception of the use of the title "instructor" as set out in C.3. below. Titles designating special academic rank exclusively are: lecturer and titles of academic rank preceded by the terms adjunct, affiliate, visiting, instructional, research, or clinical.
- 3. Instructor. The title "instructor" is to be used for those faculty employed to teach and perform other faculty functions as assigned. A university may, in accordance with the policies and procedures approved for that university, use the title of instructor as a title of academic rank or special academic rank, but not both.

# D. Continuing and fixed term appointments

- 1. Continuing appointment. A continuing appointment is one that is expected to continue unless a faculty member is terminated in accordance with P04.04.047. Continuing appointments shall be given with appointment to academic rank and tenure track positions, with or without tenure. A continuing appointment may be appropriate for an appointment to special academic rank. Continuing appointments may be made for up to three years in duration. Appointment may be renewed subject to limitations imposed by P04.04.045.
- 2. Fixed term appointment. A fixed term appointment is one that is expected to expire at the end of a specified period of up to three years unless renewed or terminated early in accordance with P04.04.047. Such appointments may not be made for periods longer than three years, but may be renewed. Fixed term appointments may be given to a faculty member appointed to special academic rank.
- 3. Terminal appointment. A terminal appointment is a non-tenure track fixed term appointment used when a decision has been made to terminate a faculty member at the end of the next appointment.

#### E. Appointments of distinction for faculty.

- 1. Distinguished Professors. Tenured appointment as distinguished professor may be made by the president, subject to a process of review and recommendation established by the chancellor of the MAU in which the faculty member holds tenure.
- 2. Distinguished Visiting Professors. Appointment as distinguished visiting professor shall be made by the chancellor, following consideration of recommendations of the faculty. Such appointment shall be reported to the president and shall be a non-tenure track appointment for a period of time not to exceed three years. These appointments are renewable indefinitely.
- 3. Professor Emeritus or Emerita. Appointment as professor emeritus or emerita is an honor conferred by the chancellor, following consideration of recommendations by the faculty, upon an outstanding retiree of the university as described in Policy and Regulation 04.04.070 Emeritus Status.

#### P04.04.056. Evaluation of Faculty for Promotion.

- A. Evaluation of faculty for promotion shall be in accordance with this chapter and the policies and procedures approved for each university. Following the recommendations of the faculty, the chancellor may promote faculty for whom promotion would be consistent with institutional need and mission.
- B. Faculty are eligible to request consideration for promotion to the next highest rank in accordance with P04.04.050 and the policies and procedures approved for each university.
- C. Policies and procedures approved by the chancellor for each university shall delineate the exclusive process by which the applicant may seek reconsideration of a decision not to promote. The process shall allow the applicant to appeal to the president only for decisions regarding promotion to full professor and only in those instances in which the chancellor's action is inconsistent with the recommendations of the reconsideration review body appropriate for each institution.

(07-01-89)

#### PROPOSED FINAL LANGUAGE

# P04.04.056. Evaluation of Faculty for Promotion.

- A. Evaluation of faculty for promotion shall be in accordance with this chapter and the policies and procedures approved for each university. Following the recommendations of the faculty, the chancellor may promote faculty for whom promotion would be consistent with institutional need and mission.
- B. Faculty are eligible to request consideration for promotion to the next highest rank in accordance with P04.04.050 and the policies and procedures approved for each university.
- C. Policies and procedures approved by the chancellor for each university shall delineate the exclusive process by which the applicant may seek reconsideration of a decision not to promote. The process shall allow the applicant to appeal to the president only for decisions regarding promotion to full professor and only in those instances in which the chancellor's action is inconsistent with the recommendations of the reconsideration review body appropriate for each institution.

# P05.10.025. Resident Tuition Assessment.

For the purpose of tuition assessment under this chapter, a resident is a person who, at the end of the add/drop period for regular semester-length courses, is a United States citizen or eligible non-citizen that has been physically present in Alaska for two years and who declares the intention to remain in Alaska indefinitely. "Eligible non-citizen" shall have the same meaning as that term is used in determining eligibility for federal student financial aid. Physical presence will be determined by criteria established in university regulation. Alternatively, a person who received or has been qualified by the State of Alaska Permanent Fund Dividend Division to receive an Alaska Permanent Fund Dividend within the last 12 months, certifies they have been in Alaska for the past 12 months, and declares their intent to remain in Alaska indefinitely or meets other resident tuition eligibility requirements specified in Regents' Policy will be eligible for resident tuition assessment. The university chief enrollment officer or designee will apply these rules to the facts in individual cases.

- B. Notwithstanding the provisions of subsection A above, a student will be ineligible for resident tuition purposes unless exempted by Regents' Policy 05.10.050 if:
  - 1. during the two years of claimed residency, the student was absent from Alaska for an aggregate of more than 120 days other than documented absences due to illness, or attendance at another educational institution while maintaining Alaska residency;
  - 2. during the prior two years, the student did any act inconsistent with Alaska residency such as claiming residency in another state, voting as a resident of another state, or currently retaining a driver's license in another state;
  - 3. during the past two years, the student has registered as a resident in an educational institution in another state. If an institution does not distinguish between a resident and a non-resident, additional documentation will be required; or
  - 4. during the past two years, the student has paid tuition at the University of Alaska at the Western Undergraduate Exchange (WUE) program rate.
- C. Notwithstanding provisions of this chapter, the residency of a student who first registered at the university, or was recruited based upon and was promised application of a former policy which was then in effect prior to the effective date of the adoption of this policy, shall be determined under the Regents' Policy in effect at the time the student registered or received such promise from an authorized representative of the university, if that is to the student's benefit.

(06-19-08)

#### PROPOSED FINAL LANGUAGE

#### P05.10.025. Resident Tuition Assessment.

For the purpose of tuition assessment under this chapter, a resident is a person who, at the end of the add/drop period for regular semester-length courses, is a United States citizen or eligible non-citizen that has been physically present in Alaska for two years and who declares the intention to remain in Alaska indefinitely. "Eligible non-citizen" shall have the same meaning as that term is used in determining eligibility for federal student financial aid. Physical presence will be determined by criteria established in university regulation. Alternatively, a person who received or has been qualified by the State of Alaska Permanent Fund Dividend Division to receive an Alaska Permanent Fund Dividend within the last 12 months, certifies they have been in Alaska for the past 12 months, and declares their intent to remain in Alaska indefinitely or meets other resident tuition eligibility requirements specified in Regents' Policy will be eligible for resident tuition assessment. The university chief enrollment officer or designee will apply these rules to the facts in individual cases.

- B. Notwithstanding the provisions of subsection A above, a student will be ineligible for resident tuition purposes unless exempted by Regents' Policy 05.10.050 if:
  - 1. during the two years of claimed residency, the student was absent from Alaska for an aggregate of more than 120 days other than documented absences due to illness, or attendance at another educational institution while maintaining Alaska residency;
  - 2. during the prior two years, the student did any act inconsistent with Alaska residency such as claiming residency in another state, voting as a resident of another state, or currently retaining a driver's license in another state;
  - 3. during the past two years, the student has registered as a resident in an educational institution in another state. If an institution does not distinguish between a resident and a non-resident, additional documentation will be required; or
  - 4. during the past two years, the student has paid tuition at the University of Alaska at the Western Undergraduate Exchange (WUE) program rate.

#### P09.02.080. Final University Decision.

A final university decision is one for which there is no further review within the university. The university will inform the student in writing and in accordance with university regulation when a decision constitutes the university's final decision. Where applicable, the notification of final decision will also state that further redress of a final decision may be had only by filing an appeal with the Superior Court of Alaska in accordance with Alaska Appellate Rule 602(a)(2) within thirty (30) days from the date of the final decision.

(11-20-98)

#### PROPOSED FINAL LANGUAGE

#### P09.02.080. Final University Decision.

A final university decision is one for which there is no further review within the university. The university will inform the student in writing and in accordance with university regulation when a decision constitutes the university's final decision

#### P09.11.010. Immunizations and Tests for Communicable Diseases.

- A. The university will encourage its students to undertake immunization and testing for communicable diseases by making available on its campuses information regarding the benefits and risks of such immunization and testing, and where immunizations and testing are available.
- B. To be eligible for living in high density student residence facilities, all students and other persons born after 1956 must furnish 1) proof of immunization against or immunity for measles, mumps, rubella, diphtheria and tetanus in accordance with university regulation; and 2) evidence of the absence of tuberculosis. The chancellor will designate the facilities that are to be considered high density residences for the purposes of this subsection.
- C. Additional or expanded immunization and testing may be required when the president or designee determines the protection afforded to the health and safety of the university community so warrants.
- D. Pursuant to Sec. 14.48.165 of the Alaska statutes the university shall provide information about meningococcal disease and meningococcal vaccine to all students who intend to reside in campus housing and require that students sign a form indicating that they have either been vaccinated against meningococcal disease or have received information about it.
- DE. Students or other persons may be granted an exemption from one or more of the specified immunization requirements based on medical or religious reasons in accordance with university regulation. The chancellor may also grant general exemptions to classifications of occupants who will occupy student residence facilities less than a semester's duration. Those persons exempted from immunization or testing for a disease may be removed from student residence facilities should an outbreak of that disease occur or threaten to occur.
- EF. Nothing in this section is intended to impose liability upon the university for damages resulting from immunization or testing, or the lack of immunization or testing, of any student or other person, as required by this policy.
- G. Procedures for implementation and enforcement of P09.11.010, including grace periods, shall be established in the rules and procedures of each university.

(04-21-00)

#### PROPOSED FINAL LANGUAGE

P09.11.010. Immunizations and Tests for Communicable Diseases.

- A. The university will encourage its students to undertake immunization and testing for communicable diseases by making available on its campuses information regarding the benefits and risks of such immunization and testing, and where immunizations and testing are available.
- B. To be eligible for living in high density student residence facilities, all students and other persons born after 1956 must furnish 1) proof of immunization against or immunity for measles, mumps, rubella, diphtheria and tetanus in accordance with university regulation; and 2) evidence of the absence of tuberculosis. The chancellor will designate the facilities that are to be considered high density residences for the purposes of this subsection.
- C. Additional or expanded immunization and testing may be required when the president or designee determines the protection afforded to the health and safety of the university community so warrants.
- D. Pursuant to Sec. 14.48.165 of the Alaska statutes the university shall provide information about meningococcal disease and meningococcal vaccine to all students who intend to reside in campus housing and require that students sign a form indicating that they have either been vaccinated against meningococcal disease or have received information about it.
- E. Students or other persons may be granted an exemption from one or more of the specified immunization requirements based on medical or religious reasons in accordance with university regulation. The chancellor may also grant general exemptions to classifications of occupants who will occupy student residence facilities less than a semester's duration. Those persons exempted from immunization or testing for a disease may be removed from student residence facilities should an outbreak of that disease occur or threaten to occur.
- F. Nothing in this section is intended to impose liability upon the university for damages resulting from immunization or testing, or the lack of immunization or testing, of any student or other person, as required by this policy.
- G. Procedures for implementation and enforcement of P09.11.010, including grace periods, shall be established in the rules and procedures of each university.

#### PROPOSED DELETION of P09.12.010; LANGUAGE MOVED TO P05.10.070

#### P09.12.010. General Statement.

- A. The purpose of student activity fees is to contribute to a well-rounded student education for life by supporting student government, promoting educational, cultural, recreational and social activities.
- b. The board unqualifiedly reserves to the administration the right to assess, collect, disburse, and audit student activity fees from any and all students, whether or not there exists an officially recognized organization for student self-government.
- c. Once a student activity fee is established by the board, payment of the fee is mandatory. The appropriation, collection and disbursement of student activity fees shall be governed by such guidelines as developed by the respective student government organization and the appropriate chancellor or the chancellor's designees.

(04-23-99)

#### CURRENT LANGUAGE with TRACK CHANGES for PROPOSED CHANGES

#### P05.10.070. Student Fees.

- A. Student fees, including student government fees, shall be established and approved by the president. The president may authorize the chancellors or their designees to establish course, use, service, and administrative fees.
- B. In general, student fees should have a direct relationship to the associated service, activity, or course and be based upon the estimated cost of providing the services or benefit. These fees should not exceed, on a long-term basis, the actual cost of the service or activity for which the fee is assessed. Course fees and use and service fees shall be charged only for the purpose of meeting expenses beyond those normally covered by tuition at the respective campus. In certain instances, however, certain administrative fees may be established at amounts unrelated to the cost of providing the service in order to encourage or discourage specific behavior or usage, or to accomplish other administrative or programmatic objectives.
- C. The president shall promulgate university regulation or issue directives for establishing and approval of student fees, for the periodic or continuing review of such fees, and reporting to the board.
- D. The purpose of student activity fees is to contribute to a well-rounded student education for life by supporting student government, promoting educational, cultural, recreational and social activities.

- E. The board unqualifiedly reserves to the administration the right to assess, collect, disburse, and audit student activity fees from any and all students, whether or not there exists an officially recognized organization for student self-government.
- F. Once a student activity fee is established by the board, payment of the fee is mandatory. The appropriation, collection and disbursement of student activity fees shall be governed by such guidelines as developed by the respective student government organization and the appropriate chancellor or the chancellor's designees.

(06-08-01)

#### PROPOSED FINAL LANGUAGE

#### P05.10.070. Student Fees.

- A. Student fees, including student government fees, shall be established and approved by the president. The president may authorize the chancellors or their designees to establish course, use, service, and administrative fees.
- B. In general, student fees should have a direct relationship to the associated service, activity, or course and be based upon the estimated cost of providing the services or benefit. These fees should not exceed, on a long-term basis, the actual cost of the service or activity for which the fee is assessed. Course fees and use and service fees shall be charged only for the purpose of meeting expenses beyond those normally covered by tuition at the respective campus. In certain instances, however, certain administrative fees may be established at amounts unrelated to the cost of providing the service in order to encourage or discourage specific behavior or usage, or to accomplish other administrative or programmatic objectives.
- C. The president shall promulgate university regulation or issue directives for establishing and approval of student fees, for the periodic or continuing review of such fees, and reporting to the board.
- D. The purpose of student activity fees is to contribute to a well-rounded student education for life by supporting student government, promoting educational, cultural, recreational and social activities.
- E. The board unqualifiedly reserves to the administration the right to assess, collect, disburse, and audit student activity fees from any and all students, whether or not there exists an officially recognized organization for student self-government.
- F. Once a student activity fee is established, payment of the fee is mandatory. The appropriation, collection and disbursement of student activity fees shall be governed by

such guidelines as developed by the respective student government organization and the appropriate chancellor or the chancellor's designees.

# REGENTS' POLICY PART X – ACADEMIC POLICY

Chapter 10.09 – Endowed Chairs-Endowment for the Physical Sciences

P10.09.010. Establishment of the Sydney Chapman Chair in Physical Sciences at the University of Alaska Fairbanks.

- A. The board establishes the Sydney Chapman Chair in Physical Sciences at the University of Alaska Fairbanks, the support of which is governed by AS 14.40.282 and subsequent capital appropriations and private funds. This chair is established further to enhance the quality of teaching and research in areas of the physical sciences that are of special interest to the north. Appointments to this chair will honor and acknowledge the contributions of a-distinguished physical scientists.
- B. An aAppointments to the Sydney Chapman Chair will be a term appointments subject to regents' policy, university regulation, and rules and procedures governing faculty appointments at the University of Alaska Fairbanks. The appointments may be renewable.
- C. Appointees to the chair will be Ddistinguished physical scientists to be eligible to hold this chair will be characterized primarily by their ability to discover and publicize new knowledge,. Additionally, there must be a and who have demonstrated ability and willingness to share this knowledge with students and colleagues through teaching and seminars. Finally, an The appointees should also be able to stimulate students and colleagues to strive for excellence in their own scientific efforts.
- D. Verification of these characteristics will include acclaim by peers at the national and international level, professional awards and honors, editorial duties with prestigious scientific journals, and membership on important scientific committees. Both the quality and quantity of refereed publications will be considered in the selection.
- E. Appointments to the Sydney Chapman Chair will acknowledge the contributions of an outstanding resident professor or will be used to attract a distinguished physical scientist to the University of Alaska Fairbanks.
- EF. Appointments to this chair will be approved in advance by the board following nomination and screening procedures by the faculty of natural physical sciences.
- FG. The endowment principal and related income account are to continue to be managed and invested, in trust, by the University of Alaska Foundation in accordance with foundation policies and procedures. The foundation is requested:
  - 1. to set aside in an income account, all the spendable earnings, as defined by the Foundation's investment policy, on the endowment principal for expenditures in support of the chair as proposed by the chancellor and approved by the president; and

2. to report to the board the amount of the endowment principal and its projected earnings whenever the board is requested to make another appointment to the Sydney Chapman Chair.

(11-21-97)

#### PROPOSED FINAL LANGUAGE

# REGENTS' POLICY PART X – ACADEMIC POLICY

**Chapter 10.09 – Endowment for the Physical Sciences** 

P10.09.010. Establishment of the Sydney Chapman Chair in Physical Sciences at the University of Alaska Fairbanks.

- A. The board establishes the Sydney Chapman Chair in Physical Sciences at the University of Alaska Fairbanks, the support of which is governed by AS 14.40.282 and subsequent capital appropriations and private funds. This chair is established further to enhance the quality of teaching and research in areas of the physical sciences that are of special interest to the north. Appointments to this chair will honor and acknowledge the contributions of distinguished physical scientists.
- B. Appointments to the Sydney Chapman Chair will be term appointments subject to regents' policy, university regulation, and rules and procedures governing faculty appointments at the University of Alaska Fairbanks. The appointments may be renewable.
- C. Appointees to the chair will be distinguished physical scientists characterized primarily by their ability to discover and publicize new knowledge, and who have demonstrated ability and willingness to share this knowledge with students and colleagues through teaching and seminars. The appointees should also be able to stimulate students and colleagues to strive for excellence in their own scientific efforts.
- D. Verification of these characteristics will include acclaim by peers at the national and international level, professional awards and honors, editorial duties with prestigious scientific journals, and membership on important scientific committees. Both the quality and quantity of refereed publications will be considered in the selection.
- E. Appointments to this chair will be approved in advance by the board following nomination and screening procedures by the faculty of physical sciences.

- F. The endowment principal and related income account are to be managed and invested, in trust, by the University of Alaska Foundation in accordance with foundation policies and procedures. The foundation is requested:
  - 1. to set aside in an income account, all the spendable earnings, as defined by the Foundation's investment policy, on the endowment principal for expenditures in support of the chair as proposed by the chancellor and approved by the president; and
  - 2. to report to the board the amount of the endowment principal and its projected earnings whenever the board is requested to make another appointment to the Sydney Chapman Chair.



# UNIVERSITY of ALASKA

Many Traditions One Alaska

**Teacher Education Plan for Alaska** 

High-quality teachers in our classrooms are essential to Alaska's continuing prosperity. However, a clear roadmap for getting the teachers that we need into the classrooms where they are most needed is not available. Many groups have proposed simple and seemingly straightforward solutions for the very complex issues surrounding teacher education. The problem with simple straightforward solutions is that they are often wrong and occasionally disastrous. Solutions must be tailored to the unique needs and contexts of situation.

In line with Shaping Alaska's Future themes and in order to help meet Alaska's unique needs, the Deans of the Schools and College or Education at the University of Alaska have worked with their faculties to develop a plan that will meet some of Alaska's most pressing needs while improving the quality of Alaska teacher education. As is true with all documents, the plan is imperfect and will almost certainly need to be revised as we go forward. We envision the plan as a living document that will change and expand to meet needs identified by the rigorous external evaluation that the plan calls for, as well as priorities and needs identified by the UA Teacher Education Consortium (UATEC) and other entities.

The plan presented on the following pages consists of four broad goals:

- 1) A stable high-quality teaching faculty for Alaska's schools;
- 2) UA-wide collaboration in modeling student-centered learning;
- 3) Selectivity and rigor in Alaska teacher education;
- 4) Continuing alignment with Shaping Alaska's Future themes and effects.

Each goal is followed by a more specific initiative, a proposed timeline, a designation of responsibility, and identification of the resources that will be needed as an alignment with the Shaping Alaska's Future initiative. The plan is ambitious and will tax already thin resources. However, if we truly want to make a positive impact on Alaska's future, our resources can be no better spent than on helping to ensure high quality classrooms for our children.

# University of Alaska Plan for Revitalization of Teacher Education in Alaska

# **GOALS:**

- 1) A stable high-quality teaching faculty for Alaska's schools
- 2) UA-wide collaboration in modeling student-centered learning
- 3) Selectivity and rigor in Alaska teacher education
- 4) Continuing alignment with Shaping Alaska's Future themes and effects

	Goal	Initiative	Phased Plan	Timeline	Persons Involved (Responsible)	Resources Needed & Source	Shaping Alaska's Future
Stable High Quality Teaching Faculty for Alaska Schools	quality teachers in Alaska's rural	UA Teacher Education programs will initiate a Para-professional teacher education program with an emphasis on preparing teachers for rural and remote school districts in Alaska.	UA teacher education faculty and deans will develop a program plan & shepherd it through the approval processes on campus and at the State level.	Planning meetings Summer and Fall of 2014.  Approval processes Spring 2015.  Implementation Fall of 2015.	SOE and COE faculty and staff.  Faculty Senate(s) Board of Regents State Board of Education	Faculty and Dean Travel Statewide and SOE and COE budgets	Theme 1: Student Achievement and Attainment
	districts.  Involve rural community partners in		A fair and equitable financial support program emphasizing shared responsibility will be developed.	May 2015	Vice President for Academics Dana Thomas	To be determined	Theme 1: Student Achievement and Attainment
			Explore collaboration with Alaska's Regional Learning Centers and Native corporations for program support and efficiencies.	SOE and COE Deans meet with Jerry Covey and others in the Fall and Spring of 2014/15.	SOE and COE Deans Jerry Covey Others as needed.	Travel for face-to-face meetings at Regional Learning Centers	Theme 5: Accountability to the People of Alaska
	political leaders and Educational Advocacy groups through UA Teacher		District Superintendents will:	June 2015 and each Academic Year thereafter.	Alaska's superintendents in collaboration with the Deans of SOE and COE and	Non- applicable	Theme 2: Productive Partnerships with Alaska Schools.

Education Consortium (UATEC) and other entities.		<ul> <li>Nominate paraprofessionals for the program.</li> <li>Agree to support candidates in through one-to-one mentoring</li> </ul>		principals in their districts.		
	Increase opportunities for high school juniors and seniors to enter the university and qualify for teacher education programs.	Work with FEA and AKLN to offer support courses and programs to entice quality students into teacher education programs and to help ensure success once they are enrolled.	ED 122 Introduction to Education and ED 193 Paraprofessional training will be offered in the Fall of 2014. Incentive programs built into program and classes.	FEA Director Deans of SOE and COE AKLN Director DEED designee	FEA & AKLN funded	Theme 2: Productive Partnerships with Alaska Schools. Theme 1: Student Achievement and Attainment
			Each campus will work to get ED 122 approved on their campus and include it in their undergraduate teacher education programs.	SOE and COE faculty	Non- applicable	Theme 1: Student Achievement and Attainment
			A clear career teacher education pathway with options for dual credit enrollment will be developed during the 2014/15 academic year.	FEA Director Deans of SOE and COE AKLN Director DEED designee	AKLN funded	Theme 1: Student Achievement and Attainment
		Work with the Admissions team at each campus to develop	Program specific recruitment materials will be	Admissions team, public relations,	Faculty and staff time.	Theme 1: Student

	a focused recruitment of	developed and	faculty and deans		Achievement
	high school seniors into	recruiting staff	at each campus.		and Attainment
	the teaching field.	trained on the	'		
	· ·	specifics of			
		recruiting for			
		teacher education in			
		time for Spring 2015			
		recruiting trips.			
Agreements with	Work with ATP to	AY 2015	ATP and DEED	New Initiative	Theme 2:
universities outside	identify accredited		staff, Deans of the		Productive
Alaska that allow for	universities with		Schools and	Funding	Partnerships
targeted recruitment &	excellent teacher		College of	sources will	with Alaska
training of pre-service	education programs for		Education in	have to be	Schools.
teachers outside	inclusion in the project.		Alaska.	identified.	
Alaska in the junior	Develop and sign MOA	AY 2015 and 2016	Deans from each		Theme 5:
year of their teacher	agreements with		campus.		Accountability to
education program.	identified universities.				the People of
	Develop shared	AY 2016	Faculty from each		Alaska
	coursework for the		of the UA		
	project. (Most		campuses.		
	specifically, Alaska				
	Studies and Multicultural				
	Education).				
	Develop procedures,	AY 2016	Deans and faculty		
	guidelines and a student		from each campus		
	handbook specific to		in Alaska and		
	clinical practice and		campuses outside		
	beginning teachers		Alaska who are		
	outside the state		participating in the		
	NA 1 6 6 1 6 6	42/0047	project		
	Welcome first cohort of	AY 2017	Deans and faculty		
	pre-service teachers to		from each UA		
	Alaska.		campus		

	Goal	Initiative	Phased Plan	Timeline	Persons Involved (Responsible)	Resources Needed & Source	Shaping Alaska's Future
Modeling Student Centered Approaches	Ensure seamless transfers and student options in teacher education programs.	UA Teacher Education programs will align preparation programs in each content area to ensure a seamless transfer of teacher education credits.  UA Teacher Education Consortium is an ongoing venue for inviting feedback from Alaska's education community, promoting innovation, and	SOE and COE faculty will work together under the direction of the 3 Deans to review program requirements and develop alignment tables that will be used to ensure that students in the same program at the same academic level can seamlessly transfer between or take courses from any campus and have it count toward their degree.	Program crosswalks and advising materials to be developed by July of 2015.	SOE and COE faculty and Deans.	Faculty & Dean travel 1 face-to-face meeting with additional audio meetings as needed.	Theme 1: Student Achievement and Attainment
		assessing results.	SOE and COE faculty will review their programs to ensure that all courses and program requirements align with State and National standards without being redundant.	Academic approval during the AY 2015/16 school year for inclusion in the 2016 Academic catalog at each campus.	SOE and COE faculty and Deans.	Faculty and Staff time	Theme 1: Student Achievement and Attainment
	Improve selectivity, rigor and innovation in UA teacher education programs.	All eligible teacher education programs will submit program data to professional organizations (SPAs) to ensure that content standards are being	Deans will continue to work with program coordinators to ensure that all data accurately reflect the program and are submitted on time.	February 15, 2015 (or) September 15, 2015 Program dependent	Program faculty SOE or COE Dean Institutional Research	SOE and COE Accreditation budgets.	Theme 1: Student Achievement and Attainment

met by all UA teacher education programs.  Work with EED to revise state policy to reflect more rigorous standards and to align with CAEP standards.	SOE and COE Deans will work with EED staff on a plan for revising AK standards for increased rigor.	August 2015	SOE and COE Deans, EED staff and State Board of Education.	Staff time	Theme 2: Productive Partnerships with Alaska Schools.
	Draft for State Board approval a state partnership agreement with CAEP.	August 2015	SOE and COE Deans, EED staff and State Board of Education.	Staff time	Theme 2: Productive Partnerships with Alaska Schools.
Initial teacher education programs will formally adopt the nationally validated Teacher Work Sample or a comparable program that guides teacher candidates thru: the study of contextual factors, setting learning goals, designing an assessment plan, data collection for decision making & reflection and self-evaluation. TWS will be a major piece of student assessment.	Each campus will decide if they wish to adopt the Teacher Work Sample (TWS) or a comparable program.  Each campus will plan their own implementation schedule.	AY 2015	SOE and COE Deans and faculty.	Faculty time and workshop (training) costs.	Theme 1: Student Achievement and Attainment

Goal	Develop and formally adopt teacher candidacy criteria.	Students will be admitted to the University under the current open enrollment policy but must be formally admitted to teacher candidacy before taking methods courses or field work.	May 2015 for inclusion in the 2016 Academic catalog at each campus	SOE and COE Deans and faculty.  Persons Involved	Faculty time in audio meetings	Theme 1: Student Achievement and Attainment
	iiilialive	Filaseu Fiali		(Responsible)	Needed & Source	Shaping Alaska's Future
Recognizing that the clinical (practicum/stud ent teaching or internship) experience is vital to	UA Schools and College of Education will form a working group of administrators, faculty and P-12 personnel to review the existing	Current clinical assessments will be evaluated and uniform assessments developed based on current Alaska Beginning Teacher Expectations.	AY 2015 and 2016	Working group members and SOE and COE Deans	Travel and Meeting costs for face-to- face. Audio meetings when for refinements.	Theme 2: Productive Partnerships with Alaska Schools.
excellence in teacher preparation, UA will ensure that our	procedures and requirements for students, P-12 cooperating teachers/mentors and	Compensation for co- operating teachers will be agreed upon and contract templates drawn up.	AY 2015 and 2016	Working group members and SOE and COE Deans	Statewide	Theme 2: Productive Partnerships with Alaska Schools.
experience is vital to excellence in teacher preparation, UA will ensure that our requirements and assessments are of the highest caliber.	university supervisors who are involved in a practicum, student teaching or internship requirement. The	Procedures for identifying expert teachers and assigning student teachers to them will be agreed upon.	AY 2015 and 2016	Working group members and SOE and COE Deans		Theme 2: Productive Partnerships with Alaska Schools.
o control of the cont	group will work to develop a common set of procedures, including compensation, for	Working group representatives will present the plan that has been developed at the Superintendents Spring meeting.	Spring 2016	SOE and COE Deans		Theme 2: Productive Partnerships with Alaska Schools.

		clinical preparation of teacher candidates	MOAs will be signed between the University and Alaska's 54 school districts	In place for AY 2017	SOE and COE Deans & Superintendent Association Executive Director.	SOE and COE Dean's Executive Directors time.	Theme 2: Productive Partnerships with Alaska Schools.
	Increase knowledge about and prestige of teaching as a	UA Schools and College of Education will publish a rigorous electronic journal of peer reviewed	SOE faculty outline structures and software for publishing an electronic journal of research.	In progress	SOE and COE faculty and Deans.	Faculty time	Theme 4: Research & Development (R&D) and Scholarship to
	profession.	research on teaching and teacher education.	A group of SOE and COE faculty and Deans will outline procedures and guidelines for publication. An editorial board will be established.	The first journal will be published in the Spring semester 2016.	Editorial board made up of faculty.	Faculty time and Workload (service) credit.	Enhance Alaska Communities and Economic Growth.
Continuing alignment with Shaning Alaska's Future		A grant writing team made up of 3 faculty & 1 staff member will be appointed to actively seek shared grant opportunities then identify and support the appropriate faculty for participation.	Faculty will be appointed, staff position will rotate between campuses.	Academic year 2014/15	SOE and COE Deans, Faculty and Staff.	Faculty and Staff time. Workload credit (service).	Theme 4: Research & Development (R&D) and Scholarship to Enhance Alaska Communities and Economic Growth.
Continuing alignment with		UA Schools and College of Education with work with the public relations team at each campus and Statewide to develop a series of both print and electronic ads on teaching as a career.	Produce print and electronic ads and negotiate ad placements.	Ads will begin running in the Spring of 2015.	SOE and COE Deans and public relations teams.	Statewide funding	Theme 2: Productive Partnerships with Alaska Schools. (and) Theme 3: Productive Partnerships with Public

	Example: http://www.uas.alaska. edu/youtube- window/teach-ak- 30.html				Entities and Private Industries.
Document outcomes in line with Shaping Alaska's Future.	Produce and annual report of progress on each of the goals and initiatives outlined above including but not limited to:  • Employment figures by content area;  • Common 1st, 3rd and 5th year survey of graduates and employers.	Annually November BOR meetings and discussed bi- annually at UA Teacher Education Consortium meetings.	SOE and COE Deans and Provosts	External evaluator \$20,000 annually.	Theme 5: Accountability to the People of Alaska

# Appendix A

# University of Alaska's Teacher Education Plan: A Stable High Quality Teaching Faculty for Alaska's P-12 Schools

Alaska needs more quality teachers for our K-12 schools. The problem is particularly acute in Alaska's rural and remote districts.

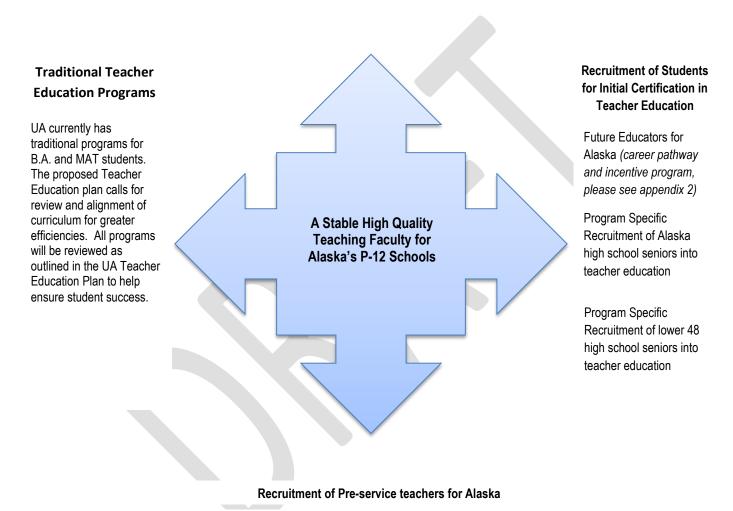
Alleviating the problem will require a multi-pronged approach involving areas and departments of the University. The approach we envision includes the recruitment of high school students into our programs, traditional and non-traditional teacher education programs, cohort programs for paraprofessionals and specific Alaska training for pre-service teachers in the lower 48 who may be interested in an Alaska teaching career.

#### We know that:

- On average from 2008-2012, about 64% of teachers hired by districts statewide were from outside Alaska.
- Among teachers with less than 10 years of experience, those who prepared to be teachers in Alaska have much lower turnover rates than those from outside of Alaska.
- Most—around 80%—of teachers who leave both urban and rural districts leave the Alaska school system entirely.
- Teachers prepared in Alaska are far more likely to work in urban than in rural districts.

Almost 90% of teachers in Alaska are White. Alaska Natives and American Indians continue to make up only about 5% of the teacher workforce (Alaska Teacher Turnover, Supply, and Demand: 2013 Highlights by Alexandra Hill and Diane Hirshberg).

Within the UA system, the program will be led by three Education faculty members—one each at UAA, UAF, and UAS. Together, they will have full responsibility for coordinated instruction, advising, and program development and management. They will also develop and implement a Rural Alaska teacher mentor program—comprised of a select group of eight experienced rural and indigenous Alaskan teachers. These individuals, who will work part-time as mentor teachers, will be called on to work closely with the paraprofessionals in their home communities and also provide practical instruction during the annual intensive seminar. The program will also have a statewide advisory council made up of distinguished rural educators, superintendents, representatives from the Alaska Department of Education and Early Development, and other stakeholders. Importantly, the three collaborating universities will contract with external experts who will provide ongoing evaluation and assessment of the program, and recommendations about incorporating improvements and best practices.



Agreements with universities outside Alaska that allow for targeted recruitment & training of pre-service teachers outside Alaska in their junior year. Working as a teacher in Alaska – particularly rural and remote Alaska – is nothing like teaching in the lower 48. Alaska has opportunities, and challenges teachers don't find elsewhere. Agreements with outside universities would allow us to prepare teachers ahead of time for a successful experience in Alaska schools. While allowing our partner schools to more successfully place their teacher candidates for employment. We would anticipate the agreements including coursework, clinical experience in Alaska and structured mentoring for their first two years on the job.

## Appendix B: Future Teachers for Alaska

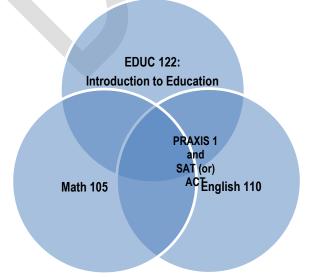
Future Educators of Alaska (FEA) is a statewide collaborative effort to inspire Alaska Native K-12 students to become teachers and administrators. It is administered within the UA Statewide Academic Affairs Office of K-12 Outreach, in partnership with Alaska Teacher Placement and the Alaska Native Education Association. The FEA program is patterned after the National Future Educators Association operated by Phi Delta Kappa International. With over 1,000 chapters across the world, their mission is to provide students with the opportunities to explore careers in education. FEA is unique in that it has culture-based FEA club activities from the five major cultural regions of Alaska.

FEA was developed in 2003 as a result of five rural educator forums co-hosted by the Alaska Teacher Placement (ATP) program at the University of Alaska. The common theme that emerged from forum discussions was the need to grow our own teachers. As a follow-up to these forums, ATP, in partnership with the Alaska Federation of Natives and three rural school districts, applied for and received funds from the US Department of Education to establish the statewide program (formerly Future Teachers of Alaska).

In 2012, the Alaska Department of Education and Early Development awarded FEA a Carl Perkins Career and Technical Education grant to further support FEA program efforts to build career pathways for students interested in education careers. Through this grant, and with additional support from the University of Alaska Technical Vocational Education Program, FEA gained recognition as Alaska's seventh Career and Technical Student Organization. (*Text taken from the FEA website*)

Although the FEA curriculum was developed in collaboration with UAA, UAF, UAS and K-12 teachers, the collaborations since that time have not been strong. The UA Schools and Colleges of Education will work with FEA Statewide staff and K-12 educators to make the high school pathway to success explicit and to ensure that students who want to be teachers are appropriately prepared for a rigorous college experience. Courses would be offered through Alaska's Learning Network (AKLN). The model we have in mind is represented in the graphic below.

High School Pathway for Success in Teacher Education





# Alaska Statewide Mentor Project

The Alaska Statewide Mentor Project supports teachers early in their careers by matching them with mentors who have years of experience teaching in Alaska schools.





Mentors work full time and undergo intensive yearlong training. Their support to first- and second-year teachers is based on state standards and best practices for classroom instruction. Mentors assist in the development of individualized professional growth plans to help new teachers respond to the diverse academic needs and cultural backgrounds of all students.

#### 2013-14 School Year in-Brief

- 236 participating schools in 39 urban & rural school districts
- 42 mentors serving 516 Early Career Teachers
- 71 spec. ed. ECTS matched with 10 spec. ed. certified mentors

#### **Effects of Mentoring**

- Improving ECT retention rate
- In 2012-13, 81% retention rate of ASMP-mentored ECTs
- In rural context: 67% avg. with no ASMP vs. 77% avg. with ASMP for new teachers, over eight years (2004-2012)
- ASMP-mentored special education ECTs, matched with granted funded spec ed. mentors: 87.5% (from 2012-13 to 2013-14)

www.AlaskaMentorProject.org (907) 450-8400 ph. (907) 450-8401 fax





















# Discovering • Learning • Teaching • Living

Alaska Teacher Placement has served as the statewide education job clearinghouse for filling job vacancies in Alaska school districts for 35 years.

To match teachers with their dream jobs throughout Alaska, ATP hosts annual job fairs, live chats, online forums, Facebook pages, and a YouTube channel.

Detailed information about teacher certification requirements, and living and working in rural and urban schools, is free to educators on the ATP website, along with an iCommunity of experienced educators willing to lend support.







- **2014 Recruiting Year ATP Supported:**
- 600+ Registered Candidates
- 10,000 Applicants through online application
- 100% of Alaska School Districts (53) in placing educators in schools.

# **Upcoming 2014-15 School Year:**

ATP will be visiting schools of education across the nation to share information with interns and graduating educators

atp@email.alaska.edu www.AlaskaTeacher.org (907) 450-8400 ph. (907) 450-8401 fax





www.FutureEducatorsAlaska.org



**Future Educators of Alaska** (FEA) seeks to address the critical shortage of Alaska Native and locally grown educators in our state. FEA is designed to encourage middle and high school students in rural Alaska to pursue careers in education. The program supports after school clubs, online dual-credit courses, careerfocused competitions, and Academies on University of Alaska (UA) campuses.

The UA Statewide K-12 Outreach Office has partnered with rural school districts, the Alaska Native Education Association, UA schools and colleges of education and others to make this culturally grounded education program a success for more than a decade.

# 2013-2014 School Year Highlights:

- 10 participating school districts
- Nearly 300 students enrolled in FEA clubs
- 50+ high school juniors and seniors earned credits applicable to education degrees
- 42 students competed in educator-focused competitions at the Career and Technical Student Organization Conference
- 47 students participated in the 11th annual FEA Academy







#### **Council for the Accreditation of Educator Preparation Standards**

#### Standard 1: CONTENT AND PEDAGOGICAL KNOWLEDGE

**Standard:** The provider ensures that candidates develop a deep understanding of the critical concepts and principles of their discipline and, by completion, are able to use disciplinespecific practices flexibly to advance the learning of all students toward attainment of college-and career-readiness standards.

#### Candidate Knowledge, Skills, and Professional Dispositions

1.1 Candidates demonstrate an understanding of the 10 InTASC standards at the appropriate progression level(s) in the following categories: the learner and learning; content; instructional practice; and professional responsibility.

# **Provider Responsibilities**

- 1.2 Providers ensure that completers use research and evidence to develop an understanding of the teaching profession and use both to measure their P-12 students' progress and their own professional practice.
- 1.3 Providers ensure that completers apply content and pedagogical knowledge as reflected in outcome assessments in response to standards of Specialized Professional Associations (SPA), the National Board for Professional Teaching Standards (NBPTS), states, or other accrediting bodies (e.g., National Association of Schools of Music NASM).
- 1.4 Providers ensure that completers demonstrate skills and commitment that afford all P-12 students access to rigorous college- and career-ready standards (e.g., Next Generation Science Standards, National Career Readiness Certificate, Common Core State Standards).
- 1.5 Providers ensure that completers model and apply technology standards as they design, implement and assess learning experiences to engage students and improve learning; and enrich professional practice.

# Standard 2: CLINICAL PARTNERSHIPS AND PRACTICE

**Standard:** The provider ensures that effective partnerships and high-quality clinical practice are central to preparation so that candidates develop the knowledge, skills, and professional dispositions necessary to demonstrate positive impact on all P-12 students' learning and development.

## **Partnerships for Clinical Preparation**

2.1 Partners co-construct mutually beneficial P-12 school and community arrangements, including technology-based collaborations, for clinical preparation and share responsibility for continuous improvement of candidate preparation. Partnerships for clinical preparation can follow a range of forms, participants, and functions. They establish mutually agreeable expectations for candidate entry, preparation, and exit; ensure that theory and practice are linked; maintain coherence across clinical and academic components of preparation; and share accountability for candidate outcomes.

#### **Clinical Educators**

2.2 Partners co-select, prepare, evaluate, support, and retain high-quality clinical educators, both provider- and school-based, who demonstrate a positive impact on candidates' development and P-12 student learning and development. In collaboration

with their partners, providers use multiple indicators and appropriate technology-based applications to establish, maintain, and refine criteria for selection, professional development, performance evaluation, continuous improvement, and retention of clinical educators in all clinical placement settings.

#### Clinical Experiences

2.3 The provider works with partners to design clinical experiences of sufficient depth, breadth, diversity, coherence, and duration to ensure that candidates demonstrate their developing effectiveness and positive impact on all students' learning and development. Clinical experiences, including technology-enhanced learning opportunities, are structured to have multiple performance-based assessments at key points within the program to demonstrate candidates' development of the knowledge, skills, and professional dispositions, as delineated in Standard 1, that are associated with a positive impact on the learning and development of all P-12 students.

# Standard 3: CANDIDATE QUALITY, RECRUITMENT, AND SELECTIVITY

**Standard:** The provider demonstrates that the quality of candidates is a continuing and purposeful part of its responsibility from recruitment, at admission, through the progression of courses and clinical experiences, and to decisions that completers are prepared to teach effectively and are recommended for certification. The provider demonstrates that development of candidate quality is the goal of educator preparation in all phases of the program. This process is ultimately determined by a program's meeting of Standard 4.

# Plan for Recruitment of Diverse Candidates who Meet Employment Needs

3.1 The provider presents plans and goals to recruit and support completion of high-quality candidates from a broad range of backgrounds and diverse populations to accomplish their mission. The admitted pool of candidates reflects the diversity of America's P-12 students. The provider demonstrates efforts to know and address community, state, national, regional, or local needs for hard-to-staff schools and shortage fields, currently, STEM, English-language learning, and students with disabilities.

# Admission Standards Indicate That Candidates Have High Academic Achievement And Ability

- The provider sets admissions requirements, including CAEP minimum criteria or the state's minimum criteria, whichever are higher, and gathers data to monitor applicants and the selected pool of candidates. The provider ensures that the average grade point average of its accepted cohort of candidates meets or exceeds the CAEP minimum of 3.0, and the group average performance on nationally normed ability/achievement assessments such as ACT, SAT, or GRE:
  - is in the top 50 percent from 2016-2017;
  - is in the top 40 percent of the distribution from 2018-2019; and
  - ☐ is in the top 33 percent of the distribution by 2020.

## **Additional Selectivity Factors**

. 3.3 Educator preparation providers establish and monitor attributes and dispositions beyond academic ability that candidates must demonstrate at admissions and during the program. The provider selects criteria, describes the measures used and evidence of the reliability and validity of those measures, and reports data that show how the academic and non-academic factors predict candidate performance in the program and effective teaching

# **Selectivity During Preparation**

3.4 The provider creates criteria for program progression and monitors candidates' advancement from admissions through completion. All candidates demonstrate the

ability to teach to college- and career-ready standards. Providers present multiple forms of evidence to indicate candidates' developing content knowledge, pedagogical content knowledge, pedagogical skills, and the integration of technology in all of these domains.

#### **Selection At Completion**

- 3.5 Before the provider recommends any completing candidate for licensure or certification, it documents that the candidate has reached a high standard for content knowledge in the fields where certification is sought and can teach effectively with positive impacts on P-12 student learning and development.
- 3.6 Before the provider recommends any completing candidate for licensure or certification, it documents that the candidate understands the expectations of the profession, including codes of ethics, professional standards of practice, and relevant laws and policies. CAEP monitors the development of measures that assess candidates' success and revises standards in light of new results

### Standard 4: PROGRAM IMPACT

**Standard:** The provider demonstrates the impact of its completers on P-12 student learning and development, classroom instruction, and schools, and the satisfaction of its completers with the relevance and effectiveness of their preparation.

#### Impact on P-12 Student Learning and Development

4.1 The provider documents, using multiple measures, that program completers contribute to an expected level of student-learning growth. Multiple measures shall include all available growth measures (including value-added measures, student-growth percentiles, and student learning and development objectives) required by the state for its teachers and available to educator preparation providers, other state-supported P-12 impact measures, and any other measures employed by the provider.

#### **Indicators of Teaching Effectiveness**

4.2 The provider demonstrates, through structured and validated observation instruments and student surveys, that completers effectively apply the professional knowledge, skills, and dispositions that the preparation experiences were designed to achieve.

#### Satisfaction of Employers

4.3. The provider demonstrates, using measures that result in valid and reliable data and including employment milestones such as promotion and retention, that employers are satisfied with the completers' preparation for their assigned responsibilities in working with P-12 students.

#### Satisfaction of Completers

4.4 The provider demonstrates, using measures that result in valid and reliable data, that program completers perceive their preparation as relevant to the responsibilities they confront on the job, and that the preparation was effective.

#### Standard 5:

#### PROVIDER QUALITY ASSURANCE AND CONTINUOUS IMPROVEMENT

The provider maintains a quality assurance system comprised of valid data from multiple measures, including evidence of candidates' and completers' positive impact on P-12 student learning and development. The provider supports continuous improvement that is sustained and evidence-based, and that evaluates the effectiveness of its completers. The provider uses the results of inquiry and data collection to establish priorities, enhance program elements and capacity, and test innovations to improve completers' impact on P-12 student learning and development.

#### **Quality and Strategic Evaluation**

- 5.1 The provider's quality assurance system is comprised of multiple measures that can monitor candidate progress, completer achievements, and provider operational effectiveness. Evidence demonstrates that the provider satisfies all CAEP standards.
- 5.2 The provider's quality assurance system relies on relevant, verifiable, representative, cumulative and actionable measures, and produces empirical evidence that interpretations of data are valid and consistent.

#### **Continuous Improvement**

- 5.3. The provider regularly and systematically assesses performance against its goals and relevant standards, tracks results over time, tests innovations and the effects of selection criteria on subsequent progress and completion, and uses results to improve program elements and processes.
- 5.4. Measures of completer impact, including available outcome data on P-12 student growth, are summarized, externally benchmarked, analyzed, shared widely, and acted upon in decision-making related to programs, resource allocation, and future direction.
- 5.5. The provider assures that appropriate stakeholders, including alumni, employers, practitioners, school and community partners, and others defined by the provider, are involved in program evaluation, improvement, and identification of models of excellence.

#### **InTASC standards**

- Standard 1: Learner Development. The teacher understands how learners grow and develop, recognizing that patterns of learning and development vary individually within and across the cognitive, linguistic, social, emotional, and physical areas, and designs and implements developmentally appropriate and challenging learning experiences.
- Standard 2: Learning Differences. The teacher uses understanding of individual differences and diverse cultures and communities to ensure inclusive learning environments that enable each learner to meet high standards.
- Standard 3: Learning Environments. The teacher works with others to create environments that support individual and collaborative learning, and that encourage positive social interaction, active engagement in learning, and self-motivation.
- Standard 4: Content Knowledge. The teacher understands the central concepts, tools of inquiry, and structures of the discipline(s) he or she teaches and creates learning experiences that make the discipline accessible and meaningful for learners to assure mastery of the content.

- Standard 5: Application of Content. The teacher understands how to connect concepts and use differing perspectives to engage learners in critical thinking, creativity, and collaborative problem solving related to authentic local and global issues.
- Standard 6: Assessment. The teacher understands and uses multiple methods of assessment to engage learners in their own growth, to monitor learner progress, and to guide the teacher's and learner's decision making.
- Standard 7: Planning for Instruction. The teacher plans instruction that supports every student in meeting rigorous learning goals by drawing upon knowledge of content areas, curriculum, cross-disciplinary skills, and pedagogy, as well as knowledge of learners and the community context.
- Standard 8: Instructional Strategies. The teacher understands and uses a variety of instructional strategies to encourage learners to develop deep understanding of content areas and their connections, and to build skills to apply knowledge in meaningful ways.
- Standard 9: Professional Learning and Ethical Practice. The teacher engages in ongoing professional learning and uses evidence to continually evaluate his/her practice, particularly the effects of his/her choices and actions on others (learners, families, other professionals, and the community), and adapts practice to meet the needs of each learner.
- Standard 10: Leadership and Collaboration. The teacher seeks appropriate leadership roles and opportunities to take responsibility for student learning and development, to collaborate with learners, families, colleagues, other school professionals, and community members to ensure learner growth, and to advance the profession.



#### ALASKA NATIVE-FOCUSED TEACHER PREPARATION PROGRAMS

Audrey Leary, Bernice Tetpon, Diane Hirshberg & Alexandra Hill

#### Introduction

In Alaska, 80% of rural students are Alaska Native. But fewer than 5% of Alaska's certified teachers are Alaska Native, and 74% of teachers hired by Alaska's public schools come from outside the state. Teachers new to rural Alaska typically remain on the job just one or two years.

Since 1970, there have been numerous teacher certification programs intended to bring more Alaska Natives and rural residents into classrooms. Many community and education leaders believe rural schools could benefit from having more such teachers, because they would likely stay on the job longer, be more familiar with their students' communities and cultures, and provide more powerful role models for Alaska Native students.

The share of rural teachers who are Alaska Natives or rural residents remains small, but efforts to increase their numbers continue. The programs offered in the past few decades have provided important lessons about how to successfully recruit and prepare Alaska Native and rural-resident teachers. But these lessons are not well documented or consistently used in Alaska's current teacher certification programs.

In this brief, we take a first step toward summarizing the contributions of these programs by describing them, their graduates, and key lessons learned. This brief does not discuss current efforts at the University of Alaska to increase the number of Alaska Native and rural -resident teachers graduating from regular

teacher preparation programs. But it's important to recognize that all three UA campuses enroll Alaska Native teacher candidates in their regular programs, and all include distance delivered programs, in an effort to recruit and better meet the needs of teacher candidates from rural communities.

#### **Alaska Native-Focused Programs**

Table 1 lists the Alaska Native-focused teacher preparation programs initiated since 1970, their sources of funding, the number of graduates, and their current status. Several are continuing, but others have been discontinued; a number were supported by federal funding, and lasted only as long as the federal funding lasted.

In addition to those programs, the Lower Kuskokwim District has since the 1980s budgeted funds for both Yup'ik language teachers and other paraprofessionals to earn teacher certification with all expenses paid. Over 60 Alaska Native teachers have been certified with district support. Some graduated from the programs we have studied; others completed regular teacher education programs at the University of Alaska or elsewhere.

#### What have we learned?

We interviewed students, directors, and faculty of ten past and current rural and distance teacher preparation programs that targeted Alaska Natives from 1970 to the present. As of early 2014, 172 Alaska Native teachers had graduated from these programs. Themes emerged from our interviews in recruitment, program delivery, and program sustainability.

June 2014

Page | 1 292

#### Recruitment

All the rural and distance delivery programs we looked at focused on Alaska Native students, but some also included non-Native students. Several programs were designed to prepare adults who already had bachelor's degrees in some other field to become teachers. The requirement that participants already hold a bachelor's degree greatly limited the pool of potential candidates. Other programs allowed students to complete their degrees as part of the program—but in those programs, students often took a long time to earn their degrees. Those delays happened for many reasons, including the fact that many participants were adult students balancing family, employment, subsistence, and community obligations with school.

These challenges continue to hold true for ongoing programs. Not all rural Alaska adults who already have bachelor's degrees want to move into the teaching profession. And adults who are interested in obtaining a bachelor's degree in education often have other obligations—as noted above—that keep them from taking a full-time course load and completing their teacher preparation program in four years.

#### **Program Content and Delivery**

All teacher preparation programs have to meet the Alaska Department of Education & Early Development's requirements. But programs we studied had various approaches to preparing their students for teaching in rural and Alaska Native communities. The Alaska Rural Teacher Training Corps (ARTTC), the Cross-Cultural Education Program (X-CED), and the Chevak Teacher Education Initiative developed new curriculum related to local and cultural issues. The Alaska Native Teacher Preparation Program enrolled students in the existing teacher preparation program at the University of Alaska Fairbanks. The director of that program told us that incorporating Alaska Native perspectives and pedagogies into the core of UAF's elementary and secondary program coursework would have benefited all students.

Most programs we examined used a mix of distance and face-to-face delivery methods, periodically bringing teacher candidates together for short intensive courses and providing the remainder of instruction via teleconference or online. For example, in the now-discontinued ARTTC/X-CED and Rural Educator Preparation Partnership (REPP) programs, teacher candidates and faculty met face-to-face at the beginning of the year, and each candidate was assigned a faculty member to provide academic and financial advising throughout the program. In the REPP program, a faculty member was responsible for all the REPP participants in a given region and helped to prevent or address any difficulties that might affect candidates' academic progress or financial well-being. Regional meetings also helped maintain communications.

The ongoing Chevak Teacher Education Initiative brings faculty to the community during the academic year, and sends students to the University of Alaska Anchorage for summer intensives. By contrast, the now-discontinued Alaska Transition in Teaching (AKT2) program provided little face-to-face contact between students and advisors, but had team-developed distance-delivery courses that did not have to be delivered by a university-based program, thanks to changes in teacher certification regulations and statutes.

Our informants told us that intensive support for teacher candidates is critical for success. For example, the Praxis I test (a test of general knowledge, adopted by the Alaska Department of Education & Early Development in 1998) has been a barrier to teacher certification for many rural teacher candidates.

Past participants in the Rural Alaska Native Adult (RANA) and Chevak programs received extra support to prepare for the test; they took a Praxis I pre-test and received instruction in areas where they needed improvement. They also had the opportunity to retake the test several times if needed, with additional coaching.

June 2014

Table 1. Program funding, years of operation and current status.									
Program	Funding	All Cert.	Natives Cert.	Years	Current Status				
UAF: Alaska Rural Teacher Training Corps (ARTTC)	Both ARTTC & X-CED were funded by Fed. Teacher	101	ì	1970- 1990	ARTTC became X- CED in 1974				
UAF: Cross-Cultural Education (X-CED) Program	Corps, Career Op. Program, PL 874, Johnson O'Malley, State of AK	101	67	1990	X-CED became Center for Cross-Cultural Studies in 1978				
UAF: Rural Educator Preparation Partnership (REPP)	US Department of Education, Office of Indian Ed	116	23	1995- 2006	Discontinued				
APU: Rural AK Native Adult (RANA)	US Department of Education		34	1999- 2011	On Hold				
UAS: Preparing Indigenous Teachers & Admin. for Alaska's Schools (PITAAS)	US Department of Education, Alaska Native Education Eq- uity Program	32	12	2000- Present	Continuing				
UAS: B.Ed. Distance Elementary	General Funds	70	16	2003- 2013	Continuing				
Ak EED: Alaska Transition to Teaching (AKT2)	US Department of Education	46	1	2007- 2013	Discontinued				
UAF: Alaska Native Teacher Preparation Pro- gram (ANTPP)	US Department of Education, Office of Indian Education	19	19	2008- 2012	Discontinued				
UAA: Chevak Teacher Education Initiative	Private Funding, Grants	12	12	2010- present	Continuing				
UAS: Village Teacher Grant Program	US Department of Education, Office of Indian Education	27	27	2011- Present	Continuing				

In many of the rural teacher preparation programs, directors acted as the liaison between faculty and students and worked to maintain communications that were sometimes difficult, given the distances. Teacher candidates in such programs told us that being able to stay in their home community, with their support system intact—rather than moving to Anchorage or Fairbanks while going to school—helped them complete their teacher certification program.

#### **Program Sustainability**

Sustainability has been a challenge for all the programs we reviewed. Most of these initia-

tives did not become permanent or selfsustaining, either because continuing funding was not available when the initial grants ended, or because of political decisions to close them.

But now, momentum toward developing more sustainable efforts is building in the University of Alaska system. A continuing issue is that providing sufficient levels of academic, social, and fiscal support to rural students is expensive. For programs targeting rural and Alaska Native students to be successful and sustainable, significant and ongoing investment of resources will be needed.

#### Conclusion

From 1970 to 2014 (44 years), 172 Alaska Natives—or about 4 per year—earned teacher certification through the programs we reviewed. At that rate, the programs could never produce enough new rural-resident and Alaska Native teachers to increase their representation in Alaska's rural schools. And several of those programs have now been discontinued.

But the programs described in this brief provide insight into ways of meeting the challenges of bringing more Alaska Native and rural-resident teachers into the state's classrooms. Success will require several kinds of efforts.

#### Access

- Expanded and improved distance and hybrid delivery models would let teacher candidates stay in their home communities for at least part of their teacher preparation.
- Cost has been a barrier, especially for older students with families. The Alaska Performance Scholarship will help those straight out of high school, but older students may need other financial supports.

#### Academics

- University programs should use curricula that are place-based and infused with traditional Alaska Native knowledge, and support development of additional materials.
- University faculty should learn about, honor and incorporate Native ways of teaching and learning.

#### Student support

- The university should provide intensive advising in academics, finances, and negotiating the university system.
- Support to pass the Praxis (or other required tests) can be key to insuring that stu-

- dents finish their programs and become certified teachers.
- Improved student support would benefit all students.

Involving a wide range of stakeholders—including not only K-12 administrators but also Elders, Alaska Native leaders, and rural community residents—could help improve the success of teacher preparation programs focused on rural and Alaska Native students. The programs with the most graduates—ARTTC and X-CED—involved Alaska Native communities as stakeholders. Community involvement can be key in both recruiting and retaining high-quality teachers. Elders, parents, and community leaders can identify and support Alaska Natives interested in becoming teachers.

Some of these elements are already in place in the University of Alaska system. The College of Rural and Community Development (CRCD) at UAF includes rural campuses and several centers focused on cross-cultural and distance education. UAA and UAS also have community campuses in rural communities. With this support structure, rural students can begin their college experience in rural hubs and benefit from the growing number of courses and programs offered by distance.

All three campuses have ongoing efforts to recruit and prepare Alaska Native teachers, and the University of Alaska's teacher education programs committed to advancing that work in the 2011 Teacher Education Plan. Their efforts include incorporating Native-based content and pedagogy into teacher education programs and providing student support services. As this work moves forward, we hope it builds on lessons from past efforts, to create the best possible programs for increasing the number of Alaska Native teachers.

The Center for Alaska Education Policy Research conducts non-partisan research on policy issues around educational access, equity and excellence in the Alaska context, across early childhood, primary and secondary, higher and adult education. More detailed information about each of the 10 programs included in this report is available on our website: <a href="http://www.iser.uaa.alaska.edu/CAEPR">http://www.iser.uaa.alaska.edu/CAEPR</a>



### First Review of FY16 Operating Budget

Board of Regents September 18-19, 2014 Juneau, Alaska

Prepared by Statewide Planning & Budget 450-8191

#### **Table of Contents**

#### **Proposed FY16 Operating Budget**

Introduction	1
Proposed FY16 Operating Budget Summary	3
FY16 Operating Budget Request Items	4
FY16 High Demand Program Requests	8
FY16 Operating Budget Program Descriptions	9
Operating Budget Trend by Allocation	21
Approved FY16 Operating Budget Development Guidelines	22
Office of Management and Budget (OMB) "Heads Up" Meeting Memo	25
References	
FY14 Expenditures by Category and Revenue Source	31
FY10-FY14 Revenue Source Comparison	32
FY15 Operating Budget Program Funding Summary	33

#### University of Alaska Proposed FY16 Operating Budget Introduction

The operating budget discussion at the Board of Regents' (BOR) meeting will provide Regents with a status of UA's current operating budget, UA's proposed FY16 operating budget, and the impact of the high demand program requests on student outcomes and measures. Administration is seeking BOR feedback on key priorities and anticipates the Board will have questions.

#### Current FY15 Operating Budget: Context

In FY15, \$1.0 million was directed to the Board's priority program requests for: student achievement and attainment (\$400 thousand); consolidated Alaska mining initiative (\$90 thousand); and legislative priority programs for UA (\$500 thousand). Page 33 provides a listing of FY15 program investments. In addition, \$8.3 million was used to cover fixed cost increases during FY15, which included \$5.1 million in compensation increases, \$2.2 million in new facility operation costs and \$1.1 million in one-time funding for M&R. Utility funding was again distributed through a supplemental trigger mechanism and not added to base funding. There was also an unallocated general fund cut of \$15.9 million to UA's budget as well as a \$1.1 million cut to university travel expenditures.

#### FY16 Operating Budget: Assumptions and Request

The Proposed FY16 Operating Budget will include the necessary resources to cover adjusted base increases (i.e., contractual and fixed cost increases) plus selective high demand program requests to continue UA forward toward achieving the intended effects of Shaping Alaska's Future (SAF).

The FY16 program priorities include \$11.1 million, submitted by UAA, UAF, UAS and Statewide. With the state's emphasis on reducing unnecessary spending, increased efficiency and establishing performance metrics to measure the efficacy of UA programs and fixed cost spending, it is important for new requests to be relatable to SAF issues and the Governor's education priorities. Funding thus far has begun a steady climb as indicated by our metrics. We do not want to arbitrarily cut off the very gains our BOR, the governor, and our legislators have been waiting for.

- Helping more students graduate (sooner) and contribute to Alaska's economy (faster), UA degree completion. Best ever.
- Teacher recruitment, preparation, & mentoring. Needs much work.
- Continued partnerships with K-12 resulting in students ready to enter the UA or the workforce (concurrent dual enrollment). Major improvements underway.
- Research that tackles pressing Alaskan and National issues that UA is uniquely
  positioned to address, and that have the potential to attract high interest and create a
  source of alternative revenue (unmanned aircraft systems, ocean acidification, and
  commercialization). We are deeply involved and the number of patents applied for is the
  highest ever.

- Continue emphasis on efficient and effective student enrollment, advising, retention, and timely completion at all levels (complete the advising initiative). Indicators are strongly positive, even best ever.
- Prepare Alaskans for the State's high-demand jobs. (engineering, fisheries, mining, health). Certificates have reached their greatest yet.

Prior to the Board approving the budget on November 5, 2014, program request amounts and descriptions will be further refined. Program descriptions begin on page 9.

The adjusted base requirement includes contractual and annual staff employee compensation increases as well as non-personnel, and must pay fixed cost increases. The cost increases are based on the following:

- The FY16 compensation estimate:
  - o Incremental salary and benefit increases for Local 6070, UNAC, UNAD, non-bargaining staff, and temporary labor.
  - o The UA Federation of Teachers (UAFT) contract. It expires on December 31, 2014 and bargaining is continuing for FY16. Therefore, no request will be included in the budget until a collective bargaining agreement has been negotiated and ratified for this unit and approved by the Board of Regents.
  - o A student employee pay increase, dollar amount to be determined.
- Retirement rates are expected to remain the same
- Additional must pay fixed cost increases include:
  - Utilities
  - o Facilities Maintenance and Repair (M&R)
  - New Facilities Estimated Operating Costs
  - Unfunded Federal Mandates

# University of Alaska Proposed FY16 Operating Budget Request Summary

(in thousands of \$)

	State Approp.	Rept. Auth.	Total
Page EV15 Onewating Dudget	373,845.1	546,090.0	919,935.1
Base - FY15 Operating Budget Adjusted Base Requirements	373,043.1	540,070.0	717,733.1
Compensation by Employee Group			
UA Federation of Teachers (UAFT) (1)	_	_	_
Local 6070	310.0	310.0	620.0
United Academics Faculty (UNAC)	1,660.0	1,660.0	3,320.0
UA Adjuncts (UNAD)	178.4	178.4	356.8
Fairbanks Firefighters Union (FFU)	21.7	21.7	43.4
UA Staff	3,866.5	3,866.5	7,733.0
Student Employees (2)	3,000.3	3,000.3	7,733.0
Temporary Employees	165.8	165.8	331.6
· · · · ·	6,202.4	6,202.4	12,404.8
Subtotal - FY16 Compensation Increase	0,202.4	0,202.4	12,404.0
Additional Operating Cost Increases  Utility Cost Increases (3)	3,100.0	1,600.0	4,700.0
•	2,028.5	2,028.5	4,057.0
Facility Maintenance and Repair		,	
New Facilities Estimated Operating Costs	2,742.8	1,652.0	4,394.8
UAA Alaska Airline Center	1,120.0		1,120.0
UAA Engineering and Industry Building	1,622.8	002.0	1,622.8
UAA Engineering Building Parking Garage UAF Alaska Satellite Facility (ASF)-Richardson, Seward		902.0 750.0	902.0 750.0
Ship Office & CTC Hangar Non-General Fund O&M Requirement		730.0	730.0
Unfunded Federal Mandates	482.2	_	482.2
UAA Title IX Compliance Coordinator	105.0		105.0
UAF Title IX Compliance Coordinator	105.0		105.0
UAS Title IX Compliance Coordinator	100.0		100.0
UAS Disability Support Coordinator	82.2		82.2
UAA Real-Time Communication Access for Students with Disabilities	90.0		90.0
Subtotal-FY16 Add'l Op. Costs	8,353.5	5,280.5	13,634.0
Subtotal-FY16 Adj'd Base	14,555.9	11,482.9	26,038.8
	3.9%	2.1%	2.8%
High Demand Program Requests			
Student/Teacher	8,021.4	805.0	8,826.4
Preparing Alaska's Workforce	1,565.0	720.0	2,285.0
One-offs	1,474.0	1,325.3	2,799.3
Subtotal-High Demand Programs	11,060.4	2,850.3	13,910.7
	3.0%	0.5%	1.5%
FY16 Increment	25,616.3	14,333.2	39,949.5
FY16 Operating Budget	399,461.4	560,423.2	959,884.6
% Chg. FY15-FY16 Operating Budget	6.9%	2.6%	4.3%

<sup>(1)</sup> Contract under negotiation during FY16 budget development

<sup>(2)</sup> UA Administration is evaluating the cost impact of a student employee pay increase

<sup>(3)</sup> Assumes a portion of the utility cost increases will be covered by the fuel trigger mechanism

#### **Compensation Increases**

#### (GF: \$6,202.4, NGF: \$6,202.4, Total: \$12,404.8)

The compensation estimate includes the FY16 contract renewal amount for Local 6070, United Academics Faculty (UNAC), UA Adjuncts (UNAD), and Fairbanks Firefighters Union (FFU). Upon the recommendation and support of the Chancellors, President Gamble is recommending to the Board of Regents a raise for the UA staff of 3.1% for FY16.

Also included in the request is a minimal grid increase for temporary employees as well as a placeholder for the cost impact of a student employee pay increase. Both categories received no increases in 2015.

The contract for UA Federation of Teachers (UAFT) expires on December 31, 2014 and bargaining is continuing for FY16. Therefore, no request will be included in the budget until a collective bargaining agreement has been negotiated and ratified for this unit, and approved by the Board of Regents.

#### **Utility Cost Increases**

#### (GF: \$3,100.0, NGF: \$1,600.0, Total: \$4,700.0)

This request covers the projected FY16 utility and fuel oil cost increases, estimated at a 8.5% increase over FY15 and base funding to cover prior year increases. The FY15 and FY16 increases are expected to be partially offset through a utility fuel trigger mechanism and, if necessary, a request for supplemental funding will be considered.

#### **Facilities Maintenance and Repair**

#### (GF: \$2,028.5, NGF: \$2,028.5, Total: \$4,057.0)

UA's annual maintenance and repair is calculated as a percentage of current building value, plus a component that accrues directly with building age. Each university annually dedicates a portion of its operation budget to facilities maintenance, often referred to as M&R. As the deferred maintenance and renewal/repurposing backlog continues to grow, the amount of funding necessary to maintain buildings increases and more M&R has to be used unprogrammatically to cover unforeseen deferred maintenance costs that cannot be deferred any longer without risking safety or localized mission failure. This request also transitions the one-time funding received in FY15 to base funding.

### New Facilities Estimated Operating Costs (GF: \$2,742.8, NGF: \$1,652.0, Total: \$4,394.8)

#### o UAA Alaska Airline Center

(GF: \$1,120.0, NGF: \$0.0, Total: \$1,120.0)

This facility became operational in the summer of 2014. This request covers the remaining unfunded operating, maintenance, and programming costs associated with this 197,000 gross square foot facility.

#### **O UAA Engineering and Industry Building**

(GF: \$1,622.8, NGF: \$0.0, Total: \$1,622.8)

The facility is scheduled to be operational as of July 2015. This request covers the additional operating, maintenance, and programming costs associated with this 81,500 gross square foot facility.

#### UAA Engineering Building Parking Garage

(GF: \$0.0, NGF: \$902.0, Total: \$902.0)

The facility is scheduled to be operational as of fall 2015. This request covers the additional operating, maintenance, and programming costs associated with this 204,000 gross square foot facility.

#### UAF Alaska Satellite Facility (ASF) - Richardson, Seward Ship Office & CTC Hangar Non-General Fund O&M Requirement

(GF: \$0.0, NGF: \$750.0, Total: \$750.0)

Receipt authority needed to support activity at each of the ASF-Richardson, Seward Ship Office and CTC Hangar facilities. This increment covers working capital costs and O&M.

#### **Unfunded Federal Mandates**

(GF: \$482.2, NGF: \$0.0, Total: \$482.2)

#### **Output** Output Output

(GF: \$105.0, NGF: \$0.0, Total: \$105.0)

The State of Alaska has the highest rate of sexual and domestic violence in the country. As such, these challenges affect a significant portion of the UAA campus communities, given its geographical location in the state. Title IX mitigates the detrimental effects of these challenges, as required by law, by conducting promotes, fair and impartial investigations and works to remedy the effects of harassment and preventing the recurrence. Investigators include but are not limited to allegations related to dating violence, gender discrimination, sexual violence, sexual harassment, domestic violence and stalking on UAA's campuses. Title IX works to return complainants of such violations to their pre-incident status as well as provide mandated training and preventative programming creating a zero-tolerance environment and culture of reporting all instances of discrimination without fear of reprisal. It's equally important for Title IX team to build partnerships with UPD, APD, STAR, AWAIC, Green Dot, etc., to serve as leadership in addressing gender discrimination and violence in Alaska.

The request will position the institution to have a dedicated full time person to serve as "Gatekeeper" for Title IX compliance with reporting to the Director, Office of Campus Diversity & Compliance. Federal requirements are increasing and the establishment of an additional FTE staff will maximize the institutions ability to address OCR requirements, educate constituents of their rights/ responsibilities and take necessary steps to prevent the recurrence

#### **Output** Output Output Description: UAF Title IX Compliance Coordinator

(GF: \$105.0, NGF: \$0.0, Total: \$105.0)

This request will support a Title IX position, to prevent/respond to campus sexual harassment issues, required to meet federally recommended levels and compliance standards and provide funds to support travel to rural campuses.

#### **Output** Output Output

(GF: \$100.0, NGF: \$0.0, Total: \$100.0)

This request will create a formal program at UAS to respond to allegations related to dating violence, gender discrimination, sexual violence, sexual harassment, domestic violence and stalking on UAS campuses. This program will allow UAS to more effectively meet federally recommended levels and compliance standards and provide funds to support travel to rural campuses.

The State of Alaska has the highest rate of sexual and domestic violence in the country. As such, these challenges affect a significant portion of the UAS campus communities and distance education locations off campus. Title IX mitigates the detrimental effects of these challenges, as required by law, by conducting promotes, fair and impartial investigations and works to remedy the effects of harassment and preventing the recurrence. Federal requirements are increasing and the establishment of full-time position will maximize the institution's ability to address OCR requirements, educate constituents of their rights/ responsibilities and take necessary steps to prevent the recurrence. In ensuring a safe campus for employees, students and the public, this effort impacts the UAS core themes of Student Success, Teaching and Learning, and Community Engagement.

The provisions of Title IX and related regulations are specifically intended to ensure that students are able to succeed (student success) and that the teaching and learning may take place in an environment free from violence, discrimination and harassment. These issues inherently impact and are impacted by the local community. Responding to these issues will involve a coordinated approach between the campus, local law enforcement, community support organizations.

#### **UAS Disability Support Coordinator**

(GF: \$82.2, NGF: \$0.0, Total: \$82.2)

Students seeking accommodations for disabilities are one of the fastest growing sub-populations at UAS. On the Juneau campus, there has been a 73% increase in requests from 2009 to 2012. This request for ongoing funds will replace the one-time funding provided by the Alaska legislature in FY15.

Federal ADA guidelines now require Disability Services Offices to start the process of accommodation for students with disabilities before official documentation is provided. This significantly increased the workload in the Disability Services Office, and UAS anticipates that e this upward trend will continue in future years. Currently UAS has a .5 FTE professional staff dedicated to providing this service. In order to meet student need, and additional 1.0 FTE is needed. The campus has been utilizing temporary hires to meet current need.

This position will assist in building retention among students with disabilities: Increase both credit hours and completion rates; Engage students upon their entry to the UA System and give them a solid basis from which to determine what program of study they need and the tools with which to complete the program. Align with three UAS strategic plan core themes: Student Success: Directly provides necessary services for successful completion of courses; Teaching and Learning: By connecting students with disabilities prior to the beginning of their classes Disability Support is able to connect with both the student and their instructors to confirm any additional support needed; Community Engagement: UAS' Disability Support works with other local Disability Support agencies such as REACH, SAIL, and DVR to ensure that students get the best assistance available.

#### **Output** Output Communication Access for Students with Disabilities

(GF: \$90.0, NGF: \$0.0, Total: \$90.0)

Since FY13, UAA Disability Support Services (DSS) has experienced dramatic increase in the demand for communication access as a disability related accommodation. DSS' interpreting services budget is funded to provide approximately 3,000 billable hours annually. Whereas, in FY14, DSS provided 5,363 billable hours creating \$80.3K deficit. The reasons for the significant demand and cost escalation is: (1) increased rates among contract service providers, (2) retaining

#### FY16 Operating Budget Request Items (continued)

more students into upper division courses, (3) and more students taking distance learning courses and increased instructor required out—of-classroom learning activities, which require greater individualized interpreting time and therefore expense. As seen nationally, the increased demand for interpreting services is expected to continue.

Providing reasonable accommodation for otherwise qualified students with documented disabilities is a requirement under Section 504 of the Rehabilitation Act of 1973 and the Americans with Disabilities Act of 1990. UAA DSS is charged with providing academic adjustments for all qualified students with documented disabilities who make reasonable requests for accommodation.

While DSS provides a wide range of support services, some of the accommodation needs of the Deaf and Hard of Hearing population have an especially dramatic impact on budget. Most students with hearing loss require real-time communication access strategies, which are most often American Sign Language (ASL) Interpreters. Interpreters typically work in pairs, with each well-credentialed independent contractor earning \$50 per hour with minimum hour requirements regardless of assignment.

Funding is requested to ensure UAA Disability Support Services meets it's federally mandated obligations to students who are Deaf or Hard of Hearing. Failure to adequately fund appropriate and timely accommodation of students puts the institution at risk for violation of the law. This request clearly contributes to Shaping Alaska's Future Theme 1: Student Achievement and Attainment as students with disabilities will not be successful in their academic pursuits if the institution is not able to mediate barriers by implementing appropriate accommodation in a timely manner.

## University of Alaska FY16 High Demand Program Requests by Initiative

ampus/Progran	n Title	State Approp.	Rcpt. Auth.	Total	FT	PΊ
TUDENT/TEA(						_
UAA	Rural Student Transition Specialist (RSTS)	73.0		73.0		]
UAF BBC/KU	<u> </u>	278.0		278.0	3	
UAS	Coordinator for First Year Experience	136.8		136.8	1	
UA	Concurrent (Dual) Enrollment Proposal	3,500.0	350.0	3,850.0		
UA	Teacher Recruitment, Preparation and Mentoring	3,783.6	430.0	4,213.6	11	1
UA	Degree Completion Initiative	250.0	25.0	275.0		1
	Student/Teacher Subtotal	8,021.4	805.0	8,826.4	15	3
REPARING AI	ASKA'S WORKFORCE (non-TVEP)					
UAA	Alaska Health Education Center (AHEC) System: Health Workforce Pipeline	330.0		330.0	5	1
UAA KOC	Kodiak College Maritime Industries Coordinator	103.0	20.0	123.0	1	
UAF	Meet Chemical Engineering Degree Demand to Support Alaska LNG/Oil/Gas/Refining Industries	400.0	450.0	850.0	5	
UAF	Research & Development to Support Alaska Mining Development	150.0	150.0	300.0	2	
UA	Fisheries, Seafood, Maritime Initiative	150.0		150.0	1	
UAF	Build Alaska's Undergraduate & Clinical Ph.D. Psychology Program	200.0	100.0	300.0	2	
UAF	Develop Film Industry Workforce	232.0		232.0	3	
	Preparing Alaska's Workforce Subtotal	1,565.0	720.0	2,285.0	19	1
NE-OFFS	1 0					
UAA	Innovation and Commercialization Prototype Development	100.0		100.0		
UAA	Center for Alaska Native Education Research	100.0		100.0	1	
UAF	Complete the Establishment of the Collaborative 2+2 Alaska Veterinary Medicine Program with Colorado State University	200.0	241.0	441.0	3	
UAF	Establish Core Infrastructure for Continued Unmanned Aircraft Systems Operations and FAA Test Project	570.0	1,000.0	1,570.0	4	
UAF	Improve Understanding of Ocean Acidification	227.0	65.0	292.0	2	
UAF	Engage Alaska's Participation in Arctic Policy	200.0		200.0	2	
UAS	Assistant Professor of Biology-Fisheries	77.0	19.3	96.3	1	_
	One-Offs Subtotal	1,474.0	1,325.3	2,799.3	13	
		11,060.4	2,850.3	13,910.7	47	4
UAF UAF UAS	Establish Core Infrastructure for Continued Unmanned Aircraft Systems Operations and FAA Test Project Improve Understanding of Ocean Acidification Engage Alaska's Participation in Arctic Policy Assistant Professor of Biology-Fisheries One-Offs Subtotal	22° 200 7° 1,474	7.0 0.0 7.0 4.0	7.0 65.0 0.0 7.0 19.3 4.0 1,325.3	7.0 65.0 292.0 0.0 200.0 7.0 19.3 96.3 4.0 1,325.3 2,799.3	7.0 65.0 292.0 2 0.0 200.0 2 7.0 19.3 96.3 1 4.0 1,325.3 2,799.3 13
ASKA'S WORKFORCE (Continue on Cur Meeting Alaska's Strong Demand for Jobs in		139.5	2,	,850.3	,850.3 13,910.7	
	Dietetics & Nutrition Education					
AA	Diagnostic Medical Sonography	121.5		121.5	1	_
JAA	Dental Programs and Functions	77.0		77.0	1	_
UAF BBC	Support Growing Nursing Program at Bristol Bay	60.0	30.0	90.0	1	
UAF	Meet Rural Construction Trades Program Demand	54.0	25.0	79.0	1	
UAF	Meet Alaska Seafood Processing & Training Demand in Kodiak (FSMI)	113.0	135.0	248.0	1	_
	2 Training Domain in Routan (1 DIVII)	115.0	155.0	2.0.0		

#### **FY16 High Demand Programs**

(GF: \$11,060.4, NGF: \$2,850.3, Total: \$13,910.7)

#### Student/Teacher

(GF: \$8,021.4, NGF: \$805.0, Total: \$8,826.4)

#### **Output** Output Output

(GF: \$73.0, NGF: \$0.0, Total: \$73.0)

The first-to-second year retention rate among UAA's Alaska Native students (49% in FY12) is 20% lower than the institution's overall (68% in FY12) first-time degree-seeking student retention rate.

Starting in fall 2012, UAA piloted a new rural student transition program through a generous donation from the Eyak Corporation, to ensure prospective rural college bound students were positively connected to UAA's enrollment and advising services beginning in their junior year of high school. The RSTS works as a one-stop liaison with these students from first point of interest through to their second year of college. The RSTS establishes and sustains community-based relationships with rural Alaska school districts, school counselors and high school students to support the recruitment and initial transition into college. The RSTS provides individual support to students in areas of transition including housing, financial aid, academic advising, registration, orientation, and peer-to-peer campus connectedness.

The RSTS program was successful within the first year of the program. The first cohort of program participants (fall 2012) had a retention rate of 57% from fall 2012 to fall 2013, 8% higher than their Alaska Native non-program participant peers. The primary objective for the RSTS program is for the RSTS liaison to proactively guide participants into their second year of college by creating and sustaining meaningful connections between the student and support services at UAA.

The RSTS contributes to UA Shaping Alaska's Future Theme 1 (Student Achievement and Attainment) by increasing retention rates and college access to Alaska Native and rural students.

#### UAF Comprehensive Rural Student Advising

(GF: \$278.0, NGF: \$0.0, Total: \$278.0)

This request for ongoing funds will replace the one-time funding provided by the Alaska Legislature in FY15. UAF rural campuses deliver place-based courses that allow students to receive training in or near their home community. "Gatekeeper" courses such as Developmental Mathematics and Developmental Science can be offered in a format that allows remedial students to complete their developmental work more quickly and move into a degree program. This project supports two student advisors to be housed at the Bristol Bay and Kuskokwim Campus. A Research Specialist will also be supported to perform degree audits, so that student advisors can contact non-completing, degree-seeking students to encourage them to complete their degree. The Research Specialist will be located in Fairbanks, in the Office of the Vice Chancellor for Rural Community & Native Education, in order to best serve all rural campuses across the state.

#### UAS Coordinator for First Year Experience

(GF: \$136.8, NGF: \$0.0, Total: \$136.8)

This request for ongoing funds will replace the one-time funding provided by the Alaska Legislature in FY15. The first year experience (FYE) Advisor will work with faculty and staff to target classes, events and programs to involve the first time student in a variety of experiences. In addition, this position will teach classes within the residence hall facility specifically tailored to first year students.

The FYE Advisor supports first year students through mentorship, programming, and significant interaction within the first year residence hall and campus events. UAS currently has a limited FYE program. The opening of the new 120-bed freshmen residence hall in fall 2014: Provides an excellent opportunity to build a FYE program among the freshmen residents, commuter freshmen and transfer and exchange students. A First Year Experience Advisor will assist in engaging these students in their programs and the university as a whole.

#### UA Concurrent (Dual) Enrollment Proposal

(GF: \$3,500.0, NGF: \$350.0, Total: \$3,850.0)

High school student concurrent enrollment (earning high school credit and college credit simultaneously by completing a university course) at UA will increase Alaska's college going rate and decrease student indebtedness according to well documented national data. Tech-prep, college courses offered within the high schools are currently fee based and would not be included in this proposal.

State income per capita is strongly associated with the proportion of the population with a postsecondary credential and that relationship has strengthened over the past 30 years. Alaska has not kept pace with other states on the proportion of the population with postsecondary education attainment. Many states have made progress in increasing postsecondary attainment through concurrent (dual) enrollment programs for high school students. For example, Colorado students who take dual enrollment classes are twice as likely to complete college. Washington State's Running Start initiated by the Legislature as a component of the 1990 parent and student Learning by Choice Law allows students in grades 11 and 12 to take college courses at community and technical colleges, and several universities. Running Start Students and their families do not pay tuition, but they do pay college fees and buy their own books, as well as provide their own transportation. Students receive both high school and college credit for these classes and therefore accelerate their progress through the education system. The exercise of that right is subject only to minimal eligibility and procedural requirements, which are spelled out, in state administrative rules.

#### **Output** UA Teacher Recruitment, Preparation and Mentoring

(GF: \$3,783.6, NGF: \$430.0, Total: \$4,213.6)

This increment request supports the Shaping Alaska's Future theme Productive Partnerships with Alaska's Schools. Its specific purposes are fivefold:

- Increase the high school to educator pipeline by creating a cohort based Alaska Native Teacher Education Program (ANTEP) that results in more Alaska Native paraprofessionals and certified teachers.
- Implement a program for well-prepared Alaskan education paraprofessionals to become certified teachers.
- Improve the quality and collaboration of teacher preparation programs across the state, especially in preparation for new Council for the Accreditation of Education Programs (CAEP) requirements and in helping students improve mathematics and reading success
- Strengthen the Alaska Teacher PlacementProgram using data and analytical feedback to improve teacher placement.
- Provide highly qualified teacher mentoring and administrative coaches to reduce the turnover of new teachers and administrators and help them be effective faster, especially in rural Alaska. International (Finland) and national data credits these as being a major factor in teacher retention.

#### FY16 Operating Budget Program Descriptions

\$4.3M would be distributed as \$1M ANTEP (placeholder amount), \$0.5M paraprofessional program, \$1.5M teacher prep, \$100K ATP, and \$700K ASMP/Admin Coaching. NGF is estimated at 10% of GF

#### **Output** Output Output Description Output Description Output Description De

(GF: \$250.0, NGF: \$25.0, Total: \$275.0)

Target: Previous undergraduate students who stopped out and have not enrolled in any UA courses since the prior spring and are within 30 credits of an associates or bachelor's degree. Goal: Continue and expand the successful implementation of several ongoing degree completion programs at UA - including: the Kodiak Homestretch Scholarship, the Kenai River Campus Scholarship, the Kachemak Bay KPC Final Push Scholarship, Kuskokwim Studentship Completion Campaign, Ketchikan the Homestretch Scholarship and at Fairbanks the Ididadegree Scholarship. Provide funding for additional degree completion programs at other UA campuses. Objectives: Increase UA completion graduation rates among the Alaskan population with significant college credit by encouraging students to return to UA and complete a degree.

Facilitate the processes from admittance to fee payment for students by making appropriate referrals for learning and financial assistance. Provide comprehensive advising to students receiving the scholarship award and track their progress towards degree. Encourage students to add the Associate of Arts degree to their baccalaureate program for returning students or as a terminal goal for students who do not wish to complete a bachelor's degree. Consider reverse transfer where appropriate.

Evaluation: A year-end reports including student identified, contacted, admitted, and enrolled will be produced. Students receiving support will be expected to sign a statement of agreement outlining the privilege of being chosen for the program. These students will be tracked using comprehensive advising methods and their academic progress will be included in the report.

### Preparing Alaska's Workforce (non-TVEP) (GF: \$1,565, NGF: \$720.0, Total: \$2,285.0)

O UAA Alaska Health Education Center (AHEC) System: Health Workforce Pipeline (GF: \$330.0, NGF: \$0.0, Total: \$330.0)

The University of Alaska Anchorage is the grantee and headquarters for the statewide Alaska Area Health Education Center (AHEC) system that oversees five, regionally-based Centers. The federal program requires this program exist within a University and be located within a School of Medicine or School of Nursing since the goal is to build and sustain a primary care workforce. Alaska AHEC is affiliated with the WWAMI School of Medicine and the UAA School of Nursing.

The AHEC performs three major functions: 1. Fills the health workforce pipeline with Alaskan high school students, 2. Manages rural clinical rotations for health programs students, and 3. Provides continuing education to current health workers for licensure maintenance. While the federal Health Resources and Services Administration establishes AHEC programs in each state; they do not sustain them. Without state funding in FY2016, Alaska AHEC is at risk of losing its rural Centers, where critical provider shortages persist: 19% for physician assistants; 10% in nursing, and 14% for physicians, respectively (2012, ACRH). Alaska AHEC exists to meet this very need and to improve provider retention rates by growing our own workforce. AHEC funding through the University of Alaska is the only means to address these needs.

#### UAA Kodiak College Maritime Industries Coordinator

(GF: \$103.0, NGF: \$20.0, Total: \$123.0)

Kodiak City is the third-largest port in the U.S. by landed value of seafood. The Kodiak region is also recognized as having the highest percentage of local resident involvement in commercial fishing. Kodiak's seafood support sector employs an estimated 1,900 workers with an average of 1,600 workers per month (McDowell Group, 2013). The Alaska Maritime Workforce Development Plan (AMWDP) (2014) reports "Vessel maintenance and repair service providers were identified by seafood harvesters as one of the primary needs to support the continued well-being of the commercial fishing industry." Yet many Alaska ports lack highly trained vessel repair technicians, which leads to increased downtime for harvesters during the fishing season; thus, resulting in lost income. Kodiak is one of those ports. Kodiak College, in response to workforce development needs identified by the AMWDP, in support of the UA's Shaping Alaska's Future, and to assist UA to fulfill the core theme of Productive Partnerships with Public Entities and Private Industries, seeks funding to support the position of Maritime Workforce Development Coordinator. This position, in collaboration with industry partners, and other UA community campuses, will develop and coordinate non-credit, shortterm, intensive, Vessel Maintenance and Repair training and workshops for delivery to the fishing industry in the Kodiak Region and across coastal Alaska. This position will also collaborate with those campuses, to bring their related intensive trainings and workshops to Kodiak, with Kodiak College acting as a "receiver" campus.

#### UAF Meet Chemical Engineering Degree Demand to Support Alaska LNG/Oil/Gas Refining Industries

(GF: \$400.0, NGF: \$450.0, Total: \$850.0)

This increment is one part of a planned partnership to build a Baccalaureate degree in Chemical Engineering (ChE) in Alaska to meet industry demand. A three-part funding approach is envisioned for this program, including: state support, chemical engineering industry funds and tuition revenue. Alaska's strong dependence upon chemical processes is integral to the petroleum and petroleum products industries, energy conversion processes, and minerals processing needs to be supported by chemical engineers with fundamental appreciation for, and experience with, living in Alaska. Currently all chemical engineers working in, or on projects for, Alaska are held by those who are either educated outside of Alaska or hold degrees in allied but not directly specialized chemical engineering disciplines. A Bachelor of Science (BS) Chemical Engineering program will create a highly trained workforce to meet existing and future needs in Alaska. UAF already offers many of the courses necessary for an accredited ChE program. To develop and offer the remaining six necessary courses, and to have sufficient teaching faculty to meet anticipated enrollment growth if this new degree option is offered in-state, state funding will support three full-time, tenure-track, chemical engineering faculty, three half-time research faculty (each with some instructional responsibility as well as student research leadership), quarter-time assignments for existing faculty to the ChE program, and part time administrative and minimal professional development support. These faculty will provide instruction, advising, and will liaise with employers of the graduates. As UAF is a nationally well-regarded research institution, these faculty will likely also secure funding for research projects relevant to industry needs and providing experiential learning opportunities for students.

#### **OUAF Research & Development to Support Alaska Mining Development**

(GF: \$150.0, NGF: \$150.0, Total: \$300.0)

The mining industry is taking off in Alaska, but many deposits are not yet economic to develop. Minerals typically occur in rural areas. When a deposit is not developed due to technical or environmental problem, it is a lost opportunity for economic development. The problems the industry currently faces and will face in the longer term are well known. UAF has an important opportunity, where a small sustained investment in problem-solving will reap big rewards. The

program will start by focusing initially on rare earth deposits, as that will help the industry toward substantial growth in Alaska, though over time work will expand to base metals (copper, zinc, etc.) and precious metals (gold). The three major challenges the mining industry in Alaska faces are: Low grade recovery - Fort Knox mine has trace amounts of gold, at grades of 0.5 parts per million. This is true of many mineral resources. If ore can be recovered at lower grades economically, projects like in Livengood, Alaska, become more viable, while mines like Fort Knox can remain open longer. In the short run, the national interest is focused on rare earths, which normally occur in low grades, such as the Bokan Mountain deposit in southeast Alaska. Water use minimization - Water is a valuable resource in the state, and in somewhat short supply in the most northern latitudes. Even where water is plentiful there is public concern about mining industry water use harming salmon spawning or migration. Therefore, like in other places around the world, minimizing use of water is highly desirable. Remediation - Remediation starts at the point of mining. The goal is to look at the whole chain and not just at the very end. The remediation and low grade recovery efforts need to be in tandem, so that the developed recovery techniques will result in the lowest environmental impact, making remediation easier. Funding is requested for two research fellows, whose research in these areas will be guided by engineering faculty and the Director of the Mineral Industry Research Laboratory. The research and academic products will educate the public on the technical possibilities and challenges, allowing them to make educated decisions on resource development topics. The program is also likely to yield intellectual property, which can provide income for the university.

#### o UA Fisheries, Seafood, Maritime Initiative

(GF: \$150.0, NGF: \$0.0, Total: \$150.0)

UA will be able to develop aligned investment as called for by the Alaska Maritime Workforce Development Plan, recently endorsed by the Alaska Workforce Investment Board. This model replicates the successful working model achieved by the College of Health at UAA. The 3 universities and community campuses in conjunction with the Alaska Health Workforce Coalition, will work closely to address priority workforce shortages based on UA industry engagement. The potential for this investment is a game changer for students seeking career pathways and employment opportunities in the seafood harvesting and processing, maritime support, transportation and management sectors. This request is also based on the TVEP budget allocation for the facilitation and development of the Alaska Maritime Workforce Development Plan.

#### o UAF Build Alaska's Undergraduate & Clinical Ph.D. Psychology Program

(GF: \$200.0, NGF: \$100.0, Total: \$300.0)

This request supports undergraduate programs in psychology; graduates from these programs often find work in community health and social services programs in Alaska. This request also supports the UAF clinical training component of the UAA-UAF Joint Ph.D. program in Community-Clinical Psychology; high-quality clinical training is needed for the Ph.D. program to retain accreditation (American Psychological Association) and for graduates to become licensed for clinical practice. The Ph.D. program emphasizes training for individuals to work with rural and indigenous populations and communities; clinical psychologists are in short supply in Alaska, particularly outside urban areas.

#### UAF Develop Film Industry Workforce

(GF: \$232.0, NGF: \$0.0, Total: \$232.0)

The UAF Film program in the College of Liberal Arts is the only University of Alaska Film degree and its enrollment is growing rapidly. CLA continues to develop tech-prep opportunities for Alaskan High School students, and have partnered with Prince William Sound to articulate it's AA to UAF's BA. Film is committed to working with K-12 schools, bridging programs with UAF. This includes production of educational videos for the North Slope Borough School District and the Math in a

Cultural Context program, as well as educational videos for the International Polar Year. The Alaska Legislature initiated growth of the Alaska Film industry with tax incentives. The Film program is dedicated to helping sustain this growth with a qualified workforce. In order to fully meet the demands of the film industry, and for more Alaskans to be employed, this increment will help to increase the number of trained individuals present in the state. UAF students have successfully been placed on film and television crews with Universal Pictures, National Geographic, Discovery Channel, Animal Planet, Nova, CNN, Sundance Film Institute, Lock and Monkey, Treehead Films, Native American Public Telecommunications, and Original Productions, representing hundreds of hours of programming featuring Alaska in the national spotlight. Film students work in documentary, educational, corporate, commercial and narrative film projects during their time as students, often in conjunction with professional film production crews. Through a multiplicity of digital technologies, students develop skills, industry contacts and hands-on experience that routinely lead to paid positions in the film industry. Dedicated funding of this program will enable students to consistently reach their goals with experienced faculty, internship opportunities and on-the-job training programs while providing UAF staff and student support positions, and technologically relevant equipment.

#### **One-Offs**

(GF: \$1,474.0, NGF: \$1,325.3, Total: \$2,799.3)

#### o UAA Innovation and Commercialization Prototype Development

(GF: \$100.0, NGF: \$0.0, Total: \$100.0)

UAA's new commercialization structure has led to a significant increase in intellectual property (IP) and the formation of UAA's first startups. In August 2012 the VPRGS created a structure that was approved by the University of Alaska Board of Regents to leverage faculty and student research for economic growth, build successful start-ups domiciled in Alaska, partner with existing companies, and use commercialization to attract and retain innovation leaders, and investors to Alaska. This led to the formation of Seawolf Holdings, LLC, to provide a corporate interface between UAA and its enterprise companies. It has a world-class board of directors with the VPRGS as the President. Also Seawolf Venture Fund, LP was formed to provide early stage funding to startups created by UAA and its affiliates. To inspire innovation the VPRGS established the Innovate Awards, which have achieved over a 3:1 ROI from external research funding, and the Patent Wall of Fame. These together with the commercialization structure have contributed to a significant growth in UAA's IP since FY 11. UAA now has a total of 36 invention disclosures (up from 3 in FY11); 14 patents pending (up from 1 in FY 11); and 4 patents issued (up from 1 in FY 11). Also, UAA's first two start-up companies were formed in in 2013 – Zensor, LLC; and CFT Solutions, LLC; and UAA started to receive revenue from a license agreement (\$16K to date). More opportunities are in development.

To leverage this growth and maximize its contribution to economic development requires building prototypes. These are often required for a licensing agreement; and are necessary for investment in a startup. Not having the funding for prototype development can hinder this significant growth in innovation that is a critical element to Alaska's economic development. Therefore, we request \$100K to cover the cost of materials, and labor for prototype development, and fees for organizations to broker licensing deals. In the states with the most innovation and successful economic development (REF: "Life Sciences Cluster Report," Jones Lang Lasalle), universities play a key role and are funded by the state to do so. Universities are a good investment for economic growth – ref. 2012 AUTM report – they provided \$36.8 billion in product sales in 2012 and their startups were up 13.8%. Alaska can leverage UAA's commercial base for economic growth, to attract & retain talent, companies and investors.

#### **Output** Output Output

(GF: \$100.0, NGF: \$0.0, Total: \$100.0)

This Center is dedicated to the belief that a better future for Alaska Native peoples requires a transformation of current educational systems. Alaska Native cultures, societies, organizations and peoples bring thousands of years of knowledge, insights and understandings about the lands, waters, and dynamics of Alaska. A transformation of the educational systems for Alaska Native students requires integration and valuing of Alaska Native cultures and languages from preschool to graduate school. The Center will serve as a space where graduate students, faculty, researchers, Alaska Native leaders and others dedicated to Alaska Native education and pedagogy can gather to imagine and shape systemic change through:

- Promoting a better understanding of the opportunities and challenges for Alaska Native education.
- Conducting useful and timely research on issues related to Alaska Native education and disseminating the results of that research.
- Collecting and developing curricula for Alaska Native peoples, cultures and organizations that address perspectives, challenges, and issues.
- Advocating for educational initiatives, ideas, and programs that will benefit Alaska Native education and the education of indigenous peoples worldwide.
- Offering opportunities for graduate study for Alaska Native students.
- Completing policy papers to better inform the direction and practice of Alaskan educators, politicians and policy makers.

The Center has supported five graduate students this past year and together they have presented at local and national conferences, written papers for journals, essays for a book chapter and met with AK state senators and legislators to help lobby for the AK Native Language Bill (HB 216). Graduate students are working on individual research projects ranging from Native language instruction to Native identity in the urban setting. Funding will support graduate student tuition waivers and partial salary for an Assistant Director.

#### UAF Complete the Establishment of the Collaborative 2+2 Alaska Veterinary Medicine Program with Colorado State University

(GF: \$200.0, NGF: \$241.0, Total: \$441.0)

Throughout the state, there is demand for veterinarians who understand the unique needs of Alaska's pets and farm and work animals. In addition, Alaska's young people are eager to pursue a career in veterinary medicine but face challenges because veterinary programs in the Lower 48 usually have a strong preference for in-state students. To address that need, UAF formed a partnership with Colorado State University (CSU) that will allow students to complete their undergraduate veterinary education plus the first two years of their professional program at UAF. Students will complete their final two years at the veterinary teaching hospital at CSU. The Legislature provided some initial funding to hire program administrators to design the program in FY14. This request is for the remaining funding needed for faculty to teach courses scheduled to begin in fall of 2015. This program will address both Alaskan workforce needs and a specialized education that will appeal to many of Alaska's students.

<sup>15</sup> 312

#### UAF Establish Core Infrastructure for Continued Unmanned Aircraft Systems Operations and FAA Test Project

(GF: \$570.0, NGF: \$1,000.0, Total: \$1,570.0)

This increment would support both the Alaska Center for Unmanned Aircraft Systems (ACUASI) and a workforce training position at the Community and Technical College (CTC). ACUASI provides science, research, and test and evaluation services and support to the unmanned aircraft system (UAS) user and manufacturer community with the operational infrastructure built in large part with seed funding from the previous one-time capital investment from the State of Alaska Legislature. It is anticipated that ACUASI will be able to seek user reimbursement for many of the costs associated with system development/integration, data product development and test flight services it provides. However, management and outreach is generally not fully funded by project sponsors, and is a necessary requirement for successful operation, continuation, and growth of the UAS program. The funding requested will provide the necessary management and business development to ensure the continued success of the UAS program. The bulk of the funding in this increment would go toward providing partial base support for ACUASI's high-profile operations and four employees. This increment is a complimentary proposal to an additional one-time capital request for key projects, submitted separately. Funds from this increment would also be used to fund an additional faculty member in CTC's Aviation and Maintenance Technology Program to develop and deliver a new occupational endorsement qualifying individuals to serve as UAS technicians. It is anticipated that the UAS industry will grow rapidly in Alaska, with one likely hub in Fairbanks, and this new program will meet workforce demand.

#### o UAF Improve Understanding of Ocean Acidification

(GF: \$227.0, NGF: \$65.0, Total: \$292.0)

This is an ongoing extension of the ocean acidification capital research funding received in FY13 for assessing the impact on Alaska's fisheries. Climate change and ocean acidification are especially acute in Alaska's waters and have the potential to affect the State's marine resources. UAF lacks an Alaska based faculty member with expertise in this critical field of research who is committed to education. UAF's oceanography department is the sole State entity conducting research and disseminating knowledge through its academic program and public service. This request seeks funding for a tenure-track faculty that would add expertise to situate UAF as a recognized leader in ocean acidification research and education with the potential to attract bright students and researchers. This position will contribute to the existing academic programs and research in oceanography, marine biology, and fisheries.

#### UAF Engage Alaska's Participation in Arctic Policy

(GF: \$200.0, NGF: \$0.0, Total: \$200.0)

Building upon decades of investment in, and demonstration of excellence and leadership in Arctic research and scholarship, UAF, America's Arctic University, will establish the Center for Arctic Policy Studies (CAPS). The Arctic and Alaska are drawing more regional, national, and international attention and investment. As the Arctic becomes more important geopolitically, Alaska must strategically, purposefully, and quickly build upon existing expertise and leverage infrastructure to focus on the pressing and important issues facing Alaskans and the citizens of the North. CAPS will be closely affiliated with the University of the Arctic Institute for Arctic Policy – a circumpolar initiative lead by UAF and Dartmouth College. The Alaska Arctic Policy Commission (AAPC), created to investigate and address the rapid physical, social, economic and cultural changes occurring throughout the state and the Arctic, identified critical issues in need of further research, action and implementation. CAPS will draw upon expertise at UAF, the University of Alaska, state agencies, as well as national and international experts to inform, influence, and assist in making actionable those recommendations found in the AAPS 2014 report. These areas include: Governance and Indigenous

Perspective, Science and Research, Planning and Infrastructure, Oil, Gas, and Mineral Resources, Security and Defense, Marine Transportation, Search and Rescue/Oil Pollution, Energy and Power, Fisheries and Wildlife. Further, CAPS would serve as Alaska's, and the nation's, central policy center on current and emerging Arctic issues. By doing so, CAPS will serve as a resource for the state of Alaska, state legislators, and industry on relevant and timely issues. Additionally, CAPS will provide critical outreach and communication functions to ensure Alaskans are appropriately aware of, and engaged in issues that will impact them far into the future.

#### UAS Assistant Professor of Biology-Fisheries

(GF: \$77.0, NGF: \$19.3, Total: \$96.3)

This Juneau-based faculty position is intended to advance the proposed joint offering by UAS and UAF of the Bachelor of Science and Bachelor of Arts degrees in Fisheries. UAF currently offers both degrees. The proposed joint degree program is under active consideration; the expectation is that UAS could join UAF in offering these degrees effective fall semester 2015. While proposed as a UAS faculty position, our expectation would be that it will be a joint position with UAF. The joint offering of these undergraduate Fisheries degrees will advance Shaping Alaska's Future goals by expanding collaboration between UA universities to promote student success, increase degree attainment, support faculty collaboration, and leverage scarce resources. The joint offering of these degrees will expand instructional opportunities by combining face-to-face instruction with innovative online course delivery shared between UAF and UAS. Offering undergraduate fisheries degrees at UAS capitalizes on strong student interest in fisheries in Southeast Alaska, on the prominent role of marine fisheries in the region's economy, and on the exceptional instructional opportunities at UAS for fisheries instruction. A goal of this joint degree offering is not only to increase the number of undergraduates completing a degree in fisheries but also to increase the number of students entering into UAF graduate programs.

### Preparing Alaska's Workforce (Continue on Current UA TVEP Funding) (GF: \$565.0, NGF: \$190.0, Total: \$755.0)

### • UAA Meeting Alaska's Strong Demand for Jobs in Healthcare through Dietetics & Nutrition Education

(GF: \$139.5, NGF: \$0.0, Total: \$139.5)

The University of Alaska offers Alaskans the only in-state opportunity to pursue a Dietetics and Nutrition education track that leads to a Registered Dietitian (RD). In FY10, TVEP funding was secured to develop a Dietetics and Nutrition program at UAA, which has since proven to be a sound investment of this start-up funding. UAA now has over 125 Dietetics or Nutrition majors and these programs not only support demand for Registered Dietitians, but also deliver required nutrition courses to support a variety of programs, including Nursing, Early Childhood Development, Public Health, Dental Hygiene, Medical Laboratory Technology, Health Physical Education Recreation, and Hospitality Restaurant Management. According to the Alaska Dietary Association, there will be a continued need for up to 24 Registered Dietitians per year in Alaska. Additionally, the State of Alaska Department of Labor and Workforce Development anticipates steady growth of employment in dietetics, while the state's healthcare industry continues to identify demand for registered dietitians. To continue to meet the industry demand and accommodate expanding program enrollments and healthcare majors across the system, it is a top priority to fund a full-time faculty for this program with state general funds.

<sup>17</sup> 31

#### UAA Diagnostic Medical Sonography

(GF: \$121.5, NGF: \$0.0, Total: \$121.5)

Diagnostic Medical Sonography (DMS), also referred to as ultrasound, is a diagnostic medical procedure that uses high frequency sound waves to produce dynamic visual images of organs, tissues, or blood flow inside the body.

In February 2008 the UAA Advisory Committee for Medical Imaging Sciences met and discussed the need for a DMS program within the state. The US DOL Occupational Outlook projects DMS at a 44% increase in employment for the timeframe 2010-2020; average growth rate during this time for all occupations is 14%. The advisory committee identified the need for a DMS program in Alaska as a high priority, and the 2009 Alaska Health Workforce Vacancy Study also reported a 14% vacancy rate for ultra-sonographers in Alaska hospitals. Estimated vacancy rates for ultra-sonographer positions were far higher for the rural respondents (20%) than urban (12%), with a 75% vacancy rate reported in Southeast Alaska.

Supporting 'Shaping Alaska's Future' theme 3: "Productive Partnerships with Public Entities and Private Industries', the DMS directly responds to the university's health care industry partners' request, specifically the UAA Medical Imaging Sciences Advisory Board; it addresses the mutual goal of UA and the health care industry to "grow its own" healthcare workforce. Prior to the implementation of this program, there were no ultrasound programs available in the state of Alaska; students had to travel to the lower 48 for training.

The DMS program was approved by the Board of Regents in April 2012, and received approval by Northwest Commission in June 2012, with anticipated graduation of the first cohort in August 2014. Based on the clinical rotation site capacity, the DMS program admits an 8 student cohort each fall, with each student completing over 1600 supervised hours in a clinical site. The DMS AAS program prepares entry-level workers in a high demand area, health care. The program success is measured by the number of degrees awarded; as well as the number of graduates successfully completing the national registry exam, and job placement for these graduates.

#### UAA Dental Programs and Functions

(GF: \$77.0, NGF: \$0.0, Total: \$77.0)

In May 2008, the State of Alaska Legislature passed new legislation which expanded the scope of practice for dental assistants and dental hygienists to provide restorative functions (fillings) working in collaboration with a dentist. This funding request supports advanced dental functions which are specifically needed in rural Alaska where oral health needs are highest. In addition, the Commission on Dental Accreditation (CODA) recently changed program accreditation requirements in the area of student-to-faculty ratio, decreasing from a 6:1 ratio down to a 5:1 ratio. Another factor is the recent remodel of the Dental Hygiene Clinic, which has allowed the program to increase each student cohort from 12 to 14 students.

The combination these factors, including the addition of the articulated BS degree in Dental Hygiene, all contribute to the need for this .75 FTE faculty position. In keeping with 'Shaping Alaska's Future' theme 3: "Productive Partnerships with Public Entities and Private Industries', the creation of the BS degree, the establishment of the Restorative Functions coursework, and the increase in the AAS-student cohort were all undertaken to attempt to address the need for improved dental health care for the people of Alaska. The AAS-degree program prepares entry-level professionals who work in dental clinics and offices that provide direct healthcare services to patients. The restorative courses prepare dental assistants and dental hygienists to provide services at an advanced level in an

expanded role. The BS Program provides increased employment opportunities available only to bachelor-prepared dental hygienists, and it prepares students for graduate degree programs.

#### **o** UAF Support Growing Nursing Program at Bristol Bay

(GF: \$60.0, NGF: \$30.0, Total: \$90.0)

The Bristol Bay Campus Nursing Program is a very popular degree program and there is presently a waiting list for admission. Significant investment is being made for additional clinical lab space to help insure quality instruction and a quality learning environment. Producing more nursing graduates will help meet employer needs and fill the increasing statewide demand for nurses, specifically nurses for rural Alaska. This increment will support a portion of existing nursing faculty that is current supported by diminishing grant funding.

#### UAF Meet Rural Construction Trades Program Demand

(GF: \$54.0, NGF: \$25.0, Total: \$79.0)

The UAF Interior-Aleutians Campus is requesting support for 50 percent of an Academic Program Head in Construction Trades Technology (CTT). This position will provide oversight of the CTT program as it continues to develop into a cross-regional training program with statewide delivery. This is also a teaching faculty position. This position will focus on supplying training and knowledge in constituent identified critical needs areas such as boiler installation and repair, efficient energy systems, and alternative energy generation. Enrollment has averaged 40 students per year, currently limited by the availability of appropriate rural construction projects for the hands-on training component and funds to hire and support faculty in remote locations. The Construction Trades program's students are mainly Alaska Native men, so this position would improve educational access and equity for students in rural communities.

### O UAF Meet Alaska Seafood Processing & Training Demand in Kodiak (FSMI) (GF: \$113.0, NGF: \$135.0, Total: \$248.0)

The seafood industry in Alaska employs 40,000 individuals, produces 60 percent of the nation's seafood and is valued at over two billion dollars per year. It is Alaska's largest private employer within the state. The seafood processing industry in Alaska, in partnership with other maritime sectors, recently completed the Alaska Maritime Workforce Development Plan, supported by the state's Alaska Workforce Investment Board in May and by the Board of Regents in June. The University of Alaska facilitated development of the Plan through its cross-campus initiative called the Fisheries, Seafood and Maritime Initiative (FSMI). The Initiative supports the growing critical need of these industries for educated and trained Alaskans to support life-long careers in the state's largest private source of employment. The initiative is compatible with UA's Shaping Alaska's Future both by creating productive partnerships with Alaska's public and private industries and building and sustaining Alaska's economic growth and communities. This proposal funds a seafood specialist faculty member who will deliver two intensive, hands-on training programs at the Kodiak Seafood and Marine Science Center, an existing UAF facility. Kodiak is the third most valuable seafood port in the nation, is home to 13 resident seafood companies operating 11 months each year and employs over 3,600 residents. In the Maritime Workforce Development Plan, the seafood processing industry identified nine key priority occupations in the Plan as hard to fill and needing skilled employees. The two programs envisioned in this proposal targets three of the priority occupations identified in the Plan by the seafood processing industry as a high need for skilled employees: Seafood Plant Manager, Seafood Production Manager and Seafood Quality Control and Assurance Manager and Technician. Alaska Seafood Processing Leadership Institute

The Alaska Seafood Processing Leadership Institute (ASPLI) provides technical training, leadership training and understanding of Alaska seafood in the global marketplace for the next generation of

#### FY16 Operating Budget Program Descriptions

seafood managers. ASPLI has been presented four times since 2006, each time with different funding. ASPLI has been open to all Alaska seafood processors and has served over 20 seafood companies in 18 communities in Alaska. Course fees and sponsors help support the class. The bulk of the ASPLI training takes place at the UAF Kodiak Seafood and Marine Science Center, where the seafood pilot plant, classroom and labs enable the participants to work on seafood safety, quality and processing issues. The community of Kodiak provides a logical site for seafood processors from around the state to come together to support capacity building and leadership training for this important state industry. Seafood Processing Quality Control Training Program

The Seafood Processing Quality Control (SPQC) training program is a series of technical trainings that lead to competencies related to food safety and the regulatory process needed by the seafood plant to operate in a safe and legal manner. The series is composed of ten basic technical courses. Courses will provide industry certifications in Hazard Analysis & Critical Control Points (HACCP) and Sanitation. The completion of the full suite of classes will lead to a SPQC Workforce Credential that will be valuable to an individual applying for a QC position in a plant as well as requesting advancement in a current place of employment. The SPQC is planned for hybrid delivery to meet the broad geographic needs of the industry and condensed timeline of the seafood industry. Online training modules will be developed for a subset of the classes and onsite classes will be offered at the Kodiak Seafood and Marine Science Center as well as other hub locations.

<sup>20</sup> 317

FY12-FY15 Authorized Budget by Allocation (in thousands of \$)

	FY12 BOR Authorized			FY13 BOR Authorized			FY14 BOR Authorized			FY15 BOR Authorized		
	State	Rcpt.	Total	State	Rcpt.	Total	State	Rcpt.	Total	State	Rcpt.	Tota
University/Campus	Appr.	Auth.	Funds									
Systemwide Components Summary												
Reduct's & Addt's	5,493.3	23,696.5	29,189.8	1.0	15,001.1	15,002.1	0.8	(1,498.9)	(1,498.1)	2.0		2.0
Total Syst	ra 5,493.3	23,696.5	29,189.8	1.0	15,001.1	15,002.1	0.8	(1,498.9)	(1,498.1)	2.0		2.0
Statewide Programs & Services												
Statewide Services	15,558.8	21,084.1	36,642.9	16,002.5	24,603.9	40,606.4	16,606.4	23,097.6	39,704.0	16,147.1	21,920.3	38,067.4
Office Info. Tech.	11,247.9	9,049.6	20,297.5	11,371.0	11,537.7	22,908.7	11,538.1	8,255.0	19,793.1	11,121.4	8,681.4	19,802.8
System Education & Outreach	2,970.3	7,989.1	10,959.4	3,190.6	10,551.9	13,742.5	3,023.6	8,396.4	11,420.0	3,336.4	8,854.6	12,191.0
Total S	PS 29,777.0	38,122.8	67,899.8	30,564.1	46,693.5	77,257.6	31,168.1	39,749.0	70,917.1	30,604.9	39,456.3	70,061.2
University of Alaska Anchorage												
Anchorage Campus	109,916.0	153,637.1	263,553.1	113,127.0	156,510.5	269,637.5	116,316.2	157,358.2	273,674.4	114,590.7	160,175.7	274,766.4
Small Business Development Ctr	807.2	1,834.0	2,641.2	807.2	2,109.0	2,916.2	1,163.3	2,109.0	3,272.3	1,103.4	2,109.0	3,212.4
Kenai Peninsula College	6,969.0	5,290.1	12,259.1	7,533.4	6,524.7	14,058.1	8,055.2	8,509.2	16,564.4	7,748.5	9,208.7	16,957.2
Kodiak College	2,890.8	1,581.5	4,472.3	2,927.0	1,627.3	4,554.3	3,086.8	1,942.9	5,029.7	2,848.3	3,054.8	5,903.1
Mat-Su College	4,809.1	4,694.6	9,503.7	4,944.4	5,614.7	10,559.1	5,140.2	5,764.8	10,905.0	5,444.2	5,999.2	11,443.4
Prince William Sound College	3,520.4	3,749.1	7,269.5	3,633.8	3,835.8	7,469.6	3,635.5	3,917.3	7,552.8	3,513.9	4,305.4	7,819.3
Total U	A 128,912.5	170,786.4	299,698.9	132,972.8	176,222.0	309,194.8	137,397.2	179,601.4	316,998.6	135,249.0	184,852.8	320,101.8
University of Alaska Fairbanks												•
Fairbanks Campus	114,617.3	137,577.5	252,194.8	120,013.2	142,817.5	262,830.7	125,024.0	135,876.9	260,900.9	128,101.9	143,564.4	271,666.3
Fairbanks Org. Res.	21,606.2	112,673.9	134,280.1	22,672.8	119,460.1	142,132.9	23,748.7	123,939.3	147,688.0	24,443.5	119,480.3	143,923.8
Coop. Ext. Service	4,756.8	5,774.2	10,531.0	5,062.3	6,024.3	11,086.6	5,183.0	6,145.0	11,328.0	4,499.9	6,235.9	10,735.8
Bristol Bay	1,487.4	2,274.2	3,761.6	1,531.3	2,328.6	3,859.9	1,658.7	2,452.7	4,111.4	1,610.2	2,547.5	4,157.7
Chukchi Campus	1,017.5	1,293.1	2,310.6	1,049.0	1,320.9	2,369.9	1,093.3	1,404.7	2,498.0	1,058.6	1,427.7	2,486.3
Interior-Aleut. Campus	1,928.6	3,641.2	5,569.8	2,221.5	3,988.1	6,209.6	2,273.9	4,001.5	6,275.4	2,245.7	3,540.5	5,786.2
Kuskokwim Campus	3,250.3	3,316.8	6,567.1	3,356.6	3,371.3	6,727.9	3,595.6	3,486.8	7,082.4	3,425.6	3,474.5	6,900.1
Northwest Campus	1,813.3	1,201.2	3,014.5	1,843.1	1,294.6	3,137.7	1,892.8	1,338.5	3,231.3	1,782.6	2,865.7	4,648.3
Col. of Rural & Comm. Dev.	6,078.6	7,775.0	13,853.6	6,332.0	7,037.1	13,369.1	6,508.9	5,684.0	12,192.9	6,473.7	5,149.7	11,623.4
UAF Comm. & Tech. College	6,282.2	6,539.3	12,821.5	6,538.5	7,609.1	14,147.6	6,796.6	7,805.2	14,601.8	6,575.8	7,881.2	14,457.0
Total U	AF 162,838.2	282,066.4	444,904.6	170,620.3	295,251.6	465,871.9	177,775.5	292,134.6	469,910.1	180,217.5	296,167.4	476,384.9
University of Alaska Southeast												
Juneau Campus	22,468.2	20,581.0	43,049.2	22,929.1	21,624.8	44,553.9	23,468.6	20,367.1	43,835.7	22,991.9	21,486.4	44,478.3
Ketchikan Campus	2,770.4	2,759.0	5,529.4	2,979.2	2,813.3	5,792.5	2,957.3	2,699.6	5,656.9	2,832.2	2,748.5	5,580.7
Sitka Campus	3,423.5	4,367.4	7,790.9	3,647.3	4,507.9	8,155.2	3,936.4	4,466.0	8,402.4	3,931.1	4,325.1	8,256.2
Total U	AS 28,662.1	27,707.4	56,369.5	29,555.6	28,946.0	58,501.6	30,362.3	27,532.7	57,895.0	29,755.2	28,560.0	58,315.2
<b>Total University</b>	355,683.1	542,379.5	898,062.6	363,713.8	562,114.2	925,828.0	376,703.9	537,518.8	914,222.7	375,828.6	549,036.5	924,865.1

#### UNIVERSITY OF ALASKA

### FY16 OPERATING BUDGET DEVELOPMENT GUIDELINES (revised per President Gamble 9/9/14)

#### INTRODUCTION

The University is in the midst of a major institutional directional change called Shaping Alaska's Future\*, which is our map for navigating the challenging terrain ahead, and will guide decisions about people, programs and resources at UA for years to come. Shaping Alaska's Future rests on a foundation of feedback received through listening sessions held across the state and national best practices. There are five major themes: 1) Student Achievement and Attainment; 2) Productive Partnerships with Alaska's Schools; 3) Productive Partnerships with Alaska's Public Entities and Private Industries; 4) Research & Development (R&D) and Scholarship to Enhance Alaska's Communities and Economic Growth; and 5) Accountability to the People of Alaska.

Based on both the Alaska listening sessions and a robust state and national dialogue, specific issue statements were developed within each theme that express a compelling need for action. Effect statements associated with each issue statement collectively express what UA intends to accomplish (outcomes) specific to that issue. The budget request and dialog with the governor and legislature will focus on progress toward attaining the 23 intended effects (\* see page 6 of Shaping Alaska's Future) within and across all three universities.

As part of this strategic planning process, the University will continue to look at ways of being more effective while capping unneeded growth. With the state's current emphasis on containing costs and "right sizing" and as we move forward with Shaping Alaska's Future, the request for net program growth will be much more reliant on internal offsets than on FY16 general fund increase requests. Metrics tied to Shaping Alaska's Future are beginning to indicate that the process is working. We want to avoid nipping long awaited success in the bud.

During FY16, the University's focus will continue to be on:

- Strengthening a UA culture shift to relying on more focused data to insure we can identify excellence, continuous improvement and to spark innovation.
- Streamlined efforts to move students through efficiently, successfully, and affordably.
- Improving student access and throughput using an ever-expanding e-Learning course menu.
- Specific initiatives to improve the college going rate and student preparedness for post-secondary work at UA (including student advising services with an emphasis on continuation of one-time funding received in FY15).
- Sustainment of Alaska's high-demand program areas without sacrificing program quality, and on the Governor's stated education and workforce designs.
  - Engineering
  - Fisheries
  - Mining
  - o Teacher education
  - o Health
  - Workforce Development
  - Research applied and basic research that has a strong focus on Alaska issues, such as energy, unmanned aerial systems, biomedical.

<sup>\*</sup> http://www.alaska.edu/files/shapingalaskasfuture/SAF-FINAL.pdf

- Program review and program prioritization.
- Becoming better known for quality student consideration.

#### PROGRAM PRIORITIES

Educational output, across UA in Alaska aligns well with legislative intent:

- Initiatives to help more students graduate (sooner) and contribute to Alaska's economy (faster).
- Continued partnerships with K-12 resulting in students ready to enter the UA or the workforce.
- Research that tackles pressing Alaskan and National issues that UA is uniquely positioned to address, and that have the potential to attract high interest and create a source of alternative revenue.
- Ensure college and workforce readiness. Create attractive institutional conditions to help recruit excellent students, staff, and faculty.
- Continue emphasis on efficient and effective processes... student enrollment, advising, retention, and timely completion.
- Prepare Alaskans for the State's high-demand jobs.
- Win more competitive research grants and create commercial value from UA intellectual property. Screen grant requests to recover the associated Facilities & Administrative (F&A) costs required to support the research.
- Develop a growing culture of collaboration that will continue to improve everything we do.

As usual we will continue our efforts to be transparent, responsive, and align with the public interests, conduct outreach, and pursue community engagement efforts. International opportunities will continue to be encouraged at all three universities.

#### FIXED COSTS

Fixed Costs/Administrative Requests will be developed using system wide standards. Information Technology (IT) and business process improvement initiatives will be vetted through the Information Technology Executive Council (ITEC) and other System-wide Leadership groups. As part of the fixed cost review process, each university will evaluate and improve space utilization, identifying substandard space for elimination, and follow the approval plan for new or upgraded facilities space. Program deletions and additions will continue to be vetted through the Statewide Academic Council (SAC) and approved by the Board of Regents.

#### PERFORMANCE MANAGEMENT FUNDING POOL

In the University of Alaska there is a performance funding pool, with UAA, UAF, UAS and Statewide each controlling the source and distribution of its FY16 performance funding pool, used in support of performance management. Funds are internally reallocated each year and applied in support of strategic priorities and maintaining performance. The size of the pool is determined by annual circumstances and typically represents at least one percent of general funds. Reallocations are made in support of Shaping Alaska's Future and other priorities.

#### **BUDGET ASSUMPTIONS**

The budget will be developed using the following assumptions:

• The enrollment demographics outlook is challenging. However, various strategies are being considered to minimize any net enrollment downturn, such as increasing the number of Alaska

- Performance Scholarship (APS) students attending UA, new efforts to increase retention and, thereby, increase the number of students getting to attainment and degree completion.
- Expanding cross university cooperation and collaboration (e.g., common procurement, common calendar) continues.
- Expect externally funded research activity and indirect cost recovery (ICR) to be flat to slightly
  down increasing the importance of exploring additional partnership opportunities and revenue
  enhancements.
- Expect tuition rate increases to be very modest. Expect a long overdue facility fee to be enacted.
- Compensation increases for staff and faculty will be modest...again.
- Retirement system employer contribution rates will remain at the FY15 levels.
- We will continue to look for ways to mitigate healthcare cost increases.
- Hiring will continue to undergo close scrutiny, as will vacancy management and net growth.

#### FY16 BUDGET TIMELINE

Below are key dates in the FY16 budget development process associated with BOR Action. In addition, the FY16 budget meeting with the three Universities and Statewide is scheduled for August 7th and Board members are welcome to attend.

#### June

- BOR FY15 Operating and Capital Budget Acceptance
- BOR FY15 Operating and Capital Budget Distribution Plans Approval
- BOR FY15 Natural Resources Fund Budget Allocation Approval
- BOR FY15 Student Government Budget Approval
- BOR FY16 Operating and Capital Budget Development Guidelines Approval

#### September

- BOR First Review of FY16 Operating and Capital Budgets, and Capital Improvement Plan
- President's formal budget meeting with Governor's Office of Management and Budget (OMB)

#### November

- BOR FY16 Operating and Capital Budget Request Approval
- BOR FY16 Capital Improvement Plan Approval
- Submit Board of Regents' FY16 Budget to the Governor's Office of Management and Budget (OMB)

#### **MEMORANDUM**

# STATE OF ALASKA Office of the Governor Office of Management and Budget

Date: August 1, 2014

To: Diane Barrans, Alaska Commission on Postsecondary

Education

Bryan Butcher, Alaska Housing Finance Corporation Mike Burns, Alaska Permanent Fund Corporation Craig Campbell, Alaska Aerospace Corporation Mike Cerne, Alaska Seafood Marketing Institute Dan Fauske, Alaska Gas Development Corporation Sara Fisher-Goad, Alaska Energy Authority Pat Gamble, University of Alaska Jeff Jessee, Alaska Mental Health Trust Authority

Ted Leonard, Alaska Industrial Development & Export

Authority

cc:

Administrative Services Directors

From: Karen J. Rehfeld

Director

Subject: FY2016 Preliminary

**Budget Discussions** 

In signing the FY2015 budget, Governor Parnell continued his policy direction of fiscal restraint, providing essential public services, and fixing what we have and finishing what we have started. Focusing on these budget guidelines and addressing Alaska's constitutional priorities will be the basis for budget development for FY2016.

In preparing for our upcoming budget discussions, please make specific proposals for efficiencies and savings – including repeal of policies, regulation review required by Administrative Order #266, and statutes that are no longer necessary or that add administrative cost and burden. Proposed legislation with budget impacts should also be discussed during these preliminary meetings. Also, consider opportunities to phase in programmatic changes that could result in even greater savings in the FY2017 budget and beyond.

Opportunities to restructure programs and find savings will also help reduce costs. With a record number of employees retiring over the next few years, we need to maximize the benefit of transferring their knowledge and experience to improve service delivery. Do not assume we should fill every vacant position. Any vacant position should be looked at as an opportunity to create efficiency within your department/agency by restructuring, re-evaluating, and shifting responsibilities where it makes sense. We can reshuffle duties to maximize the professional strengths of employees.

We will continue streamlining business processes and workflow to eliminate layers of bureaucracy and administrative burden, as well as improve internal policies within and between departments. These collaborative efforts will result in more efficient, effective operations for Alaskans.

Agencies – FY2016 Preliminary Budget Discussions August 1, 2014 Page 2 of 4

Department Preparation - Using RESULTS - the performance framework - to describe the budget is a powerful tool to inform the public about where their funds are being invested and what services Alaskans receive as a result. Focus on your department's mission and core services. How are resources being allocated to support agency priorities? Is the agency meeting measurement targets and objectives? Are there existing resources that could be reallocated to high priority projects and programs?

#### Operating - Scenario #1

Departments will need to be prepared to discuss reductions in state agency operating budgets – including unrestricted general fund non-formula and formula programs.

- Non-Formula programs Without a targeted, across the board agency reduction, what programs or services could your agency reduce or eliminate in order to lower the unrestricted general fund spending level in the next fiscal year? How much would be saved? What are the impacts to Alaskans in terms of receiving essential state services? For example, closure of a field office could result in a savings of \$200,000 and two full-time positions but would require that those services be provided by another office which would increase workload could impact service delivery. Provide enough information to determine what the overall impact would be.
- Reduction in federal or other funds Loss of federal or other revenue sources should be evaluated and discussed in terms of impacts to programs or services. If general funds are not available to replace the reduction in federal or other funds, what is the impact of eliminating the program?
- Formula programs—Are there changes in formula programs—administrative or statutory—that could result in unrestricted general fund savings? How much? What is the impact on Alaskans in terms of eligibility, covered services, or cost of services?

#### Operating - Scenario #2

- Hold the Line Budget OMB will allocate funding for statewide priorities, including salary increases and retirement system unfunded liability. There will be NO other State funded increases in agency budgets. Do not propose adding new positions.
- Please be prepared to discuss the impact on service delivery with no additional funding in the next fiscal year.
- What changes can be made or what existing resources can be reallocated to higher priority projects or programs to minimize impacts on service delivery without additional funding? What impact will there be in subsequent years?

#### Operating - Scenario #3

 Only mission-critical increases or those that directly support the Governor's priorities will be considered for the FY2016 budget. Agencies – FY2016 Preliminary Budget Discussions August 1, 2014 Page 3 of 4

- O How is the program doing based on current data and trends?
- O Why does the department need the change in the budget?
- O What results can Alaskans expect from the proposed change? By when?
- O What other agencies may be impacted and how has your agency coordinated with others?

#### Capital

- In developing the FY2016 capital budget request, departments should focus on:
  - o Projects that leverage other funds (GF Match).
  - O Projects that are partially funded and need additional resources in FY2016 to be completed.
  - O Projects that support regional infrastructure needs and economic development.
  - O Rank project requests in priority order.
  - O Please provide a status on currently authorized capital funding for your department: what has, or, has not been spent; what funds are available for reappropriation, or is there funding that should lapse to the general fund?
  - O Review the Capital Appropriation Status Report of previously funded projects particularly projects over five years old, and be prepared to justify why projects should remain on the books if there has not been any substantive work done.
- Deferred Maintenance
  - O Propose a FY2016 Deferred Maintenance (DM) package, representing the continuation of the Governor's DM initiative.
  - Please provide detailed information on project completion, facility condition improvements, funds expended, and funds remaining from existing and past DM appropriations.

#### FY2015 Supplemental

Departments are expected to operate within the level of funding approved for FY2015. If there are unanticipated costs that cannot be absorbed by the agency without significant impacts on Alaskans, these should be discussed with your OMB budget analyst and during the September meeting prior to taking action.

#### Heads Up Meetings

The individual agency FY2016 preliminary budget Heads Up meetings are scheduled between September 2 - 24.

PLEASE NOTE: Due to the renovation project underway at the Capitol building, the <u>Juneau</u> location for the Heads Up meetings has changed. They will be held in the large conference room on the 1st floor of the Health and Social Services building, located at 350 <u>Main Street, Room #129.</u>

Agencies – FY2016 Preliminary Budget Discussions August 1, 2014 Page 4 of 4

Video conferencing will also be available from the Governor's Anchorage Office - East conference room and the Governor's Fairbanks Office conference room. For those of you who may need to call into the meeting from a different location, please call: 1-800-315-6338, CODE: 46601#.

Meeting attendees will include the Governor's office staff and OMB analysts; Commissioners, administrative service directors, and anyone you may choose to bring from your agency.

Attached is a copy of a draft agenda and the FY2016 Budget Heads Up meeting schedule. If you have questions about the schedule, please contact Lynn Castle at 465-4660.

I look forward to working with you on the budget. If you have any questions, please call me or your OMB budget analyst.

#### Attachments:

FY2016 Budget Heads Up Meeting DRAFT AGENDA FY2016 Budget Heads Up Meeting Schedule

cc: Governor's Budget Review Team Governor's Special Assistants Office of Management and Budget Staff

### FY2016 Budget Heads Up Meetings

### DRAFT AGENDA

To make the best use of our limited time during the September Heads Up meetings, the following is provided for planning purposes:

Order	Agenda Item	Estimated time (adjust as necessary)
I.	Performance Report	15 minutes
II.	Potential FY 2015 Supplemental Items/Ratifications	15 minutes
III	Long Range Plan – What "Big Rocks" are looming out there? What potential problems do you see? Federal funding issues/reductions?	15 minutes
IV.	Operating Budget  Savings/Reductions/Restructures  Fund Source issues/Fund Projections  Hold the Line Budget  Mission Critical/Priorities	30 minutes
V.	Capital/Deferred Maintenance Budget  Savings/Reductions/Restructures  Lapsing appropriations/potential reappropriations  Fund Source Issues/Fund Projections  Hold the Line Budget	30 minutes
VI.	Proposed Policy, Regulatory, and/or Statutory changes	15 minutes

Please highlight areas where your responsibilities overlap with other agencies and any challenges or opportunities as a result.

We are not asking agencies to submit ABS change records for the Heads Up meetings. However, we do expect agencies to use ABS to develop their requests. This will provide a more complete plan including: line item, fund source, total amounts, positions, and adequate justification for increasing the agency's current capacity. An ABS change record should provide sufficient detail for your presentation, as well as information to be considered by the Budget Review Team.

If you have any questions, please contact your OMB analyst.

### FY2016 Heads Up Meeting Schedule September 2-24, 2014

DAY / DATE / TIME

**DEPARTMENT / AGENCY** 

Tuesday, September 2

1:30 - 3:30 PM

Open

Wednesday, September 3

9:00 - 11:00 AM 1:30 - 3:30 PM

Open Open

Monday, September 8

10:00 - 11:00 AM 1:30 - 3:30 PM

Office of the Governor **Postsecondary Education** 

Tuesday, September 9

9:00 - 11:00 AM 1:30 - 3:30 PM

**Environmental Conservation** 

Education

Wednesday, September 10

9:00 - 11:00 AM 1:30 - 3:30 PM

Labor Law

Thursday, September 11

9:00 - 11:00 AM 1:30 - 3:30 PM

**DVSA** 

**Health & Social Services** 

Friday, September 12

9:00 - 11:00 AM 1:30 - 3:30 PM

Military & Veterans Affairs

Aerospace

Monday, September 15

9:00 - 11:00 AM 1:30 - 3:30 PM

**Energy Meeting (REV, DNR, DCCED, AGDC)** 

**Fish and Game** 

Tuesday, September 16

9:00 - 11:00 AM 3:00 - 5:00 PM

**Natural Resources** University

Wednesday, September 17

9:00 - 10:30 AM 11:00 - 12:00

1:30 - 3:30 PM

**AIDEA & AEA** 

**ASMI DCCED** 

Thursday, September 18

9:00 - 11:00 AM

1:30 - 3:30 PM

**Public Safety** 

**Transportation** 

Friday, September 19

9:00-11:00 AM

Corrections

Monday, September 22

9:00 - 11:00 AM

1:30 - 3:30 PM

**Permanent Fund** 

Revenue

Tuesday, September 23

9:00 - 11:00 AM

1:30 - 3:30 PM

**Administration** 

AHFC

Wednesday, September 24

9:00 - 10:30 AM 1:30 - 3:30 PM

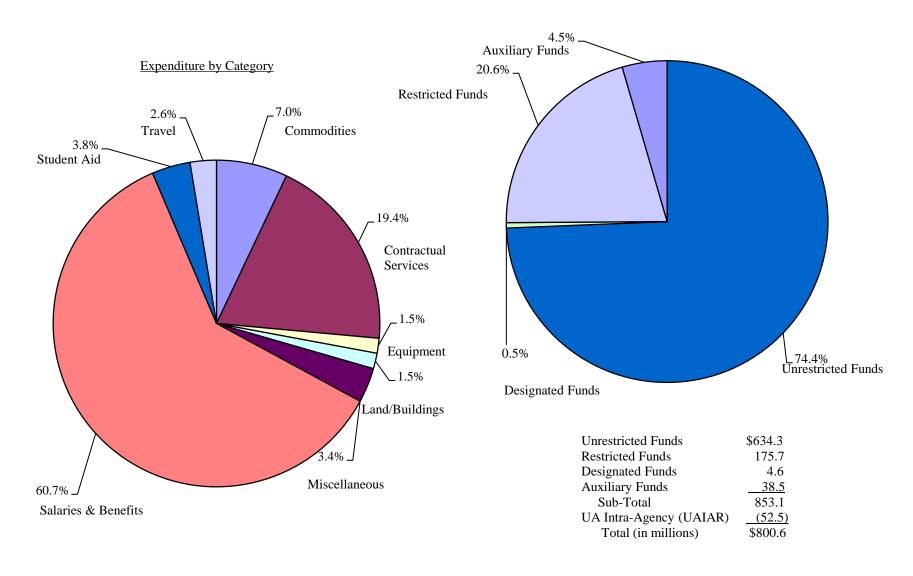
**Mental Health Trust** Open 30

August 1, 2014

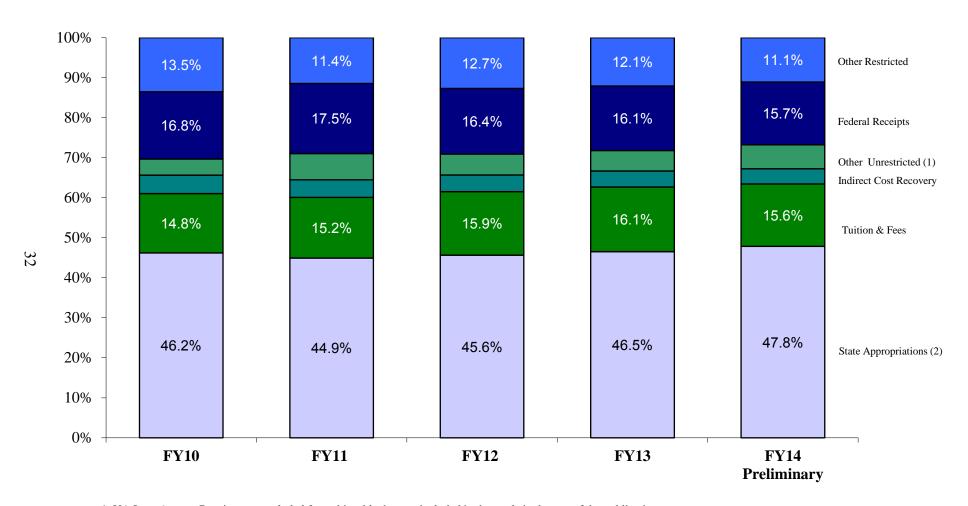
# References

# University of Alaska Expenditure by Category and Revenue by Fund Type FY14 preliminary

Revenue by Fund Type



### University of Alaska Revenue by Source FY10-FY13, FY14 Est.



- 1. UA Intra Agency Receipts are excluded from this table, but are included in the totals in the rest of the publication.
- 2. State Appropriations include one-time funding for utility cost increases: FY10 \$3,630.0; FY11 \$3,080.0; FY12 \$3,960.0; FY13 \$4,680.0; and FY14 \$4,680.0.

### University of Alaska FY15 High Demand Program Requests by Initiative

		UA BOR Budget		Proposed Distribution		ution	
		State	Rcpt.		State	Rcpt.	
Universi	ty/Program Title	Approp.	Auth.	Total	Approp.	Auth.	Total
STUDEN	T ACHIEVEMENT AND ATTAINMENT						
UAF	Alaska 2+2 Collaborative Veterinary Medicine	200.0	243.0	443.0			
	Program with Colorado State						
	Mandatory Comprehensive Advising and New	357.1	67.4	424.5	400.0		400.0
UAF	Student Services						
UAF	Increased Student STEM Capacity	140.0	53.0	193.0			
UAF	e-Learning Expansion for Online High Demand	300.0	100.0	400.0			
	Job Degree Areas						
	Student Achievement and Attainment Total	997.1	463.4	1,460.5	400.0		400.0
	CTIVE PARTNERSHIPS WITH ALASKA'S SCH						
SPS	College Dual Enrollment for Tech-Prep Programs	300.0		300.0			
UAA	E	100.0	25.0	125.0			
	Native Students						
	Productive Partnerships with Alaska's Schools Total	400.0	25.0	425.0			
PRODUC	CTIVE PARTNERSHIPS WITH PUBLIC ENTIT	TES AND I	PRIVAT	E INDUS	TRIES		
	Biomedical						
UAA	Alaska Health Workforce Pipeline (AHEC)	652.9	75.0	727.9			
UAF	Essential Faculty Clinical - Community Ph.D. &	200.0		200.0			
	Undergraduate Psychology Programs						
UAA	S	190.0	40.0	230.0			
	Through the Office of Health Workforce						
	Health/Biomedical Sub-Total	1,042.9	115.0	1,157.9			
Workfo	rce Development						
UAS	Career Pathways Partnership Coordinator	71.0	24.0	95.0			
UAF	Workforce Development in High Demand Areas:	96.0	20.0	116.0			
	Nursing and Construction Trades						
	Workforce Development Sub-Total	167.0	44.0	211.0			
Consoli	dated Alaska Mining Initiative (CAMI)						
UAS	Director of UAS Center for Mine Training and	90.0	27.8	117.8	90.0	27.8	117.8
	Assistant Professor of Mining Training						
-	Response to Mining Industry Needs in Geology	200.0	20.0	220.0			
UAF	Alaska Critical & Strategic Minerals, Fossil Fuels	155.0	155.0	310.0			
	and Energy						
Conso	olidated Alaska Mining Initiative (CAMI) Sub-Total	445.0	202.8	647.8	90.0	27.8	117.8
	Productive Partnerships with Industries Total	1,654.9	361.8	2,016.7	90.0	27.8	117.8
	ENHANCE ALASKA'S COMMUNITIES AND						
UAA	1	300.0	50.0	350.0			
	Entrepreneurship Activities						
	&D to Enhance AK's Comm. & Econ. Growth Total	300.0	50.0	350.0			
-	ATIVE PRIORITY PROGRAMS FOR UA						
UAF	Hydrocarbon Optimization				500.0		500.0
	Legislative Priority Programs for UA Total				500.0		500.0
	FY15 High Demand Program Requests Total	3,352.0	900.2	4,252.2	990.0	27.8	1,017.8



### First Review of FY16 Capital Budget and 10-Year Capital Improvement Plan

Board of Regents September 18-19, 2014 Juneau, Alaska

Prepared by Statewide Planning & Budget 450-8191

### **Table of Contents**

### **Proposed FY16 Capital Budget Request**

Introduction1
Proposed FY16 Capital Budget Request
Proposed 10-Year Capital Improvement Plan
FY16 Capital Budget Request Project Descriptions
FY16 Priority Deferred Maintenance (DM) and Renewal & Repurposing (R&R) Projects 14
FY16 Priority Deferred Maintenance (DM) and Renewal & Repurposing (R&R) Project Descriptions
Approved FY16 Capital Budget Development Guidelines
References
FY16 Deferred Maintenance (DM) and Renewal & Repurposing (R&R) Distribution Methodology
Capital Budget Request vs. State Appropriation
Capital Request and Appropriation Summary (chart)
State Appropriation Summary by Category and MAU31
State Appropriation Summary by Category (chart)

# University of Alaska Proposed FY16 Capital Budget Request and 10-Year Capital Improvement Plan Introduction

Presented within are the proposed FY16 Capital Budget Request and the 10-Year Capital Improvement Plan. The goal of the Board of Regents' University of Alaska FY16-FY25 Capital Improvement Plan (CIP) is to guide decision making that ensures the necessary facilities, equipment, and infrastructure are in place to support the academic direction of the university system as prescribed in the UA Academic Master Plan, and supports the continuous improvement philosophy found in Shaping Alaska's Future. The extended capital forecast also permits consideration of the associated future annual operating costs that may be incurred.

The capital budget presents the top priority projects for FY16 and an objective look at the short, mid-, and long-term capital investment goals of the University. The top priority projects call for state investment of approximately \$100.6 million. Requests include Deferred Maintenance (DM)/ Renewal and Repurposing (R&R), funding to complete the UAF Engineering Building, and traffic, parking, and security upgrades on the Anchorage Campus and Prince William Sound College, and for research projects directly related to the Alaskan economy that can be accomplished much more effectively within the UA system. The Proposed FY16 Capital Budget Request is summarized below.

- The Governor's 5-year (FY11-FY15) plan to reduce the State's deferred maintenance (DM) backlog resulted in, unquestionably, one of the single most important capital investments the state has made in UA and across the state. UA requests \$37.5 million in FY16 to continue the momentum the past five years has created. The highest priority DM and R&R projects at the main campuses are the UAA Emergency Infrastructure Repair/Replacement in Anchorage, UAF Critical Electrical Distribution in Fairbanks, and the UAS Whitehead/Hendrickson Renewal in Juneau. In addition, UA is requesting \$12.5 million to begin to fund the DM and R&R work associated with facilities 11 years old and newer so these facilities would eventually be eligible to be covered by the university building fund (UBF) when it is implemented.
- New Starts/Continuation funding is requested to complete the UAF Engineering Building under construction on the Fairbanks campus. Priority new construction requests that have already received some planning approval are included in the 10-year capital improvement plan for consideration in future capital budget requests. The 10-year capital improvement plan is included on page 3.
- Planning and Design requests are not included in the FY16 budget request. Additional planning and new construction projects for the mid- and long-term planning horizons will be determined based on support of academic and strategic goals.
- Research for Alaska only includes funding to support research efforts Alaska wants and needs in order to address critical state requirements in the areas of unmanned aircraft systems, energy and remote power partnerships, and Arctic oil spill response.

# University of Alaska Proposed FY16 Capital Budget Request Summary (in thousands of \$)

	State Approp.	Receipt Auth.	Total
Deferred Maintenance (DM) / Renewal & Repurposing (R&R)	50,000.0		50,000.0
UA DM/R&R for University Building Fund Facilities	12,500.0		12,500.0
UAA Main Campus	8,983.0		8,983.0
UAA Community Campuses	1,915.6		1,915.6
UAF Main Campus	21,986.0		21,986.0
UAF Community Campuses	1,054.9		1,054.9
UAS Main & Community Campuses	2,651.0		2,651.0
SW Statewide	909.5		909.5
New Starts/Continuation			
UAF Engineering Building Completion	31,300.0	5,000.0	36,300.0
UAF Alaska Center for Energy and Power (ACEP) Office Build-out		6,500.0	6,500.0
UAA ANC & PWSC Traffic, Parking & Security Improvements	6,310.0		6,310.0
Research for Alaska			
UAF Unmanned Aircraft Systems in the Arctic (ACUASI)	5,000.0	5,000.0	10,000.0
UAF Energy & Remote Power Partnerships for Alaska's Future	3,000.0	8,000.0	11,000.0
UAF Oil Spill Research Center of the Arctic (ORCA)	5,000.0	2,000.0	7,000.0
Proposed FY16 Capital Budget	100,610.0	26,500.0	127,110.0

### **University of Alaska 10-Year Capital Improvement Plan (in thousands of \$)**

	FY16		State Appropriation		ons	
	State Receipt			Short-Term		Long-Term
	Approp.	Auth.	Total	FY17-FY18	FY19-FY20	FY21-FY25
Deferred Maintenance (DM) / Renewal & Repurposing (R&R)						
Facilities Deferred Maintenance/Renewal & Repurposing	50,000.0		50,000.0	100,000.0	100,000.0	
Modernize Classrooms				10,000.0	10,000.0	25,000.0
New Starts/Continuation <sup>1</sup>						
Academic Facilities						
UAF Engineering Building Completion <sup>2</sup>	31,300.0	5,000.0	36,300.0			
UAA Kodiak Career & Technical Education Center <sup>3</sup>		·			2,430.0	21,870.0
UAA Health Sciences Phase II Building and Parking Structure <sup>3</sup>					13,200.0	118,800.0
Research Facilities						
UAF Alaska Center for Energy and Power (ACEP) Office Build-out		6,500.0	6,500.0			
UAF West Ridge Research Building #2 <sup>3</sup>				5,000.0	50,000.0	45,000.0
Student Life (Housing), Support, and Other Facilities						
UAS Student Commons <sup>3</sup>					14,800.0	
UAF P3 Campus Housing Project (TBD NGF) <sup>3</sup>				TBD		
UAF					18,100.0	
CTC Fire and Emergency Services Training and Education Facility (\$13.5M NGF)					,	
UAS Auke Lake Student Social Spaces				750.0	1,100.0	
Infrastructure, Land, Property, and Facilities Acquisitions						
UAA ANC & PWSC Traffic, Parking & Security Improvements	6,310.0		6,310.0		465.0	775.0
UAA KPC Kachemak Bay Campus Gas Conversion				210.0	140.0	150.0
UAA Mat-Su Roads and Parking				2,000.0		
UAA Mat-Su Bridge Enclosure				607.0		
UAF Northwest Campus Realignment				150.0		
UAF Early Childhood Education and Childcare Center				850.0		
UAA Kodiak Entrance Road Realignment and Exterior Lighting				500.0		5,000.0
UAA Adjacent Land and Property Acquisitions					1,000.0	1,000.0
UAA Warehouse and Support Facility					1,000.0	1,000.0
UAA KPC Kachemak Bay Campus Property Acquisition					1,800.0	-
UAS Facilities Services Physical Plant Replacement					2,430.0	6,690.0
UAS Anderson Raised Highway Student Safety Crossing					3,500.0	
Research for Alaska						
UAF Unmanned Aircraft Systems in the Arctic (ACUASI)	5,000.0	5,000.0	10,000.0			
UAF Energy & Remote Power Partnerships for Alaska's Future (ACEP)	3,000.0	8,000.0	11,000.0			
UAF Oil Spill Research Center of the Arctic (ORCA)	5,000.0	2,000.0	7,000.0			
	100,610.0	26,500.0	127,110.0	120,067.0	219,965.0	225,285.0
				637 1/6	03.7	

<sup>(1)</sup> Additional planning and new start projects for the out-years will be developed to support academic and strategic goals based on a Mission Area Analysis (MAA)/ Statement of Need (SON)

<sup>(2)</sup> Includes new construction and known renovations to accommodate programmatic change.

<sup>(3)</sup> Pending completion of Academic and Student Affairs Committee (ASAC) approval process.

### **FY2016 Capital Budget Requests**

### Facilities Deferred Maintenance (DM) and Renewal and Repurposing (R&R)

FY16 (GF: \$50,000.0, NGF: \$0.0, Total: \$50,000.0)

FY17-FY25 (GF: \$200,000.0, NGF: \$0.0, Total: \$200,000.0)

The Governor's 5-year (FY11-FY15) plan to reduce the State's deferred maintenance (DM) backlog resulted in, unquestionably, one of the single most important capital investments the state has made in UA and across the state. UA requests \$50.0 in FY16 to continue the momentum the past five years has created.

### **UA New Starts/Continuation**

### **UAF Engineering Building Completion**

FY16 (GF: \$31,300.0, NGF: \$5,000.0, Total: \$36,300.0)

This request represents the final amount necessary to complete the UAF engineering facility. The UAF campus is the home of the College of Engineering and Mines (CEM) and the Institute of Northern Engineering (INE). CEM and INE are the primary centers for engineering education and research in Alaska today. UAF has produced approximately 60 percent of the BS level engineering graduates in the state over the past ten years, and in 2013, UAF had approximately 66 percent of the undergraduate engineering students, above the pre-major level, enrolled in Alaska. CEM and INE additionally generated approximately \$11.5 million in grant-funded research in FY14.

The Duckering Building on the Fairbanks campus is the main facility that supports the engineering programs on the UAF campus. The Duckering building as documented by the UA Engineering Plan 2010 is too small and the facilities cannot fully support the needs of modern engineering education and research.

This project to upgrade UAF's engineering facilities will support the University of Alaska Fairbanks in its efforts to graduate more engineering students. The project has two components. First, a partial upgrade to 30,000 gsf in the existing Duckering Building is an integral component of the proposed solution. (Portions of the existing building that currently adequately house their programs will remain in their current configuration. Some of these spaces are not ideal; but they do provide an effective learning and/or research environment.)

Second, the construction of a new UAF Engineering Facility will provide an additional 119,100 gross square feet (gsf) located between the Duckering Building and the Bunnell Building. The new UAF Engineering Facility design provides an efficient solution to the space and functional deficits recognized in the existing Duckering Building. The new facility creates an environment that enhances interaction among the students, professors and researchers. The modern building improves indoor environment and building systems and student success and retention are enhanced through a visible and interactive learning environment (engineering on display), day lighting of common, learning, and research spaces, improved air quality, student interaction and learning spaces in common areas and integrated engineering research and instruction.

The state provided incremental funding for this project in FY12 through FY15 leaving an unfunded balance of \$28.3 million dollars. Delayed funding has caused a bifurcation in the scope of work that does not follow the normal schedule of construction activities for such a building. Delayed funding

### FY16-FY25 Capital Budget Request Project Descriptions

also means the opening of the building is delayed until at least Spring semester 2017. Because the earliest possible completion date is 18 months beyond the original date, the FY16 request is \$31.3 million dollars; the three million dollar increase will cover inflation in material and labor costs and a portion of the extended general conditions cost.

### UAF Alaska Center for Energy and Power (ACEP) Office Build-out

FY16 (GF: \$0.0, NGF: \$6,500.0, Total: \$6,500.0)

UAF will complete the shelled space on the fourth floor of the UAF Engineering Facility to provide research labs, offices, and support space for the Alaska Center for Energy and Power (ACEP). The space will also have collaboration areas, allowing for a more integrated research approach with external partners. The completion of this project, In combination with the multi-bay research building constructed in 2011-2012, ACEP will have the physical space necessary to pursue its mission.

### **UAA ANC & PWSC Traffic, Parking, & Security Improvements**

FY16 (GF: \$6,310.0, NGF: \$0.0, Total: \$6,310.0) FY19-FY20 (GF: \$465.0, NGF: \$0.0, Total: \$465.0) FY21-FY25 (GF: \$775.0, NGF: \$0.0, Total: \$775.0)

Anchorage Campus: One of the primary results of the 2013 Campus Master Planning Study was identifying the need for improved vehicular, bicycle, and pedestrian access, egress, and circulation within the UAA Main Campus. Several UAA, MOA, and DOT projects either in planning or under construction will impact traffic patterns at UAA and within the UMED District. It will be to UAA's benefit to construct road improvements in conjunction with these projects in order to improve traffic flow within UAA and the UMED District, and to secure MOA approval for the projects.

Prince William Sound College: This project will address safety issues such as vehicle circulation, parking lot lighting, building lighting and security cameras. This project will renew landscaping around the parking area and the buildings. This work is driven by a need for an increased security presence on campus and reconfiguration of the area based on the Whitney Museum addition which was completed in spring 2008.

### Research for Alaska

### **UAF Unmanned Aircraft Systems in the Arctic (ACUASI)**

FY16 (GF \$5,000.0, NGF \$5,000.0, Total \$10,000.0)

A University of Alaska-led team, headquartered at the UAF Geophysical Institute under the Alaska Center for UAS Integration (ACUASI), is one of six test centers selected by the Federal Aviation Administration (FAA) for the purpose of integrating Unmanned Aircraft Systems (UAS) into the national airspace system. This selection was partly due to the university's years of experience providing innovative UAS application and sensor support to scientific research for faculty projects, federal and state agencies, industry associations and industry groups. The team and the university are recognized nationwide as leaders in the industry, with the primary focus of UAS support for Arctic scientific research and the use of UAS to support community and industry needs. Most of the accomplishments of the program have been funded by small competitively awarded grants and contracts, as well as an important five million dollar state investment in 2012 that enabled growth in necessary infrastructure and personnel for the program. Additional potential users (the oil and gas industry, mining, forestry, etc.) are clamoring for UAS support, and the UAS industry is also eager to continue testing aircraft and systems in Alaska. UA's program is in a position to secure a significant

portion of the explosive growth in national UAS related technical jobs, industry, operations and education for Alaska.

The state's initial investment helped garner national attention to Alaska's expertise in this area. This is already translating into more client-funded work, more high-technology jobs for Alaskans, and more industry interest in opening offices in Alaska. The program and test site are viewed as well ahead of others in the business. The initial state investment will be fully expended by the end of FY15 and an additional five million dollar investment will provide the necessary personnel to create and operate a dedicated UAS test facility, upgrade aircraft and payloads systems, equip training programs to meet the industry's workforce needs, and provide technical, teaching, and logistical support for the already rapidly growing demand for services. This funding will assist expansion to the entire state, enable the university to participate in building a true technology cluster around UAS in partnership with the state, the borough and the military, and position Alaska once again as the leader in aviation technology.

### **UAF Energy & Remote Power Partnerships for Alaska's Future (ACEP)**

FY16 (GF: \$3,000.0, NGF: \$8,000.0, Total: \$11,000.0)

Alaska, driven by the necessity of providing reliable electric power to remote communities not connected to a common transmission system, has become a global leader in microgrid technology. Due to substantial capital investment spurred by programs such as the Renewable Energy Fund, Alaska is home to 12 percent of the world's hybrid microgrid systems. Numerous small businesses and utilities have gained special expertise in these systems, and the Alaska Center for Energy and Power (ACEP) has developed strong programs and facilities in parallel with industry in order to enhance performance of existing systems and test the next generation of energy technologies. The microgrid market is on the verge of exploding globally, and is expected to grow nearly five-fold to an estimated \$40 billion in revenue by 2020. There is a near-term opportunity to make Alaska as synonymous with microgrids as Iceland is with geothermal energy, and be a leader in worldwide activity in this market. This will require enhancing Alaska's analysis and testing capabilities to capitalize on this market opportunity. ACEP believes the timing of this investment is essential, so as global activity is ramping up, the opportunity to position Alaska on the forefront of this wave will not be missed. Goals of this increment include:

Develop new market opportunities for Alaska expertise in microgrids: This program will work with Alaska's small businesses to build new market opportunities through knowledge export. The goal is to develop a market supporting high quality jobs for Alaska residents. This would include enhancing ACEP's visiting researcher program, bringing potential international clients to Alaska for training, and sending UA researchers to other locations to conduct research relevant to the state's needs and promote Alaska's expertise.

Enhance ACEP's testing capabilities: This funding will be heavily leveraged with industry contracts to add capacity to these testing facilities and make them truly unique, flexible platforms for testing energy technologies and deployment strategies.

Expand capacity within ACEP's Energy Analysis Group: Funding will allow research professionals and students to be trained in and perform labor-intensive work of data mining, interpretation and knowledge creation with an emphasis on the critical energy decisions facing the state today and within the next decade.

Enhance student learning and interaction with Alaska's energy industry: This funding will allow UAF students to work on community-energy related projects with ACEP researchers and Alaska's small businesses to strengthen university-industry relationships. Funding will also provide post-secondary training opportunities in needed technology areas, or where Alaska has a first-mover advantage. One project will be to develop a pilot program suited to integration with the United Nations University (UNU) system, with the ultimate goal of positioning Alaska's universities and industries as global leaders in the export of knowledge in the design and operation of these systems.

### **UAF Oil Spill Research Center of the Arctic (ORCA)**

FY16 (GF: \$5,000.0, NGF: \$2,000.0, Total: \$7,000.0)

Alaska's Statewide Digital Mapping Initiative (SDMI) is an interagency program producing updated high-resolution imagery and elevation model data for the entire state. The base imagery and elevation mapping program is well underway, with a new, high resolution satellite image of the entire state to be complete in 2014. Elevation mapping statewide is projected to be complete within the decade. This proposed effort will be directed at providing much needed information critical for assessment and potential development of Alaska's resources. Increased capability to monitor and document land surface conditions and characteristics will improve our ability to detect and respond to the changing environment, assess resources, and plan new development. Such monitoring is particularly needed in regions of rapid change, such as in areas changed by wildfires, along coast lines, near glaciers and in zones of rapidly degrading permafrost. In addition to using traditional remote sensing technology the university will use part of this funding to advance the use of new technologies including hyperspectral imaging which will dramatically enhance the ability to local new mineral deposits, clarify vegetation types and improve the ability to track oil spills in ice covered waters.

### 10-Year Capital Improvement Plan Projects (FY17-FY25)

### **UA Modernize Classrooms**

FY17-FY18 (GF: \$10,000.0, NGF: \$0.0, Total: \$10,000.0) FY19-FY20 (GF: \$10,000.0, NGF: \$0.0, Total: \$10,000.0) FY21-FY25 (GF: \$25,000.0, NGF: \$0.0, Total: \$25,000.0)

Classroom modernization is important to the University of Alaska to be able to instruct students using upto-date equipment and methods. As equipment ages, it deteriorates, but it also becomes obsolete or minimally used, especially in an industry context. In order to keep up with current educational standards, classrooms must be updated. These kinds of updates include work to remodel science labs, increase the University's capacity to provide e-Learning, and to provide needed vocational technology equipment. This request amount is an estimation of the annual modernization need.

### **UAA Kodiak Career & Technical Education Center**

FY19-FY20 (GF: \$2,430.0, NGF: \$0.0, Total: \$2,430.0) - Planning

FY21-FY25 (GF: \$21,870.0, NGF: \$0.0, Total: \$21,870.0)

The Vocational Technology Center (VOTECH) Building on the Kodiak campus was constructed in 1973 and as its outdated name implies, was designed and built for a different era. The facility no longer meets the Career Vocational and Technical (CTE) needs of industry and business partners for the types of classes and workforce training needs currently in demand in the Kodiak community, including the largest US Coast Guard base and island's seven rural villages. Attempting to meet the expanded and steadily

<sup>7</sup> 3<sub>4</sub>

### FY16-FY25 Capital Budget Request Project Descriptions

increasing needs over the last seven years, the College has been only partially successful by conducting courses at the local high school. Unfortunately, courses may only be offered after the traditional high school day, thereby severely limiting the number of programs and courses offered. Local school district prioritization limits availability and access to facilities to one or occasionally two weekday evenings only, with no ability to use facilities during traditional workday hours, on weekends, during school vacations, closures and summer months. Having more hours of access to facilities in which to offer courses would allow the college to increase opportunities for students. In order to meet the growing program and space needs for the construction, welding, occupational safety, fitness, marine maintenance and repair, alternative energy, diesel, small engine and mechanical trades and address the issues associated with the current building, an expansion of the existing facility should be constructed to house these programs. In the past two years alone, new grant funded equipment has been obtained by the college totaling more than \$280,000. This equipment would be more secure, better maintained and less likely to be misused or damaged if access were limited to college students in a college location. It has become a challenge to ensure correct use and effective stewardship of these valuable resources. Kodiak students are forced to pay much more for course materials fees due to the inability of the College to buy materials in bulk due to storage limitations. The campus is therefore in need of a secure warehouse and maintenance shop space to support the equipment used to maintain campus facilities and store equipment when not in use. Having this equipment has reduced the reliance on independent contractors, thereby reducing maintenance expenses. e.g. snow removal, grounds maintenance, etc.

### **UAA Health Sciences Phase II Building and Parking Structure**

FY19-FY20 (GF: \$13,200.0, NGF: \$0.0, Total: \$13,200.0) - Planning

FY21-FY25 (GF: \$118,800.0, NGF: \$0.0, Total: \$118,800.0)

UAA is uniquely situated, surrounded by two of the largest hospital complexes in Alaska. As the U-Med District grows, partnerships with neighboring institutions continue to emerge. For the past decade, the University has been in discussion with neighboring institutions about partnering for joint-use health care training facilities. In addition, the demand for health care professionals throughout the state has resulted in a call for increased course and program offerings that UAA is unable to meet because of a lack of facilities.

In FY09, the Alaska State Legislature appropriated \$46M for the construction of the Health Sciences Building. This funding provided for construction of a 65,000 gross square foot building to be located on the land parcel UAA received in the 2005 land trade with Providence Hospital. During programming for this building and for the Health Sciences programs, it was determined that this facility would become Phase I and would only be able to house the Nursing and WWAMI programs with some functions remaining in existing space on the West Campus. It was determined that approximately 99,500 additional gsf of space would be needed in Phase II to accommodate the additional programmatic needs of the Allied Health programs and other health science programs, as well as classroom and administrative space.

The UAA Health Sciences Sub-district Plan consists of nine acres of prime road-front real estate on Providence Drive and is contiguous with the main campus. The plan was approved by the BOR in February 2009 as an amendment to the 2004 UAA Master Plan. It calls for several high profile buildings to be located on this site that will require a high volume of parking. In accordance with the UAA Master Plan, all future parking should be consolidated in parking structures to reduce the impact on developable land, provide better traffic control on the campus and reduce the negative visual impact of surface parking.

8

3,

### FY16-FY25 Capital Budget Request Project Descriptions

This project was identified 2004 UAA Master Plan and revalidated in the 2009 update and 2013 revision. It is in keeping with the UA Strategic Plan goals of student success, educational quality, faculty and staff strength, and responsiveness to state needs, technology and facility development. The Vocational

### **UAF West Ridge Research Building #2**

FY17-FY18 (GF: \$5,000.0, NGF: \$0.0, Total: \$5,000.0) FY19-FY20 (GF: \$50,000.0, NGF: \$0.0, Total: \$50,000.0) FY21-FY25 (GF: \$45,000.0, NGF: \$0.0, Total: \$45,000.0)

To address continued lack of research labs and offices and to provide new, modern space for existing academic programs, a multi-disciplinary research building will be constructed on the West Ridge. It will fill a critical need for more laboratory space, and teaching and research space at UAF.

### **UAS Student Commons**

FY19-FY20 (GF: \$14,800.0, NGF: \$0.0, Total: \$14,800.0)

Per the 2012 UAS Masterplan the primary challenge facing UAS in its mission to support community engagement is the lack of suitable venues on all three campuses for engaging the broader community and partners with shared visions and goals. As a result, UAS continues to hosts a variety of forums, lectures, and cultural performances in spaces ill equipped or large enough to accommodate large gatherings. The university's popular Evening at Egan Lecture Series, for example, is hosted in the Egan Library. This space lacks appropriate seating and sightlines for large audiences.

All three campus locations would benefit from larger venues for hosting music, dance, theatrical and other cultural performances. Smaller venues specifically designed for the temporary installment and public demonstration of student, faculty, and visiting lecturer research and creative expression is also lacking. Current space utilized for this purpose is often in high traffic corridors and hallways that do not lend themselves to public viewings or small group discussion.

Improvements to Juneau Campus dining options and facilities are a high priority. Commuter and resident students alike would benefit from both convenient locations as well as diverse food options. With the new resident hall at the Juneau Auke Lake campus, updated and redesigned dining facilities should be a high priority.

Amenities should be built and expanded that encourage both resident and commuter students to remain on campus in order to strengthen both the social and academic aspects of campus life. This is an especially critical need during the winter months. Indoor amenities could include:

- Coffee house
- Improved late-night food options
- Game areas and wellness rooms
- Comfortable lounge space and study space
- Relocated/expanded retail opportunities

Juneau campus vision: Multiple gathering spaces are provided in central locations as a resource for commuter students as well as residential students. A new first year student residence hall with living/learning center will be tucked away in wooded hillside within campus Kwáan. A new student union will provide expanded dining options and relocate the bookstore also within the campus Kwáan.

### **UAF Public/Private Partnership (P3) Campus Housing Project**

FY17-FY18 TBD

As part of the "Student Life: Transforming the UAF Experience" project, UAF proposes to develop new student housing units through a public private partnership arrangement. This initial housing project will be the first phase in a plan to increase the overall quality and quantity of housing stock. The project will provide beds in dormitory buildings either adjacent to the Wood Center or at another location near core campus. The first phase, two 204-bed dormitories, could be constructed between August 2015 and May 2017.

### **UAF CTC Fire and Emergency Services Training and Education Facility**

FY19-FY20 (GF: \$18,100.0, NGF: \$13,500.0, Total: \$31,600.0)

For Phase 1, the proposed UAF Emergency Services and Management (EMS) Facility will provide space to meet the current demand and future growth of the emergency services programs and continue to fulfill the university's missions and goals. The current facility is 50 years old and doesn't meet modern earthquake construction codes. The replacement facility is envisioned to be a living laboratory for student emergency responders, attending classes and labs adjacent to an actual operating emergency services department. The facility space program allows for apparatus bays and support spaces for fire and EMS, and firefighter/medic living quarters for on duty members. The new state of the art training center will be constructed at a new location near lower campus. The new building and location will provide greater access to the public and other agencies to the training and operational emergency services groups.

For Phase 2, a proposed CTC Emergency Services Training, Education, and Emergency Management Facility will provide space to meet the current demand and future growth of the emergency services programs in addition to support space for the UAF Police Department.

### **UAS Auke Lake Student Social Spaces**

FY17-FY18 (GF: \$750.0, NGF: \$0.0, Total: \$750.0) FY19-FY20 (GF: \$1,100.0, NGF: \$0.0, Total: \$1,100.0)

The original five academic buildings on the Auke Lake Campus were built with little consideration of the need for student social spaces. There are few spaces for either formal or spontaneous meetings and few that can accommodate small meetings and activities. Additionally, the five buildings despite being close together are only connected by exterior walkways. By enclosing the areas between these buildings, the buildings would be more usable, and the connections themselves can serve not just as corridors but as some of these social meeting areas.

### **UAA KPC Kachemak Bay Campus Gas Conversion**

FY17-FY18 (GF: \$210.0, NGF: \$0.0, Total: \$210.0) FY19-FY20 (GF: \$140.0, NGF: \$0.0, Total: \$140.0) FY21-FY25 (GF: \$150.0, NGF: \$0.0, Total: \$150.0)

When the original Pioneer Building and the Bayview Building were originally constructed, natural gas was not yet available in Homer, Alaska. Natural gas is anticipated to be available to Homer customers in Fall 2013 and will provide a significantly more efficient and less expensive source of heating fuel for the Kachemak Bay Campus. Although the newer Bayview Building boilers can be converted to burn natural gas by replacing the boiler burners, the older Pioneer building will require additional modification to the boiler systems.

### **UAA Mat-Su Roads and Parking**

FY17-FY18 (GF: \$2,000.0, NGF: \$0.0, Total: \$2,000.0)

This project will allow for construction of additional parking to meet the increasing student needs, and a reconstruction and connection of the existing fire lane behind the Kerttula, Okeson Library, and Machetanz buildings to allow better maintenance and emergency vehicle access to the rear of the buildings.

### **UAA Mat-Su Bridge Enclosure**

FY17-FY18 (GF: \$607.0, NGF: \$0.0, Total: \$607.0)

The Snodgrass and Machetanz buildings are connected by a bridge that is partially enclosed on the Snodgrass end. The open portion of the bridge is exposed to the elements which is causing corrosion and weakening of the metal superstructure. The icy and wet surfaces also pose a hazard to users. Enclosure of the entire bridge would reduce the damage to the bridge and create a safer walkway for the users. In addition, some furniture could be added to create student interaction and study space.

### **UAF Northwest Campus Realignment**

FY17-FY18 (GF: \$150.0, NGF: \$0.0, Total: \$150.0)

The Northwest Campus is located on the east end of Nome, on the edge of the main business district and surrounded by residential homes, small and medium size apartments, and adjacent to a thriving hotel. The campus property consists of a cluster of contiguous lots of varying sizes and shapes within one city block, with only the North boundary forming an almost continuous line from East to West. Some of the lots are leased from the city of Nome. The current placement of campus buildings, neighborhood fences and elevated walks, allows limited vehicle access through the property and any new construction will need to be designed to mitigate the potential for storm surge flood damage to the facility and infrastructure. The Northwest Campus requires funding to reconfigure campus and leased properties in order to better serve the community and students.

### **UAF Early Childhood Education and Childcare Center**

FY17-FY18 (GF: \$850.0, NGF: \$0.0, Total: \$850.0)

UAF Community & Technical College operates Bunnell House Early Childhood Lab School on the University of Alaska Fairbanks campus. The lab school is licensed by the State of Alaska Department of Health and Social Services to serve 30 children, ages 36 months through six years. The program participates with several agencies, including Alaska Native corporations, that fund childcare for some of the families enrolled. In cooperation with the Early Childhood Education program at UAF Community & Technical College, the lab school provides university students with observation and practicum experiences.

Originally constructed in 1921, the Bunnell House is the current home to the on-campus Early Childhood Development program. The primary purpose of the lab school is to provide rich observation and practicum experiences for university students studying early childhood education (ECE). Practicum involves 160 hours of on-site experience. Advanced practicum requires completion of 200 hours, but not all are on-site. In addition to ECE students, students from other disciplines utilize the lab school to enhance their learning and understanding through observations and interactive activities with the children.

### **UAA Kodiak Entrance Road Realignment and Exterior Lighting**

FY19-FY20 (GF: \$500.0, NGF: \$0.0, Total: \$500.0) FY21-FY25 (GF: \$5,000.0, NGF: \$0.0, Total: \$5,000.0)

The Kodiak Campus is comprised of three main buildings and a couple of small outbuildings. The original Benny Benson building and the Vocational Technology building are connected and have been expanded through a series of additions. They were located on the south side of the entrance road and parking lot. In 1982 the Adult Learning Center was built and placed on the north side of the road across from the Benny Benson Building. As the student population has increased, so has the traffic entering the campus, creating a hazard for students crossing between the buildings divided north and south of the campus. In addition, there is little to no access to the backs of the buildings for fire, security and emergency personnel access. The entrance to the campus needs to be redesigned to improve the traffic flow and better promote the campus location. The parking lots are in need of resurfacing and there is inadequate lighting in the lots and outside the buildings. New and improved lighting will enhance security and energy efficiency.

This project, originally submitted as part of the Kodiak Campus Master Plan, has been rebundled with the new entry and road projects added. The parking lot repaving and lighting were part of previous campus renewal requests.

### **UAA Adjacent Land and Property Acquisitions**

FY19-FY20 (GF: \$1,000.0, NGF: \$0.0, Total: \$1,000.0) FY21-FY25 (GF: \$1,000.0, NGF: \$0.0, Total: \$1,000.0)

In the UAA Master Plan, it is proposed that the University seek to acquire parcels of property that are currently for sale and/or contiguous with the current campus for future university development.

### **UAA** Warehouse and Support Facility

FY19-FY20 (GF: \$1,000.0, NGF: \$0.0, Total: \$1,000.0) FY21-FY25 (GF: \$1,000.0, NGF: \$0.0, Total: \$1,000.0)

The UAA Physical Plant is currently located in core academic space of the West Campus and is scattered across the campus in small pockets of available space. The activities of the Physical Plant are inconsistent with the academic nature of the area and are inadequate for the operations being conducted. In addition, as part of the land trade with Providence Hospital in 2005, the UAA Warehouse and Operations Yard were removed from the University Inventory and those space requirements were greatly consolidated and are currently occupying much needed parking and academic space or require the rental of off-campus storage space. UAA currently leases space near the University Center which is used by GSS, Facilities and the School of Engineering. There are similar properties in proximity to the Anchorage campus that could be purchased.

### **UAA KPC Kachemak Bay Campus Property Acquisition**

FY19-FY20 (GF: \$1,800.0, NGF: \$0.0, Total: \$1,800.0)

KPC Kachemak Bay Campus has extremely limited real estate assets. Future campus facilities and infrastructure needs will be severely hampered by the limited real estate holding. Any and all adjoining parcels should be considered for acquisition as they become available or sooner. Due to decreased property values because of the recession, purchasing these surrounding parcels in the near future is recommended.

### **UAS Facilities Services Physical Plant Replacement**

FY19-FY20 (GF: \$2,430.0, NGF: \$0.0, Total: \$2,340.0) FY21-FY25 (GF: \$6,690.0, NGF: \$0.0, Total: \$6,690.0)

The existing Facilities site in Juneau began as a converted residential building and has been supplemented with temporary and marginal improvements for the last thirty years. This project would demolish a portion of the Facilities complex and construct replacement shop, storage and office space on the current site.

The current Facilities Services site can only be accessed by a steep driveway and curving which enters directly on to Glacier Highway. The topography and land ownership in this location prohibit the realignment of this driveway to provide a level entry to the highway. This project will also develop a direct service access to the Auke Lake campus without entering Glacier Highway.

### **UAS Anderson Raised Highway Student Safety Crossing**

FY19-FY20 (GF: \$3,500.0, NGF: \$0.0, Total: \$3,500.0)

The Anderson Building is located approximately one-quarter mile from the center of the Auke Lake campus main parking area and on the opposite side of the Glacier Highway. Students, staff and faculty going between the Anderson Building and campus must cross the highway without any designated crossing location with limited sight lines and vehicles passing at speeds of 40 to 50 MPH.

This project has been planned for several years but has been unable to proceed due to plans by the Alaska Department of Transportation & Public Facilities to change the alignment of the highway through this corridor. The state's plan is still not final and this project is being planned anticipating that a final alignment will be determined in the next year or two.

### University of Alaska

### FY16 Priority Deferred Maintenance (DM) and Renewal and Repurposing (R&R) Projects State Appropriations (in thousands of \$)

Project Name	DM	R&R	Total
UAA Main Campus			
Emergency Infrastructure Repair/Replacement	2,000.0		2,000.0
Campus Building Envelope & Roof Systems Renewal	800.0	200.0	1,000.0
Campus Building Interior & Systems Renewal	250.0	250.0	500.0
Campus Exterior Infrastructure and Signage Renewal	200.0	50.0	250.0
EM1 and EM2 Mechanical	3,000.0		3,000.0
WFSC Near Term Renewal & Repurposing		2,860.0	2,860.0
Consortium Library Old Core Mechanical Upgrades	4,316.0		4,316.0
Fine Arts Mechanical System Renewal		74.0	74.0
UAA Main Campus Subtotal	10,566.0	3,434.0	14,000.0
UAA Community Campuses			
KPC Campus Renewal	375.0	375.0	750.0
Kodiak College Campus Renewal	215.6	400.0	615.6
PWSC Campus Renewal	155.0	200.0	355.0
Mat-Su Campus Renewal	300.0	392.0	692.0
KPC Kachemak Bay Campus Renewal	95.0	95.0	190.0
Mat-Su Parking/Road/Circulation Renewal	50.0	50.0	100.0
KPC Kenai River Campus Brockel Building Renewal	150.0	200.0	350.0
UAA Community Campuses Subtotal	1,340.6	1,712.0	3,052.6
UAA DM and R&R Total	11,906.6	5,146.0	17,052.6
UAF Main Campus			
Critical Electrical Distribution	4,370.0	2,000.0	6,370.0
Fairbanks Campus Main Waste Line Repairs	2,000.0		2,000.0
Fairbanks Main Campus Wide Roof Replacement	2,500.0		2,500.0
West Ridge Facilities Deferred Maintenance and Revitalization	8,000.0	1,000.0	9,000.0
ADA Compliance Campus Wide: Elevators, Ramps, Restrooms	600.0	400.0	1,000.0
Elevator/Alarms Scheduled Upgrading and Replacement	50.0	450.0	500.0
Fairbanks Campus Building Interior & Systems Renewal	500.0		500.0
Cogen Heating Plant Required Upgrades to Maintain Service and Code Corrections	1,000.0	660.0	1,660.0
Patty Center Revitalization	2,700.0	300.0	3,000.0
Gruening Revitalization	1,500.0		1,500.0
Campus Infrastructure	500.0	500.0	1,000.0
Ski, Bike, and Pedestrian Safety	500.0		500.0
UAF Main Campus Subtotal	24,220.0	5,310.0	29,530.0
UAF Community Campus			
Kuskokwim Campus Facility Critical Deferred and Voc-Tech Renewal Phase 2	1,054.9		1,054.9
UAF Community Campus Subtotal	1,054.9		1,054.9
UAF DM and R&R Total	25,274.9	5,310.0	30,584.9
UAS Main Campus			
Whitehead/Hendrickson Renewal	4,485.0		4,485.0
TEC Renewal Phase 3	1,800.0		1,800.0
UAS DM and R&R Total	6,285.0		6,285.0
Statewide			
Butrovich Building Repairs	909.5		909.5
Statewide DM and R&R Total	909.5		909.5
UA FY16 DM and R&R Total	44,376.0	10,456.0	54,832.0

## University of Alaska FY16 Priority Deferred Maintenance (DM) and Renewal and Repurposing (R&R) Projects State Appropriations (in thousands of \$)

Project Name		DM	R&R	Total
Additional DM and R&R				
UAA Main Campus		150,384.1	102,694.0	253,078.0
UAA Community Campuses		19,787.4	13,079.8	32,867.2
UAF Main Campus		548,707.4	119,506.0	668,213.4
UAF Community Campuses		20,616.6	14,413.9	35,030.5
UAS Main		956.6	1,342.7	2,299.2
UAS Community Campuses		165.0		165.0
Statewide				
		740,617.0	251,036.3	991,653.3
	UA DM and R&R Total	784,993.0	261,492.3	1,046,485.3

### **UAA Main Campus**

### • Emergency Infrastructure Repair/Replacement

FY16 (GF: \$2,000.0, NGF: \$0.0, Total: \$2,000.0) FY17-FY25 (GF: \$0.0, NGF: \$0.0, Total: \$0.0)

During repairs to heating lines entering the UAA Engineering Building, excessive ground water was encountered. The source of the groundwater was determined to be storm water and cooling water discharge escaping from the East Campus storm drain system. The storm drain was inspected by camera and shown to have numerous major breaks in approximately 1500 feet of the line, allowing storm water and cooling water discharge to escape at numerous locations along the line.

### Campus Building Envelope & Roof Systems Renewal

FY16 (GF: \$1,000.0, NGF: \$0.0, Total: \$1,000.0)

FY17-FY25 (GF: \$9,000.0, NGF: \$0.0, Total: \$9,000.0)

This project will address campus-wide deferred maintenance and renewal and renovation requirements for building envelope and roof systems. It will include roof repair and replacement, doors, windows, vapor barriers, siding, weatherization, insulation; and other building envelope issues.

### • Campus Building Interior & Systems Renewal

FY16 (GF: \$500.0, NGF: \$0.0, Total: \$500.0)

FY17-FY25 (GF: \$4,500.0, NGF: \$0.0, Total: \$4,500.0)

Many of the original buildings on the UAA Campus were constructed in the early- to mid-1970s and the building systems are beginning to fail and are no longer adequate for the current demands and require replacement or upgrading. The Mechanical, Electrical and HVAC systems in particular fall into this category, however replacement parts for many of these systems are no longer available. The systems are very expensive to operate due to their low efficiencies. Replacement of these systems would allow for increased energy efficiencies and better environmental control throughout the building. This project will replace failing piping, inadequate electrical systems, inefficient lighting, boilers, fans, deficient VAV boxes and upgrade the building automation system controls.

### • Campus Exterior Infrastructure and Signage Renewal

FY16 (GF: \$250.0, NGF: \$0.0, Total: \$250.0)

FY17-FY25 (\$2,250.0, NGF: \$0.0, Total: \$2,250.0)

The UAA campus is over 30 years old and many of the roads, trails, sidewalks, parking areas, curbs and gutters are part of the original construction or have been impacted by construction, repair and renovation projects over the years. This results in uneven surfaces, lack of adequate sidewalks and other deficiencies that pose a safety hazard or are increasingly susceptible to additional damage. Increased enrollment and subsequent staffing increases dictate a need to upgrade and repair these surfaces in order to maintain a safe and effective environment for students, staff and the public, as well as a need to provide adequate exterior wayfinding signage.

### • EM1 and EM2 Mechanical

FY16 (GF: \$3,000.0, NGF: \$0.0, Total: \$3,000.0)

FY17-FY25 (GF: \$1,908.0, NGF: \$0.0, Total: \$1,908.0)

The Energy Modules (EM1, EM2) were constructed in 1977 and provide heating and cooling services for a number of campus facilities. The Energy Module boilers, pumps and piping systems are over 30 years old and has been failing due to age, corrosion and fatigue. Many of these failures have occurred during the winter months when additional stresses are placed on the systems due to increased heating demands and environmental impacts. These failures further impact other systems, thus driving up the associated costs. Emergency repairs are very expensive and have a severe impact on students, faculty and staff working in the buildings served by these modules.

### • WFSC Near Term Renewal & Repurposing

FY16 (GF: \$2,860.0, NGF: \$0.0, Total: \$2,860.0)

FY17-FY25 (GF: \$0.0, NGF: \$0.0, Total: \$0.0)

In FY09, the State Legislature appropriated \$15M for design and site development for a new Sports Arena on the UAA Campus, and fully funded the project in FY13 and FY14. This facility will allow for the majority of intercollegiate sports programs and related offices and operations to be housed in a separate facility. The 2013 Campus Master Plan calls for the eventual replacement of the WFSC with a new facility supporting Student Support Services and an expanded Student Union. However, in the near term, space will become available within the Wells Fargo Sports Complex for student sports, student activities, academics, and recreational offerings.

### • Consortium Library Old Core Mechanical Upgrades

FY16 (GF: \$4,316.0, NGF: \$0.0, Total: \$4,316.0)

FY17-FY25 (GF: \$3,274.0, NGF: \$0.0, Total: \$3,274.0)

The original HVAC systems consist, for the most part, of equipment over 29 years old located within the four central building cores. The boilers, main supply/exhaust fan units, heating/cooling coils, galv. piping and humidification systems have all reached the end of their useful life. Major component parts are no longer available for these units. Control systems are no longer able to properly regulate air flow resulting in irregular temperatures and conditions within the building. The 2004 Library addition contains newer HVAC systems with different control and delivery systems that have resulted in incompatibilities between the two systems and has affected the efficiencies of both systems.

### • Fine Arts Mechanical System Renewal

FY16 (GF: \$74.0, NGF: \$0.0, Total: \$74.0)

FY17-FY25 (GF: \$7,508.0, NGF: \$0.0, Total: \$7,508.0)

The major mechanical systems of the Fine Arts Building are no longer providing adequate heating and cooling of the offices and classrooms. The systems are not providing appropriately conditioned ventilation and make up air to the shops, labs and studios. This project will remodel the building's HVAC systems resulting in fully operational and streamlined HVAC systems that meet current mechanical code, indoor air quality standards and provide a properly controlled educational environment for staff, faculty and students. It

<sup>17</sup> 3:

will also provide a properly controlled storage environment for educational material, furnishings, musical instruments and equipment.

### **UAA Community Campuses**

### • KPC Campus Renewal

FY16 (GF: \$750.0, NGF: \$0.0, Total: \$750.0)

FY17-FY25 (GF: \$6,750.0, NGF: \$0.0, Total: \$6,750.0)

The Kenai River Campus includes four buildings built between 1971 and 1983. Each building is of different quality having been constructed using different construction methods and materials, and energy efficiencies. With the exception of some painting and the Ward Building renewal in 2005, the exteriors of these buildings have not been upgraded since they were built. A number of roofs are at or have exceeded their life cycle at the Kenai River Campus. Some roofs contain asbestos products which will require some abatement prior to replacement. The campus is spending too much money on utility costs due to the inefficiencies of the old buildings. With rapidly increasing utility costs, the energy savings realized by this renewal would be significant. Some of the original methods of construction included single pane windows, door glass, and aluminum store fronts that do not block the cold and increase utility costs and extreme campus-user discomfort during the extreme winters. Many of the entrances are not covered and allow the buildup of ice and snow at the critical slip/trip points at the building entrances. In addition to gaining additional instruction space and significantly increased energy efficiencies, this project will create a positive first impression for visitors and prospective students.

The McLane (KP101) and Brockel (KP103) additions were all constructed between 1972 and 1976 and the original air handling units are in place. The air handling equipment and associated duct work in these buildings cannot supply the quantities of air required by current mechanical standards. The University needs to replace the heat plant and air handling equipment for these facilities prior to a catastrophic failure results in and emergency replacement.

### • Kodiak College Campus Renewal

FY16 (GF: \$615.6, NGF: \$0.0, Total: \$615.6)

FY17-FY25 (GF: \$3,740.4, NGF: \$0.0, Total: \$3,740.4)

The buildings on the Kodiak Campus were constructed in the early to mid-1970 s. The exteriors are painted wood siding that is being impacted by the exposure to the extreme climate conditions of Kodiak. The original windows suffer from worn seals that cause air infiltration. The mechanical and electrical systems are in need of renewal to meet the increased student demand and increased use of new technology. Improvements to layout and design will increase space efficiency and allow for replacement of worn and outdated fixed equipment.

In FY09 and FY10, some funding was provided for the replacement of siding on two of the buildings and for some minor upgrades. In FY14, additional funding was requested to cover the FY12 Energy Audit recommendations.

### • PWSC Campus Renewal

FY16 (GF: \$355.0, NGF: \$0.0, Total: \$355.0)

FY17-FY25 (GF: \$3,195.0, NGF: \$0.0, Total: \$3,195.0)

The Growden-Harrison building was originally build shortly after the 1964 earthquake as an Elementary school and was added onto in a piecemeal fashion in the following years. This has resulted in aging mechanical, electrical, HVAC systems that are currently undersized for the facility and have included the use of asbestos containing materials. The piecemeal additions have resulted in draining and weathering problems that adversely impact the building envelope.

### • Mat-Su Campus Renewal

FY16 (GF: \$692.0, NGF: \$0.0, Total: \$692.0)

FY17-FY25 (GF: \$6,136.0, NGF: \$0.0, Total: \$6,136.0)

This project will address campus-wide deferred maintenance issues and renewal and renovation requirements for the Mat-Su Campus.

The buildings on the Mat-Su campus are 15-30 years old and their roofs need to be replaced. With several of MSC's buildings reaching 25 - 30 years of age, it is prudent to plan for the replacement of building components during the next few years. Boilers systems in this region are an essential component. The boilers not already updated this summer range in age from 1979 to 1994. The boiler upgrades (with the oldest first) would allow for greater cost savings through energy efficiency as 80% efficiency boilers would be replaced with 95% efficiency boilers.

The original doors and hardware are still in use across the campus with some units being over 40 years old and heavily used. As these units wear, energy leaks are created within the buildings which increase the cost of operation and wear on other systems, resulting in an unbalanced environment within the buildings. Additionally, the failure of the hardware increases safety and security risks for the University that can result in substantial liability. Technology advancements increase the energy efficiency and security of these units, which will reduce expenses for the University.

### • KPC Kachemak Bay Campus Renewal

FY16 (GF: \$190.0, NGF: \$0.0, Total: \$190.0)

FY17-FY25 (GF: \$1,710.0, NGF: \$0.0, Total: \$1,710.0)

A significant portion of the Kachemak Bay Campus Building (KB-101, 7,200 sqft.) was originally built in 1988 as a post office. The roof and mechanical/electrical systems are original and were not updated as part of the campus addition in 2006

### • Mat-Su Parking/Road/Circulation Renewal

FY16 (GF: \$100.0, NGF: \$0.0, Total: \$100.0)

FY17-FY25 (GF: \$551.0, NGF: \$0.0, Total: \$551.0)

The Mat-Su campus is over 30 years old and many of the roads, trails, sidewalks, parking areas, curbs and gutters are part of the original construction or have been impacted by construction, repair and renovation projects over the years. This results in uneven surfaces,

lack of adequate sidewalks and other deficiencies that pose a safety hazard or are increasingly susceptible to additional damage. Un-paved surfaces cause dirt and mud to be tracked into the building damaging the carpets and floor coverings. Increased enrollment and subsequent staffing increases dictate a need to upgrade and repair these surfaces in order to maintain a safe and effective environment for students, staff and the public.

### KPC Kenai River Campus Brockel Building Renewal

FY16 (GF: \$350.0, NGF: \$0.0, Total: \$350.0)

FY17-FY25 (GF: \$1,400.0, NGF: \$0.0, Total: \$1,400.0)

The Brockel Building (KP103) was original built in 1976 and added onto in 1982. This project would allow for the renewal and reconfiguration of the Brockel Building, which is greatly needed after 33 years of hard use.

### **UAF Main Campus**

### • Critical Electrical Distribution

FY16 (GF: \$6,370.0, NGF: \$0.0, Total: \$6,370.0)

FY17-FY25 (GF: \$0.0, NGF: \$0.0, Total: \$0.0)

The existing electrical distribution system at UAF is nearly 50 years old. With the completion of several new facilities, the antiquated equipment could be stretched beyond its capabilities and begin to fail. To ensure campus power is not shutdown, major upgrades must be made to replace the ancient switchboard and cabling to bring the campus distribution back into code compliance. This is a multi-phase project and \$32.9M has already been appropriated in past years (2005-2014). Additional funding is necessary to complete the upgrade.

### • Fairbanks Campus Main Waste Line Repairs

FY16 (GF: \$2,000.0, NGF: \$0.0, Total: \$2,000.0)

FY17-FY25 (GF: \$8,610.0, NGF: \$0.0, Total: \$8,610.0)

Much of the sanitary and storm sewer main piping on campus is original wood stave or clay piping dating back nearly 60 years. These mains, though not at full capacity, have far exceeded their useable life and are failing. Campus growth and an ever-changing regulatory environment require the modification and upgrade of the waste water handling infrastructure. The project will replace several thousand feet of waste line main piping with new modern materials with a life that exceeds 60 years.

### • Fairbanks Main Campus Wide Roof Replacement

FY16 (GF: \$2,500.0, NGF: \$0.0, Total: \$2,500.0)

FY17-FY25 (GF: \$9,000.0, NGF: \$0.0, Total: \$9,000.0)

UAF has many large campus structures that still have original roof systems. As buildings on campus age and do not receive adequate R&R funding, roofing system repairs only offer a band-aid solution to a long-term problem. Funding is required for a multi-year project to replace roofs that have surpassed their useable life and are at risk of complete failure.

### • West Ridge Facilities Deferred Maintenance and Revitalization

FY16 (GF: \$9,000.0, NGF: \$0.0, Total: \$9,000.0)

FY17-FY25 (GF: \$205,000.0, NGF: \$0.0, Total: \$205,000.0)

The majority of the facilities located on UAF s West Ridge were built in the late 1960s and early 1970s. Irvings 1 and 2, Elvey, O'Neill, and Arctic Health Research Building serve multiple research and academic units on the Fairbanks Campus. The facilities house major academic programs for fisheries, biology, wildlife, physics, chemistry, agriculture and natural resource management. Elvey, home to the UAF Geophysical Institute, is a major center for many state emergency preparedness programs including the Alaska Earthquake information Center and the Alaska Volcano Observatory. The Arctic Health Building is home to several research programs that directly affect the health and welfare of thousands of Alaskans including the Center for Alaska Native Health Research and the School of Natural Resources and Agricultural Sciences. The Irving 1 facility is the home of the Institute of Arctic Biology and the Department of Biology and Wildlife. Hundreds of undergraduate, graduate, and master degree students learn, research, and teach in the building every day. The research intensive Irving 2 facility serves the Institute of Marine Sciences and School of Fisheries.

These facilities, which represent nearly 500,000 gross square feet of space, are the key component to UAF s competitive edge in research relating to the people and places of the Arctic regions. Research performed in the building represents over 50% of the total research revenue for the campus. Academic programs represented on West Ridge also affect over 1500 undergraduates and graduates seeking a degree in a program offered on West Ridge.

### • ADA Compliance Campus Wide: Elevators, Ramps, Restrooms

FY16 (GF: \$1,000.0, NGF: \$0.0, Total: \$1,000.0)

FY17-FY25 (GF: \$5,500.0, NGF: \$0.0, Total: \$5,500.0)

The Campus Wide ADA Compliance project is an on-going effort to bring the UAF Fairbanks campus and associated community and research campuses into compliance with ADA guidelines. This project includes accessibility improvements such as renovations to restrooms, improvements to accessibility routes both inside and outside buildings, replacing drinking fountains, upgrading elevators, and modifying stairwell handrails.

### • Elevator/Alarms Scheduled Upgrading and Replacement

FY16 (GF: \$500.0, NGF: \$0.0, Total: \$500.0)

FY17-FY25 (GF: \$4,500.0, NGF: \$0.0, Total: \$4,500.0)

UAF Facilities Services manages the operation and maintenance for a fleet of more than 50 elevators and lifts with an average age of over 25 years. With the help of an FY01 audit, 28 elevators were identified as needing modernization upgrades. This request represents the latest installment of multi-year modernization plan and will address ADA, code, and deferred maintenance improvements in the campus elevator systems. Also included in this scope of work is routine and deferred maintenance on the many fire alarm systems in UAF facilities.

### • Fairbanks Campus Building Interior & Systems Renewal

FY16 (GF: \$500.0, NGF: \$0.0, Total: \$500.0)

FY17-FY25 (GF: \$4,500.0, NGF: \$0.0, Total: \$4,500.0)

T This project will focus on critically needed existing building interiors and systems renewal. Particular emphasis will be on instructional spaces; classrooms, labs and research.

### • Cogen Heating Plant Required Upgrades to Maintain Service and Code Corrections

FY16 (GF: \$1,660.0, NGF: \$0.0, Total: \$1,660.0)

FY17-FY25 (GF: \$17,340.0, NGF: \$0.0, Total: \$17,340.0)

In 1963, the UA Board of Regents agreed that the utilities on main campus should be consolidated into a new combined heat and power plant that offered redundancy, reliability, and effective use of current technology. In the past 50 years the plant has undergone expansions to keep up with the growing campus physical plant. Unfortunately, there has been limited renewal of the major components of the utility systems. Critical over haul of the current plant will allow UAF to meet the current utilities demands. There are many utility components that have exceeded their useful life and the probability of a major failure increases every year that renewal is not done.

The overall project consists of many smaller projects that address the critical areas of the various utility systems that need revitalization. All of these projects were identified and scoped in the 2006 Utilities Development Plan. The highest priority is being put on critical equipment that would still be used when the Cogen Heating and Power Plant Boiler and Turbine Replacement project is constructed. For the past several years UAF has been completing such maintenance projects. The remaining highest priority projects are in the FY16 request and the remainder of the projects are in the FY17+ requests. They are listed in the approximate order of priority.

Continuous Emissions Monitoring for Boiler No. 4: Existing air permit includes 10% capacity constraint for Boiler #4 that would be lifted with installation of continuous monitoring.

Utilidor Ventilation: Installation of fire rated door assemblies at the plant/utilidor access points and certain locations at campus buildings has eliminated natural ventilation in large portions of the utilidor system, causing a large amount of condensation on exposed steel and significant corrosion. This measure would install ventilation shafts in sealed areas of the utilidor system.

Replace fire water pumping station: The existing domestic and fire pumping station located in the boiler plant basement dates back to at least the early 1970 s. A new electric pump station, perhaps located in the water treatment plant with more sophisticated control, would be installed.

Replace boiler tubes for Boilers 1&2: Existing units have been in service in excess of 40 years. Perform thorough NDE inspection of tubes. Replace as indicated. Rehabilitate existing mechanical components such as fans, coal elevator, stoker grates, ash removal, etc.

Replace obsolete control system: This is an aging plant control system (1980 s vintage). This system runs the bulk of the steam generation facility. Parts and technical support are becoming difficult to obtain because the vendor is phasing out that product line.

Reconstruct Feedwater pumping station: This measure would remove the abandoned 1960 s vintage feedwater pumping station and replace it with new technology, efficient, multistage pumps.

Improve Domestic water taste (membrane filtration): This measure would install point-of-use membrane filtration units in key locations to reduce consumer concern about taste.

Pave Atkinson parking lot for dust control (air permit issue): Vehicle access around the plant by ash hauling trucks, fuel delivery and plant operations creates dust which is a violation of the current air permit. There is potential for UAF to be cited by ADEC for this.

### • Patty Center Revitalization

FY16 (GF: \$3,000.0, NGF: \$0.0, Total: \$3,000.0)

FY17-FY25 (GF: \$27,000.0, NGF: \$0.0, Total: \$27,000.0)

Constructed in 1963 to replace an existing 40-year old gym, the Patty Center now houses sports and recreational space for five NCAA Division II, and two NCAA Division I sports. This includes both men s and women s teams that are a vital part of the UAF Campus Life Master Plan. The construction project will correct an abundant list of code citations and extend the life of the 50-year-old facility. The facility must be upgraded to meet basic competition standards.

### • Gruening Revitalization

FY16 (GF: \$1,500.0, NGF: \$0.0, Total: \$1,500.0)

FY17-FY25 (GF: \$10,200.0, NGF: \$0.0, Total: \$10,200.0)

Gruening is the major instructional building on campus, with both classrooms and faculty offices. In excess of 40 years old, the building systems are near or at useful-life expectancy and in need revitalization.

### • Campus Infrastructure

FY16 (GF: \$1,000.0, NGF: \$0.0, Total: \$1,000.0)

FY17-FY25 (GF: \$5,450.0, NGF: \$0.0, Total: \$5,450.0)

The UAF Fairbanks campus is serviced by infrastructure that was constructed up to 60 years ago when the student population and vehicle traffic were only a fraction of what they are today.

In addition to necessary communications infrastructure improvements, UAF Fairbanks Campus roads and building access are in major need of renewal and renovation. Unlike the state, UAF does not receive federal maintenance funding per mile of road. UAF also does not receive funding for projects that address air quality issues such as bus pullouts and bike paths.

Typical projects include multiple sidewalk, curb, gutter and ramp improvements, completion of the northern link of Tanana Loop and the roundabout on Tanana Drive, and communication infrastructure upgrades. The project will also create safe and attractive pedestrian walkways close to the roadway for non-motorized users. Existing roads will be resurfaced and sidewalks will be replaced to maintain ADA compliance.

### • Ski, Bike, and Pedestrian Safety

FY16 (GF: \$500.0, NGF: \$0.0, Total: \$500.0)

FY17-FY25 (GF: \$4,500.0, NGF: \$0.0, Total: \$4,500.0)

This project will focus on addressing the safety issues and reducing points of conflict with pedestrians, bikes and vehicles on campus. A significant number of students park their cars for long-term on campus and walk to and from classes. Similarly, because of the Sustainability UAF Green Bike Program a number of students are also using bikes on campus.

### **UAF Community Campus**

• Kuskokwim Campus Facility Critical Deferred and Voc-Tech Renewal -- Phase 2

FY16 (GF: \$1,054.9.0, NGF: \$0.0, Total: \$1,054.9)

FY17-FY25 (GF: \$11,915.1, NGF: \$0.0, Total: \$11,915.1)

Current maintenance and repair funding levels are not sufficient to meet the critical maintenance needs at the rural campuses. Funding will allow for continued major renovations and code upgrades to over 50,000 square feet of space. Work generally includes new architectural finishes on the inside and outside, new electrical distribution, corrected plumbing systems, and installation of code compliant ventilations systems.

### **UAS Main Campus**

### • Whitehead/Hendrickson Renewal

FY16 (GF: \$4,485.0, NGF: \$0.0, Total: \$4,485.0)

FY17-FY25 (GF: \$1,495.0, NGF: \$0.0, Total: \$1,495.0)

This project represents the remaining phases of the project " Juneau Campus Modifications 2014-2016" which received Formal Project Approval in February 2014.

The Whitehead and Hendrickson buildings require upgrades to major building systems including mechanical, electrical, exterior envelope and building system controls. These improvements are needed to improve energy efficiency, reduce operational costs, and replace systems and components that are at the end of their service life.

In the process of making these improvements, UAS will take this opportunity to reconfigure the interior spaces to use these spaces more efficiently and to provide for a more effective assignment of space to the departments.

### • TEC Renewal Phase 3

FY16 (GF: \$1,800.0, NGF: \$0.0, Total: \$1,800.0) FY17-FY25 (GF: \$0.0, NGF: \$0.0, Total: \$0.0)

The Technology Education Center is the principal career education teaching facility at the UAS Juneau campus. This project would be the third phase of a significant renewal and repurposing of this 35 year old facility. Phase 1 will be completed in the fall of 2014 and phase 2 is scheduled for construction in the summer of 2015. This third and final phase will complete the work identified in the 2013 Formal Project Approval.

### Statewide

### • Butrovich Building Repairs

FY16 (GF: \$909.5, NGF: \$0.0, Total: \$909.5) FY17-FY25 (GF: \$0.0, NGF: \$0.0, Total: \$0.0)

The Butrovich building was constructed in 1988 and is at a point where many of its building components are reaching their life cycle end. Over the next five to ten years many of the main mechanical systems will come due for replacement or refurbishing.

# References

### UNIVERSITY OF ALASKA

#### FY16 CAPITAL BUDGET DEVELOPMENT GUIDELINES

### INTRODUCTION

Guidance from the Governor for the FY16 Capital Budget is expected to come in placing emphasis on a decreased capital budget and a reduction of deferred maintenance (DM). FY15 was the last year of the Governor's five-year, \$100 million annual commitment toward reducing deferred maintenance across the State. However we will be working with the Governor's office to see if the Governor will continue the deferred maintenance investment funding. The funding has provided a predictable dollar stream for deferred maintenance projects and increased efficiencies and momentum in the construction planning process. With these things in mind, the FY16 capital budget requests should identify what level of strategic investment is needed to implement Shaping Alaska's Future objectives and reduce DM backlog.

Deferred Maintenance (DM) and Renewal & Repurposing (R&R) is, and will continue to be, the Board of Regents' highest overall priority. Annual Renewal and Repurposing funding at a consistent level is necessary to realize UA's sustainment funding goal... an annual investment of \$50 million. Different methods for obtaining the funding are being discussed including the possibility of establishing the Alaska Sovereign Education fund. Annual R&R funding helps extend the life of older buildings that need major system replacements before the systems deteriorate below their intended functionality. A large deferred maintenance backlog ultimately leads to a loss in safe, effective facility support for education program delivery, which is mission failure. The University Building Fund (UBF) legislation was recently passed, authorizing a tool that, once implemented, can ensure existing and new buildings will not add to the backlog of deferred capital reinvestment. The capital budget request and long range Capital Improvement Plan (CIP) will attempt to reflect UA's intent to position the University for UBF implementation.

UA's Capital Improvement Plan is submitted to the State of Alaska as part of UA's 10-year fiscal plan. The plan provides the Board of Regents, President, senior staff, and university community a clear picture of the capital projects which follow from completion of the Program Resource Planning (PRP) process and identification of the annual operating costs associated with those projects. The long range Capital Improvement Plan aims to balance approved program needs across UA campuses with realistic expectations for capital appropriations.

### **GUIDING PRINCIPLES**

- Develop short to mid range requests which position the University to implement the UBF, reduce DM backlog, accomplish research for Alaska and upgrade critical infrastructure including information technology.
- Develop a long range Capital Improvement Plan with a focus on DM/R&R based on guidance in the
  main and community campus master plans which includes new construction projects that have
  completed the PRP process. Include potential projects for consideration if the State of Alaska issues
  a General Obligation Bond.
- Recognize that DM reduction needs to be strategic and targeted, focused around discussions of the
  data elements included in the Strategic Investment Chart, Sightlines Assessments, and the intent to
  cover additional buildings under the UBF.

<sup>26</sup> 36

- Capital priorities must consider space utilization reports, including expanded e-Learning alternatives, the program review and prioritization process already underway, and the facility data presented from Sightlines.
- Address the impact of DM reduction in case FY16 and beyond contains no overall state DM or R&R reduction money.

#### **BACKGROUND**

- UA maintains over 400 buildings worth nearly \$3.5 billion as measured by replacement value. These facilities comprise nearly 7 million gross square feet and have annual depreciation totaling about \$58 million. More than half of UA's buildings are more than 30 years old. UA estimates an annual investment of \$50 million for facility capital reinvestment (R&R) is necessary to prevent adding to the deferred maintenance and renewal backlog. Although new facilities are desirable, annual deferred maintenance, facility renewal and repurposing, code corrections, and some upgrades for University equipment has been, and will continue to be, the top capital budget priority. Extending the life of existing facilities is absolutely essential. The longer UA goes without consistent, adequate funding to extend the building life, the sooner the deferred maintenance backlog threatens UA with areas of mission failure. That, in turn, impacts annual Operations and Maintenance (O&M) dollars that become unprogrammatically diverted to address a more expensive emergency response to problems.
- Through the operating budget, the University dedicates funding (approximately 1.5% of adjusted facility value) every year to routine and preventive maintenance and repair (M&R). Common industry standards prescribe 2% 4% of current replacement value as the most appropriate annual investment for M&R. The specific percentage is determined based on various factors such as the age of the buildings, previous renovations, the level of building use, and the climate.

#### FY16 BUDGET TIMELINE

Below are key dates in the FY16 budget development process associated with BOR action. In addition, the FY16 budget meeting with the three Universities and Statewide is scheduled for August 7th and Board members are welcome to attend.

#### June

- BOR FY15 Operating and Capital Budget Acceptance
- BOR FY15 Operating and Capital Budget Distribution Plans Approval
- BOR FY16 Operating and Capital Budget Development Guidelines Approval

#### <u>September</u>

- BOR First Review of FY16 Operating and Capital Budgets and Capital Improvement Plan
- President's formal budget meeting with Governor's Office of Management and Budget (OMB)

#### <u>November</u>

- BOR FY16 Operating and Capital Budget Request Approval
- BOR FY16 Capital Improvement Plan Approval
- Submit Board of Regents' FY16 Budget to the Governor's Office of Management and Budget (OMB)

#### 28

#### **University of Alaska**

#### FY16 Deferred Maintenance (DM) and Renewal & Repurposing (R&R)

#### **Distribution Methodology**

(Based on Age, Size, and Value of Facilities)

	Location	# of Bldgs	Average Age (years)	Weighted Avg. Age (years)	Gross Area (sq. feet)	Adjusted Value (thousands)	Dist. % *	DM Model
Anchorage Campus	Anc.	63	27.1	26.3	2,339,091	876,512.5	24.0%	8,983.0
<b>UAA Community Campus</b>		27	28.8	28.0	388,418	182,169.4	5.1%	1,915.6
Kenai Peninsula College	Soldotna	8	23.8	20.3	151,345	71,044.3	1.3%	
Kachemak Ba	y Homer	2	20.0	27.3	25,067	12,099.1	0.4%	
Kodiak College	Kodiak	5	36.8	37.5	44,981	21,539.1	0.8%	
Matanuska-Susitna College	Palmer	6	25.3	28.2	105,316	51,132.2	1.5%	
Prince Wm. Sound College	Valdez	6	33.2	40.1	61,709	26,354.6	1.1%	
	UAA Total	90	25.5	26.5	2,727,509	1,058,681.9	29.1%	10,898.6
Fairbanks & CTC	Fbks.	251	36.8	39.0	3,353,699	1,521,164.6	58.6%	21,986.0
<b>UAF Community Campuses</b>		30	28.4	30.6	128,806	87,119.8	2.8%	1,054.9
Bristol Bay Campus	Dillingham	3	17.7	27.1	18,215	11,440.2	0.4%	
Chukchi Campus	Kotzebue	1	37.0	37.0	8,948	8,983.0	0.3%	
Interior-Aleutians Campus	Multiple	5	25.2	32.2	29,111	19,142.8	0.6%	
Kuskokwim Campus	Bethel	7	29.3	28.0	51,774	35,722.3	1.1%	
Northwest Campus	Nome	14	32.9	34.8	20,758	11,831.4	0.4%	
	UAF Total	281	34.3	38.7	3,482,505	1,608,284.4	61.4%	23,040.9
Southeast Campus	Јипеаи	33	33.4	26.3	420,304	151,747.1		1,653.0
<b>UAS Community Campus</b>		5	54.1	57.5	115,908	47,370.6		998.0
Ketchikan Campus	Ketchikan	4	37.3	38.3	47,850	24,978.6		
Sitka Campus	Sitka	1	71.0	71.0	68,058	22,391.9		
	UAS Total	38	28.8	33.0	536,212	199,117.6	7.1%	2,651.0
Statewide	Various	9	34.7	32.6	220,050	81,400.1	2.4%	909.5
	SW Total	9	34.7	32.6	220,050	81,400.1	2.4%	909.5
	UA Total	418	32.1	33.3	6,966,276	2,947,484.0	100.0%	37,500.0

Facility data from 2013 Facilities Inventory

<sup>\*</sup>This distribution is based on the individual building age and adjusted value by campus

# University of Alaska Capital Budget Request vs. State Appropriation FY06-FY15 (in thousands of \$)

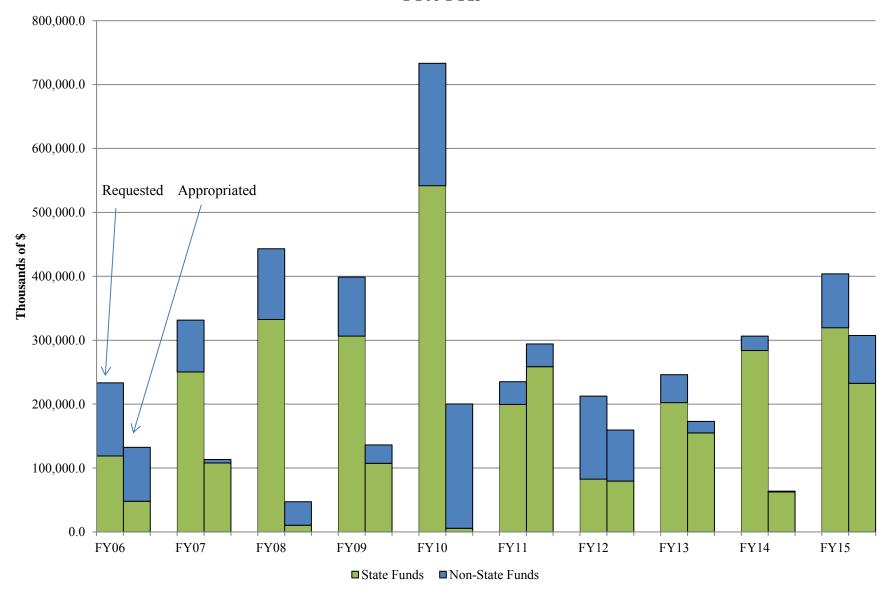
	Renewal and					
Request	Repurposing	Add/Expand	<b>New Facilities</b>	<b>Equipment</b>	Other <sup>1</sup>	Total
FY06	40,753.5	2,600.0	70,536.0	4,403.4	550.0	118,842.9
FY07	87,520.0	9,650.0	135,983.0	16,721.9	550.0	250,424.9
FY08	131,016.0	6,395.0	186,500.0	7,874.7	550.0	332,335.7
FY09	114,000.0	2,000.0	163,870.0	26,000.0	550.0	306,420.0
FY10	204,130.0		194,495.0	90,000.0	53,150.0	541,775.0
FY11	100,000.0		99,375.0			199,375.0
FY12	70,433.0				12,092.5	82,525.5
FY13	187,500.0				14,700.0	202,200.0
FY14	162,500.0		108,900.0		12,500.0	283,900.0
FY15	37,500.0		273,900.0		7,900.0	319,300.0
Total	1,135,352.5	20,645.0	1,233,559.0	145,000.0	102,542.5	2,637,099.0
10 yr. Avg	113,535.3	2,064.5	123,355.9	14,500.0	10,254.3	263,709.9

	Renewal and	A 11/75 1	N. T. 1144	T	Other <sup>1</sup>	m . 1
Approp.	Repurposing	Add/Expand	New Facilities	Equipment	Otner	Total
FY06	8,100.0	1,950.0	35,700.0	1,750.0	550.0	48,050.0
FY07	48,587.1		58,637.9		715.0	107,940.0
FY08	8,200.0		1,525.0		640.0	10,365.0
FY09	45,822.6		61,300.0		125.0	107,247.6
FY10	3,200.0		2,500.0			5,700.0
FY11	43,535.8		213,896.7	400.0	717.5	258,550.0
FY12	39,500.0	2,000.0	35,800.0		2,204.0	79,504.0
FY13	37,950.0		108,900.0		8,040.0	154,890.0
FY14	30,000.0		30,000.0		2,588.7	62,588.7
FY15	19,273.0		212,600.0		570.0	232,443.0
Total	284,168.5	3,950.0	760,859.6	2,150.0	16,150.2	1,067,278.3
10 yr. Avg	28,416.9	395.0	76,086.0	215.0	1,615.0	106,727.8

<sup>29</sup> 36

<sup>&</sup>lt;sup>1</sup> Includes research, small business development center and other capital funding requests or appropriations

#### University of Alaska Capital Request and Appropriation Summary FY06-FY15



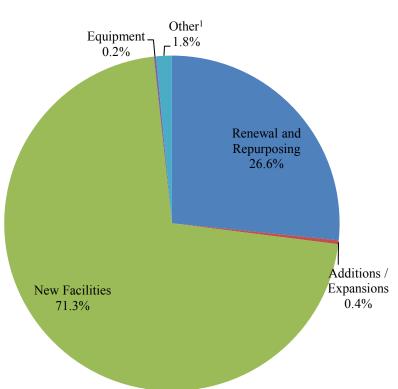
# University of Alaska State Appropriation Summary by Category FY06-FY15 (in thousands of \$)

		Renewal and		Additions /									
Campus	Location	Repurposing		Expansions	N	ew Facilities	E	quipment		Other <sup>1</sup>		Total	
Anchorage Campus	Anchorage	69,916.8	24.6%			356,112.9	46.8%	490.0	22.8%	4,050.0	25.1%	430,569.7	40.3%
Kenai Peninsula College	Soldotna	7,156.6		`	1	35,300.0	١	27.5	1	50.0	١	42,534.1	)
Kachemak Ba	y Homer	685.8		750.0		2,750.0				265.0		4,450.8	
Kodiak College	Kodiak	2,076.8	7.7%		19.0%	350.0	8.6%		>3.9%		2.0%	2,426.8	8.3%
Matanuska-Susitna College	Palmer	4,318.2				23,850.0		55.3				28,223.5	
Prince Wm. Sound College	Valdez	7,770.9		,		3,050.0	)			)	)	10,820.9	<i></i>
	UAA	91,925.1	32.3%	750.0	19.0%	421,412.9	55.4%	572.8	26.6%	4,365.0	27.0%	519,025.8	48.6%
Fairbanks Campus	Fairbanks	127,173.8				325,446.7		670.1		10,728.3		464,018.9	
Fairbanks Campus	Juneau		44.9%			10,000.0	44.1%		31.2%		66.4%	10,000.0	44.4%
Fairbanks Campus	Palmer	300.0										300.0	
Fairbanks Campus	Seward							,		)			)
Community Campuses	Various	3,687.0		`						`	)	3,687.0	)
Bristol Bay Campus	Dillingham	153.0		1,200.0						50.0		1,403.0	
Chukchi Campus	Kotzebue	38.6										38.6	
Interior-Aleutians Campus	Tok				30.4%								
Interior-Aleutians Campus	Fort Yukon	7.3	> 5.4%		}						1.8%	7.3	1.2%
Interior-Aleutians Campus	Fairbanks	47.7								50.0		97.7	
Kuskokwim Campus	Bethel	7,042.5								50.0		7,092.5	
Northwest Campus	Nome	4,443.4								50.0		4,493.4	
Fairbanks Campus (CES)	Kenai	,		,						90.0	)	90.0	
UAF Comm. & Tech. College	Fairbanks	16,795.3	5.9%							50.0	0.3%	16,845.3	1.6%
	UAF	159,688.5	56.2%	1,200.0	30.4%	335,446.7	44.1%	670.1	31.2%	11,068.3	68.5%	508,073.6	47.6%
Juneau Campus	Juneau	26,891.9	9.5%	2,000.0	50.6%	4,000.0	0.5%	741.1	34.5%	567.5	3.5%	34,200.5	3.2%
Ketchikan Campus	Ketchikan	1,124.8	· 0.9%-							30.4	· 0.4% -	1,155.2	0.2%
Sitka Campus	Sitka	1,360.2	0.570							30.4	0.470	1,390.6	J 0.270
	UAS	29,376.9	10.3%	2,000.0	50.6%	4,000.0	0.5%	741.1	34.5%	628.2	3.9%	36,746.2	3.4%
Statewide	Fairbanks	3,178.0	1.1%					166.0	7.7%	88.7	0.5%	3,432.7	} 0.3%
Systemwide	Systemwide	J											J 0.570
	SW	3,178.0	1.1%					166.0	7.7%	88.7	0.5%	3,432.7	0.3%
UA	Grand Total	284,168.5	100.0%	3,950.0	100.0%	760,859.6	100.0%	2,150.0	100.0%	16,150.2	100.0%	1,067,278.3	100.0%
		26.6%		0.4%		71.3%		0.2%		1.5%		100.0%	

<sup>&</sup>lt;sup>1</sup> Includes research, small business development center and other capital appropriations

#### State Appropriation Summary by Category FY06 -FY15





#### UAA

AK Cultural Center & PWSCC Training Center (FY07)

Integrated Science Facility (FY06, FY07)

Center for Innovative Learning - ANSEP (FY06)

Kodiak College Vocational Technology (FY06)

Matanuska-Susitna Campus Addition (FY06)

Student Housing (FY06)

Kachemak Bay Campus New Facility (FY08, Reapprop FY10, FY11)

Health Sciences Building (FY09)

Engineering Facility Planning, Design

and Construction (FY11, FY13, FY14, FY15)

Kenai Peninsula College Campus Student Housing (FY11, FY12)

Kenai Peninsula College Campus Career

& Technical Education Center (FY11)

Matanuska-Susitna Campus Valley Center for Art & Learning (FY11)

Community Sports Arena (FY09, FY11, FY12)

#### **UAF**

Lena Point Fisheries Phase I & II (FY06)

Museum of the North (FY07)

Engineering & Technology Project Design,

Development and Construction (FY11, FY13, FY14, FY15)

Life Sciences Classroom and Laboratory Facility (FY11)

Heat & Power Plant Major Upgrade (FY15)

#### UAS

Banfield Hall Dormitory Addition (FY12, FY13)

<sup>&</sup>lt;sup>1</sup> Includes research, small business development center and other capital appropriations

University of Alaska Foundation Development Report Giving Overview - Master

#### Fundraising Progress (excluding private grants)\*\* FY14 YTD (July 1 to June 30)

	FY08	FY09	FY10	FY11	FY12	FY13	FY	'14 Goal***	F	Y 14 YTD
UAA	\$ 22,714,487	\$ 17,073,325	\$ 6,425,804	\$ 9,256,151	\$ 10,065,247	\$ 10,572,469	\$	6,203,000	\$	8,222,596
UAF*	\$ 6,386,583	\$ 5,760,236	\$ 6,410,933	\$ 4,082,864	\$ 12,519,841	\$ 4,883,027	\$	8,000,000	\$	12,254,049
KUAC	\$ 833,915	\$ 667,667	\$ 610,508	\$ 705,915	\$ 761,594	\$ 821,746		n/a	\$	794,470
UAS	\$ 411,202	\$ 332,073	\$ 267,624	\$ 678,247	\$ 406,527	\$ 626,535	\$	700,000	\$	776,722
<b>UA Statewide</b>	\$ 734,119	\$ 10,104,819	\$ 3,658,569	\$ 1,568,178	\$ 1,239,116	\$ 793,345	\$	1,000,000	\$	1,552,679
Total	\$ 31,080,306	\$ 33,938,120	\$ 17,373,438	\$ 16,291,355	\$ 24,992,325	\$ 17,697,122	\$	15,903,000	\$	23,600,516

<sup>\*</sup> Historically, KUAC has not been reported in QDR totals

#### Donor Progress FY14 YTD (July 1 to June 30)

										# Non
								# Addressable	Participation	Addressable
_	FY08	FY09	FY10	FY11	FY12	FY13	FY14 YTD	records+	Rate+	Records+
Total*	4,787	4,460	5,324	5,732	4,467	4,180	4,758	125,123	3.80%	17,243
Individuals	4,279	3,949	4,753	5,066	3,827	3,589	4,146	119,771	3.46%	15,192
Alumni	2,529	2,245	2,682	2,738	1,716	1634	1854	76,355	2.43%	6,042
Faculty/Staff**	463	525	520	510	427	337	340	18,516	1.84%	1,431
Friends	1,378	1,287	1,179	1,818	1,684	1618	1952	40,092	4.87%	7,719
Organizations	508	511	571	666	640	617	612	5,352	11.43%	2,051
Corporations	343	325	314	420	353	321	398	5,158	7.72%	685
Foundations	50	33	38	49	52	42	44	368	11.96%	27
Other	115	153	219	197	235	254	170	1,877	9.06%	1,339

<sup>\*</sup> Excludes KUAC donors, can be provided separately.

#### Private Grants\* FY14 YTD (July 1 to June 30)

	FY11			FY12	FY13	FY14		
UAA	\$	1,115,557	\$	905,683	\$ 1,005,529	\$	960,561	
UAF*	\$	16,760,629	\$	5,956,023	\$ 4,485,858	\$	5,833,816	
UAS	\$	27,821	\$	265,048	\$ 27,397	\$	-	
<b>UA Statewide</b>	\$	2,974,436	\$	1,053,459	\$ 19,500	\$	21,923	
Total	\$	20,878,443	\$	8,180,213	\$ 5,538,284	\$	6,816,300	

<sup>\*</sup> Grants received by UA (Not UA Foundation), countable under CASE standard. Excludes KUAC giving

#### Significant In Kind Gifts NOT countable by CASE Standards FY14 YTD (July 1 to December 31)

\$ 814,580.00 for UAF Athletics Sponsorships

\$ 133,038.00 for services to UA:

\$ 80,000.00 from Google for Search Network Advertising

\$ 30,288.00 Alaska Airlines Vouchers

Prepared by CASE Standards

Report prepared by: David Woodley, director Advancement Services

Report prepared on: 8/21/2014

<sup>\*\*</sup> Starting in FY11, private grants were added to Raisers Edge. These numbers exclude those grants.

<sup>\*\*</sup> Faculty/Staff that are alumni of the University of Alaska are reflected under the alumni category

<sup>+</sup> Addressable records = records with a valid mailing address. Participation Rate = number of donors/number of addressable records. Non Addressable records = No valid address or Inactive



### **Report on Generosity**

## Board Giving (by IRS Receipting Standards)

#### **Foundation Trustees\***

Total Gifts (\$)
Donors
Total Members
% of Board Giving
Average Gift Amount
Number of Legacy
Society Members

<b>FY14 YTD</b> (7/1/2013 to 6/30/2014)	Calendar Year 2013	Lifetime Giving ** (through June 30, 2014)
\$173,464	\$206,306	\$929,680
21	21	4
23	23	25
91%	91%	16%
\$8,260	\$9,824	\$232,420
2		

<sup>\*</sup> Includes Trustees currently serving

#### **University Regents\***

Total Gifts (\$)
Donors
Total Members
% of Board Giving
Average Gift Amount
Number of Legacy
Society Members

<b>FY14 YTD</b> (7/1/2013 to 6/30/2014)	Calendar Year 2013	<b>Lifetime Giving**</b> (through June 30, 2014)
\$21,625	\$13,710	\$283,738
9	9	1
11	11	11
82%	82%	9%
\$2,403	\$1,523	\$283,738
0		

<sup>\*</sup> Includes Regents currently serving

Giving based on IRS Standards, including outright gifts, pledge payments, and gifts given by spouse.

Prepared By: David Woodley, director Advancement Services

Date Prepared: 8/22/2014

<sup>\*\*</sup> Cumulative gifts in excess of \$100,000 under row 2 "Donors"

<sup>\*\*</sup> Cumulative gifts in excess of \$100,000 under row 2 "Donors"

# UNIVERSITY OF ALASKA SOUTHEAST

Shaping Alaska's Future:

One Student Success at a Time



## **UAS Mission**

Student Learning enhanced by faculty scholarship, undergraduate research and creative activities, community engagement and the cultures and environment of Southeast Alaska.



## **UAS Core Themes**

- Student Success
- Teaching and Learning
- Community Engagement
- Research and Creative Expression

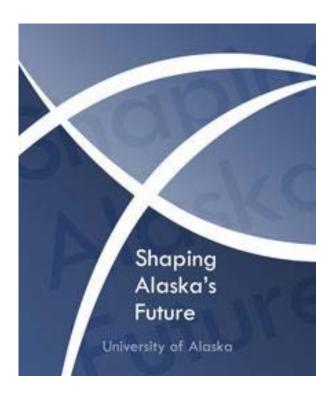


# **UAS** Organization

- Three Campuses, One Regional University
- Four Schools:
  - >Arts and Sciences
  - **≻**Career Education
  - > Education
  - > Management



# UAS: Shaping Alaska's Future



## PRESENTATION OUTLINE

**THEME 1: STUDENT ACHIEVEMENT** 

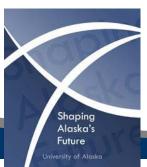
THEME 2: PARTNERSHIPS WITH SCHOOLS

THEME 3: PARTNERSHIPS WITH INDUSTRY

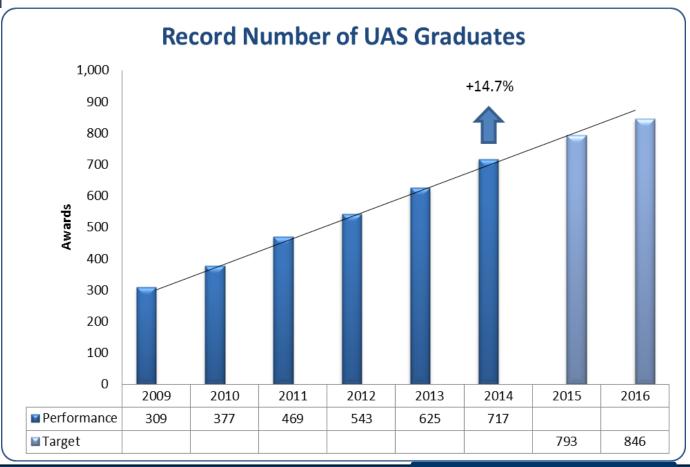
THEME 4: RESEARCH & DEVELOPMENT

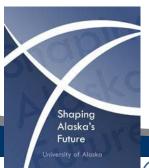
**THEME 5: ACCOUNTABILITY** 

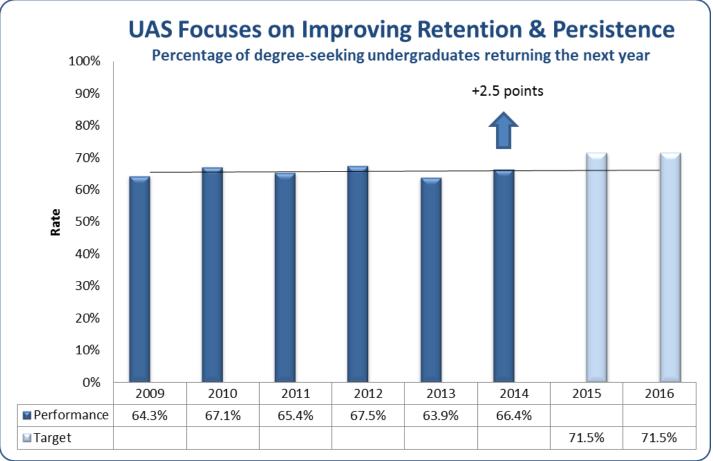


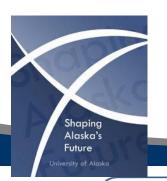


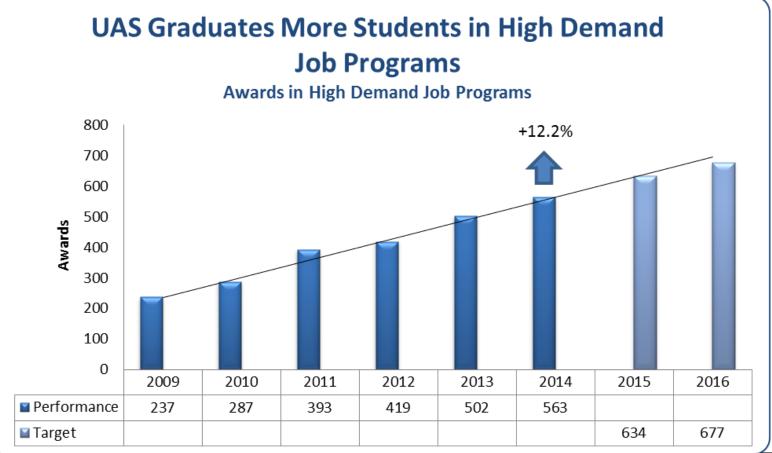
## UAS: Shaping Alaska's Future Theme 1: Student Achievement



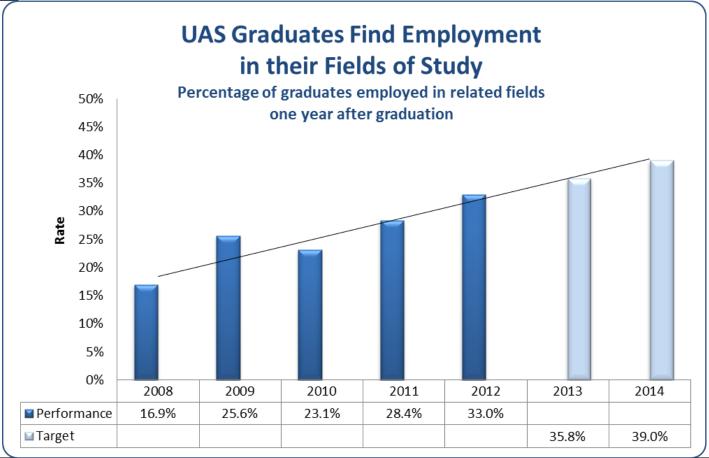


















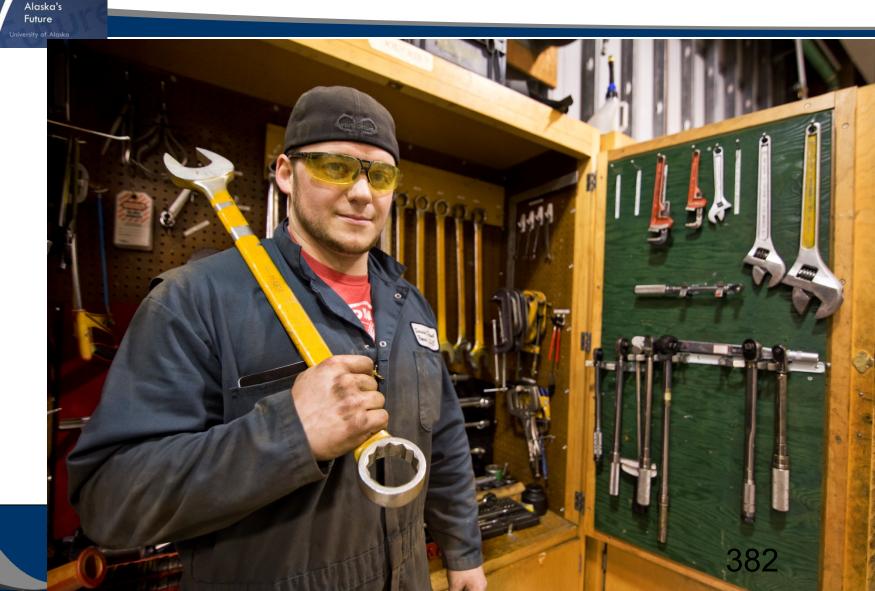














Shaping Alaska's



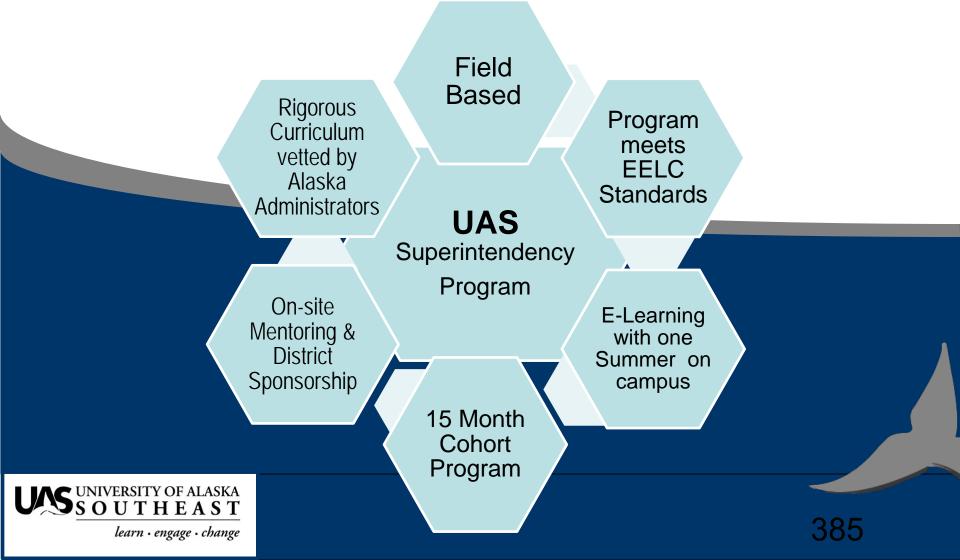
# UAS: Shaping Alaska's Future

## Theme 2:

# Partnerships with Alaska's Schools



# Superintendents Program



# AKLN

ALASKA'S **LEARNING** NETWORK

COURSES AND SUPPORT
YOU NEED TO BE COLLEGEAND CAREER-READY.



# Alaska's Learning Network (AKLN)

- Advanced Placement Courses
- Honors Classes
- Credit Recovery
- 8 Tech Prep Courses for the Fall Semester
- A Virtual Counselor
- Free to Districts: Professional Development for Paraprofessionals, Teachers, Administrators and Parents.



# UAS: Shaping Alaska's Future

## Theme 3:

# Partnerships with Public Entities and Private Industries





# Partnering with Alaska's Industries: Maritime & Multi-Skilled Worker

#### **Fall of 2012**

- Alaska Marine Highway requested a U.S Coast Guard approved QMED program that would require only 90 days of sea time instead of 180 hours to get fully licensed.
- Ketchikan Shipyard (Vigor Alaska) indicated that they needed multi-skilled workers for the Ketchikan Shipyard.
- Mining industry also indicated a need for multi-skilled workers and workers for above and below ground work.

## Spring of 2013

Designed a Maritime and Multi-Skilled Worker program that would meet US Coast Guard specifications.



# Partnering with Alaska's Industries: Maritime & Multi-Skilled Worker

#### **Fall 2013**

- USCG-approved Maritime and Multi-Skilled Worker program. This program also received approval as a UAS Workforce Credential.
- Program provides an introduction to basic industrial skills:

Naval Architecture

Principles of Diesel Engines

Refrigeration

Hydraulics and Fluid Po

Electricity

Welding & Safety





# Partnering with Alaska's Industries: Maritime & Multi-Skilled Worker Program

- 9 students enrolled in the MMSW.
- ➤ 1 student was hired by Vigor Alaska midway through the program.
- 8 successfully completed the program.
- ➢ 6 completed the requirements for the QMED (Qualified Member of the Engine Department), including 90 days of sea time.
- ➤ 100% of students who completed the program are currently employed:
  - Alaska Marine Highway System
  - **❖ VIGOR Alaska**
  - Amak Towing







# Partnering with Alaska's Industries: Maritime & Multi-Skilled Worker Program

#### PARTNERING WITH VIGOR ALASKA

# UAS Ketchikan Campus partnered with Vigor to provide training in:

- Mathematics
- Blueprint Reading
- Welding
- Marine Electrical
- Welding
- Refrigeration
- Over 40 Vigor employees participated
- Many students taking 2-3 of these classes.







# Partnering with Alaska's Industries: Maritime & Multi-Skilled Worker Program

Classes serving 350+ mariners in both operations and marine engineering components of the Marine Transportation industry.

Meeting the needs of the Alaska Marine Highway System and towing

companies in the areas of:



- Radar Training
- Radar Renewal (on-line)
- Basic Safety Training
- Proficiency in a Survival Craft
- Fast Rescue Boat
- Able Seaman (video conference).
- 100 Ton Master
- Towing Apprentice



# UAS: Shaping Alaska's Future

## Theme 4:

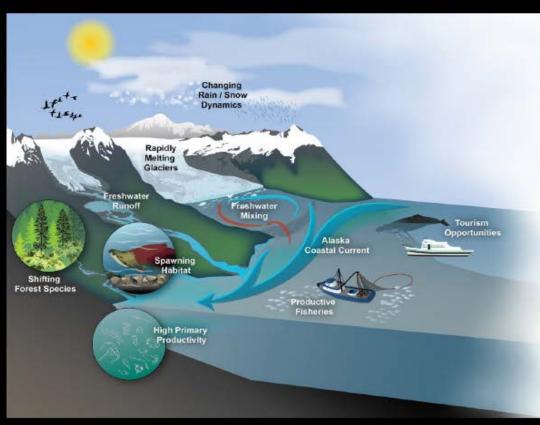
Research and Development



## ALASKA COASTAL RAINFOREST CENTER



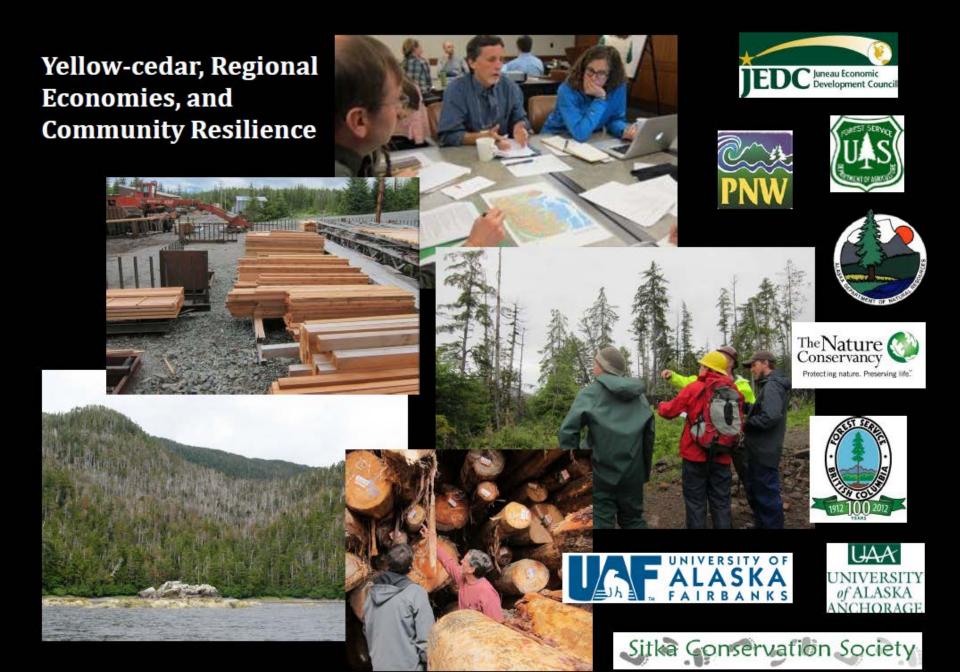
ACRC builds partnerships and catalyzes collaborative ecological, economic and social research in the north Pacific coastal temperate rainforest to support vibrant and resilient communities and ecosystems.



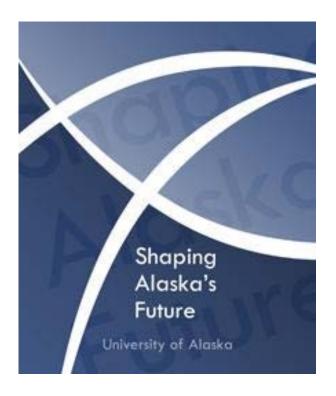








# UAS: Shaping Alaska's Future

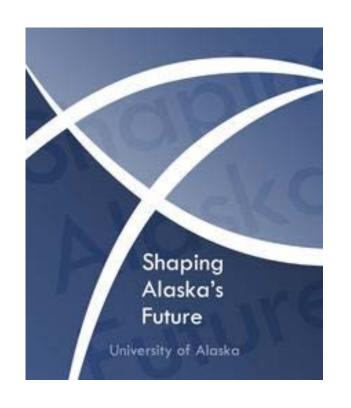


## **THEME 5: Accountability**

- Attention to mission and core themes
- Rigorous program reviews
- Data-driven decisions
- Regular reporting
- Continuous improvement



# In conclusion...

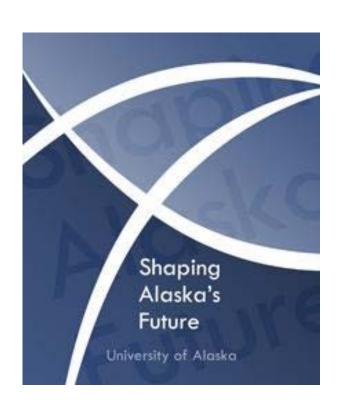


### **Our vision:**

UAS is recognized as a destination of choice for students seeking excellent academic programs and engaging learning opportunities that integrate the environment and cultures of Southeast Alaska.



# UAS: Shaping Alaska's Future



# **Gunalcheesh!**

Thank you!



### **DMTC Acquisition**

### **UA Mining and Petroleum Training Services**

08/25/14

The University of Alaska was founded on a rich history of responding to the mining industry in academics, research and workforce development. UA, through the Mining and Petroleum Training Services (MAPTS) supports that strong tradition of working closely with the major producers and small operators in the mining industry through technical, health and safety training throughout Alaska. UA/MAPTS is considering acquiring the Delta Mine Training Center's assets (DMTC) as an opportunity to continue that rich tradition.

The acquisition of the DMTC assets located south of Delta Junction, Alaska will position MAPTS to provide both underground and surface mine training at a single site, or in conjunction and in partnership with other education or training institutions, through the most comprehensive program available anywhere in the world. Recent upgrades to the federally required Mine Safety and Health Administration training programs, collaborative partnerships with colleges from the Yukon Territory and British Columbia referred to as WEST Mines, and strengthening relationships with the Alaska Miners Association, Council of Alaskan Producers, and Alaskan-based mining companies support the further development of UA's capabilities to provide mine training for Alaska.

#### **HISTORY**

Established in 1997 to support the workforce development for interior mining activities including the Pogo mine, DMTC, an educational non-profit 501c3 corporation, is located approximately 130 miles south of Fairbanks on the Trans Alaskan highway. Just south of the Gerstle River Bridge, this 100 acre site is leased from the Alaska Department of Natural Resources and provides a unique remote location with road access for world class underground and surface mine training. The facilities located on site include: 10-person camp, classrooms (both above and underground), cooking and laundry facilities, maintenance shop, and heavy equipment for training and facility maintenance.

#### CURRENT STATE of TECHNICAL MINE TRAINING in ALASKA

UA is well poised to take advantage of the opportunities available with total access to the Delta Mine Training facility. Through the Consolidated Alaska Mine Initiative (CAMI), university representatives have been working closely to align programs and funding opportunities with additional guidance and support from the Alaska Miners Association Human Resources Workforce Development committee.

MAPTS is currently working in close association with the University of Alaska Southeast Mine Training Center (UAS MTC) to provide technical assistance and instruction to students and employees at the UAS Juneau Marine Technical Center location. In addition to the classroom at the technical center, UAS MTC has invested over \$1,000,000 in a mine training simulator to provide entry-level and experienced miner training for producing area mines and has garnered attention as a destination for companies outside of the region as well. UAS MTC is primarily focused on underground mining skills, leaving a skills training gap for other mining techniques across the state. Most of the technical skills training for mining is occurring at mine sites rather than in controlled education environments. This is challenging for employers as it can impact safety, production and profitability.

DMTC has a long term site lease and an established footprint that provides for both underground and surface training available. The opportunity to provide a comprehensive offering of programs and technical skills training at a single site also allows for progressive equipment operations and skills training to occur prior to the working within the confines of an underground work environment; this infrastructure compliments MAPTS capability to support competency-based learning and hands-on experience prior to actual underground work conditions.

MAPTS has developed a global reputation for providing high quality and industry-standards meeting curriculum to students preparing for employment in the United States, Canada, Russia and Central America.

#### **ACQUISTION STRATEGIES**

MAPTS is coordinating due diligence activities that will facilitate the final decision on adding DMTC to the University of Alaska as a permanent asset or continuing under contractual leasing of their facilities. UA Statewide units involved to provide expert analysis and recommendations from their respective areas include: general counsel, finance, risk management, facilities and land management, academic affairs and MAPTS. Each unit has identified critical and other important information, research and data that should be assessed and evaluated to provide for a comprehensive understanding of the opportunities and challenges that this acquisition will bring to UA. A written report drafted and distributed to executive leadership prior to an anticipated final decision in early December.

The estimated cost of the acquisition of the DMTC assets is \$1.4M. Funding for the completing the transaction would be from MAPTS current carry-forward resources, revenue generated by training programs, and an internal loan paid back in three years after the asset transaction. Financial projections currently do not anticipate the need for additional state general fund dollars to support programs due to the acquisition of DMTC.

Some other identified items to be evaluated include:

- Environmental permits, risks and impact studies
- Financial valuations of equipment, buildings and business plan
- Facilities and Land transfer of titles and leases
- Legal transfer of property, agreements in place, liabilities
- AA/MAPTS appropriateness of facility, business plan and mission

#### **CURRENT STATUS of MAPTS ACTIVITIES**

MAPTS has secured a contract for underground mine training services to be provided to the Yukon College, Centre for Northern Innovation in Mining including expanding the DMTC camp from 10 to 24 persons and year-round use of their two on-site state-of-the-art underground mine equipment simulators.

MAPTS/Academic Affairs/Workforce Programs secured a letter of assurance from the UA Statewide Finance Dept. for \$400,000 for support if identified conditions, due diligence activities and recommendations warrant the transaction, and the business plan is approved.

MAPTS is assembling documentation from due diligence team to draft a preliminary report.

MAPTS has developed short-term lease agreement with DMTC that stabilizes the operational costs during the due diligence process and implementation phase of this project.

MAPTS is marketing training programs to operating mines including Pogo, Kensington, Green's Creek, Donlin Creek, and Ft. Knox to expand revenue and training opportunities within Alaska.

MAPTS is continuing to strengthen relationships with the Department of Labor and Workforce Development and Alaskan legislators who support mining and resource development.

MAPTS is reviewing opportunities, has applied for, and is receiving grants from federal and state agencies and within UA that support the delivery of mine training.

### University of Alaska Faculty Workload Assignment Process

Workload assignment processes differ somewhat among the three faculty unions: UNAC, UAFT, and UNAD (Adjuncts). UNAC faculty are assigned 30 workload units per academic year, and may be assigned 10 additional units in the summer if funding is available. Salary for the summer months is often funded by external grants and contracts. Also, faculty may teach summer session classes. UNAC faculty usually have a tripartite workload including teaching, research, and service, but clinical and extension faculty have a bipartite workload consisting of teaching and service, and research faculty have a bipartite workload consisting of research and service. UAFT faculty are assigned a five-part workload each semester. The workload normally consists of four parts teaching (four courses totaling not more than 12 credits) and one part service. A few UAFT faculty have workloads consisting of three parts teaching, one part research, and one part service, or have reduced teaching and increased service or administration assigned. UAFT faculty may also have an additional assignment, usually part-time, for summer teaching. A few programs, including some offered largely online, operate on a year-round (three-semester) schedule. UNAD (adjunct) faculty are normally hired to teach specific courses, totaling not more than 15 credits during an academic year. Occasionally, adjuncts perform other duties (usually externally-funded research) part time.

The Collective Bargaining Agreements require that several factors are included in determining the faculty member's workload. For UNAC those factors include the missions and goals of academic units, including unit criteria developed for the evaluation of faculty; program needs and priorities; accountability; the requirements of externally funded contracts and grants; historical workloads; the level, duration, and mode of delivery of a workload activity; and extended contact hours. For UAFT the factors are similar but not identical: historical workloads; the missions and goals of academic units; criteria developed for the evaluation of faculty; the level, duration, and mode of delivery of a workload activity; the requirements of externally funded contracts and grants; and whether an activity requires extended contact hours.

For UNAC the workload process begins in February, when faculty consult with the department head/chair (or other academic coordinator) to find out the teaching and service needs of their unit for the coming academic year. Faculty prepare, in writing, the proposed workload for the following year. The proposed teaching normally includes credit courses; non-credit courses in the case of extension faculty; graduate student thesis research supervision; and academic advising. Research includes the effort specified in external grants and contracts; grant/contract proposal preparation; writing of research articles or books; or other scholarly and creative activity. Service includes curriculum, accreditation, governance, program review, and other university committees; professional service such as reviewing research proposals and journal articles; and regional/national service, such as serving on research steering committees for funding agencies or organizations such as the North Pacific Marine Fishery Management Council. The proposed workload must be submitted to the department head/chair (or other academic lead) by March 3. The department head/chair (or other academic lead) forwards the faculty workload proposals, along with the department's needs for teaching and service, to the dean (or director or equivalent administrator) by April 3. The dean (or other administrator)

reviews the proposed workloads and makes changes as needed to ensure that all teaching, externally funded research, and service needs of their academic unit are met. The administrator notifies unit members of their workload for the next contract period by May 3. For UAFT the process of workload approval varies somewhat by university and campus, but the responsible administrator similarly assigns workload to meet the teaching and service needs of their academic units.

The university, following consultation specified in the collective bargaining agreements, is responsible for determining the professional duties and responsibilities in a faculty member's workload. Workloads may be modified over the course of an academic year by the appropriate university administrator based upon changing needs and expectations.

### Average Fall Semester Course Credit Load for UA Instructional Faculty

Average Course Credit Load Per Regular Instructional Faculty Full-Time Equivalent (FTE)	Fall 2011	Fall 2012	Fall 2013
UAA including Community Campuses	10.2	10.4	9.9
Anchorage Campus Only	9.6	9.7	9.4
UAF including Community Campuses	10.7	10.5	10.7
Fairbanks Campus Only	10.6	10.4	10.5
UAS including Community Campuses	11.6	12.4	11.3
Juneau Campus Only	11.3	12.6	11.3
Average Course Credit Load Per Adjunct Faculty	Fall 2011	Fall 2012	Fall 2013
UAA including Community Campuses	7.7	8.0	8.0
Anchorage Campus Only	7.2	7.7	7.9
UAF including Community Campuses	8.1	8.0	7.7
Fairbanks Campus Only	7.9	8.5	8.3
UAS including Community Campuses	5.1	5.3	5.5
Juneau Campus Only	5.8	6.0	6.2

Figures reported here are compiled according to standard UA reporting definitions, using fall semester closing course data and the fall HR freeze. Standard UA figures differ from the federal Integrated Postsecondary Education Data System (IPEDS) with regard to how instructional faculty are identified and the point in time at which figures are extracted for reporting. Information above should only be used for comparisons within the UA system over time.

Regular faculty full-time equivalent for instructional activity is calculated as the proportion of bi-weekly effort budgeted to instruction. For example, a full-time regular faculty member with a joint appointment consisting of 50% instruction, 10% service, and 40% research would count as 0.5 regular, instructional faculty FTE. Adjunct faculty may teach up to and including 15 course credit hours, or equivalent, per academic year. Course sections for which there is no instructor of record, i.e. Staff is listed as the instructor, are considered to be delivered by adjunct faculty.

Source: Data supplied by UAA, UAF and UAS via UA Information Systems: UA Decision Support Database (RPTP.DSDMGR) fall semester closing tables and fall HR tables, FY12 – FY14. Regular instructional faculty course load information is also available in the 2014 edition of UA in Review, table 3.13. (iData 7983)

### National Center for Education Statistics (NCES) Methodology Student-Faculty Ratios: Headcount and Full-Time Equivalent (FTE) With External Peer Comparisons

<u> </u>	F	all 2012	
Student Headcount to Total Instructional Faculty Headcount	UAA	UAF	UAS
University including Community Campuses	30.3	21.5	29.8
Main Campus Only (Anchorage, Fairbanks or Juneau Campus)	28.3	17.1	19.3
University Peer Minimum	13.4	8.9	14.2
University Peer Median	24.1	19.0	20.5
University Peer Maximum	57.1	50.5	36.2
	F	all 2012	
Student FTE to Total Instructional Faculty FTE	UAA	UAF	UAS
University including Community Campuses	22.1	15.6	27.5
Main Campus Only (Anchorage, Fairbanks or Juneau Campus)	21.3	14.3	19.0
University Peer Minimum	16.1	8.7	14.9
University Peer Median	22.2	19.1	18.7
University Peer Maximum	38.9	63.6	26.9

Two measures of student-faculty ratio are presented here to investigate instructional faculty workload while accounting for the high proportion of part-time students that attend UA campuses. For example, a faculty member may advise five full-time students (graduate or undergraduate), five part-time undergraduate students, and five part-time graduate students. The headcount ratio in this example is 15:1, while the FTE ratio is 8.8:1.

Historical trend data is not reported because NCES fundamentally changed the categorizations by which postsecondary institutions report employees by function starting with the collection of fall 2012 employment data. IPEDS states that HR data prior to fall 2012 is not comparable with the current definitions and should not be used for trend comparison. All figures presented above are compiled using standard NCES methodologies to allow for valid comparisons with peer institution data submitted through the Integrated Postsecondary Education Data System (IPEDS). Standard UA figures differ from the federal figures with regard to how instructional faculty are identified and the point in time at which figures are extracted for reporting. Information above should only be used for external comparisons.

Student Full-time Equivalent (SFTE) is defined as:

Full-time Headcount + 0.403543 x Part-time Undergraduate Headcount + 0.361702 x Part-time Graduate Headcount.

Instructional Faculty Headcount is defined as follows, and includes part-time, adjunct faculty as defined by NCES: Total Faculty Headcount - Research Faculty Headcount - Other Faculty Headcount.

Faculty with a primary administrative assignment, i.e. deans, directors, etc., are categorized and reported by IPEDS as administrators and are not considered here.

Instructional Faculty FTE is defined as:

Full-time Instructional Faculty + (Part-time Instructional Faculty/3)

Source: Data supplied by the universities via UA Information Systems: UA Decision Support Database (RPTP.DSDMGR) fall 20123 Opening enrollment tables and fall HR tables. Peer data extracted from National Center for Education Statistics (NCES) IPEDS Data Center: http://nces.ed.gov/ipeds/datacenter/. Compiled by UA Institutional Research and Analysis. (idata 7983)

# University of Alaska Fairbanks Context for Student/Faculty Ratio Tables

The table "Average Fall Semester Course Credit Load for UA Instructional Faculty" provides direct information on faculty members' average teaching assignments. However, that information is not readily available from UA peer institutions. Therefore UA Institutional Research has compiled information on student/faculty ratios to enable comparisons to peers. This comparison shows that UAF falls within the range of its peers, but is somewhat below the peer median for the Student FTE: Total Instructional Faculty FTE ratio.

It is important to understand that the student/faculty ratio is affected by institution enrollment, not just by the number of classes that each faculty member teaches per year. Other factors (such as the number of different programs offered) being equal, course enrollments will be twice as high at a university with 20,000 students as at one with 10,000 students. Since the same number of faculty will be needed to teach those classes, the student/faculty ratio will be about twice as high for the larger institution, as well. Table 1 shows that among its peer group of public research universities, UAF has the lowest enrollment.

Of course, not all factors are equal. UAF offers fewer baccalaureate and graduate programs than its peers, but unlike most of its peers UAF has responsibility for community campus career and technical programs. This means that UAF is responsible for a greater range of program types than its peers, which results in a need for more faculty. Table 1 shows the percentage of undergraduate certificate and associate degrees awarded by each institution, relative to its total degree and certificate awards, as an index of the community campus portion of its mission. UAF is far ahead of its peers on this measure, at 38%. Of the peers, only Idaho State exceeds 20% pre-baccalaureate certificate and associate awards.

Most of the peer institutions have research activity comparable to UAF; they are all Carnegie Very High or High Research Activity institutions (RUH or RUVH Basic Classification). UAF is third, behind Oregon State University and the University of Oklahoma, in total research expenditures (Table 1). However, UAF is very different from the peers in the research expenditures/FTE student, with a ratio of 28, more than twice as high as any of the others. The student:faculty ratio for the research universities ranges lower than for the UAA and UAS peer groups, in part because student:faculty is typically lower for Ph.D. programs, which are much more numerous at research universities.

To summarize, UAF is different from its peers in having the smallest enrollment, a greater range of programs due to its community campus mission, and a much greater amount of research funding per capita student. Nonetheless, UAF student/faculty ratios are well within the peer range.

Table 1. UAF Peer Comparison on Research Expenditures, Enrollment, and Certificate + Associate Degree Awards\*

				% of Undergraduate
	Total Research			Certificates and
UAF Peer (includes	Expenditures		Research	Associate Degrees
both research and	FY11	FTE enrollment	Expenditures/	Relative to Total
academic peers)	(thousands)	Fall 2012	FTE student	Awards
Idaho State				
University	\$21,450	10751	2.0	24.5%
Kansas State				
University	\$169,197	21461	7.9	1.0%
Montana State				
University	\$125,966	12376	10.2	2.9%
New Mexico State				
University-Main				
Campus	\$139,062	15049	9.2	0.7%
North Dakota State				
University-Main				
Campus	\$134,064	12766	10.5	0.0%
Oregon State				
University	\$228,814	23161	9.9	0.0%
The University of				
Montana	\$60,159	12633	4.8	17.0%
University of				
Alaska Fairbanks	\$175,246	6310	27.8	38.1%
University of	Ç173,240	0310	27.0	30.170
Delaware	\$169,746	20927	8.1	5.2%
University of Idaho	\$96,229	10623	9.1	1.8%
University of Maine	\$111,600	9511	11.7	0.0%
University of				
Nevada-Reno	\$89,740	15470	5.8	0.0%
University of New	700/10			0.07.1
Hampshire	\$143,002	15246	9.3	4.1%
University of	Ŧ - · - /			,-
Oklahoma -				
Norman	\$189,506	23123	8.2	0.0%
University of	, ,	-		
Wyoming	\$57,549	11061	5.2	0.5%
Utah State	. ,			
University	\$174,167	21403	8.1	16.8%
· · · · · · · · · · · · · · · · · · ·				1

<sup>\*</sup>Enrollment and certificate and degree award data are from IPEDS, and research expenditures are from <a href="http://www.nsf.gov/statistics/nsf13325/content.cfm?pub\_id=4240&id=2">http://www.nsf.gov/statistics/nsf13325/content.cfm?pub\_id=4240&id=2</a>. Note that research expenditures include some unrestricted fund expenditures, according to the standard NSF reporting requirements, so the total is greater than the external grant and contract funding for each institution, including UAF. FTE = Full-time equivalent.

# University of Alaska Southeast Context for Student/Faculty Ratio Tables

Context statement for: Average Fall Semester Course Credit Load for UA Instructional Faculty

The table summarizing average fall semester course credit loads demonstrates the prominence of instruction in UAS faculty workloads—reflecting the importance of student learning in our UAS mission. Direct comparison of these data with other UA universities and with peer institutions is challenging given exceptional factors such as variations in institutional scale and mission. Having noted this, UAS faculty instructional loads appear robust and appropriate to our mission and core themes.

Context statement for: Student-Faculty Ratios

UAS student-faculty ratios presented in this table reveal the strong student-faculty ratio for our institution compared with our peer institutions. We note that, as a regional university with campuses in Juneau, Ketchikan, and Sitka, UAS has a broad mission that makes direct statistical comparison to peer institutions challenging. This broad mission, plus remoteness of campuses, preponderance of part-time students, and small size of the university overall are exceptional factors that must be taken into account in making any comparisons.

# Discussion on conducting a request for information to contract out some portion of developmental education

### A key question

What changes does the Board want in Developmental Education? Would contracting it out be likely to result in those changes?

#### Points to keep in mind

- 46% of fall 2012 recent high school graduates seeking a 4-year baccalaureate degree required developmental coursework
- 58% of fall 2012 recent high school graduates seeking an associate degree required developmental coursework
- 70% of developmental students are not recent high school graduates
- 95% of the cost of developmental education is covered by tuition and fees
- Course completion rates in developmental education overall are similar to those of lower division collegiate courses in math and English but developmental math is a bit lower.
- More than half (51 percent) of FY13 baccalaureate degree recipients took at least one developmental course and 63 percent of FY13 associate, certificate and endorsement recipients took at least one developmental course.

#### **Background**

UA Board of Regents' Policy P10.04.080 addresses developmental and remedial education and is provided in full below:

To assist students in the successful completion of their educational goals, universities and community colleges of the University of Alaska will make available developmental and remedial courses in basic skills.

The Northwest Commission on Colleges and Universities, UA's regional accrediting institution, has a standard for contracting services and would likely scrutinize the contracting out of developmental education. Accreditation standard 2.A.26 is given in full below:

If the institution enters into contractual agreements with external entities for products or services performed on its behalf, the scope of work for those products or services—with clearly defined roles and responsibilities—is stipulated in a written and approved agreement that contains provisions to maintain the integrity of the institution. In such cases, the institution ensures the scope of the agreement is consistent with the mission and goals of the institution, adheres to institutional policies and procedures, and complies with the Commission's Standards for Accreditation.

Alaska students currently have a wide selection of institutions that offer developmental education in the state or by going out of state. However, the majority of these students are coming to UA. UA offers developmental education courses in-person and by e-Learning but the vast majority of UA developmental students take courses in-person. A May 15, 2014, Chronicle of Higher Education article titled *California Community-College Students Fare Less Well in Online Courses* by Danya Perez-Hernandez indicates that the success rate of students in online courses lags behind that of their peers taking in-person courses.

During any given semester about 5,000 students (15 percent of UA headcount) take a developmental course. A majority of UA students taking developmental coursework are not recent high school graduates (30 percent); the majority are post-traditional students often working adults with families (70 percent).

Commonly, there are three levels of developmental coursework offered in math, writing, and reading: nearly college ready, some remediation needed, and significant remediation needed. A majority of students need just one or two courses in math and/or English to raise them to collegiate level. About 90 percent of baccalaureate seeking students needing developmental coursework of any kind, need developmental math.

Degree seeking baccalaureate and associate students and non-degree seeking students take developmental education courses at UA. Almost half of all 4-year degree seeking, full-time, first-time freshman require developmental coursework to be college ready; about 75 percent of these

students successfully complete developmental coursework. About 60 percent of all associate, certificate and occupational endorsement seeking, full-time, first-time freshman require developmental coursework to be college ready; about two-thirds of these students successfully complete developmental coursework.

Course completion rates in developmental education overall are similar to those of lower division collegiate courses in math and English but developmental math is a bit lower.

Graduation rates are lower and time to graduation is lengthened for those needing more remediation. Those needing remediation in both math and English complete baccalaureate degrees at very low rates. However, more than half (51 percent) of FY13 baccalaureate degree recipients took at least one developmental course and 63 percent of FY13 associate, certificate and endorsement recipients took at least one developmental course.

Below the questions raised in the request for this discussion item are addressed:

# Are there existing remediation-type courses or enterprises that would have an interest in developing such a curriculum and respond to an eventual RFP?

While an RFI would answer this question formally, UA expects there would be institutions interested in providing developmental education courses for our students. These could include high school home school institutions, other state and private community colleges and universities, especially those with lower tuition that UA charges, and private companies.

If UA directs developmental students to work with another institutions on their developmental education and that institution offers collegiate level coursework, students could continue their education with that institution rather than coming to UA after completing their developmental work.

The report, "Online Learning and Student Outcomes in California's Community Colleges," says online-course enrollment reached close to one million in the 2010-11 academic year, up from 114,000 in 2002-3. Almost 530,000 California community-college students enrolled in online courses during 2011-12, nearly 20 percent of all students taking credit courses, the report says.

The institute's researchers found that students were less likely to complete online courses than traditional courses, and were less likely to complete online courses with passing grades. But when it comes to long-term impact, measured by the likelihood of students' earning degrees or transferring to four-year-colleges, those who combined traditional and online courses were more successful than those who took face-to-face courses alone.

#### What is the cost to the student of UA teaching the remedial course vs. private enterprise?

UA students pay tuition for developmental education courses; resident tuition is currently \$168/credit so a typical three credit course costs \$504. Without a competitive bid process (RFP)

UA cannot answer this question for private enterprise. However, Washington State Community College tuition and fees for a three credit course is currently \$320.52. A Request for Information will not request competitive bids. If institutions have a published list price for delivery, we can request that information as part of an RFI.

### What are the existing budget expenditures/revenues related to UA developmental education?

This question was addressed in a UA report to the legislature in August 2013; that report is attached as an addendum to this brief.

UA developmental education is relatively inexpensive to deliver because adjunct faculty are heavily utilized to teach these courses. As a result tuition revenue covers the bulk (over 95 percent) of instruction and student related expenses and general funds cover the rest.

## What is the projected reduction in student attrition if a student successfully completes a course?

Students often become ineligible to continue receiving financial aid and/or academically ineligible to pursue a degree and typically drop out when they consistently fail courses. Baccalaureate students who did not need developmental coursework were retained at a slightly higher rate than those who took and passed developmental coursework, 80 versus 76 percent, respectively. Less than half (47 percent) of 4-year degree seekers who took and did not pass developmental coursework were retained to the next fall.

Retention rates for associate, certificate and endorsement students average about 60 percent; students who took and did not pass developmental coursework were retained at a 30 percent rate to the next fall.

As these numbers indicate, successfully passing developmental classes significantly improves student retention.

# What is the reduction of student debt ratio if student spends one year less to complete a baccalaureate program?

For undergraduate degree seeking students starting at UA between 2001 and 2006 and graduating by FY13, the average reduction in student loans taken if the time to degree for each student was reduced by one year is an estimated \$8,400 for those who received a two-year degree or a baccalaureate.

For the year 2011-12, the average debt for graduates of Alaska colleges or universities was \$28,782. Almost half (49 percent) of graduates incurred student debt.

However, the true benefit of completing a degree in four versus five years should include the opportunity cost of attending for a fifth year instead of entering the workforce after graduating in four years. For some graduates that opportunity cost can be substantial. Survey respondents from

the UA class of 2012, who worked full-time, reported an average salary of \$50,200. Engineering students reported salaries that averaged \$58,600 per year. This represents the additional cost (the opportunity costs) a student foregoes by attending each additional year to earn their degree.

# What is the reduced cost to UA as a result of keeping a student for four years rather than dropping out after a year and then UA recruiting a new student?

UA's enrollment (like all colleges) is comprised of two populations: current students and new students. New student enrollments comprise approximately 16 percent of overall enrollment. Each year UA attracts approximately 3000 new freshman and another 2200 transfer students from outside the state. Clearly, the larger of the two populations are the currently enrolled students so retention is a critical issue.

Four-year private institutions spent the most to bring in new undergraduates in 2012-13, spending \$2,433 per new student at the median vs. \$457 per new student and \$123 per new student at the median, respectively, for four-year public institutions and two-year public institutions (Source: Noel-Levitz Consulting, 2011-13 Study). UA spends on average about one tenth the amount of four-year public institutions per new student (Source: UAA, Spring 2014).

# How will federal funding be affected in future years due to negative reporting to national ranking systems of continued high attrition rates?

While the White House has released a college rating plan that includes degree completion information and proposed that this information be used in allocating student aid to universities, this is not yet law. The Reauthorization of the Higher Education Act has not been acted upon yet so the answer to this question remains uncertain.

#### Compare the future earning of a successful graduate vs. a one-year dropout.

The State Higher Education Executive Officers and National Center for Higher Education Management Systems released a December 2012 report titled *The Economic Benefit of Postsecondary Degrees: A State and National Level Analysis*. That report provided the following national salary comparison that is helpful in addressing this question:

According to our analysis of U.S. Census data, those who obtain a bachelor's degree have a median income of \$50,360 compared to a median of \$29,423 for people with only a high school diploma. An associate's degree leads to a median income of \$38,607, more than \$9,000 higher than a high school diploma. <a href="http://www.sheeo.org/sites/default/files/publications/Econ%20Benefit%20of%20Degrees%20Report%20with%20Appendices.pdf">http://www.sheeo.org/sites/default/files/publications/Econ%20Benefit%20of%20Degrees%20Report%20with%20Appendices.pdf</a>

That report provided Alaska specific median income figures for 2006-2010 as \$30,938 for high school graduates, \$43,328 for associate graduates, and \$50,381 for baccalaureate graduates. However, that report also made it clear that the area of study, e.g., science, health, and trades, had a large impact on the median income of those earning degrees.

Can we draw a tight enough correlation to make the leap to compare revenues derived from a student paying us \$1,000 for two remedial courses and one year of full-time tuition and then dropping out vs a student coming ready to learn, spending tuition for four years and graduating?

Tuition and fees are revenues from students. Fees cover the costs of specific items like parking, health insurance, etc. and do not apply to instructional costs so they are not addressed here. Current resident undergraduate tuition for one year is approximately \$5,580. Tuition to complete an associates program (60 credits minimum) is \$10,080 (all lower division with FY14 tuition rates) and tuition for a baccalaureate program (120 credits minimum) is \$22,320 (50 percent lower and 50 percent upper division with FY14 rates). However, tuition does not cover the cost of instruction. About 56 percent of the cost of instruction is covered by tuition and fees and general funds cover the rest of the cost. UA's budget is not allocated on a per student basis like K-12 education.

# Over time, with the implementation of the common core state standards, what will be the need for remediation in ten years?

Alaska did not adopt the Common Core Standards. Alaska adopted the Alaska Academic Standards. The new standards significantly raise the intended student learning outcomes for K-12 students above the previous standards. However, the new standards have not yet been implemented so there is no data to use to address the requested projection. Student outcomes will depend on the level of implementation of the new standards. Figure 2 in the August 2013 report in the addendum provides a speculative projection of declining developmental education needs for recent high school graduates. However, please recall that the majority of UA students needing developmental education currently are not recent high school graduates; they are 24 to 28 year olds who went to work immediately after high school and now have families.

### The Cost of Developmental Education at the University of Alaska Dana L. Thomas Ph.D., Vice President for Academic Affairs August 21, 2013

#### What is Developmental Education?

Developmental courses are those offered for credit but do not satisfy degree requirements because the content is below the collegiate level. While developmental courses are generally offered for credit and contribute toward meeting financial aid eligibility requirements for full- or part-time status, credits earned are not applied toward the student's degree.

#### Who are the students in developmental education courses?

During any given semester about 5,000 students (15% of UA headcount) take a developmental course. A majority of UA students taking developmental coursework are <u>not</u> recent high school graduates (nearly 30%); the majority are post-traditional students commonly working adults often with families (about 70%). However, proportionally more recent high school graduates require remediation than other students seeking undergraduate degrees. Figure 1 below and Figure 2 on the following page show the total number of students in each group and the proportion of each who take developmental coursework, respectively.

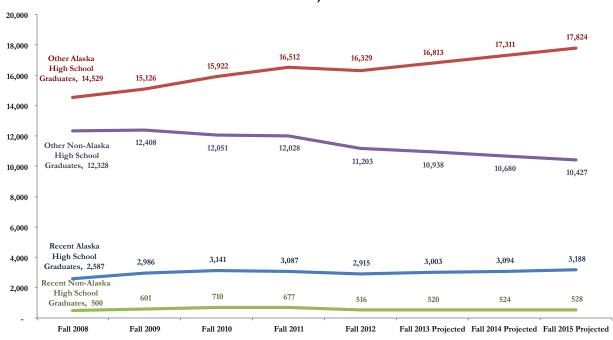


Figure 1. Undergraduate Headcount by Student Category Fall 2008 - Fall 2012 and Projected Fall 2013 - Fall 2015

60.0% 55.4% Recent Alaska 54.3% 53.0% 52.3% High School 52.6% 52.0% 51.0% Graduates, 50.6% 50.0% 50.5% 49.5% 48.0% 46.1% 45.3% 45.5% Recent Non-Alaska 40.0% High School Graduates, 42.0% 30.0% 20.0% 16.9% 14.9% Other Alaska 13.4% High School 13.2% 12.4% 12.2% 11.7% Graduates, 11.2% 13.0% 12.7% 10.0% 11.7% 12.4% 9.9% 8 6% Other Non-Alaska High School Graduates, 7.1% 0.0% Fall 2008 Fall 2009 Fall 2010 Fall 2011 Fall 2012 Fall 2013 Projected Fall 2014 Projected Fall 2015 Projected

Figure 2. Developmental Participation by Student Category Fall 2008 - Fall 2012 and Projected Fall 2013 - Fall 2015

#### What kind of developmental education is needed?

There are 3 levels of developmental coursework offered: nearly college ready, some remediation needed, and significant remediation needed. A majority of students need just one or two courses in math and/or English to raise them to collegiate level. About 90% of baccalaureate seeking students needing developmental coursework of any kind, need developmental math. As shown in Table 1 below, 46% of fall 2012 recent high school graduates seeking a 4-year baccalaureate degree required preparatory coursework.

Table 1. Degree-Seeking Undergraduates Requiring Remediation by Level, Fall 2012

	2-Year or	2-Year or Lower		ır
	Recent High School	Recent High School		
	Graduates	Others	Graduates	Others
Any Remediation	511 (58%)	1,374 (21%)	791 (46%)	1,356 (11%)
Nearly College-Ready (Level 3)	210 (24%)	468 (7%)	532 (31%)	825 (7%)
Some Remediation (Level 2)	225 (26%)	583 (9%)	193 (11%)	384 (3%)
Significant Remediation (Level 1)	76 (9%)	323 (5%)	66 (4%)	147 (1%)

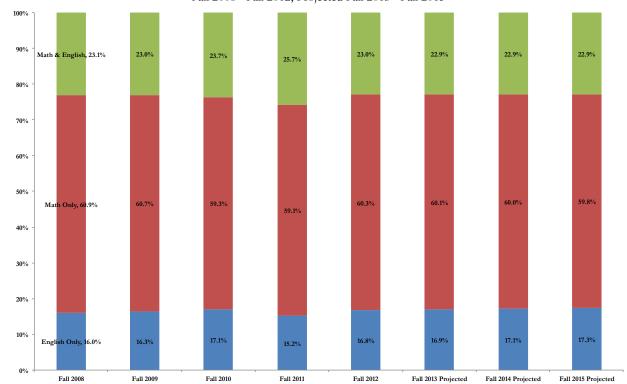


Figure 3. Developmental Participation by Subject Fall 2008 - Fall 2012, Projected Fall 2013 - Fall 2015

#### Assumptions

- Figures 1 and 2 include non-degree seeking undergraduates.
- The annual number of Alaska High School Graduates is projected to be at a low in 2013 at 7,160 graduates, with virtually no change through 2015, then slowly increasing to a new high of 8,600 by 2028<sup>1</sup>.
- Implementation of the Alaska Performance Scholarship is expected to increase high school graduation rates, lower the percentage of students needing developmental education, and increase the proportion of Alaska High School graduates attending UA. These combined effects are projected to increase the number of recent Alaska High School graduates attending UA by about 3% per year, while at the same time reducing the proportion of these students who need remediation by less than 3% per year. The number and proportion of other groups who attend UA and need remediation are expected to continue similar trends into the future as have been observed in the recent past, i.e., continuing average annualized change for these groups.
- To date 22.6% of Alaska Performance Scholarship (APS) eligible students require developmental education compared to 65.2% of non-APS students. APS is still early in its implementation so its full effect is not known but early results, like this, are very positive.
- New Alaska English/Language Arts and Mathematics Standards were adopted in June 2012 and are expected to positively impact student preparedness but these are not yet implemented.

<sup>&</sup>lt;sup>1</sup> See http://www.wiche.edu/info/knocking-8th/profiles/ak.pdf

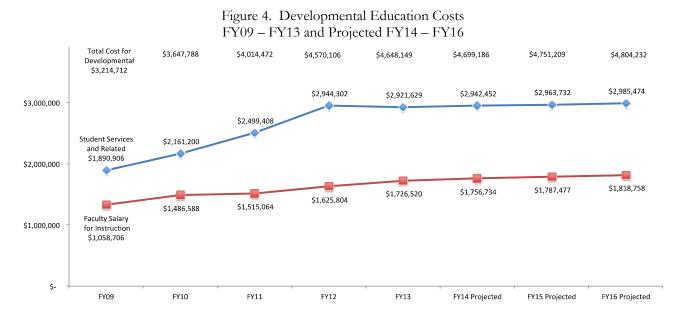
The relative distribution of developmental participation by subject shown in Figure 3 assumes
the annualized average change occurring between fall 2008 and fall 2012 will continue through
fall 2015.

#### What is the Cost of UA Developmental Education for Alaska's Underprepared High School Graduates?

The cost of providing developmental instruction and support to students who need remediation is paid for by a combination of tuition revenue and State general fund support. Historically, about half the annual increase in instructional faculty and support staff salaries is covered by State general fund with the remainder covered by university sources such as tuition.

Students pay UA tuition for developmental courses, however in high school there is no direct cost to students.

#### **University Expenditures**



Faculty salary figures include the cost of providing employee benefits. Student services and related costs include academic support, admissions, registration, library services, and other student services. These costs cover academic advising and tutoring activity, which is more intensive for developmental education students. There are other costs associated with developmental students that are more difficult to directly quantify, including space allocation and maintenance.

#### Student expenditures - tuition

Figure 5 on the next page illustrates tuition paid by three groups of students; recent Alaska high school graduates, non-recent Alaska high school graduates, and high school graduates from other states.

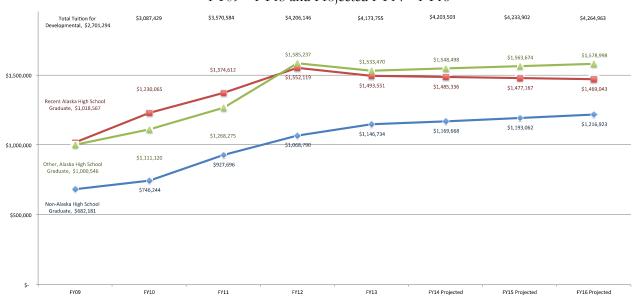


Figure 5. Tuition Paid for Developmental Courses by Student Type FY09 – FY13 and Projected FY14 – FY16

#### UA net revenue from developmental education

The difference between the total cost of delivering developmental education displayed in Figure 4 and the total tuition paid by students taking developmental courses displayed in Figure 5 is covered by State general funds.

#### **Assumptions for Cost Calculations**

- Faculty pay for those teaching developmental courses increases an average of 1.75% per year
  from FY14 forward; this figure is a mix of adjunct and regular faculty salary increases. More
  than 40 percent of the faculty who teach developmental courses are part-time adjunct faculty.
  Collective bargaining agreements with faculty unions are in negotiation and any future salary
  increases have not been agreed upon.
- Tuition rates increase an average of 2% per year from FY14 FY16 for developmental coursework. These rates are set by the Board of Regents and have not yet been set for FY15 or FY16.

#### Opportunity costs

- Many students give up on post-secondary education when they find out they are placed in developmental education.
- Graduation rates are lower (10, 18, and 24 percentage points lower for those nearly college ready, some remediation, and significant remediation, respectively)
- Time to graduation is lengthened by one or more years for those needing remediation
- In FY12, 83.4 percent (20,321) of FY02-FY11 UA graduates were employed in Alaska and had an FY12 average salary of about \$47,100. Students placed in developmental education are typically delayed in completing their programs and getting employed by one or more years and so they do not earn this income during that period.

#### What can UA do to help better prepare Alaska high school graduates for postsecondary education?

- Raise math preparation level of K-12 teacher graduates
- Improve curricular alignment with K-12 to facilitate easier transitions to UA (pace is a problem)
- Encourage high school students to complete an Alaska Performance Scholarship curriculum
- Improve student success rates in developmental and collegiate mathematics courses through curricular reform and support mechanisms (see promising pedagogies below).
- Encourage K-12 & UA dual enrollment

Course placements are determined based on common, nationally normed tests used across the UA system.

UA is actively working on improvement strategies that depend on cooperation between K-12 and the university. UA's participation in development of a statewide longitudinal data system called ANSWERS, which is funded by the US federal government, is a major step in developing a collaborative environment that could benefit educational and workforce programs throughout the state. The ANSWERS data system will strengthen our ability to understand and make policy decisions that encourage college completion and workforce success in Alaska.

### What can the State and Department of Education and Early Development do to better prepare Alaska high school graduates for postsecondary education?

UA is committed to working in partnership with the Alaska Department of Education and Early Development to overcome the challenge of underprepared high school graduates. We have established constructive working relationships between the State Board of Education and the UA Board of Regents and between Commissioner Hanley and UA President Gamble.

- Consider actions like those in Maryland Senate Bill 740, Arkansas House Bill 1838, Indiana House Bill 1005, and Utah Senate Bill 175.
- Have every student enrolled in an APS/college prep curriculum unless a parent opts him or her
- Require four years of math in high school ensure that a full-range of APS prep courses are available in every district using the Alaska Learning Network or similar alternative as needed
- Raise the math background of teacher hires over the next five to ten years
- Implement college ready assessment for all students no later than 11th grade so deficiencies in math and English (reading and writing) can be addressed in the later years of high school
- Facilitate K-12 & UA dual enrollment (e.g., Washington State Running Start Program)
- Develop a plan, in consultation with UA, to improve college and career counseling provided to students in middle and high school – beyond improving college preparation this is important to improve the post-secondary going rate in Alaska, which is among the lowest in the nation
- Increased use of peer tutoring programs in high schools using technology where needed
- Expand teacher mentoring to all new teachers and extend it from two to three years high teacher turnover has a strong negative impact on student learning outcomes, especially in rural Alaska
- Provide consistent incentives and improved living conditions for teachers to work in rural Alaska

### What promising new developmental education pedagogies is UA investigating and experimented with that other states have examined?

- Mainstreaming developmental students who are close to the current placement requirements, i.e. level 3 students, but require additional support for these students.
- Providing intensive one-semester sessions in math and English to more quickly qualify
  developmental students for collegiate level coursework. Modular approaches are also being
  tried where a student completes one credit at a time rather than failing a 3 credit course and
  having to repeat the entire 3 credit course over again.
- Using existing, or develop new, alternative curricular pathways for students, particularly in mathematics, such as the Carnegie Quantway and Statway approaches. See <a href="http://www.carnegiefoundation.org/">http://www.carnegiefoundation.org/</a>.
- Improving the quality of the placement processes through technology driven review sessions for students, informing students of the consequences of placement testing so they will prepare better, and using information beyond placement scores to determine initial course placement.

UA institutions are experimenting with many different approaches to developmental education, which together encompass nearly all of the successful models that have been implemented at other colleges and universities. All are being carefully and systematically evaluated.



### University of Alaska Anchorage Report on Academic Program Review Report to the UA Board of Regents—August 18, 2014

#### **BOR Chapter 10.06 - Academic Program Review**

#### Academic Program Review at UAA

Academic Program Review at UAA aligns with NWCCU institutional accreditation standards, which emphasize planning, assessing effectiveness, and making improvements to the institution and its programs and services. The process complies with University Regulation 10.06.010.B. and examines: mission and alignment; centrality of mission and supporting role; coordination across campuses; program demand, efficiency, and productivity; and program quality and improvement. The UAA process includes review at the level of the faculty, individual deans/directors, and the deans/directors as a group. The deans/directors confirm the findings and, as appropriate, recommend a smaller subset of programs for extended review. Extended reviews are completed by the end of the academic year and include specific recommendations to the Provost.

#### Academic Program Review in AY14

**Program Prioritization:** Twenty one programs were scheduled to undergo Program Review in AY14. However, due to UAA's comprehensive Program Prioritization efforts, UA Statewide approved the suspension of UAA's regular program review process. The Program Prioritization process reviewed, evaluated, and prioritized all UAA academic programs and support functions, with the goal of ensuring strategic investment in the programs and services that most align with UAA's mission, strategic plan, and the needs of UAA's students and the state. The findings were released on August 11, 2014 and are under consideration by the Cabinet. The findings are published on the UAA Program Prioritization Web site at http://www.uaa.alaska.edu/program-prioritization/index.cfm.

**Annual Program Review:** In AY14 the Dean of the Community and Technical College requested three program reviews. These resulted in the following decisions.

- Bachelor of Science in Technology (BST) will be revised to expand program capacity for growth and to increase the value
  of the program for developing Alaska's technical-professional workforce. This will entail reallocating and prioritizing
  existing program resources.
- Master of Science and Graduate Certificate in Career & Technical Education were suspended in April 2014, a teach-out plan was implemented, and final recommendations relative to revision or deletion will be made by spring 2016. The programs were suspended, because the number of majors was low and they were not serving their intended audience, i.e. secondary teachers. The suspension and deletion decisions will have minimal impact on other programs and resources, and stakeholders requiring specific professional development courses will continue to be served.
- Associate of Applied Science and Occupational Endorsement Certificates (2) in Computer Information & Office
  Systems will submit recommendations and action plans to the Provost by September 1, 2014.

#### Summary of AY14 Academic Program Review Outcomes:

Decision	Number of Programs
Enhancement	0
Continuation	0
Revision	1
Continued Review	3
Suspension	2
Deletion	0

#### Reviews Scheduled In Current Five-Seven Year Cycle:

In AY15 UAA will respond to the Program Prioritization results and will not conduct its regular Program Review process. The schedule for future reviews will depend in part on Program Prioritization decisions.

Detailed Listing of AY14 Academic Program Review Results. Future schedules are to be determined, depending on Program Prioritization decisions.

College	Program	Program Initiation Year	Grads in Last Five Years (AY09-AY13)	<b>Decision Type</b>	Explanation and Date of Next Review
CTC	Bachelor of Science in Technology (BST)	1989	63	Revision	Reallocation of resources/activities to better meet AK workforce needs (Next review TBD)
CTC	Master of Science in Career & Technical Education	2008	11	Suspension	Low demand and not meeting needs of intended audience (Next review TBD)
CTC	Graduate Certificate in Career & Technical Education	2011	0	Suspension	Low demand and not meeting needs of intended audience (Next review TBD)
СТС	Associate of Applied Science in Computer information & Office Systems	1989	24	Continued Review	Final recommendations and action plan to Provost on 9/1/14 (Next review TBD)
CTC	Occupational Endorsement Certificate in Office Foundations	2009	59	Continued Review	Final recommendations and action plan to Provost on 9/1/14 (Next review TBD)
CTC	Occupational Endorsement Certificate in Office Support	2009	27	Continued Review	Final recommendations and action plan to Provost on 9/1/14 (Next review TBD)



#### **Academic Program Review**

Report to the University of Alaska Board of Regents August 26, 2014

#### Academic Program Review Process at the University of Alaska Fairbanks

Academic program review is designed to meet the standards of the Northwest Commission on Colleges and Universities, the regional accrediting organization that oversees UAF, as well as to comply with University Regulation 10.06.010.B. As required in Regulation, centrality of the program to the university's mission, program quality and distinctiveness within the UA system, student demand, employment opportunities for graduates, program productivity, efficiency, and total cost are considered. Every academic program at UAF undergoes review at least once during a five-year cycle. Additional reviews are required for programs that are given a "conditional" review decision, to assess whether or not the conditions are being met. Those reviews are typically focused on the identified areas of weakness. The regular reviews are conducted at three levels, including a faculty committee, an administrator committee (consisting of deans and campus directors), and the Chancellor's Cabinet.

During 2014-2015 UAF will conduct a special program review of approximately 20% of its programs to determine which should be deleted, in order to address funding reductions and reallocation needs of high priority programs. These programs will be selected during September, based on criteria established by the UAF Planning and Budget Committee last spring. These include uniqueness; centrality to mission and to achieving the effects of *Shaping Alaska's Future*; enrollment; number of graduates and graduation rate; productivity of associated faculty research programs (for graduate programs); and net cost. The special reviews will be carried out by the same committees that conduct the regular review.

#### **Summary of Academic Year 2013-2014 Program Review Outcomes**

Decision	Number of Programs
Enhancement	0
Continuation	35
Revision	0
Conditional, Continued Review	2
Suspension	0
Deletion or major revision	1
Total reviewed (unduplicated)	38

#### Number of programs scheduled for review during the next five years\*

Review Year	Number of Programs	Percentage of Total
2014-2015	44*	21.2%
2015-2016	43	20.8%
2016-2017	40	19.3%
2017-2018	40	19.3%
2019-2020	40	19.3%
TOTAL	207*	100%

<sup>\*</sup>Occasionally a program is granted a 1-year delay, due to leadership changes or other events beyond its control. Two programs will have a delayed review in 2014-2015, i.e., two reviews in this 5-year period.

	Academic Program Review 2013-14				
No.	Unit	Program	Degree	Decision	
1	CLA	Anthropology	MA	Continue	
2	CLA	Anthropology	PhD	Continue	
3	CLA	Art	ВА	Continue	
4	CLA	Art	BFA	Continue	
5	CLA	Art	MFA	Continue	
6	CLA	Communication, Professional	MA	Continue	
7	CLA	Cross Cultural Studies	MA	Continue	
8	CLA	English	MA	Conditional	
9	CLA	Creative Writing	MFA	Continue	
10	CLA	Justice	BA	Continue	
11	CLA	Justice, Administration of	MA	Continue	
12	CLA	Linguistics	BA	Continue	
13	CLA	Linguistics, Applied	MA	Continue	
14	CLA	Music	BA	Delete or revise and repurpose	
15	CLA	Music	BM	Continue	
16	CLA	Northern Studies	MA	Continue	
17	CLA	Psychology	PhD	Continue	
18	CLA	Sociology	BA	Continue	
19	CLA	Sociology	BS	Continue	
20	CLA	Yup'ik Language and Culture	BA	Conditional	
21	CRCD	Accounting Technician	Cert	Continue	
22	CRCD	Accounting, Applied	AAS	Continue	
23	CRCD	Airframe	Cert	Continue	
24	CRCD	Airframe & Powerplant	Cert	Continue	
25	CRCD	Aviation Maintenance	AAS	Continue	
26	CRCD	Business Management	Cert	Continue	
27	CRCD	Business, Applied	AAS	Continue	
28	CRCD	Construction Management	AAS	Continue	
29	CRCD	Construction Trades Technology	AAS	Continue	
30	CRCD	Construction Trades Technology	Cert	Continue	
31	CRCD	Drafting Technology	AAS	Continue	
32	CRCD	Drafting Technology	Cert	Continue	
33	CRCD	Early Childhood Education	AAS	Continue	
34	CRCD	Early Childhood Education	Cert	Continue	
35	CRCD	Piloting, Professional	AAS	Continue	
36	CRCD	Powerplant	Cert	Continue	
37	CRCD	Pre-Nursing	Cert	Continue	
38	CRCD	Rural Human Services	Cert	Continue	

College abbreviations: CLA = College of Liberal Arts; CRCD = College of Rural and Community Development



### University of Alaska Southeast Report on Academic Program Reviews—AY13-14

UA Board of Regents—September 2014

### **Academic Program Reviews at UAS**

The University of Alaska Southeast's mission, values, and core themes emphasize the importance of both academic excellence and accountability. Program reviews, required by Board of Regents policy, are an integral part of our practice to ensure that we meet that mission and also align with *Shaping Alaska's Future* themes and effects.

Program reviews focus on the program's centrality to the UAS mission and to Shaping Alaska's Future themes. Reviews focus on data-informed evidence of quality teaching and learning, graduation effectiveness, success of graduates in securing employment or advancing their educational goals, community engagement, adequacy of available resources, alignment with related programs at UAS and across UA, and program elements requiring improvement. Reviews offer an opportunity to celebrate successful programs and to identify ways to build on that success. Reviews also offer an opportunity to look critically at program needs, challenges, and weaknesses—to suggest changes, to reallocate resources internally, or to propose eliminating a program altogether.

Regents' policy calls for such reviews at least every seven years and more frequently as the need arises (BOR P10.06.010 [B]--Academic Program Review). At UAS our practice continues to be completing such reviews at least every five years. The current schedule for reviews is found at <a href="http://www.uas.alaska.edu/provost/docs/program-review/prog

The review process includes participation from program faculty and staff, students, Faculty Senate, administrators, discipline experts, and industry/community representatives. It concludes with a final decision by the UAS Provost, with concurrence from the UAS Chancellor. Special reviews outside of the normal cycle may be conducted as determined by university leadership.

### **Academic Program Reviews Completed in AY13-14**

In line with its published schedule, UAS conducted <u>ten</u> Program Reviews in academic year 13-14. Two reviews previously scheduled for AY13-14 have been postponed to AY14-15 due to faculty workload commitments.

Academic Program	Decision
Certificate in Pre-Engineering	Admissions suspended due to persistent low enrollments: Notification to NWCCU of intention to 'teach-out' over 12 months with subsequent request to BOR that Pre-Engineering at UAS be eliminated
Certificate in Drafting Technology	Continue program with technology updates
Certificate of Construction Technology	Continue with changes: Make curricular changes including stacked classes, add 'Math for the Trades' offering for Certificate and AAS students
Certificate in Residential Building Science	Admissions suspended due to reduced demand. Notification to NWCCU of intention to 'teach-out' over 12 months with subsequent request to BOR that program be eliminated.
Associate of Applied Science in Construction Technology	Continue with changes: Make curricular changes including stacked classes, add 'Math for the Trades' offering for Certificate and AAS students
Associate of Arts	Continue with changes: Continue regional coordination for both face-to-face and online options; expand marketing to reach adults seeking to complete their AA degree; ensure clarity about lead program responsibility and annual assessment reporting; modify curriculum as needed to align GERs
Bachelor of Science in Environmental Science	Continue with changes: Engagement with faculty about strengthening curriculum and developing discrete concentrations; expanding field course offerings during May-mester and for first-year students

Bachelor of Science in Biology	Program enhancement; decision to explore joint offering of BA/BS in Fisheries in concert with UAF; inclusion of FY16 budget request for Assistant Professor of Biology/Fisheries
Bachelor of Science in Marine Biology	Program continuation; continued focus on Marine Biology as a distinct UAS asset; strategies proposed to increase student numbers, retention, and marketing
Bachelor of Science in Mathematics	Program continuation; expectations for aligning GERs with UAA and UAF; commendation for overall contribution to general and developmental education; encouragement to test technology-enhanced and intensive teaching and learning strategies

#### **Reviews Planned in Next Five Years**

	Summary			
Academic Year	Reviews Scheduled	Percent of All Programs		
AY14-15	12	27%		
AY15-16	8	18%		
AY16-17	5	11%		
AY17-18	9	21%		
Total	44	100%		

### **UA Academic Program Review Definitions and Format**

#### Academic Program

**Degree or Certificate Program**: UAS programs include Occupational Endorsements, Undergraduate Certificates, Associate degrees, Baccalaureate degrees, and Master's degrees. Academic Program Review decisions are listed relative to each academic program. For example, deleting a track within a program would be considered a revision of the program. In some cases, stand-alone minors and academic departments that are not degree-granting undergo Academic Program Review at the discretion of the Provost and Dean.

#### **Decision Types**

**Enhancement:** Program will be enhanced with additional resources. (Areas for enhancements might include, for example, faculty or staff, curriculum, program delivery, student success initiatives, outreach and/or partnerships with the community or industry, program promotion and marketing, and facilities.)

**Continuation:** Program is successfully serving its students and meeting its mission and goals. No immediate changes necessary, other than regular, ongoing program improvements.

**Revision:** Program will be revised using existing resources, which might entail a reallocation of resources within the program. (Areas for revision might include, for example, faculty or staff workloads and assignments, curriculum, program delivery, student success initiatives, outreach and/or partnerships with the community or industry, program promotion and marketing, and facilities.)

Continued Review: Program is required to address specific issues and to undergo another review within the next two academic years.

**Suspension:** While decisions relative to the program are made, admissions to the program are suspended. There are a variety of reasons for suspension. These may include, among others, temporary circumstances (e.g., insufficient faculty to meet substantial enrollment increases), planned major revisions to the program (e.g., deleting a track or changing the degree level), or potential program deletion.

**Deletion:** Program is scheduled for deletion, a teach-out process will be developed and communicated to majors, and the program will remain in the catalog until the teach-out process is complete.

#### Actions

Action on results of program review: This column allows for details relative to decisions regarding the particular program.



### University of Alaska Anchorage Report on Institutional and Programmatic Accreditation Report to the UA Board of Regents—September 2014 – Revised 9/2/14

#### Institutional Accreditation at UAA (Regents Policy 10.02.070A)

The University of Alaska Anchorage has been accredited by the Northwest Commission on Colleges and Universities (NWCCU) since 1974. This accreditation includes the Anchorage campus, Kenai Peninsula College, Kodiak College, and Matanuska-Susitna College. Prince William Sound Community College is separately accredited.

Institutional accreditation is regularly reviewed and reaffirmed by the NWCCU. This reaffirmation occurs through regular reports and site visits conducted by peer evaluators. In addition to these reports and visits, UAA also communicates regularly with the NWCCU about new programs and changes to institutional leaders and organizational structure.

UAA's institutional accreditation was last reaffirmed in 2012, as the first step in a new accreditation cycle that will run from 2011-2017. The next regular review in this cycle is a Mid-Cycle Evaluation in Fall 2014. The process has recently changed, and this Mid-Cycle Evaluation is intended to evaluate the institution's readiness for the comprehensive Year Seven Report at the end of the cycle. The report will address the recommendation below. It will be submitted in September 2014, and a team of two peer-evaluators will visit in October 2014.

Milestone	Date	Notes
Year One Evaluation	Fall 2011	Recommendation: The evaluation panel recommends that the University of Alaska Anchorage refine its indicators of achievement to ensure that the indicators are meaningful, direct
		measures of the objectives.
Last Reaffirmation	February 2012	None
Ad Hoc Evaluation and Site Visit	Fall 2013	Granted accreditation at the doctoral degree-granting level
Mid-Cycle Review	Fall 2014	Evaluating readiness for the more comprehensive Year Seven
	Report: 9/24/14	Evaluation in 2017. "A formative and collegial evaluation with the
	Visit: 10/29-10/30/14	institution in conversation with the evaluators."

#### **Doctoral Degree-Granting Status Change**

In 2014, based on an ad hoc self-evaluation report and site visit in Fall 2013, the Commission granted accreditation at the doctoral degree-granting level to UAA. This followed the Commission's approval in 2012 to grant candidacy at the doctoral level and include the Joint Ph.D. degree in Clinical-Community Psychology (a joint program with the University of Alaska Fairbanks) in UAA's accreditation.

#### **Prince William Sound Community College**

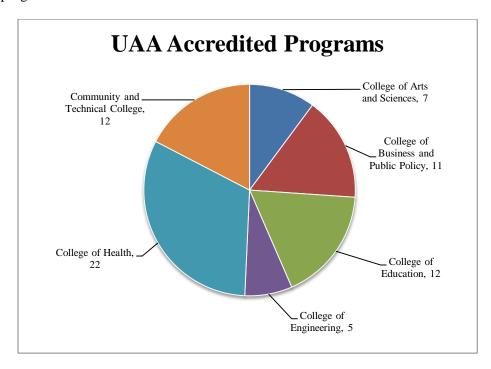
The Regents approved a proposal to bring together the separate accreditations of UAA and PWSCC in June 2014. UAA leadership is working with the NWCCU to obtain approval to implement that change.

#### Websites

UAA Accreditation: <a href="http://www.uaa.alaska.edu/undergraduate-academic-affairs/Accreditation/index.cfm">http://www.uaa.alaska.edu/undergraduate-academic-affairs/Accreditation/index.cfm</a>
PWSC Institutional Accreditation: <a href="http://www.pwscc.edu/administration/accreditation/">http://www.pwscc.edu/administration/accreditation/</a>
Northwest Commission on Colleges and Universities: <a href="http://www.nwccu.org/">http://www.nwccu.org/</a>

#### Program Accreditation at UAA (Regents Policy 10.02.070B)

More than 60 UAA degree and certificate programs hold accreditation or approval by external agencies. Many of these programs are in disciplines with professional certification or registration requirements, such as health programs, engineering, and education. The figure below shows the relative distribution of accredited programs in the institution's academic units.



Please note that this chart only includes programs which have received candidacy or accreditation from an external agency. Programs which are developing candidacy materials or awaiting approval for candidacy are excluded, as are collaborative programs where the partner holds the accreditation (e.g., the WWAMI medical program). The table at the end of this report provides a more complete status update of programs with special approval or accreditation, including those excluded programs.

Proposals to seek new program accreditation are evaluated based on criteria including the agency, eligibility requirements, benefits to the institution and students, and available resources and capacity to maintain ongoing accreditation. The Office of Academic Affairs assists programs in preparing self-studies and other accreditation communication to external agencies.

#### Website:

 $UAA\ Program\ Accreditation: \ \underline{http://www.uaa.alaska.edu/undergraduate-academic-affairs/program-accreditation.cfm}$ 

UAA Program Accreditation Catalog Copy:

http://catalog.uaa.alaska.edu/aboutuniversity/institutionalaccreditation/#programaccreditationtext

# **UA Accreditation Definitions & Format**

**Institutional Accreditation:** The status of public recognition that a recognized accrediting agency grants to an institution or educational program that meets its qualifying requirements and accreditation criteria. The process involves initial and periodic self-evaluation followed by an evaluation by peers.

**Types of Accreditation:** Each type of accreditation is awarded by a non-governmental agency recognized by the Secretary of the U.S. Department of Education. The essential purpose of the accreditation agency is to provide a professional judgment regarding the quality of the educational institution or program offered and to encourage continual institutional improvement.

**Regional:** Accreditation of an institution as a whole for institutions within a prescribed geographic region of the United States.

**National:** Accreditation of an institution as a whole for institutions that are single purpose in nature, such as business or information technology institutes, or that have a clear thematic mission, such as faith-based institutions or liberal arts colleges.

**Program/Specialized:** Accreditation of a unit or educational program within an institution with regard to program-specific standards. The unit may be a school, department, program, or curriculum.

# **University of Alaska Anchorage Program Accreditation**

The following programs have approval and/or accreditation from agencies external to UAA. Programs are sorted by their most recent review.

Programs which have upcoming reports and/or site visits in AY15 are marked in **bold text.**Collaborative programs for which the partner holds the accreditation are included and identified.

Programs which are considering pursuing accreditation but which have not begun the candidacy process are excluded.

Unit	Program	Accrediting Agency	Last Review	Next Scheduled	Accreditation Status	Summary of Significant Findings
CoEng	Project Management MS	Project Management Institute Global Accreditation Center for Project Management Education Programs	2007	2014	Ongoing, report and visit in AY15	No current recommendations requiring response before next regular review
СОН	Dental Assisting Certificate, AAS; Dental Hygiene AAS	Commission on Dental Accreditation of the American Dental Association	2008	2014	Ongoing, report and visit in AY15	No current recommendations requiring response before next regular review
СОН	Human Services AAS, BHS	Council for Standards in Human Services Education	2009	2014	Ongoing, report and visit in AY15	No current recommendations requiring response before next regular review
СОН	Public Health Practice MPH	Council on Education for Public Health	2009	2014	Ongoing, report and visit in AY15	No current recommendations requiring response before next regular review
СТС	Automotive Technology AAS & Cert	National Automotive Technicians Education Foundation for National Institute for Automotive Service Excellence	2011	2014	Ongoing, mid-term report in AY15	No current recommendations requiring response before next regular review
СТС	Construction Management AAS and BS	American Council for Construction Education	2012	2014	Ongoing, progress report in AY15 with next full review in 2017	Asked to include in regular reports information about impact of faculty being represented by two unions

Unit	Program	Accrediting Agency	Last Review	Next Scheduled	Accreditation Status	Summary of Significant Findings
СТС	Dietetics BS	Accreditation Council for Education in Nutrition and Dietetics	2010	2014	Ongoing, report and visit in AY15	No current recommendations requiring response before next regular review
СТС	Heavy Duty Transportation & Equipment AAS	National Automotive Technicians Education Foundation for National Institute for Automotive Service Excellence	2011	2014	Ongoing, mid-term report in AY15	No current recommendations requiring response before next regular review
СВРР	BBA in Accounting, Economics, Finance, Global Logistics and Supply Chain Management, Management, Management Information Systems, and Marketing; Economics BA; General Management MBA; Global Supply Chain Management MS	Association to Advance Collegiate Schools of Business - International	2010	2015	Ongoing, report and visit in AY15	No current recommendations requiring response before next regular review
CoEng	Computer Science BS	Computing Accreditation		2015	Ongoing, granted retroactive to 2011	Awaiting response on 2014 report on assessment of student outcomes and curriculum coverage of ethics and societal impacts in the discipline
СОН	Occupational Therapy OTD	Accreditation Council for Occupational Therapy Education	2010	2015	Ongoing (Held by Creighton University)	N/A – Held by another institution
СТС	Aviation- Flight School Professional Piloting: AAS & emphasis in the BS Aviation Technology	Federal Aviation Administration	2013	2015	Ongoing	No current recommendations requiring response before next regular review
СТС	Dietetics Internship Graduate Certificate	Accreditation Council for Education in Nutrition and Dietetics	2010	2015	Ongoing, report and visit in AY15	No current recommendations requiring response before next regular review

Unit	Program	Accrediting Agency	Last Review	Next Scheduled	Accreditation Status	Summary of Significant Findings
СОН	Legal Studies BA; Legal Nurse Consultant Undergraduate Certificate; Paralegal Studies AAS and Post-Baccalaureate Certificate; Paralegal Studies Undergrad Cert	American Bar Association	2011	2016	Ongoing approval (only law programs are accredited), undergraduate certificate being phased out	No current recommendations requiring response before next regular review
СОН	Medical Assisting AAS	AAMAE Commission on Accreditation of Allied Health Education Programs	2008	2016	Ongoing	No current recommendations requiring response before next regular review
CAS	Journalism and Public Communications BA	Accrediting Council on Education in Journalism and Mass Communication	2014	2016 or 2017	Ongoing (provisional reaccreditation for issues which can be corrected within two years)	Revisit in AY16 to address student services (advising for retention/graduation) and assessment of learning outcomes
CAS	Art BA, BFA	National Association of Schools of Art and Design	2008	2017	Ongoing	No current recommendations requiring response before next regular review
СВРР	Small Business Development Center	Association of Small Business Development Centers	2013	2017	Ongoing	No current recommendations requiring response before next regular review
COE	Early Childhood BA and post- baccalaureate certificate	National Council for Accreditation of Teacher Education; AK Department of Education and Early Development	2014	2017	Ongoing	Revised report planned in 2015 to disaggregate data for BA/PBC and revise assessments
COE	Early Childhood Special Education MEd	National Council for Accreditation of Teacher Education; AK Department of Education and Early Development	2014	2017	Ongoing	Response to report planned by 2016 to clarify rubrics and revise assessments
COE	Ed Leadership MEd and grad cert (principal)	National Council for Accreditation of Teacher Education; AK Department of Education and Early Development	2014	2017	Ongoing	No current recommendations requiring response before next regular review
COE	Elementary Education BA and post-baccalaureate certificate	National Council for Accreditation of Teacher Education; AK Department of Education and Early Development	2014	2017	Ongoing	Revised report planned by 2016 to disaggregate data for BA/PBC and revise assessments

Unit	Program	Accrediting Agency	Last Review	Next Scheduled	Accreditation Status	Summary of Significant Findings
СОЕ	MA in Teaching, Secondary emphases (English/Lang Arts, Math, Science, Social Studies)	National Council for Accreditation of Teacher Education; AK Department of Education and Early Development	2014	2017	Ongoing	Revised report planned by 2016 to modify rubrics and revise assessments
COE	Special Education Grad Cert	National Council for Accreditation of Teacher Education; AK Department of Education and Early Development	2014	2017	Ongoing	Revised report planned by 2015 to modify rubrics and revise assessments
CoEng	Civil Engineering BS	Engineering Accreditation Commission of ABET	2013	2017	Ongoing	No current recommendations requiring response before next regular review
CoEng	Engineering BSE (Computer Science Engineering; Electrical Engineering; and Mechanical Engineering emphases)	Engineering Accreditation Commission of ABET	2013	2017	Ongoing	No current recommendations requiring response before next regular review
CoEng	Geomatics BS	Applied Science Accreditation Commission of ABET	2013	2017	Ongoing	No current recommendations requiring response before next regular review
СОН	Nursing: AAS, BS, MS, Grad Certs (3)	Accreditation Commission for Education in Nursing; Alaska Board of Nursing	2009	2017	Ongoing	No recent evaluations
CAS	Clinical-Community Psychology	American Psychological Association	2012	2018	Ongoing (joint program with UAF, accreditation jointly held)	No current recommendations requiring response before next regular review
СОН	Biomedical (WWAMI) Program	Liaison Committee on Medical Education, Assoc. of Amer. Med. Colleges	2010	2018	Ongoing (Held by Univ. of Washington)	N/A – Held by another institution
СОН	Social Work BSW & MSW	Council on Social Work Education	2010	2018	Ongoing	No current recommendations requiring response before next regular review
CAS	Music: BA in Music, BM emphasis in Music Education, BM Performance	National Association of Schools of Music	2013	2021/ 2022	Ongoing	No current recommendations requiring response before next regular review
CTC	Aviation- Maintenance School AMT Programs - Cert & AAS	Federal Aviation Administration	1993	Continuous	Ongoing	None identified

Unit	Program	Accrediting Agency	Last Review	Next Scheduled	Accreditation Status	Summary of Significant Findings
PWSC	Industrial Technology AAS Millwright Emphasis	National Center for Construction Education and Research	2014	Continuous	Ongoing, audited annually (Training sponsor is Alyeska Pipeline)	No current recommendations requiring response before next regular review
СОЕ	Early Childhood Development AAS	National Association for the Education of Young Children Commission on Early Childhood Associate Degree Accreditation	2015 Projected	Pending	Planning initial report and visit in 2015	N/A – Seeking initial accreditation
СОН	Medical Laboratory Science BS	National Accrediting Agency for Clinical Laboratory Sciences	2014 Pending	Pending	Ongoing, awaiting notification from AY14 report and visit	Awaiting results
СОН	Medical Laboratory Technology AAS	National Accrediting Agency for Clinical Laboratory Sciences	2014 Pending	Pending	Ongoing, awaiting notification from AY14 report and visit	Awaiting results
СОН	Physical Therapist Assistant AAS	Commission on Accreditation in Physical Therapy Education	2014 Pending	Pending	Awaiting results of 2014 initial report and visit	Awaiting results
СТС	Culinary Arts AAS	American Culinary Federation Education Foundation	2014 Projected	Pending	Planning initial report and visit in AY15	N/A – Seeking initial accreditation
MSC/ KPC	Paramedical Technology AAS	Committee on Accreditation of Educational Programs for the EMS Professions	2014 Pending	Pending	Awaiting results of 2014 initial report and visit	Awaiting results
COE	Ed Leadership grad cert (superintendent)	National Council for Accreditation of Teacher Education; AK Department of Education and Early Development	2014	See notes	Program suspended in 2014 due to loss of program accreditation. Undertaking revisions to align with standards. Current students grandfathered in for state certification.	Aligning assessments and curriculum to provide evidence of meeting standards



## **Institutional and Programmatic Accreditation**

Report to the UA Board of Regents- September 2014

#### **Institutional Accreditation at UAF (Regents Policy 10.02.070A)**

The University of Alaska Fairbanks has been accredited by the Northwest Commission on Colleges and Universities (NWCCU) since 1934. This accreditation includes the Fairbanks campus, as well as the Bristol Bay Campus, Chukchi Campus, Community and Technical College, Kuskokwim Campus and Northwest Campus.

Institutional accreditation is regularly reviewed and reaffirmed by the NWCCU. This reaffirmation occurs through regular reports and site visits conducted by peer evaluators. In addition to these reports and visits, the institution also communicates regularly with the NWCCU about new programs and changes to institutional leaders and organizational structure.

UAF's institutional accreditation was last reaffirmed in early 2013, based on the fall 2012 Year One Mission and Core Themes evaluation. The next regular report in this cycle will be in fall 2014, which will be an overview and update to the Year One report and focus on Resources and Capacity.

Milestone	Date	Description	Synopsis of Recommendations <sup>1</sup>
Comprehensive Peer Evaluation, Reaffirmation	February 2012	Based on Comprehensive Self Evaluation Report	Better align institutional planning, evaluation, and resource allocation with institutional Core Themes and Objectives; Improve student learning outcomes assessment.
Last Reaffirmation		Based on Year One Self-Evaluation Report	None
Next Regular Report	Fall 2014	Regular Cyclical Report	Due February, 2015

#### Websites:

UAF Institutional Accreditation: <a href="http://www.uaf.edu/accreditation/">http://www.uaf.edu/accreditation/</a>

Northwest Commission on Colleges and Universities: http://www.nwccu.org/

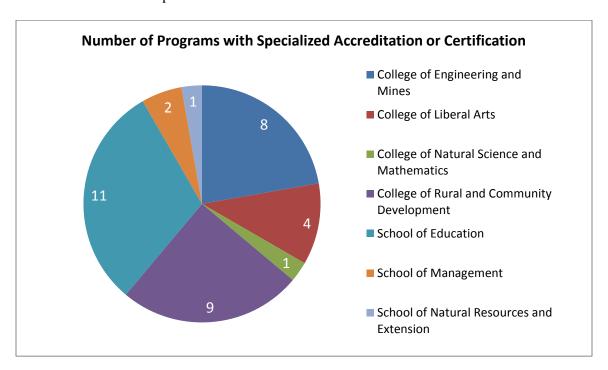
-

<sup>&</sup>lt;sup>1</sup> These are included verbatim at the end of the report.

#### Program Accreditation at UAF (Regents Policy 10.02.070B)

More than 35 UAF degree and certificate programs hold accreditation or approval by external agencies with more working towards specialized accreditation. Many of these programs are in disciplines with professional certification or registration requirements, such as engineering, education, and business. The figure below shows the relative distribution of accredited programs in the institution's academic units. The table at the end of this report provides a complete list of programs with special approval or accreditation.

Proposals to seek new program accreditation are evaluated based on criteria including the agency, eligibility requirements, benefits to the institution and students, and available resources and capacity to maintain ongoing accreditation. The Office of the Provost and the Accreditation Liaison Officer coordinate and monitor specialized accreditation efforts.



#### Website:

UAF Program Accreditation <a href="http://www.uaf.edu/accreditation">http://www.uaf.edu/accreditation</a>

#### **Accreditation Definitions**

**Institutional Accreditation:** The status of public recognition that a recognized accrediting agency grants to an institution or educational program that meets its qualifying requirements and accreditation criteria. The process involves initial and periodic self-evaluation followed by an evaluation by peers.

**Types of Accreditation:** Each type of accreditation is awarded by a non-governmental agency recognized by the Secretary of the U.S. Department of Education. The essential purpose of the accreditation agency is to provide a professional judgment regarding the quality of the educational institution or program offered and to encourage continual institutional improvement.

**Regional:** Accreditation of an institution as a whole for institutions within a prescribed geographic region of the United States. [UAF is regionally accredited.]

National: Accreditation of an institution as a whole for institutions that are single purpose in nature, such as business or information technology institutes, or that have a clear thematic mission, such as faith-based institutions or liberal arts colleges. [Does not apply to UA.] Program/Specialized: Accreditation of a unit or educational program within an institution with regard to program-specific standards. The unit may be a school, department, program, or curriculum.

UAF's specialized accreditations and other external reviews of similar intent and scope are summarized in the table on the following pages. Note that the various organizations conducting these reviews use varying terminology. In some cases, a 'recommendation' is a significant deficiency in the institution's performance relative to an accreditation standard, and requires correction to maintain accreditation. In other cases, a recommendation is simply a suggestion for improvement. Some reviews use "weakness", "deficiency", or "citation" to indicate deficiencies in performance relative to accreditation standard. In the table, the original language in the reviews is used for the most part.

# **University of Alaska Fairbanks Program Accreditation**

The following programs have approval and/or accreditation from agencies external to UAF.

The "Notes" column indicates where departments are in the process of obtaining initial accreditation, or where the accreditation is held or jointly held by a

partner institution.

Unit	Program	Accrediting Agency	Date of Last Review	Date of Next Review	Notes	Summary of Significant Findings
CEM	Civil Engineering, BS	Engineering Accreditation Commission of ABET	2014	2018	Six year interval is the maximum, a shorter interval indicates a focused, interim review on specific findings.	Accreditation continued. Interim report submitted in 2014 satisfactorily addressed the concerns about student learning outcomes assessment raised in the 2012 review.
CEM	Computer Engineering, BS	Engineering Accreditation Commission of ABET	2012	2018	J	Accreditation continued; no unresolved weaknesses.
CEM	Computer Science, BS	Computing Accreditation Commission	2014	2018	Six year interval is the maximum, a shorter interval indicates a focused, interim review on specific findings.	Accreditation continued. Interim report submitted in 2014 satisfactorily addressed the concerns about courses addressing social, ethical, and legal issues related to the computing discipline and student learning outcomes assessment.
CEM	Electrical Engineering, BS	Engineering Accreditation Commission of ABET	2012	2018		Accreditation continued; no unresolved weaknesses.
CEM	Geological Engineering, BS	Engineering Accreditation Commission of ABET	2012	2018		Accreditation continued; no unresolved weaknesses.
CEM	Engineering, BS	Engineering Accreditation Commission of ABET	2012	2018		Accreditation continued; no unresolved weaknesses.
CEM	Mining Engineering, BS	Engineering Accreditation Commission of ABET	2014	2018	Six year interval is the maximum, a shorter interval indicates a focused, interim review on specific findings.	Accreditation continued. Interim report submitted in 2014 satisfactorily addressed better documentation of and adherence to policies on waivers of course prerequisites.
CEM	Petroleum Engineering, BS	Engineering Accreditation Commission of ABET	2012	2018		Accreditation continued; no unresolved weaknesses.
CLA	Journalism, BA	Accrediting Council on Education in Journalism and Mass Communication	2009	2015-16	A focused interim evaluation concluded in 2012.	Provisional re-accreditation after the 2009 review; full reaffirmation of accreditation achieved at the last focused review in 2012.

Unit	Program	<b>Accrediting Agency</b>	Date of Last Review	Date of Next Review	Notes	<b>Summary of Significant Findings</b>
CLA	Music, BA, BM, MM	National Association of Schools of Music	2014	2020 (comprehensive review)	UAF was required to submit a series of interim reports after a review in 2010. UAF needs to submit a "Plan of Approval" for the new MM degree to complete its accreditation process.	Accreditation was renewed in 2014, after interim reports addressed policies and procedures for maintaining the health and safety of faculty, staff, and students; credit and transfer credit policies and procedures; and the approval of the MM (Master's of Music) degree proposal. UAF was commended for addressing mold/vapor barrier issues in the Music Wing.
CLA	Psychology, PhD	American Psychological Association	2011	2018	Joint with UAA; correspondence with APA in June 2012 concerning faculty vacancies (since largely refilled) and in September 2012 to provide additional information requested in the 2011 review.	Initial Accreditation. No current recommendations requiring a response to APA before the next review.
CLA	Social Work, BA	Council on Social Work Education	2009	2016		Renewal approved. No recommendations.
CNSM	Chemistry, BS	American Chemical Society	2009	2014	Concentrations in Biochemistry and Environmental Chemistry available.	Review for recertification is in process; the application was submitted in May, 2014. The renewal approved in 2009 included recommendations to be addressed in the next regular review: (1) [financial] plan for repair and replacement of laboratory instrumentation; (2) more complete description of student laboratory experiences in modern instrumental methods; (3) ensure that all portions of the report are up-to-date and consistent.

Unit	Program	Accrediting Agency	Date of Last Review	Date of Next Review	Notes	Summary of Significant Findings
CRCD	Developmental Education	National Association for Developmental Education	2015	2022	Developmental Education was notified of the Advanced Certification in July 2014; it will be formally awarded in February, 2015.	Advanced Certification
CTC	Automotive Technology, Cert.	National Automotive Technicians Foundation	2009	2014		2009 renewal was approved with no recommendations. The 2014 review is in process, with a site visit scheduled for September.
CTC	Aviation Maintenance, AAS	Federal Aviation Administration	2013	2015	The certification does not have an expiration date, but inspections are conducted annually.	Renewal approved following a review associated with the move to the new hangar facility. No significant deficiencies. The curriculum was found to have a few minor deficiencies with the most current FAA guidance. The Approved Operations Manual was amended as required to address that.
CTC	Culinary Arts, AAS				Working toward accreditation.	Î
CTC	Dental Hygiene, AAS	Commission on Dental Accreditation	2009	2016		Reaffirmed without reporting requirements in 2011, after responses to the 2009 review.
CTC	Early Childhood Education, AAS				Working toward accreditation.	
CTC	Medical Assistant, Cert.	Commission on Accreditation of Allied Health Education Programs	2006	2016	The program outcomes are also regularly reviewed by the Medical Assisting Education Review Board. UAF has consistently met the outcome thresholds.	Reaffirmed without recommendations.

Unit	Program	<b>Accrediting Agency</b>	Date of Last Review	Date of Next Review	Notes	<b>Summary of Significant Findings</b>
CTC	Paralegal, AAS	American Bar Association	2008	2015	Approval paperwork due June 15, 2014; site visit September 2014. ABA "approves" rather than accredits programs.	Approved. Most of the recommendations appear to be routine rather than directed at the CTC program in particular. No interim response was required. CTC already is and was in compliance with all of them except that several recommendations regarding the paralegal law library were made. However, since the last reapproval, the ABA has significantly reduced its requirements for maintaining a law library for programs that provide unlimited student access to Lexis or Westlaw, both of which provide online legal research capability for students. Each UAF/CTC paralegal student has a personal, unlimited password for use with Lexis.
CTC	Paramedic Academy, AAS	Commission on Accreditation of Allied Health Education Programs, Committee on Accreditation of Educational Programs for the Emergency Medical Services Professions	2012 site visit	2017	An interim report addressing the citations has been submitted (due September 1, 2013).	Accreditation renewed. There were six citations, including: (1) No meetings of the advisory committee, and committee not fully representative of interest groups. (2) Preceptor training program not documented. (3) Medical director needs to document his review and approval of student progress. (4) Pediatrics not broken down into age subgroups. (5) Internships were not followed by a summative exam. (6) No functional job analysis (for the jobs for which training is offered) was available.

Unit	Program	<b>Accrediting Agency</b>	Date of Last Review	Date of Next Review	Notes	Summary of Significant Findings
CTC	Process Technology, AAS	Alaska Process Industry Careers Consortium	2010	NAPTA review (see next page) is substituted for comprehensive review; annual course reviews continue.	APICC is not an accrediting organization but rather could be characterized as an external reviewer. Full audits are performed at approximately 3-4 year intervals. There is annual APICC review of courses offered. Two courses were reviewed in 2013, PRT 101 and PRT 250.	In the 2010 comprehensive review APICC provided recommendations for improvement, rather than recommendations that required correction and response. These included (1) re-establish regional advisory committee; (2) establish a formal relationship with Hutchison High School and FNSBSD (this may be unnecessary now that Process Technology no longer occupies space in HHS); (3) establish a professional development and recognition plan for faculty; (4) utilize Blackboard and coordinate with KPC and KPC Anchorage Extension on distance learning and sharing of other resources/materials; (5) adopt program-wide quality control standards; (6) Increase emphasis in electrical codes, operator interface displays and distributive control systems. Strengths noted in the review included the partnership with the UAF power plant and Golden Heart Utilities Wastewater Treatment Plant for student internships; an embedded safety culture; and a strong emphasis on employability skills.

Unit	Program	<b>Accrediting Agency</b>	Date of Last Review	Date of Next Review	Notes	<b>Summary of Significant Findings</b>
		North American Process Technology Alliance (NAPTA)	2014	2017	NAPTA is the recognized national standard organization for Process Technology.	UAF was designated an "Endorsed College Program". Positives noted by the auditors include: active relationship with APICC; availability of resources for students, such as up-to-date website and print materials; instructors with strong backgrounds in industry; well-defined and documented internship guidelines and credit for work experience; utilization of skills across all courses, allowing student development and improvement; good distribution of grade ranges; faculty are very helpful to students and integrate their courses and activities well; thorough exams that require students to apply their knowledge; overall, this is a strong process technology program with a great group of instructors.
						Opportunities for improvement include: greater involvement of local industry and APICC members with the program, such as providing more internships; a work site visit during the introductory course so students can decide if they want to work in this field; better tracking of graduates; and additional room for laboratory and instructional space (some equipment cannot be used because of lack of space).

Unit	Program	<b>Accrediting Agency</b>	Date of Last Review	Date of Next Review	Notes	<b>Summary of Significant Findings</b>
SOE	Elementary Education, BA	Council for the Accreditation of Educator Preparation	2010	2016	External accrediting agency reorganized and renamed, effective July 1, 2013	Re-accredited. All accreditation standards were met.  Some areas for improvement were noted and these will be a focus of the 2016 review. (1) There were several areas in which student learning outcomes assessment needs improvement; (2) Some advanced programs lack field experiences (Advanced programs are those serving licensed teachers); (3) Some advanced programs do not prepare students to work with special needs children. (4) Not all teacher education programs at UAF were overseen by School of Education. This refers to the Music Education Program within the Music Department.
SOE	Elementary Post Baccalaureate Teacher Licensure, Post Baccalaureate Certificate	Council for the Accreditation of Educator Preparation	2010	2016	External accrediting agency reorganized and renamed, effective July 1, 2013	See Elementary Education above. All programs were addressed in a single accreditation review document.
SOE	K-12 Art, Post Baccalaureate Certificate, Graduate Certificate	Council for the Accreditation of Educator Preparation	2010	2016	External accrediting agency reorganized and renamed, effective July 1, 2013	See Elementary Education above. All programs were addressed in a single accreditation review document.
SOE	Counseling, MEd, Post Baccalaureate Certificate	Council for the Accreditation of Educator Preparation	2010	2016	External accrediting agency reorganized and renamed, effective July 1, 2013	See Elementary Education above. All programs were addressed in a single accreditation review document.
SOE	Cross-Cultural Education, MEd	Council for the Accreditation of Educator Preparation	2010	2016	External accrediting agency reorganized and renamed, effective July 1, 2013	See Elementary Education above. All programs were addressed in a single accreditation review document.

Unit	Program	<b>Accrediting Agency</b>	Date of Last Review	Date of Next Review	Notes	<b>Summary of Significant Findings</b>
SOE	Curriculum & Instruction, MEd	Council for the Accreditation of Educator Preparation	2010	2016	External accrediting agency reorganized and renamed, effective July 1, 2013	See Elementary Education above. All programs were addressed in a single accreditation review document.
SOE	Elementary Education, MEd	Council for the Accreditation of Educator Preparation	2010	2016	External accrediting agency reorganized and renamed, effective July 1, 2013	See Elementary Education above. All programs were addressed in a single accreditation review document.
SOE	Language and Literacy, MEd	Council for the Accreditation of Educator Preparation	2010	2016	External accrediting agency reorganized and renamed, effective July 1, 2013	See Elementary Education above. All programs were addressed in a single accreditation review document.
SOE	Secondary Education, Med, Post Baccalaureate Certificate	Council for the Accreditation of Educator Preparation	2010	2016	External accrediting agency reorganized and renamed, effective July 1, 2013	See Elementary Education above. All programs were addressed in a single accreditation review document.
SOE	Music Education, BME	Council for the Accreditation of Educator Preparation	2010	2016	External accrediting agency reorganized and renamed, effective July 1, 2013	See Elementary Education above. All programs were addressed in a single accreditation review document.
SOE	Special Education, Med, Post Baccalaureate Certificate	Council for the Accreditation of Educator Preparation	2010	2016	External accrediting agency reorganized and renamed, effective July 1, 2013	See Elementary Education above. All programs were addressed in a single accreditation review document.

Unit	Program	<b>Accrediting Agency</b>	Date of Last Review	Date of Next Review	Notes	Summary of Significant Findings
SOM	Accounting, BBA	Association to Advance Collegiate Schools of Business	2010	2014		Re-accredited in 2011, after an interim report submitted in 2010.
						A self-study report has been submitted for the 2014 review, and there will be a site visit September 29-30.
						The 2010 review from AACSB stated that in the interest of continuous improvement, the University of Alaska Fairbanks should closely monitor the following: (1) The Department should continue surveying graduating seniors about employment or plans and maintain a common placement and alumni career database. (2) The Department should continue developing its own assurance-of-learning processes for outcomes assessment for the undergraduate accounting program. (3) The program should manage its
						academically-qualified and professionally- qualified faculty ratios to maximize the use of its resources. As the enrollment growth continues, it will become increasingly
						important that the program hire an academically-qualified faculty addition.

Unit	Program	Accrediting Agency	Date of Last Review	Date of Next Review	Notes	Summary of Significant Findings
SOM	Business Administration, BBA, MBA	Association to Advance Collegiate Schools of Business	2010	2014		Re-accredited in 2011after an interim report submitted in 2010.
	DDA, MDA	Business				A self-study report has been submitted for the 2014 review, and there will be a site visit September 29-30.
						The 2010 review included these commendations: (1) The School of Management has a strong group of undergraduate student organizations that make significant contributions to the education of students. (2) The School of Management has been innovative in its course scheduling. (3) The Student Investment Fund is one of the nation's original investment funds managed by students, and its students have dominated the number of Alaska Permanent Fund internships given on a nation-wide basis since 2004. AACSB stated that in the interest of continuous improvement, the University of Alaska Fairbanks should closely monitor the following: (1) The Assurance of Learning process needs sufficient time to demonstrate effectiveness in "closing the loop." (2) It will continue to be a challenge for SOM to attract and retain Academically Qualified faculty. The small size of the faculty means the school must be especially vigilant, since a change in status of just a few faculty may result in the school falling
						below expected standards. (3) The school should revisit the definition and be more specific about what is expected from a
						Participating faculty member.

Unit	Program	Accrediting Agency	Date of Last Review	Date of Next Review	Notes	Summary of Significant Findings
SNRE	Forest Sciences, BS	Society of American Foresters	2006	2016	UAF and UAS are conferring about a proposed collaboration in Forest Ecology.	Re-accredited. There were no formal recommendations requiring a response prior to the next review. In 2016 UAF anticipates loss of specialized accreditation, due to SNRAS finances not allowing replacement of key faculty.
Prov	Museum of the North	American Alliance of Museums	2008	2021	Reaccreditation cycle change in process. The next review date would have been 2016, but the interval is being changed to 15 years.	Re-accredited. There were no formal recommendations requiring a response prior to the next review. Areas for improvement that will be examined in the next review include planning and integration across departments; systematic assessment of needs for funding, space, faculty, and staff; further refinement of collections management policies and practices; and staff diversity.

Abbreviations: CEM = College of Engineering and Mines; CLA = College of Liberal Arts; CNSM = College of Natural Science and Mathematics; SOE = School of Education; SOM = School of Management; SNRE = School of Natural Resources and Extension; Prov = Provosts' Office.

# **Northwest Commission on Colleges and Universities Accreditation Commendations and Recommendations**

#### Institutional Accreditation - Comprehensive Peer Evaluation Report, Fall 2011

#### Commendations

- The Evaluation Committee commends UAF for the thorough and inclusive nature of their development of institutional Core themes involving a broad range of UAF constituencies and their deliberative identification of measurable indicators and objectives that help define mission fulfillment.
- 2. The Evaluation Committee commends UAF for their continuing, unwavering commitment to serving Native and rural populations across the state through effective educational programming that is responsive to local community and state needs. That commitment also includes the collaborative establishment and operation of community partnerships that help sustain Alaska's rural economy.
- 3. In recognition of UAF's unique location in the circumpolar North, the Evaluation Committee commends the institution for the depth and breadth of its activities focused around Alaska, the circumpolar North and their diverse peoples that encompass high quality educational, research and outreach programs.

#### Recommendations

- 1. The Evaluation Committee recommends that UAF coordinate its planning and evaluation processes of Core themes in a systematic manner to help ensure that the institution's programs and services align with the accomplishment of the Core themes' objectives. (Standard 3.B.1 and 3.B.2 Core Theme Planning, and Standard 4.A.1 Assessment)
- 2. The Evaluation Committee recommends that UAF evaluate its resource allocation processes and institutional capacity relative to its Core themes' objectives to help ensure adequacy, effectiveness and sustainability of its programs and services (Standard 5.B.2 Adaptation and Sustainability)
- 3. The Evaluation Committee recommends that UAF systematically implement and execute its educational assessment plan to consistently achieve identified program and degree learning outcomes and that assessment results be used to guide program improvement. (Standard 4.A.3 Assessment)

#### Institutional Accreditation - Year 1 Report, Fall 2012

#### Commendations

1. The University of Alaska Fairbanks has made very significant improvements in its planning and evaluation processes, addressing recommendation one from the fall 2011 comprehensive peer evaluation. The approach outlined in this self-assessment report appropriately aligns mission, core themes, objectives and indicators. The strategic plan draft provides a detailed goals and strategies to bring these changes to fruition.

#### Recommendations

None.

#### Institutional Accreditation – Mid-cycle Report, Fall 2014

UAF submitted its mid-cycle report in September. The site visit will be October 20-21, 2014.



# University of Alaska Southeast Report on Institutional and Programmatic Accreditation

Report to the UA Board of Regents—September 2014

#### **Institutional Accreditation at UAS (Regents Policy 10.02.070A)**

The University of Alaska Southeast has been accredited by the Northwest Commission on Colleges and Universities (NWCCU) since 1983. This accreditation includes the Juneau, Ketchikan, and Sitka campuses.

Institutional accreditation is regularly reviewed and reaffirmed by the NWCCU. This reaffirmation focuses on a process of continuous improvement. Such improvement aligned with standards occurs through regular reports and site visits conducted by peer evaluators. In addition to these reports and visits, the institution also communicates regularly with the NWCCU about substantive program changes including additions, suspensions, and deletions as well as about changes to institutional leaders and organizational structure.

#### Timeline

UAS's institutional accreditation was last reaffirmed in early 2014 through submittal of a Year Three report focusing on Resources and Capacity. That report led to a favorable finding by the NWCCU (letter to Chancellor Pugh of February 4, 2014). The Commission offered commendation to UAS "for the effective integration of its core themes throughout the institution." This was the first step in a new accreditation cycle that runs from 2011-2017. The next regular report in this cycle—the Year Seven report on Mission Fulfillment—will be due in 2017.

Milestone	Date	Description
Last Reaffirmation	February 2014	Based on Year Three Self-Evaluation Report
Next Regular Report and Site Visit	Expected Fall 2017	Year Seven Report-Mission Fulfillment

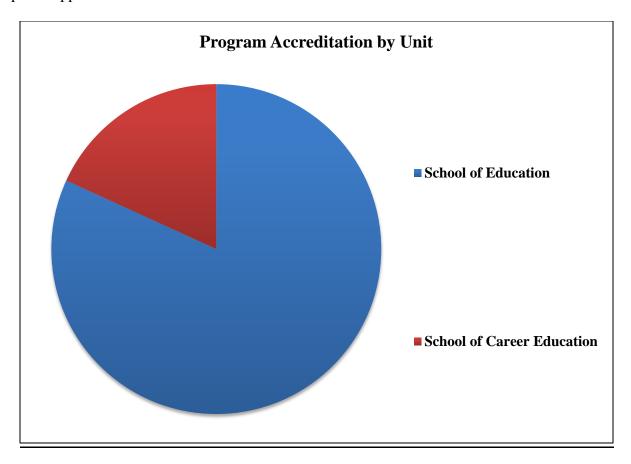
#### Websites:

UAS Institutional Accreditation: <a href="http://www.uas.alaska.edu/provost/accreditation.html">http://www.uas.alaska.edu/provost/accreditation.html</a>
Northwest Commission on Colleges and Universities: <a href="http://www.nwccu.org/">http://www.nwccu.org/</a>

#### Program Accreditation at UAS (Regents Policy 10.02.070B)

Two UAS degree and certificate programs hold specialized accreditation or approval by external agencies. These are in Teacher Education and Health Information Management.

These programs are in disciplines with professional certification or registration requirements. The figure below shows the relative distribution of accredited programs in the institution's academic units. The table at the end of this report provides a complete list of programs with special approval or accreditation.



Proposals to seek new program accreditation are evaluated based on criteria including the agency, eligibility requirements, benefits to the institution and students, and available resources and capacity to maintain ongoing accreditation. The Provost's Office assists programs in preparing self-studies and other accreditation communication to external agencies.

#### Website:

UAS Program Accreditation: <a href="http://www.uas.alaska.edu/provost/accreditation.html">http://www.uas.alaska.edu/provost/accreditation.html</a>

#### **UA Accreditation Definitions & Format**

**Institutional Accreditation:** The status of public recognition that a recognized accrediting agency grants to an institution or educational program that meets its qualifying requirements and accreditation criteria. The process involves initial and periodic self-evaluation followed by an evaluation by peers.

**Types of Accreditation:** Each type of accreditation is awarded by a non-governmental agency recognized by the Secretary of the U.S. Department of Education. The essential purpose of the accreditation agency is to provide a professional judgment regarding the quality of the educational institution or program offered and to encourage continual institutional improvement.

**Regional:** Accreditation of an institution as a whole for institutions within a prescribed geographic region of the United States.

**National:** Accreditation of an institution as a whole for institutions that are single purpose in nature, such as business or information technology institutes, or that have a clear thematic mission, such as faith-based institutions or liberal arts colleges.

**Program/Specialized:** Accreditation of a unit or educational program within an institution with regard to program-specific standards. The unit may be a school, department, program, or curriculum.

# **University of Alaska Southeast Program Accreditation**

The following programs have approval and/or accreditation from agencies external to UAS.

The "Notes" column indicates where departments are in the process of obtaining initial accreditation, or where the accreditation is held by a partner institution.

Unit	Program	Accrediting Agency		
School of Education	Elementary Education BA, MA in Teaching, graduate certificate; Secondary Education MA in Teaching; Educational Leadership Med; Educational Technology MEd and graduate certificate; Mathematics Education (K-8) MEd and graduate certificate; Reading Med and graduate certificate; Special Education BA, MA in Teaching, MEd, graduate certificate; Special Education MEd	Council for the Accreditation of Educator Preparation (CAEP—formerly NCATE)  AK Department of Education and Early Development		
School of Career Ed	Health Information Management	Commission on Accreditation of Allied Health Education Programs (CAAHEP)		

Preliminar	y list of UAA program	s at or near en	rollment capacity.		
University	Program Name	Enrollment (headcount)	Degree or Certificate	Capacity Limiting	Further Explanation
UAA/CAS	English	211	Baccalaureate	3	Enrollment limited by lack of faculty
UAA/CAS	Psychology	495	Baccalaureate	2	Enrollment limited by lack of facilities - overall lack of space and use of space originally designed for other purposes constrains number of students, in particular lab space for animal research and appropriate space for work with human subjects
UAA/CAS	Biological Sciences	1122	Baccalaureate, Nursing Science and other programs for medical professionals	2,3	Enrollment limited by faculty and facilities, particularly labs, for Anatomy & Physiology (BIOL A111, BIOL A112) and Microbiology for Health Sciences (BIOL A240), both needed by pre-majors in the School of Nursing and by students in other medical professional programs.
UAA/CAS	Philosophy		multiple Baccalaureate programs for medical professionals	3	Enrollment in Biomedical Ethics (PHIL A302, PHIL A607) limited by lack of qualified faculty. Biomedical Ethics is required for Nursing, WWAMI, BS Dental Hygiene, and other medical professional programs in the College of Health.
					Recruitment of majors and expanding course offerings to meet current industry needs are limited by faculty numbers. High student to faculty ratio negatively impacts student advising opprotunities. Currently addressing by increasing section capacity, which is not always conducive to the learning process. CBPP is also moving toward developing more online sections to meet demand and conducting a continuous search for new accounting faculty in a very competitive market. Must also comply with AACSB accreditation requirements for faculty qualifications.
UAA/CBPP	Accounting	519	BBA & AAS	3	

UAA/CBPP	Finance	137	вва	3	Recruitment of majors and expanding relevant course offerings are limited by faculty numbers, which has negative effects on student learning outcomes and advising. Must also comply with AACSB accreditation requirements for faculty qualifications.
UAA/CBPP	Marketing	130	вва	3	Recruitment of majors and expanding relevant course offerings are limited by faculty numbers, which has negative effects on student learning outcomes and advising. Must also comply with AACSB accreditation requirements for faculty qualifications.
UAA/CBPP	Management	178	вва	3	Recruitment of majors and expanding relevant course offerings are limited by faculty numbers, which has negative effects on student learning outcomes and advising. Currently addressing by increasing section capacity, which is not always conducive to the learning process. CBPP is also moving toward developing more online sections with large enrollment caps to accomodate enrollment. The Property Management and Real Estate Concentration and the Alaska Native Business Management minor are two growing areas which are impacted by the lack of full-time tenure track faculty lines.
UAA/CBPP	General Management	116	МВА	3	Recruitment and expanding relevant course offerings are limited by faculty numbers. Must also comply with AACSB accreditation requirements for faculty qualifications.

	<del></del>	1			
UAA/CoEng	Project Management	51	MS	2,3,5	*Specialty real-time distance classrooms limit teaching to 2 courses per night (Core and elective courses). Program currently delivers 2 masters classes per evening: M-F and professional training courses during the day.  *High student to faculty ratio and advising capacity for master's program. 2.5 FT faculty for graduate program. 2 fo 3 faculty have six teaching credit overloads in each Fall and Spring semesters to cover core courses resulting in little time for research.  *Program is super-tuition based and "self-funding" so must maximize enrollments each semester to fund expenses.  *Enrollment figures included students admitted in Fall, does not capture rolling admissions and Spring 2014 admissions. Also does not capture students from other programs attending classes. Approximate total of students actively taking classes during the year is 130.
UAA/CoEng	Engineering	239	BS	2,5	Capacity of specialty labs and faculty resources create bottlenecks with certain courses. Students are not turned away as a result of these capacity constraints but they delay student progress toward their degree by as much as one year.
UAA/CoEng	Computer Science	200	BS, BA	2,5	Capacity of specialty labs and faculty resources create bottlenecks with certain courses. Students are not turned away as a result of these capacity constraints but they delay student progress toward their degree by as much as one year.
UAA/COH	Public Health	116	МРН	1,3	CEPH accreditation stipulates faculty/student ratio which is pushing limits now; additional students without additional faculty would degrade quality of the program.

					<del></del>
UAA/СОН	MEDEX PA	45	BSHS (with UW)	1,3	Collaborative accreditation with UW MEDEX program stipulates faculty-student ratio, which is maximum now; additional students without additional faculty would degrade quality of the program. (#1 workstation capacity; #3 Clinical clerkship placement options)
UAA/COH	Dental Assisting	19	Certificate	1	Enrollment is limited by facilities and accreditation requirements.
UAA/COH	Dental Hygiene	28	Associate	1	Enrollment is limited by facilities and accreditation requirements
UAA/COH	Radiologic Technology	37	Associate	1,4	Limited by available number of sites for clinical rotation placement and employer demand.
UAA/COH	Medical Laboratory Technology and articulated Medical Laboratory Science programs	65	Baccalaureate/AAS	1,2	Enrollment is limited by number of work stations in the laboratory and the number of available clinical rotations sites. Additional clinical sites located outside of Anchorage could be used if travel and housing funds were available for students to travel and stay in these communities.
UAA/COH	Human Services	126	Associate	1, 3, 5	1) Instructor to student ratio in practicum sections dictated by accreditation standards; 3) additional students in practicum class sections would degrade program; 5) program could expand practicum sections with additional faculty
UAA/COH	Human Services	40	Baccalaureate	1, 3, 5	Instructor to student ratio in practicum sections dictated by accreditation standards; 3) additional students in practicum class sections would degrade program; 5) program could expand practicum sections with additional faculty
UAA/COH	WWAMI	20	DR	5	5) WWAMI capacity is 20 students. Increasing the number of students beyond 20 will take legislative approval, and approval from the University of Washington School of Medicine. We are intending to increase the number from 20 to 30 in 2-3 years, eventually reaching a total of 40 students/year.

			1		
UAA/COH	SON AAS Anchorage	120	Associate	5	Enrollment in the nursing programs is limited based on multiple factors, several of which are external to the University system.  Alaska State Board of Nursing requirements specify a 1-10 faculty/student ratio in the clinical components of the courses.  Cohort size is determined by the availability of clinical facilities and their ability to offer the required number of hours for clinical specialty practices (OB, Med-Surg, Psych/Mental Health, etc). In nchorage, where there are multiple nursing programs, there are limits to the maximum number of students who can be accommodated in the clinical settings without competing against each other or overwhelming the facility and its staff.
UAA/COH	SON AAS Outreach	119	Associate	5	Cohort sizes at outreach sites are determined after extensive review of community need/support for the nursing program from UA campus systems, local healthcare facility, and number of students interested in and ready for admission into the nursing major.
UAA/COH	SON BS	194	Baccalaureate	5	Enrollment in the nursing programs is limited based on multiple factors, several of which are external to the University system.  Alaska State Board of Nursing requirements specify a 1-10 faculty/student ratio in the clinical components of the courses.  Cohort size is determined by the availability of clinical facilities and their ability to offer the required number of hours for clinical specialty practices (OB, Med-Surg, Psych/Mental Health, etc). In nchorage, where there are multiple nursing programs, there are limits to the maximum number of students who can be accommodated in the clinical settings without competing against each other or overwhelming the facility and its staff.

UAA/COH	SON MS- FNP	25	Masters	1, 5	Enrollment in the FNP Graduate Program is limited by Alaska State Board and National Certification and Accreditation requreiments for: student faculty ratios at 1:6; properly credentialled faculty; appropriate clinical site/preceptors; and limited clinical site accommodations. In addition, clinical site limitations in terms of specialty, capacity and competition from other professions impact program capacity.
UAA/CTC	Culinary Arts	181	Associate	2,3	Enrollment is limited by faculty and facilities.
UAA/CTC	Dietetics & Nutrition	119	Baccalaureate/Certificate	2,3	Enrollment is limited by faculty and facilities.
UAA/CTC	Automotive Technology	48	Associate/Certificate	1	Enrollment is limited by faculty numbers and size of both classroom and lab facilities. The nature of lab work requires faculty to student ratio of less than 20:1 for safety considerations.
UAA/CTC	Heavy-Duty Transportation and Equipment	34	Associate/Certificate	1	Enrollment is limited by faculty numbers and size of both classroom and lab facilities. The nature of lab work requires faculty to student ratio of less than 20:1 for safety considerations.
UAA/CTC	Welding and Nondestructive Testing	65	Associate/Certificate	1	Enrollment is limited by faculty numbers and size of both classroom and lab facilities. The nature of lab work requires faculty to student ratio of less than 20:1 for safety considerations.
UAA/CTC	Construction Manageme	162	Baccalaureate/Associate	2,3	Enrollment is limited by facilities.
UAA/CTC	Computer & Networking	110	Associate/Certificate	2,3	Enrollment is limited by facilities.
UAA/KOD	Technology - Welding	10	Certificate	1,2,3	Enrollment is limited by facilities
UAA/KOD	Nursing	8	Associate	1,2,3	Enrollment is limited by Kodiak hospital clinical placements.  Nursing Program Director in Kodiak says there is a possibility of increasing enrollment by up to two students.  Note: Final semester is completed in Anchorage for clinical practice reasons.

UAA/KPC	Process Technology	214	Associate	3	Enrollment is limited as KPC unable to hire qualified faculty due to salary disparity with industry and growth of the natural resources industry resulting in high demand for qualified employees. Note the current admitted number is low, as we know at least 31 students are currently enrolled in the AA degree as they complete requirements toward PRT admission. (Faculty are creating a pre-major to allow only students who have successfully completed one semester of pre-requisite courses into program courses.)
UAA/KPC	Occupational Safety and Health	98	Associate	3	Program quality is affected by insufficient advising capacity as this program has only one regular, full-time faculty member. In AY13, 77% of FTES were taught by adjunct faculty. Limit is being reached on adding new sections by adding more adjunct faculty.

Factors for identifying programs that are at or near capacity:

- (1) Accreditation or certification requirements for instructor/pupil or pupil/work station (etc.) cap enrollments.
- (2) Available classrooms or instructional laboratories are not large enough to accommodate upper division course enrollments.
- (3) There is a high student to faculty ratio, such that additional students would degrade program quality, e.g., not enough advising capacity, excessive section size in upper division courses or in online sections of courses.
- (4) In some cases the university limits enrollment of a program based on employer demand for graduates.
- (5) Other

Preliminary li	st of UAF programs at	or near enrollme	ent capacity		
University/ College or School	Program	Degree or Certificate	Fall 2013 enrollment (headcount)	Enrollment limiting factor	Explanation
UAF/CTC	Medical Assistant	Certificate	19	3,4	Enrollment is limited by facilities and faculty. Classroom size and in some cases accreditation standards limit course enrollment, and with a single faculty member, sections cannot be added. Certificate courses are largely the same as Associate-level courses, with the exception of general education.
UAF/CTC	Medical Assistant	Associate	48	3,4	Enrollment is limited by facilities and faculty.
UAF/CTC	Process Technology	Associate	77	3	Enrollment is limited by faculty; many classes fill and adding more sections requires additional faculty.
UAF/CEM	Petroleum Engineering	Baccalaureate	157	3,4	Enrollment is limited by faculty numbers and facilities (both classrooms and teaching labs), but the facilities will be improved when the UAF Engineering Building is completed.
UAF/CEM	Mechanical Engineering	Baccalaureate	203	3,4	Enrollment is limited by faculty numbers and facilities, but the facilities will be improved when the UAF Engineering Building is completed.
UAF/CEM	Civil Engineering	Baccalaureate	134	4	Enrollment is limited by facilities, but the facilities will be improved when the UAF Engineering Building is completed.
UAF/SOM	Business Administration	Baccalaureate	253	3,4	Enrollment is limited by faculty numbers and availability of large classrooms, but the facilities will be improved when the UAF Engineering Building is completed (there will be shared classrooms). Many classes are now offered online since classroom capacity is exceeded.
UAF/CLA	Psychology	Baccalaureate	146		Enrollment is limited by faculty numbers. Many classes fill and the student/faculty ratio is several times higher than other CLA programs.

Factors for identifying programs that are at or near capacity:

- (1) Accreditation or certification requirements for instructor/pupil or pupil/work station (etc.) cap enrollments.
- (2) Available classrooms or instructional laboratories are not large enough to accommodate upper division course enrollments.
- (3) Additional students would degrade program quality, e.g., not enough advising capacity, excessive section size in upper division courses.
- (4) In some cases the university might limit enrollment of a program based on employer demand for graduates. I am not aware of any UAF instances.

UAS programs at or near enrollment capacity (preliminary list)							
University/ College or School	Program	Degree or Certificate	Fall 2014 enrollment (headcount)	Enrollment limiting factor	Explanation		
					Online program: Term faculty position added and additional		
UAS/School of Management	Public Administration	MPA	72	2	adjunct faculty hired Failed search for tenure-track Management faculty position;		
UAS/School of Management	Business Administration	BBA	212	2	renewed search planned for AY14-15		

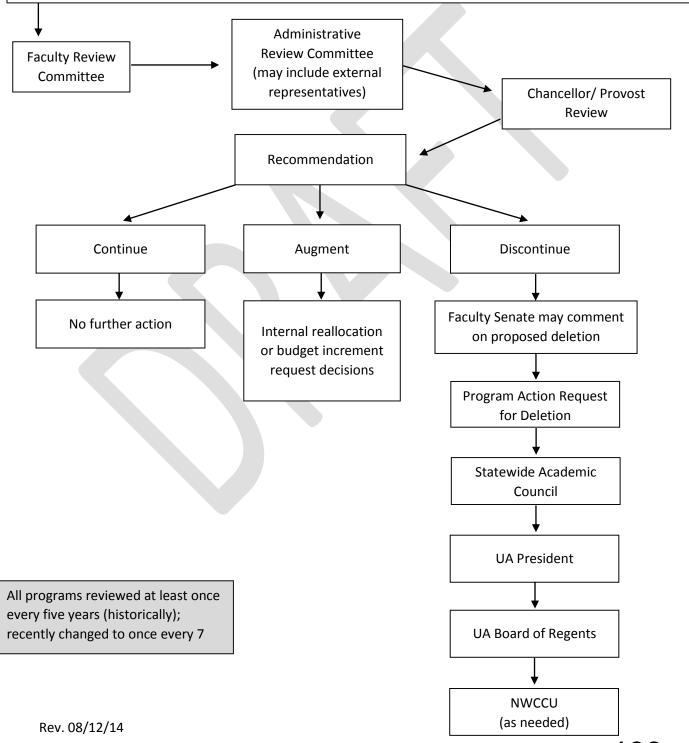
### Factors for identifying programs that are at or near capacity:

- (1) Accreditation or certification requirements for instructor/student cap
- (2) Available faculty limit program capacity
- (3) Available classrooms or instructional laboratories not large enough
- (4) Additional students may degrade program quality (including online)
- (5) Possible limits on enrollment due to limited employer demand

## **Academic Program Review**

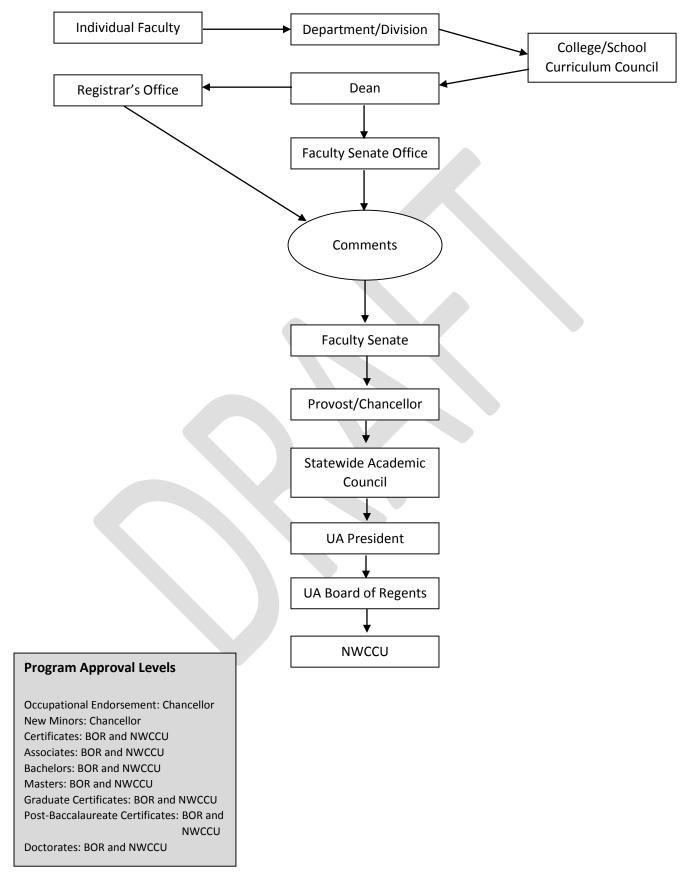
Program submits portfolio addressing Program Review required elements as specified in University Regulation:

- 1. centrality to missions
- 2. quality of program
- 3. demand for program institutional research data provided
- 4. program productivity and efficiency institutional research data provided
- 5. timeliness of actions to augment, reduce, or discontinue
- 6. cost of program institutional research data provided
- 7. program duplication in UA system

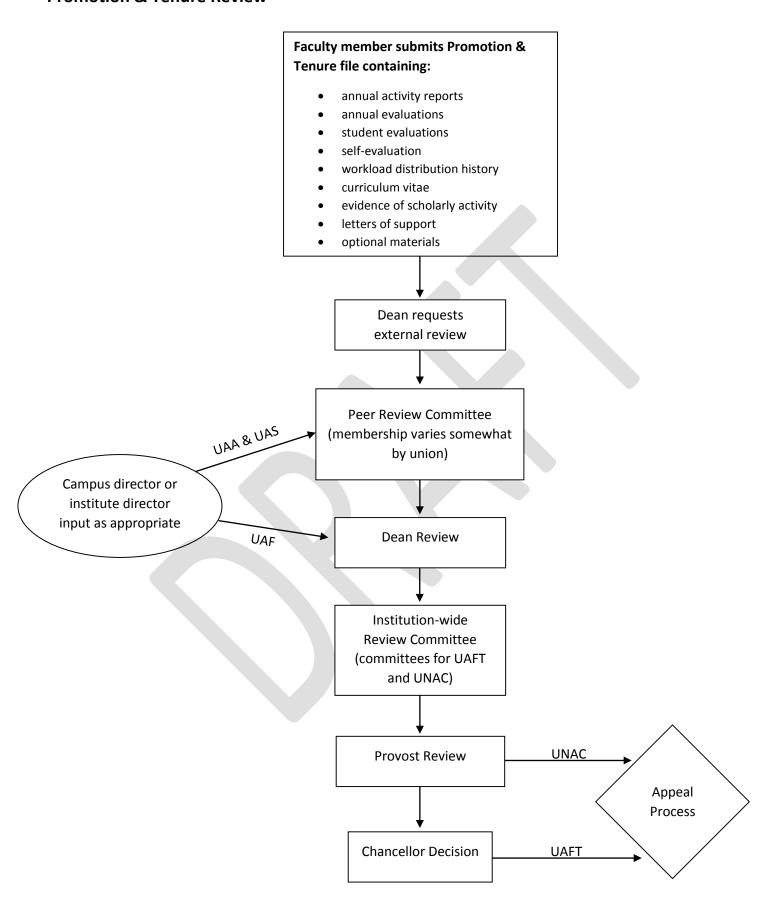


468

# **Academic Proposals**



### **Promotion & Tenure Review\***









# **Transfer Credit Process and Proposed Improvements**

[UAF with input from Olivia Eddy, University Registrar, and Cathy Oehring, Assistant Registrar; Military and Transfer Services]
[UAA with input from Lora Volden, University Registrar, Craig Mead, Transfer Specialist]
[UAS with input from Barbara Hegel, University Registrar, and Joe Nelson, Vice Chancellor for Enrollment Management and Student Affairs]

#### I. UAF Outline of the current transfer process

- 1. The transfer credit evaluators use transfer tables to determine specific course equivalencies for GERs (<a href="http://www.uaf.edu/catalog/current/admissions/transfer\_placement\_chart3.html">http://www.uaf.edu/catalog/current/admissions/transfer\_placement\_chart3.html</a> and (<a href="http://www.uaf.edu/catalog/current/admissions/transfer\_placement\_chart4.html">http://www.uaf.edu/catalog/current/admissions/transfer\_placement\_chart4.html</a>)
- 2. The transfer credit evaluators transfer other courses that meet the requirements (generally, 100 level or above, courses completed with a C- or better at a regionally accredited institution; see (http://www.uaf.edu/catalog/current/admissions/transfer\_placement.html#Transferring\_Credits)
- 3. Transfer credit evaluators award credit for certain military training, credit by examination, and other accomplishments using established equivalency tables.
  - a. Military training is reviewed and awarded based on American Council on Education (ACE) recommendations. Almost all such training transfers as electives, with the exception of Basic Training, which has been approved by the UAF Military Science Department to transfer as 10 credits of MILS courses.
  - b. Credit by exam would include College Level Examination Program (CLEP), Advanced Placement (AP), International Baccalaureate (IB), which are pre-approved for credit and are published in the catalog.
  - c. Many professional certificates are pre-approved for credit by the appropriate UAF departments. A list of approved certificates is kept in OAR and maintained by transfer credit staff.
- 4. Acceptable transfer courses that are not established equivalents of UAF courses, or which are not found to be equivalent upon review by transfer credit evaluators (sometimes in consultation with appropriate departments), are transferred as electives in the subject area, e.g., an English course would transfer as an "English elective", with the course level (100-400) indicated on the student transcript. Electives may have a category designator, such as "H" for humanities, "M" for mathematics, "N" for natural sciences, or "S" for social sciences. If so, they will meet the corresponding requirements for BA or BS degrees.
- 5. For courses transferred as electives to meet major or minor requirements or GER requirements, or for courses transferred as equivalent UAF courses to be substituted for said requirements, they must be reviewed and approved by the corresponding department and by the Core Review

Committee in the case of GERs. The student needs to submit a petition to initiate the review process:

- a. <a href="http://www.uaf.edu/reg/forms/undergrad\_petition.pdf">http://www.uaf.edu/reg/forms/undergrad\_petition.pdf</a>
- b. http://www.uaf.edu/reg/forms/core\_petition\_form.pdf

This means that some courses that initially transfer as electives, or as UAF equivalent courses that do not meet degree requirements, will ultimately count toward degree requirements. This can be a source of confusion for many students. Some may be dissatisfied by the initial results of the transfer process, but after further review, often more of the credits count toward their degrees.

Sometimes courses that transfer as UAF equivalents do not count toward the UAF degree they are pursuing. That is, some students change majors as well as changing universities. For instance, if a student studies physics and transfers to UAF as a mechanical engineering major, not all physics courses would satisfy specific engineering requirements, even though they are accepted as equivalent to UAF courses.

Some programs with specialized accreditation have strict limits on accepting transfer credit from other programs that are not accredited by the same organization. This can be especially frustrating for students, because the course titles and much of the content may be similar to the UAF course. However, the accrediting organizations have these requirements to assure quality, because unaccredited institutions often have less-qualified faculty or less rigorous curricula.

UAF is working to improve our communication with prospective transfer students, so that they will know in advance which of their courses will count toward GER or specific degree requirements and which will not.

#### II. UAA Outline of the current transfer process

Student submits official transcript. Within 1 day student receives email to indicate the transcript has been received. Within 1-3 days of receipt of transcript an evaluation is complete and student receives email indicating completion of evaluation and possible next steps (see attached). The transfer evaluation takes place independent of admission processes for new students. UAA no longer waits until the student has been admitted.

Office of the Registrar evaluates transcript with following considerations:

- 1. All UA courses which are GER at the institution where course is taught are brought in as equivalent GER credit per BOR policy.
- 2. The transfer credit specialists evaluate and transfer all undergraduate courses completed with a Cor better at a regionally accredited institution. <a href="http://catalog.uaa.alaska.edu/academicstandardsregulations/transfercredits/">http://catalog.uaa.alaska.edu/academicstandardsregulations/transfercredits/</a>
- 3. Transfer credit evaluators award credit for certain military training, credit by examination, and other accomplishments using established equivalency tables, specifically:
  - a. Military training is reviewed and awarded based on American Council on Education (ACE) recommendations.
  - b. Credit by exam would include College Level Examination Program, Advanced Placement (AP), International Baccalaureate (IB), which are pre-approved for credit and are published on our website. <a href="http://www.uaa.alaska.edu/records/tce/nationalexam.cfm">http://www.uaa.alaska.edu/records/tce/nationalexam.cfm</a>
  - c. Many professional certificates are pre-approved for credit by the appropriate UAA departments. A list of approved certificates is listed in the UAA catalog. http://catalog.uaa.alaska.edu/academicstandardsregulations/nontraditionalcreditpolicies/

- 4. Acceptable transfer courses which are not found to be a direct equivalent to UAA courses are then reviewed for a GER substitute or elective status.
- 5. Transfer courses that do not meet a specific UAA course may be petitioned to fulfill student's degree requirements. With department and college approval elective credit can be applied to a student's specific major or minor requirements. This allows the student and faculty to best tailor the student's degree plan to their needs. All petitions are reviewed by minimally two individuals within the student's college and Registrar's Office to ensure checks and balances.
- 6. Additionally, UAA has a process to pre-approve transfer courses to ensure transferability upon return to UAA. Although it can be used in any situation it is required for students going on exchange.
- 7. Course equivalencies are updated on UAA Transfer Evaluation Service sight as informational item for students, faculty and advisors. This ensures consistent evaluation.

#### III. UAS Outline of the current transfer process

- 1. The transfer credit evaluator(s) use Banner to check if there is an existing equivalency, checking that the equivalency is not older than 5 years and if there are any other changes such as title (the course will be re-evaluated). The chart in the academic catalog for the UA transfer courses is used as a reference; however most of the courses are in Banner with the appropriate coding for GER placement.
- 2. The transfer credit evaluator(s) transfer other courses that meet requirements (generally, 100 level or above, courses completed with a C- or better at a regionally accredited institution; UA courses with a D- are transferred as appropriate.
- 3. Transfer credit evaluator(s) award credit for certain military training, as well as standardized tests like DANTES, CLEP and AP.
- 4. Acceptable transfer courses that are not established equivalents of UAF courses are transferred as electives in the subject area, e.g., an English course would transfer as an "English elective", with the course level (100-400) indicated on the student transcript. If UAS does not have an equivalent subject the courses are coded as Electives. However, once the student is in their major, advisors will submit a course substitution form to apply credits appropriately into major requirements.

#### IV. Plan for improving transfer processes at University of Alaska institutions

Both specialized and institutional accreditation require that faculty set the standards for awarding transfer credit and evaluate courses to determine whether or not they meet degree requirements. Some changes to transfer processes will require faculty approval (\*). Target dates are suggested for completing each step in the review and improvement of transfer processes.

At UAF some potential improvements have already been identified, but they will require investments in software, additional staff, or both.

UAA has embarked on an overall review of all policies, processes, and procedures. It welcomes this discussion of its transfer and petition processes as part of its continuing quality improvement.

1. Each university reviews processing of transfer credit (December 1, 2014):

- a. Describe the transfer process in detail.
  - i. The process is well-known to the people who carry it out. However, a clear description will help with communication to other groups, including students and faculty.
  - ii. UAA has completed a thorough review of the evaluation process and implemented changes highlighted in Amazing Stories (see attached). Additionally UAA holds workshops each semester open to faculty, students, and staff to explain transfer process and participates in transfer student orientations.
- b. Identify opportunities to improve processing time.
  - i. UAF has already identified two issues. (1) At certain times of year (registration opening and start of semester) there is much more volume, and the staff cannot process all transfer credits as quickly as students want. (2) Currently, employees need to manually enter every transfer course into Banner. With the implementation of OnBase's transcript data capture at the end of July, this portion of the processing time will gradually improve. Full implementation will happen of the course of the 2014-15 academic year.
  - ii. In 2012, UAA reviewed the procedure for evaluating credit and implemented changes that reduced the average processing time from 45 days to 3 days. UAA has continued to maintain a processing time of 3 days or less. By processing as the transcript arrives versus waiting for admissions it removes the transfer office from admissions deadlines. This has removed bottlenecks that previously occurred in summer and instead has led to year-round consistent processing times, enabling staff reductions.
- c. Are there instances of errors or inconsistent evaluation? If so, correct.
  - i. UAF has quality controls in place to reduce errors as much as possible. The Banner database of equivalencies and department chair approvals is updated on a regular basis.
  - ii. UAA has worked to clarify policies and eliminate inconsistent evaluation.
  - iii. UAA purged transfer decisions made beyond 3 years to ensure that both internal and external data was in line with current policies.
  - iv. Additionally UAA is now able to run a report each semester to find any UA courses which were not evaluated by the guidelines above due to human error. These errors are corrected and the corrections are communicated out to the students.
- d. If processing and posting are not timely (e.g., require more than 1 week), consider a process to keep students informed of progress (May 2015):
  - i. UAF is developing information to add to the admitted student's packet that informs them how to monitor the progress of their transfer evaluation. Another proposal is to add to the transfer students' communication plan, informing them that an evaluation will automatically be done providing contact information for transfer credit staff.
  - ii. UAA emails students notification that their transcript has been received and again when their evaluation has been completed.
- 2. Each university reviews processing of petitions (June/July 2015):
  - a. Describe the petition process in detail.
    - i. The process is well-known to the people who carry it out. However, a clear description will help with communication to applicants, students, administration, and others.
    - ii. Information on the UAA academic petition process can be found in the catalog and detailed instructions and steps are provided on the form.
       <a href="http://catalog.uaa.alaska.edu/academicstandardsregulations/academicpetition/">http://catalog.uaa.alaska.edu/academicstandardsregulations/academicpetition/</a>

- iii. UAA's petition process is facilitated with an advisor and is routed through the department chair and college dean before being submitted for application to the Office of the Registrar. This multi-level review leads to checks and balances and ensures consistency with evaluation.
- iv. As the Office of the Registrar sees common petitions they reach out to the departments to consider articulation agreements or direct equivalencies.
- v. UAS does not have a petition process as outlined above. However, if a student questions the transfer evaluation of a course, UAS requires a syllabus be submitted for the course to be reconsidered. UAS advisors submit a course substitution form to apply classes to specific requirements to their major program of study.
- b. Identify opportunities to improve processing time.
  - i. At UAF processing time substantially depends on the department and Dean review timelines. Work to improve timeliness and tracking of petitions is needed.
  - ii. If Core Committee review is required (for GERs that are not UAF course equivalents), a web-based approach to review that does not require the committee to physically meet could improve the process.
  - iii. Students, faculty and staff need the ability to see where a petition is at any given time and to electronically sign and send on. We would like to investigate DocuSign (or a similar software) that would enable the process to be transparent and more efficient.
  - iv. UAA has compliance concerns about using DocuSign and is exploring the use of an existing capability in Banner for an electronic petition process. Regardless of the electronic process, it will be important to obtain an original student signature.
- c. Are there instances of inconsistent evaluation? If so, correct (May 2015):
  - i. UAF believes that the Degree Works reporting tool will prove helpful with this.
  - ii. UAF: To improve both b. and c., we will consider establishing a resource similar to the Transfer Credit Resource Site

    (<a href="https://uaonline.alaska.edu/banprod/owa/bwsk2tcr.P\_Tcs\_Search">https://uaonline.alaska.edu/banprod/owa/bwsk2tcr.P\_Tcs\_Search</a>) that (a) includes past course transfer determinations, but (b) makes them applicable to other students for a limited period of time.\*
  - iii. UAA has had a few cases of inconsistent evaluations, and any examples are immediately corrected. If inconsistency was generated from conflicting policy or practice, clarifications are established and entered into the evaluation procedure manual.
  - iv. UAA has begun to work with various stakeholders in the petition process to discuss shared approaches and values, e.g. this fall the Registrar and Vice Provost for Undergraduate Academic Affairs are meeting with the professional advisors and plan to initiate a series of discussions, including with chairs and Associate Deans. The Academic Policy Advisory Committee is another venue for these discussions.
- d. Currently the approval of transfer courses for major/minor requirements is the purview of department chairs, who may not follow decisions of past chairs or use consistent criteria. Consider ways to make this review consistent, e.g., written standards; accessible records of past decisions.\*
  - i. UAF is considering a mechanism for capturing criteria and decisions to assure consistency (and likely, increase the speed of processing). The Degree Works reporting tool will be helpful for tracking purposes.
  - ii. The UAA evaluation team makes all initial transfer decisions. If courses are direct equivalents no faculty approval is needed. Electives or GER substitution courses may be applied to the major/minor by academic petition. Multiple layers for review and

approval ensure consistency, as does a focus on the student learning outcomes of courses and requirements, such as the GER categories. That being said, UAA is also interested in reviewing its tracking capabilities at the different levels.

- e. Consider establishing a process of review or reconsideration of individual department chair decisions.\*
  - i. Degree works offers a reporting tool that we are exploring. It allows review of exceptions to degree requirements (petitions) and gather data that will be helpful in determining where the majority of exceptions are being made.
  - ii. Currently there is Registrar's office review of questionable petitions, looking for patterns or trends that should be addressed. UAF intends to develop a checklist of criteria for reviewing petitions, to quickly deny those that are clearly not approvable.
  - iii. UAF intends to develop a formal appeal process for students who don't agree with a decision.
  - iv. The UAA petition process builds in several levels of approval.
- f. If processing and posting are not timely (e.g., require more than 1 week), consider a process to keep students informed of progress. (Petition processing can take time and so keeping students informed is a more important issue than for credit transfer). (August 2015)
  - i. Students, faculty and staff need the ability to see where a petition is at any given time and to electronically sign and send on. We would like to investigate DocuSign (or a similar software) that would enable the process to be transparent and more efficient.
  - ii. UAA is exploring an existing Banner capability to facilitate an electronic petition process.
- g. Identify programs with significant intra-UA transfer activity. (November 1, 2014)
  For such programs, establish articulation agreements for major requirements if those don't already exist\* (May 2015)
  - i. After identifying the applicable departments, transfer credit staff can coordinate with them to articulate classes (add equivalent information to Banner database) that are routinely taken.
  - ii. All three universities subscribe to the Transfer Evaluation System (TES). This data base has the ability for us to compare other catalog courses to ours and will also give us the ability to track changes year to year.
  - iii. UAA departments such as medical assisting, justice, renewable energy, and nursing have taken steps to align curriculum and/or inform the UAA evaluation team when UAF/UAS courses should be transferred as direct equivalents, if they are not already transferring as such.
  - iv. UAA recommends that as part of the plan UA reviews options for enabling prerequisite checking in Banner to include inter-UA courses.
  - v. UAS currently has articulation agreements for the BA Elementary Education with the UA schools; Fisheries Technology program has articulation agreements with various branch campuses across the state.

# Summary and Guidance for BOR Evaluation of Proposed Policy Changes

# REGENTS' POLICY PART V – FINANCE AND BUSINESS MANAGEMENT Chapter 05.12 - Capital Planning and Facilities Management

Recommendations made by the regents in attendance at the August 12, 2014 work session and comments received prior to that meeting have been incorporated into this version of the policy.

The policy changes are meant to address board concerns and ensure that:

- 1. PRP process is fully implemented,
- 2. mission drives investment,
- 3. project development implements board investment decisions, and
- 4. maintenance and operations expenditures sustain and extend the life of university buildings and infrastructure.

#### With the intent to achieve:

- 1. **Legacy.** Support BoR focus on legacy decisions and stewardship of assets.
- 2. **Alignment.** Integrate the UA Mission, SAF effect statements and the University Program Resource Planning Process with the campus planning, facilities delivery and operation functions and policy.
- 3. **Discipline.** Create an objective process to prioritize capital facility investment and development system-wide; to systematically address the deferred maintenance backlog; to establish the University Building Fund as a working tool; and, to deliver Accountability to Alaska's People.
- 4. **Collaboration.** Integrate academic, research and student support with facilities development.
- 5. **Clarity.** Develop consistent language to align policy with internal UA strategic guidance, industry practice, and required reporting.
- 6. **Usability.** Reorganize the policy to reflect the logical sequence of planning, budgeting, and project approvals and delivery. Eliminate duplication in code and regulation.
- 7. **Efficiency.** Reshape BoR approval authority levels to emphasize those actions/decisions that have significant impact on the UA System of campuses. Eliminate repetitive approval processes from Board focus. Bundle maintenance projects for one annual BoR approval.

#### PO5.12.010. Purpose.

- A. Demonstrates the focus on stewardship and legacy investments related to systematic planning and management of resources for facilities and infrastructure.
- B. Describes the comprehensive and integrated system of facilities planning driven by academic and student support needs, through development, operations and maintenance.

- C. Notes the driving role of UA and campus strategic goals, academic and programmatic needs in shaping facilities to be implemented through each university. Requires the system office to hold responsibility for policies, processes, due diligence and oversight.
- D. Speaks to the process of facilities need identification through rigorous evaluation of academic program and other mission support, moving from the universities through the system office to the board.
- E. Adds the requirement to consider life cycle costs to ensure cost effectiveness from delivery through operations.
- F. Notes the necessity and the process for collection of funds to support capital project planning through surcharges and fees.

#### PO5.12.020. Definitions.

Lists key definitions governing how to collect data, spend money and think about facilities. Changes proposed ensure consistency of language and intent with regard to industry practice, university and system office academic and strategic planning, and external communications with OMB and the legislature. The additional definitions add clarity and consistency.

- A. Alterations and improvements (added term to replace "tenant improvements")
- B. Campus master plan
- C. Capital project
- D. Deferred maintenance and renewal (DM&R)
- E. Long-range capital plan
- F. Maintenance and repair (M&R) (To ensure consistent statistical reporting, this excludes alterations and improvements and new construction. The definition in and of itself does not preclude those work items being performed within same project.)
- G. Material change (adds schedule delay as an element)
- H. Mission area analysis(added term)
- I. New construction
- J. Operating cost
- K. Other cost considerations
- L. Program resource planning process(added term)
- M. Project agreement(adds requirement to document material variances)
- N. Project budget
- O. Renewal and replacement (R&R)
- P. Reportable leased facilities
- Q. Significant change

(Clarifications made to distinguish between significant and material change – significant requires **informing** the appropriate approval level, while material requires **obtaining approval**.)

- R. Statement of need(added term)
- S. Statement of requirements(added term)
- T. Strategic planning(added term)
- U. Total project cost
- V. Variance

#### PO5.12.030. Delegation of Authority.

Designates the system office chief finance officer and the system office chief facilities officer to delegate approval authority to others.

# PO5.12.040. Program Resource Planning Process.

(New section – added to incorporate the PRP process adopted at November 2011 FLMC meeting into board policy.)

- A. Defines the intent of planning to ensure that the university mission drives capital planning and project development, and to integrate academic, research and student programs with budget and facilities planning and delivery.
- B. Defines the process of planning as collaboration between university administrators and academic leadership responding to needs of academic, research, student support activities, or campus infrastructure.

#### PO5.12.050. Campus Master Plans

(Paragraphs in this section were re-ordered and summarized for clarity)

- A. Defines the board's intent and purpose for campus master plans as an integrated framework for investment decisions and implementation of the UA, university and campus academic, strategic and capital plans.
- B. Defines the function
- C. Outlines the contents of a campus master plan designed to identify short and long term investment priorities for facilities and infrastructure in support of the university mission.
- D. Specifies the development of a campus master plan as collaborative and inclusive; with review and updates on a 5-7 year cycle; and permits revision and amendment with board approval.

# PO5.12.060. Capital Planning and Budget Request.

(Aligns long-range plan development and reporting with state requirements and eliminates duplication in board policy.)

Requires each university to prepare an annual long-range capital plan update, including annual cost impacts for any project included. The plans will be consolidated and reviewed within the system's capital and operating budget submission process.

# PO5.12.061. Capital Project Development: Capital Expenditure Plan Approval.

(Revised to align with current practices.)

- A. Maintenance projects, including DM&R, will be approved as an annual program by the Board at the June meeting. Changes must be approved by the system office chief finance officer with Board notice.
  - (We have been using this approach since FY13.)
- B. Approvals for transfer of funding will be determined by the system office chief finance officer.

#### PO5.12.062. Capital Budgets, Capital Appropriations and Spending Authority.

(Minor edits for clarification of intent.)

A. No spending for capital improvement projects will occur unless authorized in accordance with this chapter.

- B. Reimbursement of any funds advanced for preliminary planning and design is subject to approval of the system office chief finance officer.
- C. Award of construction contracts for capital projects require sufficient funding on hand unless approved by the system office chief finance officer.

#### PO5.12.070. Capital Project Development: General.

- A. Outlines the collaborative approach and sequence of approvals and reports for all capital projects greater than \$1 million.
  - a. Preliminary Administrative Approval
  - b. Formal Project Approval
  - c. Schematic Design Approval
  - d. Project Change Approval
  - e. Project Completion Budget Status Report (Added to reflect FLMC request for a report soon after project acceptance so that FLMC can offer input for spending any remaining project fund balance.)
  - f. Final Project Report
- B. Requires regular construction in progress reports for all projects.
- C. Defines the range of Total Project Cost for Major Maintenance Projects that will require Schematic Design Approval as between \$0.5 million and \$5 million. All projects over \$5 million Total Project Cost require all approvals and reporting.

### PO5.12.071. Capital Project Development: Preliminary Administrative Approval.

- A. Catalogs the requirements for provisional approval of projects to support planning and development of project agreements aligned with programmatic analysis and need statement. This approval is prerequisite to inclusion in the long-range capital plan.
- B. Defines the level of approval required for preliminary administrative approval by the president as total project cost greater than \$2.0 million; and by the system office chief finance officer or designee as total project cost of \$2.0 million or less. (FLMC decided to retain the current approval levels for PAAs)
- C. Provides for university commitment of up to \$250,000 in unrestricted funds for planning prior to requesting preliminary administrative approval. (FLMC supports increasing the limit to \$250,000 and transferring the responsibility for approving the expenditure to the university chancellor or his designee.)

#### PO5.12.072. Capital Project Development: Formal Project Approval.

be obtained to achieve this level of project development.

(Edits are meant to maximize board's opportunity to provide cost effective influence/input)

A. Defines formal project approval requirements including project agreement, cost and funding plan, project delivery method, public art, business plan and life cycle costs. Allows a project to proceed through schematic design and to be included in the university's capital budget request. Requires formal project approval for all projects with total project cost over \$10.0 million.

Second paragraph adds language to reflect requirements of PRP process, and changes the size of project that requires FPA for inclusion in capital request. This applies to new

construction, expansion and whole building R&R, but assumes that advance funding can

- B. Notes that all multi-phased projects should include all planned project phases at this level of approval.
  - (Incorporates current FLMC preferences and administration practice.)
- C. Defines the level of approval associated with total project costs of greater than \$5.0 million as the Board, with committee recommendation; total project cost greater than \$2.0 million and not more than \$5.0 million as the Board committee or its chair as delegated; total project cost of \$2.0 million or less as the system office chief finance officer.

(FLMC supports increasing approval levels at FPA and SDA level as proposed)

### PO5.12.073. Capital Project Development: Schematic Design Approval.

(Board input at this stage is less cost effective, but this is the last opportunity for board to ensure their expectations for a project are met.)

- A. Defines schematic design approval noting the mandatory requirement to implement the adopted campus master plan.
- B. Approves the proposed cost of the funded phase(s) of the project and authorizes design development, bid and award and construction unless there is no material change. Material changes require approval through P05.12.076.
- C. Outlines the requirements for schematic design approval request package, adding site development, functional relationship of the interior spaces, exterior design, energy management and space utilization and design efficiency.
- D. Notes that the process requires identification of any significant or material changes since the formal project approval.
- E. Defines the schematic design approval levels as: the board based on committee recommendation for total project cost greater than \$5.0 million; the board committee or its designated chair for total project cost over \$2.0 million and not more than \$5.0 million; the system office chief finance officer for total project cost of \$2.0 million or less.

(FLMC supports increasing approval levels at FPA and SDA level as proposed)

# PO5.12.074. Capital Project Development: Approval Levels for Project Changes in Funding Sources, Total Project Cost, or Scope Subsequent to Schematic Design Approvals.

(FLMC did not think that schedule changes alone should require a project change request. Schedule changes should be reported to the board as early as possible.)

Authorizes the chief finance officer to determine approval levels for changes noted.

**FLMC** recommended changing the approval levels as proposed.

- A. Approval by the board committee responsible for facilities is required for changes with an estimated project budget impact in excess of the lesser of 1) 25% of total project cost, or 2) \$2.5 million.
- B. Approval by the system office chief finance officer is required for changes greater than \$0.5 million that do not meet the conditions of A, above.

  (Adds a lesser approval level for administration system office chief finance officer)

PO5.12.075. Capital Project Development: Construction in Progress Reporting.

Jim Lynch comment: recommend changing decisions to chief facilities officer since the chief finance officer cannot be expected to have sufficient knowledge or understanding of the projects to make responsible determinations in the area.

Changes align with the current practice for administrative reporting, currently preferred by regents.

Current practice is that we report on projects over \$250,000 for community campuses and \$500,000 for main campuses. If the proposed limits had been in effect for the last five years, the result would have been that 27 fewer out of 43 main campus projects would have been reported on. If the policy had been followed as it currently exists, 18 out of 54 community campus projects would not have been reported on.

- A. Requires regular reporting for all projects with total project cost greater than \$250,000 for community campuses, and \$1.0 million for main campuses, or for projects designated by the system office chief facilities officer to be of interest to the board.
- B. Requires the system office chief facilities officer to report on the construction in progress at each regular board meeting, for any project that required formal project approval by the board facilities committee or higher level, and other projects designated by the system office chief facilities officer to be of interest to the board.

# PO5.12.076. Capital Project Development: Post-Occupancy and Final Project Reports.

(Adds a new requirement desired by regents to allow them to influence expenditure of project balances.)

- A. Requires filing of a post-occupancy report not more than 90 days after beginning occupancy of board-approved project with total project cost of more than \$5.0 million. Notes the requirement to identify remaining fund balances and priorities for expending, significant changes in scope or costs, or other significant circumstances.
- B. Requires filing of a final project report within 90 days after the end of the warranty period for all board-approved projects of more than \$5.0 million. The final report updates the post-occupancy report, identifies variances and notes significant circumstances including lessons learned.
- C. Requires the university chief facilities administrator to prepare a final project report to close out projects that have been abandoned or discontinued or consolidated with another project.

# PO5.12.077. Capital Project Development: Approval Levels for Projects That Have Not Been Subject to the Defined Planning and Approval Process.

**FLMC** recommended changing the approval levels as proposed.

A. Defines approval levels for projects outside the normal processes as the board, with recommendation from the board committee for facilities, for projects with total project cost greater than \$5.0 million; the board committee for facilities or its designated chair, for projects in excess of \$2.0 million and less than \$5.0 million; the system office chief finance officer for projects of \$2.0 million or less.

- B. Provides the authority for the system office chief finance officer to determine approvals required for multiple projects that are bundled and exceed the normal approval levels, and for multiple projects funded through a single appropriations.
- C. Requires that split appropriations be approved by system office chief finance officer.

#### PO5.12.080. Operations and Maintenance.

(Clarifies that expenses for tenant or program driven "alterations and improvements" are not categorized as maintenance investments.)

- A. Identifies budgets for full funding of annual maintenance and repair (M&R) as the annual operations budget; for facility renewal and replacement (R&R) and deferred renewal projects as the capital budget request and long range capital plan; and, remodeling will be reported as A&I.
- B. Requires each university chief facilities officer to prepare an annual maintenance plan, and to provide and report on progress to the chief finance officer. (Adds a new requirement which aligns with university use of a maintenance management system to track maintenance investment and work performed for buildings and infrastructure. This will be critical to implementation of University Building Fund.)
- C. Requires the university chief finance officer to provide background information annually for each university, including prior fiscal year's operating and capital expenditures for M&R and R&R; current year's budget for operating and capital commitments to M&R and R&R; current annual calculated need for M&R and R&R; current estimate of accumulated deferred renewal; status of ongoing deferred renewal projects.
- D. Sets the basis of annual R&R funding as use and occupancy. Non-university or university auxiliaries that lease and/or substantially use university facilities will fully fund annual R&R for those facilities unless otherwise determined by university chief finance officer.

# PO5.12.090. Naming of Campus Facilities: Formal Naming of Campus Facilities and Infrastructure.

QUESTION: while consideration of this comment does not impact adoption of these changes, the regents may want to provide input on VP Carla Beam's comment. "There needs to be combined guidance for naming and fund raising expectations for buildings and spaces within buildings, as well as other facilities or outdoor spaces. Perhaps integrate with sections P05.12.091 –P 05.12.092."

- A. Requires that official naming of all significant buildings be approved by the board. Outlines categories of eligible naming sources.
- B. Requires each chancellor to establish a committee to make board recommendations on the naming of its facilities.
- C. Establishes the board-approved naming as permanent for the life of the facility or improvement, unless otherwise directed by motion of the board.
- D. Authorizes the president to determine which namings are considered as significant for approval by the board.

E. Authorizes the board to rename any facility when it is in the best interest of the university.

# PO5.12.091. Functional, Descriptive or Directional Naming of Facilities and Infrastructure Improvements.

(No Substantive Changes.)

Authorizes each university to determine functional, descriptive or directional naming.

# PO5.12.092. Contractual Opportunities for Naming Facilities and Improvements.

(No Substantive Changes.)

Requires naming through commercial contracts to comply with P05.14.080 and R05.14.080.

These policies have been rearranged, but the wording has not been changed.

- PO5.12.100. Public Use of Facilities.
- PO5.12.101. Campus Solicitation.
- PO5.12.102. Smoking in University of Alaska Buildings.
- PO5.12.103. Alcoholic Beverages on Campus.
- PO5.12.104. Marijuana and Other Illegal Substances.

#### PO5.12.110. Art in University Facilities and Spaces.

- A. Outlines the university goals for public art in the university and notes alignment with the Alaska State Council on the Arts.
- B. Requires a public art line item ranging from one-half to one percent of the construction budget in the project budget for each capital project. (Reformats item A.5 to clarify establishing a project budget for public art.)
- C. Requires selection of artwork through capital appropriations to be determined by an autonomous committee appointed by the Chancellor of the project location. Requires acceptance of donations of major works of art unless specifically prohibited by the funding authority.
- D. Authorizes each appropriate chancellor to make provisions for inventory management and maintenance of the public art collection.

(Adds new item to ensure adequate management of the collection.)

#### **REGENTS' POLICY**

# PART V – FINANCE AND BUSINESS MANAGEMENT Chapter 05.12 - Capital Planning and Facilities Management

#### P05.12.010. Introduction and Purpose.

- A. The purpose of this policy is to promote excellent stewardship for buildings, infrastructure and other facilities at each of the University of Alaska campuses: to set forth an expectation for achieving sustained funding that assures preservation of the investment in facilities and extends building life and usefulness; and to establish planning processes that provide accurate data for decision making and effective management.
- A.B. A comprehensive program of facilities planning, capital budgeting and project development, and facilities operations and maintenance is essential for the university to effectively serve present and future students, faculty, students and staff. Facilities planning, design, construction, management, and operation functions shall be systematically performed in accordance with regents' policy, university regulation, and guidelines as may be authorized by the chief finance officer.
- B. The purpose of this chapter in conjunction with other planning processes and operating procedures is to:
  - 1. provide systematic processes for management of the university's largest physical resource, which must be properly maintained in order to adequately support its various missions;
  - 2. provide a rational methodology for planning, budgeting, development, implementation, and monitoring of capital improvement programs;
  - 3. provide healthy and safe space environments;
  - 4. ensure longer life expectancy of campus facilities;
  - 5. ensure economy and efficiency of operations;
  - 6. establish a process to identify priorities for new constructions, remodeling, renovation, adaptation, and renewal;
  - 7. establish a process to systematically determine priorities for spending for new construction, operating and maintenance funds;
  - 8. ensure that facilities and space planning addresses the current space available, its use, suitability for such use, physical condition, and current and future needs; and
  - 9. ensure that the facilities management processes respond to regents' policy and regulatory requirements in a cost effective manner.
- D.C. In establishing this policy, the board expects that the The UA strategic and academic guidance as well as the academic and programmatic needs of each campus will drive the

Process to guide that effort; that each of the respective universities—MAU will take ownership of and implement those facility functions and capital planning; and that the system office will be responsible for development of policies, procedures, and processes for coordination of systemwide studies, and for due-diligence reviews and oversight on capital projects including a review of selected projects prior to advancing a project through the various stages of project development and approval. The chief finance officer may delegate these authorities and responsibilities.

- D.C. Capital planning and large capital improvement projects are initiated by each MAU and reviewed and evaluated by the system office administration bBefore being recommended presented to the board or other authority for approval, capital planning and large capital improvement projects shall be presented to the system office chief facilities officer for review and processing. Facilities planning, design, construction, management, and operation functions shall be performed in accordance with regents' policy, university regulation, and processes and procedures as may be authorized by the chief finance officer.
- E. Capital projects for the creation, renovation, renewal, remodeling and adaptation of buildings, outdoor spaces, other support spaces, or supporting infrastructure shall be developed and justified through a planning process that considers total cost of ownership and program delivery, and assures cost effective and practical solutions in support of program delivery. Projects shall be professionally designed and managed; optimal utilization of existing space emphasized; and existing facilities maintained in a manner that is cost effective, extends their useful lives, and is consistent with campus objectives as may be approved or modified by the respective university. MAU, the system office, or the board
- F. In addition to other information that may be required by the chief finance officer, capital project budgets shall include and clearly identify appropriate amounts for the furniture, fixtures, equipment, and technology infrastructure necessary for the intended use of the facility, and such amount for public art as may be determined by the respective chancellor considering previous investments in art, the nature of the facility, and other applicable circumstances.
- F.G. To help implement and maintain a comprehensive capital planning, budgeting and project development program, each MAU-university shall include a capital-planning surcharge within its capital project administrative overhead rate in accordance with procedures to be established by the <a href="system office">system office</a> chief finance officer. The system office may also assess a fee or fees to the MAUs-respective projects to fund central planning and oversight activities relative to capital assets. The board considers such activities and fees essential for effective capital planning and construction. The <a href="system office">system office</a> chief finance officer may coordinate the timing of assessment and payment of such fees; may authorize funding of such fee or fees with unrestricted funds or inclusion within a capital-planning surcharge rate; and may periodically review the fees charged to capital projects and approve the applicable MAU planning surcharge rates.

(09-18-03)

#### In this chapter

- A. "alterations and improvements" means construction improvements that are generally performed in response to tenant or occupant requests for modification of space; these projects may be performed in conjunction with other types of activities, but costs must be identified and apportioned separately from, M&R, DM&R or R&R project activities.
- B. "campus master plan" means a <u>comprehensive</u> planning document, separate from but, in support of the <u>institution and</u> campus academic, strategic and capital plans, that <u>identifies</u> the existing and preferred campus land uses, buildings, landscapes, open space, pedestrian and vehicular circulation systems, and conceptual plans for development and <u>improvement</u>; the plan is premised on existing physical resources and current and anticipated needs, and <u>is</u> developed through a collaborative or consultative process including the community, faculty, students and others;
- C. "capital project" means a project with a total project cost in excess of \$50,000, excluding movable equipment, that creates an asset with a useful life in excess of one year, extends the useful life of an existing asset, or corrects a significant backlog of code correction, handicapped barrier removal, or life/plant protection projects; these projects may be funded through capital appropriations, operating budgets, gifts, grants or bond proceeds;
- D. "deferred <u>maintenance and renewal</u>" means the <u>correction of</u> deficiencies from the cumulative effect of major repair, renewal and replacement, and renovation projects that have not been carried out; special consideration should be given to identification and completion of <u>deferred renewal</u>-projects that will result in further deterioration of a facility if not completed; deferred <u>maintenance and</u> renewal excludes new construction unless specifically authorized;
- E.O. <u>"Six Year"long-range</u> capital plan" means a comprehensive listing of all planned capital asset investments <u>consistent with the campus master plan</u>, for a set period of not less than the nextsix years, consistent with the campus master plan, regardless of funding source, and with an estimated cost of \$250,000\$500,000 or more, including fixed equipment and technology improvements, regardless of funding source;
- E. "facilities pre design statement" means an abbreviated substitute for a Project Agreement, in a form as may be approved by the chief finance officer, that addresses similar issues to those addressed in a Project Agreement, but in a briefer and less formal manner;
- F "maintenance and repair" or "M&R" means recurrent day-to-day work required to preserve or immediately restore a facility or fixed equipment to such a condition that it can effectively be used for its designated purpose; maintenance and repair may take the form of routine or preventive activities—or, emergency work, or service contracts; maintenance and repair are those costs that may not be capitalized; and maintenance and repair—excludes alterations and improvements, and new construction—unless specifically authorized by the university's chief finance officer;

- G. "material change" means a change in a project or campus master plan that, in the judgment of the system office chief finance facilities officer, might reasonably cause the respective approval authority to revise or limit its prior approval; this determination requires judgment regarding financial, scope, schedule and other changes; for a financial and scope change, a material change but is generally deemed to be equivalent of to an cumulative budgetary or scope impact in excess of the lesser of \$1.0\$2.5 million or 20-25 percent of the previously approved budget or scope; for schedule change, it is a change in project delivery that delays occupancy for a period that will have a major adverse effect on the institution.
- H. "mission area analysis" means a quantitative and qualitative analysis of a proposed academic, research, student support or administrative mission; the analysis examines creation, expansion or substantive change, and demonstrates alignment with system and university strategic outcome statements and academic plans. The mission area analysis is a component of the Program Resource Planning Process;
- HI. "new construction" means the erection of a new facility or the addition or expansion of an existing facility or internal build-out of unfinished space that adds to the building's usable space; new construction may include support facilities for the buildings including outside utilities, parking, roads, walks, landscaping, and signage;
- IJ. "operating cost impact" means the annual cost of facilityies ownership, including operation and maintenance and the estimated annual renewal and replacement requirements; when calculating this cost for new construction the estimated renewal and replacement M&R requirement shall be calculated for year seven of the facility's useful life;
- JK. "other cost considerations" means the consequential or other costs associated with the project <u>ander</u> related program <u>delivery</u>, including costs that may be funded from operating or other sources; such as <u>faculty and staff</u>, renovation of vacated space and related relocation costs, temporary relocations and surge space, move-in, and the incremental cost of new or expanded programs and services, and unfunded project costs or elements, such as lack of parking or unpaved parking, landscaping, unfinished interiors, furnishings, equipment, and works of art; costs that are generally includable as total project costs, but are excluded for any reason, shall be included with other cost considerations;
- L. "program resource planning process" means a process that demonstrates the integration of institution academic, research and student support programs, with budgeting and facilities planning and project development activities. Components of this process include the Mission Area Analysis, Statement of Need, and Statement of Requirements;
- KM- "project agreement" means a formal agreement between the affected program department(s), the MAU's respective university's chief facilities administrator, chief academic officer, chief financial officer, and chancellor, and the system office chief facilities officer documenting a common understanding of the programmatic need, project scope, and other matters related to the project; as set out in P05.12.022; and includes amendments for any consequential changes to scope, schedule or budget throughout the project development and delivery process; an abbreviated project agreement may be used as set forth by the system office chief facilities officer.

- AN. "project budget" means the assignable direct and indirect costs attributable to a project including professional services, construction, equipment and furnishings, and administrative costs, including fees paid to the system office for central planning and oversight activities that when added together equal the "budget" is interchangeable with "total project cost;"
- LO. "renewal and replacement" or "R&R" means the systematic repairs and replacements that extend the life and retain the usable condition of a facility, component or system; the modification of a facility so as to reduce or eliminate functional obsolescence can be completed under this category, but such costs have not been considered when developing the institutional calculation for R&R needs;
- MP. "reportable leased facilities" means a lease of real property with an all-inclusive annual rental impact, including rent, utilities, related services, and leasehold improvements, referred to as "total lease related payments", that is anticipated to exceed \$250,000\$500,000 per year, or with total lease related payments that exceed \$2.5 million over the full term of the lease including all renewal options that are defined in the lease as defined to AS 36.30.080 (c);
- NQ. "significant change" means a change in the scope, program, schedule or budget -for a project or a change or variance from a campus master plan, that, in the judgment of the MAU's-respective university's chief facilities administrator, requires disclosure to the board committee for facilities or the system office chief facilities finance officer;
- R. "statement of need" means a concise summary of the compelling facts derived from the mission area analysis document; is submitted to Statewide Academic Council as appropriate and to the Academic and Student Affairs Committee of the BoR as required. The statement of need is a component of the Program Resource Planning Process;
- S. "statement of requirements" means the detailed solution set, including options, that can satisfy the Statement of Need; includes identification of program personnel requirements; facility needs; furnishings, fixtures and equipment (FF&E) requirements; operations and maintenance (O&M) costs; and second order effects, such as planning for impacts to other space affected by a given project, personnel consolidation, opportunity gained or lost; is the document that identifies all the potential impacts and potential costs associated with a mission creation, expansion or change; is submitted to the board for review and acceptance. The statement of requirements is a component of the Program Resource Planning Process;
- T. "strategic planning" means a process that the university system engages in to guide a change in direction clearly expressing desired outcomes and establishing reporting metrics;
- <u>U.P.</u> "total project cost" <u>is-means</u> the assignable direct and indirect costs attributable to a project including professional services, construction, equipment and furnishings, and administrative costs, including fees paid for central planning and oversight activities;
- <u>V.Q.</u> "variance <u>report</u>" means a <u>report identifying</u> significant <u>and material</u> changes, as determined by the <u>MAU's respective university's</u> chief facilities administrator, in a project program, scope, budget, deliverables associated with a design-build project, schedule,

funding plan, operating cost impact or other cost considerations from that which was reported at the previous approval or reporting phase of the project.

(09-19-08)

#### P05.12.022. Project Agreement Requirements.

- A. A project agreement must include:
  - 1. the programmatic needs for the project;
  - 2. how fulfillment of those programmatic needs support the respective missions, strategic plans, and initiatives of the university, the MAU and the campus;
  - 3. an analysis of impact on students, faculty and constituents to be served;
  - 4. what additional services or programs will be offered or required;
  - 5. a detailed needs assessment based on the campus facilities requirements analysis and other pertinent information;
  - 6. the plan for reallocation or disposition of vacated space including estimates of associated costs of consequential relocation, renovation, and related activities, if any;
  - 7. the incremental costs of program and service enhancements;
  - 8. the facilities maintenance and operating costs including a provision for renewal and replacement costs;
  - 9. considerations regarding site, location, and consistency with master plans; and
  - 10. proposed funding plan for the project, including detail relating to the design component or various construction phases as appropriate.
- B. The supporting documents shall also discuss or disclose those items that are normally part of a complete project, such as furnishings, fixtures, movable and non-moveable equipment, technology systems, related parking, landscaping, signage, walks and roadways, and works of art, which for funding source, funding constraints, timing or what ever reason, are not included as part of the project budget being agreed upon.
- C. A project agreement is required for all projects with a total project cost anticipated to exceed \$2.5 million. The chief finance officer may, however, waive or modify the project agreement content requirements or specify that a pre-design statement be used in place of the project agreement.

 $\frac{(09-18-03)}{}$ 

### P05.12.0350. Delegation of Authority

Designated approval authority under this policy may be delegated. In establishing this policy, the board contemplates that the The system office chief financeial officer and the system office chief facilities officer, in the officer's' sole judgment, will prudently delegate the authority vested with the chief finance officerhim or her by this policy to the other administrators, MAU chief finance officers and the MAU chief facilities administrators as may be necessary for effective and efficient administration and, operations, and maintenance of the campus facilities.

#### P05.12.040. Program Resource Planning Process

#### A. Intent

The administration will integrate institution academic, research and student support programs, with the budgeting and facilities planning and project development activities. Components of this planning process include the Mission Area Analysis, Statement of Need, and Statement of Requirements. This process will ensure that the university mission drives the capital planning and project development processes.

## B. Development

University administrators and academic leadership shall work together to develop the documents needed for the board, system office, and other approvals as may be necessary to create, expand or change academic, research and student support activities.

# P05.12.0530. Campus Master Plans

#### A. Intent and Purpose

The administration will develop and present to the board for adoption, a campus master plan for each campus. The purpose of a campus master plan is to provide an integrated framework for investment and implementation of the <u>UArespective system and</u>; university <u>MAU and campus</u> academic, strategic and capital plans.

#### B. Function

When adopted by the board, the campus master plan governs the capital improvements plan and budget request for the campus.

#### B.C. Contents

A campus master plan will contain, at minimum, maps, plans, drawings or renderings, and text sufficient to portray and describe the following elements—intent of the campus to provide adequate facilities and infrastructure in support of the respective campus's mission. Projections will be developed for 10 years and may be developed for other intervals—and other relevant intervals referencing and consistent with system office and other relevant campus plans such as those for housing (as required in P05.15.040), sustainability, energy,

signage, utilities, residential life and others. Issues to be considered include enrollment, retention and completion rates and projections, space utilization measures, space types and deficits or overages, program and other needs to support degree completion and at a minimum the plan should include identification of short and long term investment priorities.

- 1. Projected enrollment and other factors affecting the need for facilities and infrastructure:
- General areas for land acquisition and disposal;
- 3. The general location of new or upgraded infrastructure, including roads, parking, pedestrian circulation, transit circulation, and utilities;
- 4. Demolition of buildings, structures, and facilities;
- 5. General location, size, and purpose of new buildings, structures, and facilities;
- 6. Guidelines for landscaping;
- 7. General location and intent for open spaces, plazas, etc.;
- 8. Guidelines for signage, both freestanding and on buildings and structures;
- 9. Architectural guidelines for all buildings, structures, and facilities;
- 10. Environmental and cultural issues, ADA access, and energy conservation;
- 11. The relationship of the campus to its surroundings and coordination with local government land use plans and ordinances; and
- 12. General priorities for capital projects.
- Development Process; Review and Update; Revision and Amendment
  - 1. Development <u>Process</u>: The administration will implement a process for development of the campus master plan that allows for participation by the local government and members of the university community, to include including faculty, staff, and students.
  - 2. Review and Update: A campus master plan will be reviewed and updated on a five to seven year cycle.
  - 3. Revision and Amendment: A campus <u>master</u> plan may be revised or amended from time to time. An amendment to accommodate a proposed specific capital project shall be considered and approved by the board prior to consideration of the proposed capital project.

- D. Purpose and Function; Renovations
- 1. Purpose and Function: When adopted by the board, the campus master plan governs the capital improvements plan and budget request for the campus, and approval of all proposed capital projects on the campus. The board may not grant schematic approval for a capital project request unless it implements the adopted campus master plan.
- 2. Renovations: When a capital project consists of the renovation of an existing building, structure, or facility, as part of the renovation, the exterior and immediate environs of the building, structure, or facility should be brought into conformance with the campus master plan to the extent reasonably possible.

(09-19-08)

#### P05.12.031. Capital Improvement Planning: Facilities Requirement Analysis.

In connection with its master planning process, each MAU shall develop and maintain a facilities requirement analysis for the respective campuses. The analysis will include a complete inventory of all facilities owned, leased or otherwise used by the campus, a facility condition and suitability analysis, and a facility utilization and demand analysis. The analyses should be based upon current demands and enrollment or program activity, enrollment and program projections as may be approved by the president or designee, and standards as may be approved by the chief finance officer or designee.

(09 18 03)

### P05.12.0<u>60</u>32. Capital **Improvement** Planning and Budget Request.

- A. Annually, within the capital and operating budget process, each MAU university will prepare and update a long-range6-year capital plan proposal. The MAUuniversity capital plan proposals will be consolidated into a systemwide long-range capital plan in accordance with procedures established by the system office chief finance officer and presented to the board for review and comments prior to board approval. The MAU 6 year capital plan proposals, which are developed based upon approved strategic, academic and other planning assumptions, will be consolidated into a systemwide 6-year capital plan in accordance with guidelines approved by the board and procedures established by the chief finance officer. The systemwide 6 year capital plan will be presented to regents' committees responsible for facilities and budgeting for review and comment prior to submission to the full board for approval. Once the 6 year capital plan is approved, the MAU 6-year capital plans shall consist of those projects in the sequence and with the funding sources as identified in the board-approved 6-year capital plan. Full identification of life cycleannual cost impacts shall be identified prior to a project being included in the long-range plan.
- B. The <u>Long-range 6-year</u> capital plans shall be reviewed and updated <u>annuallyeach year</u> as part of the capital <u>and operating</u> budget submission process. <u>Year one of the approved systemwide 6-year capital plan</u>, <u>exclusive of any operating leases and other property or facilities funded from current operating funds</u>, shall become the university's capital budget request for the next capital appropriation cycle.

C. Each MAU shall include as part of its budget submittal such information regarding reportable leased facilities as may be requested by the chief finance officer.

(09 18 03)

# P05.12.06149. Capital Project Development: Capital Expenditure Plan Approval.

- A. Capital appropriation acceptance, distribution, or expenditure plan approval for pooled multi-project appropriations, such as facility revitalization, Deferred Renewal, or code corrections, does not represent project approval. Projects which consist primarily of major maintenance work, including projects which reduce the backlog of deferred maintenance and renewal, will be approved by the board as an annual program of projects at the June meeting when the new fiscal year appropriation is accepted. Changes to the board-approved program must be approved by the system office chief finance officer, with notice to the board at its next meeting.
- B. Pooled appropriation distribution or capital expenditure plans shall be approved at the same authority level as capital projects based on the total of the appropriation. If a subsequent transfer of funding between projects or to a new project is requested for an approved pooled distribution or annual program of projects, the system office chief finance officer shall determine the level of approval required based on the size and nature of the transfer.

(09-18-03)

## P05.12.0620. Capital Budgets, Capital Appropriations, and Spending Authority.

- A. No spending or other commitment of state capital appropriations, grants, or the proceeds of revenue bonds or other debt financed funding for capital improvement projects will occur unless authorized in accordance with this chapter, and receipt of project budget approval in accordance with procedures established by the system office chief finance officer. Such authorizations will be specific to the project identified.
- B. Funds advanced for preliminary planning and design activities from operating, auxiliary, or restricted accounts may be reimbursed from capital appropriations effective for the fiscal year of the expenditure, from debt-financed sources in accordance with Internal Revenue Service requirements and notices of intent to reimburse, and from grant-funded sources in accordance with the terms of the respective grant. All reimbursements are subject to approval of the system office chief finance officer.
- C. No construction contract will be awarded for a capital project without the availability of sufficient funding on hand as outlined in the approved budget for the project, unless approved by the <a href="system office">system office</a> chief finance officer.

(09-18-03)

#### P05.12.07040. Capital Project Development: General.

A. Capital projects shall be developed through a series of approvals, reports, and other processes designed to provide various members of the campus, the local community, the system office administration, and the board with meaningful involvement in the planning and outcome of the projects. The approval and reporting processes are intended to identify significant decision points and changes in the projects, particularly decisions and changes

that affect the project scope, budget or schedule, early enough for the respective approval authority to participate effectively in decision making. Except for Major Maintenance Projects, pProjects with a Total Project Cost in excess of \$1.0 0.5 million exclusive of movable equipment will be developed and completed through the following approval and reporting phases and processes:

- 1. Preliminary Administrative Approval Authorization to plan a project and to develop a Project Agreement documenting the programmatic need, scope and estimated cost of the project;
- 2. Formal Project Approval Authorization to develop the basic design of the facility or project through creation of a schematic design;
- 3. Schematic Design Approval Authorization to complete the design of the facility or project, to develop construction documents, and, subject to no material changes, bid and award a contract;
- 4. Project Change Approval Authorization to modify the project budget or scope after schematic design approval;
- 5. Pre-Bid Project Report Report on the results of the final design process;
- 6. Construction Contract Award Report Report on the results of the bid process and award of a contract:
- 5. Project Completion Budget Status Report projected expenditure status for any remaining project balance not more than 90 days after substantial completion, and
- $\frac{76}{6}$ . Final Project Report Report on wrap-up of the project.
- B. <u>In addition, semi-annual Regular construction in progress reports will provide information on the status of all projects that meet reportable requirements. with a total project cost in excess of \$0.5 million exclusive of movable equipment.</u>
- C. Major Maintenance Projects
  - 1. Projects which consist primarily of major repair and renewal maintenance work, including projects which reduce the backlog of deferred maintenance deferred maintenance and renewal (Major Maintenance Projects), will be approved by the board as an annual program of projects at the June meeting when the new fiscal year appropriation is accepted. Changes to the board-approved program must be approved by the chief finance facilities officer, with notice to the board-at its next meeting.
  - 2. Major-Maintenance Projects with a Total Project Cost in excess of \$0.5 million but not greater than \$2.0\$5.0 million must be submitted for Schematic Design Approval and will require a Construction Contract Award Report.

- 3. Major Maintenance Projects with a Total Project Cost greater than \$2.0\$5.0 million are subject to all approval and reporting requirements.
- D. For all projects with a total project cost of \$0.5 million or less exclusive of movable equipment, the university's chief finance officer may prescribe additional approval and reporting processes.

(04-12-03)

### P05.12.0741. Capital Project Development: Preliminary Administrative Approval.

- A. Preliminary administrative approval represents provisional approval of a project subject to further review and analysis of the programmatic need, budget and other factors. It also represents authorization to plan the project through the facility needs analysis, programming and scoping, including development of a project agreement—or a facilities pre design statement. Preliminary administrative approval is a prerequisite for inclusion in the 6-yearlong-range capital plan unless otherwise approved by the board. Requests for preliminary administrative approval should—shall include the mission area analysis and statement of need that document the necessity for the project, a preliminary description of the project scope, the programmatic need addressed by the project, the estimated total project cost, the proposed cost and funding sources for the next phase of the project and for eventual completion of the project, the estimated operating cost impact, other cost considerations, and proposed schedule for completion.
- B. The level of approval required for preliminary administrative approval shall be based upon estimated total project costs:
  - 1. Projects <u>for new construction</u>, <u>expansion or significant remodel for reuse</u> with an estimated total project cost of more than \$2.0 million will require approval by the president;
  - 2. Projects <u>for new construction</u>, <u>expansion or significant remodel for reuse</u> with an estimated total project cost of \$2.0 million or less will require approval by the <u>system office</u> chief finance officer.
- C. Notwithstanding the provisions of B. of this section, prior to requesting preliminary administrative approval a <u>university</u>—MAU may commit up to \$50,000\\$250,000 in unrestricted funds for initial planning, conceptualization, scoping, and design, including contracted architectural, engineering and consulting services.—The chief finance officer may authorize the commitment of up to \$250,000 in unrestricted funds or available capital funds for this purpose in special circumstances. Except as may be authorized by the chief finance officer for capital projects under \$0.5 million, no employee, officer or agent may commit the university to planning or other obligations in connection with a proposed capital project that are in excess of \$250,000 or have an equivalent non-cost impact on the university without formal project approval.

(09-18-03)

#### P05.12.0742. Capital Project Development: Formal Project Approval.

- A. Formal project approval represents approval of the Project including the program justification and need, scope, the total project cost, and funding plan for the project. It also represents authorization to complete project development through the schematic design, targeting the approved scope and budget, unless otherwise designated by the approval authority.
  - For projects with an estimated total project cost in excess of \$5.0 2.5-million, formal project approval is a prerequisite for the inclusion of construction funding in the university's Year One capital budget request, unless otherwise approved by the board. Requests for formal project approval shall include a record of the Academic and Student Affairs Committee approval of the program proposal, a signed Project Agreement or facilities pre design statement, the proposed cost and funding sources for the next phase of the project and for eventual completion of the project, identification of project delivery method, recommended level of investment in public art, and a variances report identifying including any significant or material changes in scope, budget, schedule, deliverables or prescriptive criteria associated with a design build the project, a business plan which identifies: the project phase(s), remodeling requirements due to vacancies created in existing buildings, funding plan for both program and capital project costs, operating cost impact, or other cost considerations from the time the project received preliminary administrative approval.
- B. <u>If a project will include multiple phases of construction for funding or other reasons, it will describe all planned phases at this approval step. Requests submitted for Schematic Design Approval shall cover the particular phase(s) funded at the time that the request is submitted.</u>
- C. The level of approval required for formal project approval shall be based upon estimated total project costs:
  - 1. Projects with an estimated total project cost of in excess of \$5.0 4.0 million will require approval by the board based on recommendations from the regents' BoR committee responsible for facilities;
  - 2. Projects with an estimated total project cost in excess of \$2.0 million but not more than \$5.0 4.0 million will require approval by the regents' BoR committee responsible for facilities. The committee may delegate approval authority to the committee chairchair of committee as desired as it determines is convenient and appropriate;
  - 3. Projects with an estimated total project cost in excess of \$1.0 million but not more than \$2.0 million will require approval by the chair of the regents' committee responsible for facilities;
  - 3.4. Projects with an estimated total project cost of \$2.0 1.0 million or less will require approval by the system office chief finance officer.

(09-18-03)

P05.12.0743. Capital Project Development: Schematic Design Approval.

- A. Schematic design approval represents approval of the location of the facility; its relationship to other facilities; the functional relationship of interior areas; the basic design including construction materials, mechanical, electrical, technology infrastructure and telecommunications systems; and any other changes to the project since formal project approval. The board will not grant schematic design approval for a capital project unless it implements or amends the adopted campus master plan.
- B. Unless otherwise designated by the approval authority or a material change in the project is subsequently identified, Schematic Design Approval also represents approval of the proposed cost of the <u>funded next phase(s)</u> of the project and authorization to complete the design development process, to bid and award a contract within the approved budget, and to proceed to completion of project construction. <u>Provided however, iIf</u> a material change in the project is subsequently identified, such change will be subject to the approval process described in P05.12.074.
- C. Requests for schematic design approval should shall include a narrative description of the project, a project budget, identification of the funding plan for construction and operations costs, and statements affirming compliance with this policy, campus master plan and applicable the Project Agreements or facilities pre-design statements and applicable design guidelines; drawings and cost estimates in sufficient detail to enable the approval authority to review site development, functional relationship of the interior areas, exterior design of the facility, principle building systems and materials used for construction, energy management, expected space utilization, and design efficiency rate.
  - 1. site plans, showing the relationship of the facility to the site, to other facilities, and to the campus as a whole;
  - 2. the exterior design of the facility;
  - 3. the principal materials to be used in the construction of the facility;
  - 4. the functional relationship of interior areas;
  - 5. the types of mechanical, electrical, and telecommunication systems to be installed;
  - 6. conformance with applicable life, health and safety codes and standards;
  - 7. the estimated cost of major components of the project;
  - 8. the design efficiency based upon approved standards;
  - 9. the conformance with approved space and design standards;
  - 10. proposed funding plan for completion of the design and for completion of the project; and
  - 11. relevant life cycle costing and energy management information.

- D. The request for schematic design approval shall also include a variance report identify ing any significant or material changes in scope, cost, schedule, funding plan, operating cost impact, or other cost considerations from the time the project received formal project approval.
- E. Schematic design approval levels shall be as follows:
  - 1. Projects with an estimated total project cost-of in excess of \$5.0 4.0-million will require approval by the board based on recommendations from the regents' BoR committee responsible for facilities;
  - 2. Projects with an estimated total project cost of more than \$2.0 million but not more than \$5.0 4.0 million will require approval by the regents' BoR committee responsible for facilities. The committee may delegate approval authority to the committee chair as it determines is convenient and appropriate;
  - 3. Projects with an estimated total project cost of more than \$1.0 million but not more than \$2.0 million will require approval by the chair of the regents' committee responsible for facilities;
  - <u>34.</u> Projects with an estimated total project cost of \$2.01.0 million or less will require approval by the <u>system officeuniversity's</u> chief finance officer.

(06-07-12)

# P05.12.0474. Capital Project Development: Approval Levels for Project Changes in Funding Sources, Total Project Cost, or Scope Subsequent to Schematic Design Approvals

Approval of project change(s) is required for projects which exceed the authority level delegated to the universities or cause a project to exceed that level. Approval levels required for material changes in the source of funds, increases in budget, or material changes in project scope identified subsequent to schematic design approval shall be determined by the system office chief finance facilities officer based on the extent of the change and other relevant circumstances. This determination requires judgment, but will generally be based on the nature of the funding source, the amount, and the budgetary or equivalent scope impact relative to the approved budget at the schematic design approval stage, and assigned as follows:

- A. Changes with an estimated <u>or actual project budget</u> impact in excess of <u>the lesser of 1) 25%</u> of the total project cost or 2) \$2.5 \$1.0 million will require approval by the board based on recommendations from the regents' <u>BoR</u> committee responsible for facilities;
- B. Changes with an estimated impact in excess of \$0.4 million but not more than \$1.0 million will require approval by the regents' committee responsible for facilities;
- B. Changes to projects with a total project cost greater than \$0.5 million that do not require approval by the BoR committee responsible for facilities will require approval by the system office chief finance officer.

15

(06-07-12)

05.12

#### P05.12.044. Capital Project Development: Pre-Bid Project Report or Approval.

Upon completion of the design, the MAU's chief facilities administrator shall prepare, in accordance with procedures established by the chief finance officer, a pre-bid project report, including a description of the product delivery method, rational for any bid alternates, and a variance report identifying any significant changes in scope, budget, schedule, deliverables or prescriptive criteria associated with a design build project, funding plan, operating cost impact, and other cost considerations, from the time the project received schematic design approval. Any change that has the potential to be considered a material change in the project shall be brought to the attention of the chief finance officer as soon as practicable for appropriate authorization to proceed. Such reports shall be maintained in a manner so as to allow incorporation into the semi-annual construction in progress report.

-(09-18-03)

#### P05.12.045. Capital Project Development: Award and Project Reports.

- A. Upon award of a contract, the MAU's chief facilities administrator shall prepare, in accordance with procedures established by the chief finance officer, a construction contract award report on the status of the contract award and a variance report identifying any significant changes in scope, cost, schedule, funding plan, operating cost impact, or other cost considerations since issuance of the pre-bid project report. Such reports shall be maintained in a manner so as to allow incorporation into the semi-annual construction in progress report.
- B. Upon determination that a project is substantially complete, that the project has been abandoned, discontinued or shelved with no further action anticipated for a considerable time, or consolidated with another project or projects, the MAU's chief facilities administrator shall prepare, in accordance with procedures established by the chief finance officer, a final project report.
- C. The final project report must include a variance report identifying any significant changes in scope, budget,, schedule, funding plan, operating cost impact, or other cost considerations since issuance of the construction contract award report, and an explanation of any significant circumstances surrounding project completion or its discontinuance. Such reports shall be maintained in a manner so as to allow incorporation into the semi-annual construction in progress report.

(09-18-03)

#### P05.12.07546. Capital Project Development: Construction in Progress Reporting.

A. On a semi-annualregular basis each MAUthe chief facilities administrator for each university shall prepare, in accordance with procedures established by the system office chief finance facilities officer, a status report for all projects with an estimated total project cost in excess of \$500,000\\$250,000 for community campuses and \$1.0 million for main campuses, or those projects that the system office chief facilities officer deems due to their location or complexity to be of particular interest to the board including both ongoing

projects and those projects that were completed, abandoned or discontinued during the period.

The pre-bid project reports, construction contract award reports and final project report for the applicable period shall be included as appendices to the semi-annual construction in progress report

B. At each regular meeting of the regents' BoR committee responsible for facilities, the system office chief finance facilities officer shall report on construction in progress, providing a status report on all projects that required formal project approval at the regents' BoR committee responsible for facilities or higher level, and such other projects that due to their location or complexity are deemed by the system office chief finance officer to be of particular importance to the board.

(09-18-03)

#### P05.12.076. Capital Project Development: Post-Occupancy and Final Project Reports.

- A. The post-occupancy report shall be filed not more than 90 days after beginning occupancy of any board-approved projects of more than \$5.0 million, including new construction, expansion and significant remodel for reuse. The post-occupancy report shall identify project funding balances and expected priorities for spending any remaining balances, significant changes in scope, budget, schedule, funding plan, operating cost impact, anticipated expenditures and project balance through project closeout, or other cost considerations since issuance of the construction contract award report, and an explanation of any significant circumstances surrounding project completion, including lessons learned. Such reports shall be maintained in a manner so as to allow incorporation into the regular construction in progress report.
- B. The final project report must include shall be filed within 90 days after the end of the warranty period for all board-approved projects of more than \$5.0 million, including new construction, expansion and significant remodel for reuse. The final project report will update the post-occupancy report including a variance report identifying any significant changes in scope, budget, schedule, funding plan, operating cost impact, or other cost considerations since issuance of the construction contract award report, and an explanation of any significant circumstances surrounding project completion or its discontinuance. Such reports shall be maintained in a manner so as to allow incorporation into the regular construction in progress report.
- C. Upon determination that a project is substantially complete, that the project has been abandoned, discontinued or shelved with no further action anticipated for a considerable time, or consolidated with another project or projects, the MAU's responsible chief facilities administrator shall prepare in accordance with procedures established by the chief finance officer, a final project report and closeout the project.

(09-18-03)

P05.12.07748 Capital Project Development: Approval Levels for Projects That Have Not Been Subject to the Defined Planning and Approval Process.

- A. For projects that have not been subject to the normal planning, budget, and approval processes described in this chapter, as determined by the chief finance officer, the level of approval required for formal project approval shall be as follows:
  - 1. Projects with an estimated total project cost of in excess of \$5.0 2.0 million will require approval by the board based on recommendations from the regents' BoR committee responsible for facilities.
  - 2. Projects with an estimated total project cost in excess of \$2.0 1.0 million but not more than \$5.0 2.0 million will require approval by the regents' BoR committee responsible for facilities. The committee may delegate approval authority to the committee chair as it determines is convenient and appropriate.
  - 3. Projects with an estimated total project cost in excess of \$0.75 million but not more than \$1.0 million will require approval by the chair of the regents' committee responsible for facilities.
  - 3.4. Projects with an estimated total project cost of  $$2.0 \, 0.75$ -million or less will require approval by the chief finance officer.
- B. If multiple projects are bundled in order to solicit lower prices or for efficiency or other purposes and the aggregate cost exceeds the normal approval level, the <a href="system office">system office</a> chief finance officer shall determine the level of approval required based on the funding sources and the size and nature of the projects. The chief finance officer shall determine the level and timing for each approval required when a single appropriation is split into multiple projects or phases.
- C. The system office chief finance officer approval will be required if a single appropriation is split into funding for multiple projects.

  (09-18-03)

#### P05.12.0870. Operations and Maintenance.

- A. The annual need for maintenance and repair shall be fully funded in the annual operating budget of the university unless otherwise directed or approved by the board. In addition, the funding for facility renewal and replacement as well as elimination of accumulated deferred renewal will be included in the capital budget request and long range capital plan.continues to be a university priority. At a minimum, an amount equal to the annual M&R need shall be expended on routine maintenance and repair, major repairs, R&R, whether current or deferred, alterations, remodeling, and mandated improvements., and capital planning. Unless the scope of remodeling to accommodate tenant occupancy is minor, it may not be included when reporting on M&R annual stewardship expenditures and should be reported as expenses for alterations or capital improvements for asset reinvestment.
- B. An annual maintenance plan shall be developed by the chief facilities administrators of the respective universities and provided to the system office chief finance officer.

- <u>C.B.</u> On an annual basis, the <u>system office</u> chief finance officer will <u>cause to be compiled a</u> report <u>identifying</u> for each <u>university and the system office MAU</u> the:
  - 1. prior fiscal year actual operating and capital expenditures for M&R and R&R support;
  - 2. current fiscal year's budgeted operating and capital commitment for M&R and R&R;
  - 3. current annual calculated need for M&R and R&R;
  - 4. current estimate of accumulated deferred renewal; and
  - 5. the status of ongoing deferred renewal projects.
- DC. The annual R&R funding will be determined based on type of use and occupancy of a facility. For facilities that are used for general university occupancy or operations, annual expenditures for R&R will be based upon the level of need and the level of resources available for such projects as determined through the budget process. For facilities that are leased to, or substantially utilized by, university auxiliaries or non-university entities, annual R&R requirements will be fully funded in the operating or capital budget unless otherwise approved by the system office chief finance officer.

  (09-18-03)

# P05.12.0280. Naming of Campus Facilities: Formal Naming of Campus Facilities and Infrastructure.

- A. Official naming of all "significant" buildings, building subcomponents such as wings, additions, auditoriums, and libraries, streets, parks, recreational areas, plazas and similar facilities or sites will be approved by the board. These facilities, improvements and areas will generally be named to honor or memorialize specific individuals, groups, events, places, or objects of historic, geographic, cultural, or local significance, including the following:
  - 1. Former members of the board and the University of Alaska Foundation's Board of Trustees;
  - 2. Distinguished former university presidents, chancellors, faculty, staff, and alumni of the university;
  - 3. Distinguished Alaskans and others who have made outstanding contributions to society, the nation, the state, or the university;
  - 4. Contributors of substantial financial or other support to the university, including donations provided for under P05.14.080; and
  - 5. Alaska rivers, mountains, flora, fauna, cities, or communities.

- B. Each chancellor shall establish standing or ad hoc advisory committees to make recommendations on the naming of facilities, improvements and other areas of the campus, and to help identify naming opportunities for gifting and development purposes. Recommendations for a naming to honor or memorialize an individual shall be confidential to the maximum extent permitted by law.
- C. Unless otherwise directed by motion of the board, the name of an existing facility, improvement or area, which was named in honor of or to memorialize a specific individual, group, event, place, or an object of historic, geographic, cultural, or local significance, will remain for the life of the facility or improvement. Unless specifically authorized by the board, the name of a facility to be demolished will not be transferred to a new facility.
- D. The president is authorized to determine which namings will be considered "significant" for purposes of approval by the board. In making that determination, the president shall consider the type, location, usage, condition, and value of the facility or area to be named; the individual, event or other to be memorialized; and the compatibility of the name with the facility or other improvement.
- E. The board reserves the right to rename any facility when, in its sole discretion, it determines that the renaming is in the best interest of the university.

(06-10-04)

# P05.12.091. Functional, Descriptive, or Directional Naming of Facilities and Infrastructure Improvements.

Functional, descriptive, and directional naming of facilities, improvements, or areas shall be approved in accordance with MAU-university rules and procedures.

(06-10-04)

#### P05.12.092. Contractual Opportunities for Naming Facilities and Improvements.

Commercial contracts to name university facilities shall be in accordance with P05.14.080 and R05.14.080 approved by the board. Criteria for approval shall include compatibility of the contracting party's image and advertising with that of the university.

(06-10-04)

#### P05.12.100. Public Use of Facilities.

Facilities of the university will be open to the public for educational, recreational, cultural activities, and other use in accordance with use priorities and other requirements as may be set forth in university regulation and campus procedures.

(06-20-97)

### P05.12.101. Campus Solicitation.

All canvassing, peddling, or solicitation on university grounds or in university buildings will be subject to university regulation and campus procedures as to time, manner, and place.

(06-20-97)

#### P05.12.102. Smoking in University of Alaska Buildings.

Smoking will be prohibited in all nonresidential university facilities open to the public and all public areas of all residential university facilities.

(06-20-97)

#### P05.12.103. Alcoholic Beverages on Campus.

Persons who have reached the statutory drinking age are permitted to possess and consume alcoholic beverages on university campuses as provided by applicable university regulation, campus procedures, and all applicable laws and regulations.

(06-20-97)

#### P05.12.104. Marijuana and Other Illegal Substances.

Possession or use of marijuana or any other substance controlled pursuant to 21 U.S.C. 841 et seq. anywhere on university property is prohibited. Violations of this policy will result in disciplinary action, as provided by applicable university regulation, campus procedures, and all applicable laws and regulations.

(06-20-97)

#### P05.12.110. Art in University Facilities and Spaces.

- A. The university supports the Alaska State Council for the Arts and its public art program and has adopted the following goals for art in university facilities and other spaces:
  - 1. to be an educational resource for art to university students, employees, and visitors;
  - 2. to link, through imagery and symbolism, the art with the activities of a campus and its facilities;
  - 3. to foster Alaskan art and encourage Alaska's artists and craftsmen;
  - 4. to include representatives of the community, the campus, and occupants of the facility in the selection of art to be displayed; and
- B. 5. Each capital project for new construction, expansion or significant remodel for reuse shall to include separately identifiable amounts in the project budget with a target of between one-half and one percent of the construction budget, in accordance with P05.12.010, in all budgets for capital renewal and new capital construction regardless of funding source.
- <u>CB</u>. The selection of artwork purchased with capital appropriations <u>shall be by a committee</u> appointed by the university Chancellor where the capital project is located. Each selection <u>committee</u> will be governed by university regulations and have autonomy in the selection. and <u>tT</u>he acceptance of donations of major works of art will be governed by university regulation. <u>Selections or acceptances of works of art valued at more than \$100,000 will</u>

be referred to the board for comment before final approval by the appropriate chancellor and the president.

(06-20-97)

D. Each university chancellor will make provisions for inventory management and maintenance of their public art collection.

#### **REGENTS' POLICY**

#### PART V – FINANCE AND BUSINESS MANAGEMENT Chapter 05.12 - Capital Planning and Facilities Management

#### P05.12.010. Purpose.

- A. The purpose of this policy is to promote excellent stewardship for buildings, infrastructure and other facilities at each of the University of Alaska campuses: to set forth an expectation for achieving sustained funding that assures preservation of the investment in facilities and extends building life and usefulness; and to establish planning processes that provide accurate data for decision making and effective management.
- B. A comprehensive program of facilities planning, capital budgeting and project development, and facilities operations and maintenance is essential for the university to effectively serve students, faculty, and staff. Facilities planning, design, construction, management, and operation functions shall be systematically performed in accordance with regents' policy, university regulation, and guidelines as may be authorized by the chief finance officer.
- C. The UA strategic and academic guidance as well as the academic and programmatic needs of each campus will drive the respective facilities functions and the board has adopted the Program Resource Planning Process to guide that effort; each of the respective universities will take ownership of and implement those facility functions and capital planning; and the system office will be responsible for development of policies, procedures, and processes for coordination of systemwide studies, due-diligence reviews and oversight on capital projects including a review of projects prior to advancing a project through the various stages of project development and approval.
- D. Before being presented to the board or other authority for approval, capital planning and large capital improvement projects shall be presented to the system office chief facilities officer for review and processing.
- E. Capital projects for the creation, renovation, renewal, remodeling and adaptation of buildings, outdoor spaces, other support spaces, or supporting infrastructure shall be developed and justified through a planning process that considers total cost of ownership and program delivery, and assures cost effective and practical solutions in support of program delivery. Projects shall be professionally designed and managed; optimal utilization of existing space emphasized; and existing facilities maintained in a manner that is cost effective, extends their useful lives, and is consistent with campus objectives as may be approved or modified by the respective university.
- F. To help implement and maintain a comprehensive capital planning, budgeting and project development program, each university shall include a capital-planning surcharge within its capital project administrative overhead rate in accordance with procedures to be established by the system office chief finance officer. The system office may also assess a fee or fees to the respective projects to fund central planning and oversight activities relative to capital assets. The system office chief finance officer may coordinate the timing of assessment and payment of such fees; may authorize funding of such fee or fees with unrestricted funds

or inclusion within a capital-planning surcharge rate; and may periodically review the fees charged to capital projects and approve the applicable planning surcharge rates.

(09-18-03)

#### **P05.12.020.** Definitions.

#### In this chapter

- A. "alterations and improvements" means construction improvements that are generally performed in response to tenant or occupant requests for modification of space; these projects may be performed in conjunction with other types of activities, but costs must be identified and apportioned separately from, M&R, DM&R or R&R project activities.
- B. "campus master plan" means a comprehensive planning document, separate from but, in support of the institution and campus academic, strategic and capital plans, that is premised on existing physical resources and current and anticipated needs, and is developed through a collaborative or consultative process including the community, faculty, students and others;
- C. "capital project" means a project with a total project cost in excess of \$50,000, excluding movable equipment, that creates an asset with a useful life in excess of one year, extends the useful life of an existing asset, or corrects a significant backlog of code correction, handicapped barrier removal, or life/plant protection projects; these projects may be funded through capital appropriations, operating budgets, gifts, grants or bond proceeds;
- D. "deferred maintenance and renewal" means the deficiencies from the cumulative effect of major repair, renewal and replacement, and renovation projects that have not been carried out; special consideration should be given to identification and completion of projects that will result in further deterioration of a facility if not completed; deferred maintenance and renewal excludes new construction unless specifically authorized;
- E. "long-range capital plan" means a comprehensive listing of all planned capital asset investments consistent with the campus master plan, for a set period of not less than six years, regardless of funding source, and with an estimated cost of \$500,000 or more, including fixed equipment and technology improvements;
- F "maintenance and repair" or "M&R" means recurrent day-to-day work required to preserve or immediately restore a facility or fixed equipment to such a condition that it can effectively be used for its designated purpose; maintenance and repair may take the form of routine or preventive activities, emergency work, or service contracts; maintenance and repair are those costs that may not be capitalized; and maintenance and repair excludes alterations and improvements, and new construction;
- G. "material change" means a change in a project that, in the judgment of the system office chief facilities officer, might reasonably cause the respective approval authority to revise or limit its prior approval; this determination requires judgment regarding financial, scope, schedule and other changes; for a financial and scope change, a material change is generally deemed to be equivalent to an impact in excess of the lesser of \$2.5 million or 25 percent

of the previously approved budget or scope; for schedule change, it is a change in project delivery that delays occupancy for a period that will have a major adverse effect on the institution.

- H. "mission area analysis" means a quantitative and qualitative analysis of a proposed academic, research, student support or administrative mission; the analysis examines creation, expansion or substantive change, and demonstrates alignment with system and university strategic outcome statements and academic plans. The mission area analysis is a component of the Program Resource Planning Process;
- I. "new construction" means the erection of a new facility or the addition or expansion of an existing facility or internal build-out of unfinished space that adds to the building's usable space; new construction may include support facilities for the buildings including outside utilities, parking, roads, walks, landscaping, and signage;
- J. "operating cost" means the annual cost of facility ownership, including operation and maintenance and the estimated annual renewal and replacement requirement; when calculating this cost for new construction the estimated M&R requirement shall be calculated for year seven of the facility's useful life;
- K. "other cost considerations" means the consequential or other costs associated with the project and related program delivery, including costs that may be funded from operating or other sources: such as faculty and staff, renovation of vacated space and related relocation costs, temporary relocations and surge space, move-in, and the incremental cost of new or expanded programs and services, and unfunded project costs or elements, such as lack of parking or unpaved parking, landscaping, unfinished interiors, furnishings, equipment, and works of art; costs that are generally includable as total project costs, but are excluded for any reason, shall be included with other cost considerations;
- L. "program resource planning process" means a process that demonstrates the integration of institution academic, research and student support programs, with budgeting and facilities planning and project development activities. Components of this process include the Mission Area Analysis, Statement of Need, and Statement of Requirements;
- "project agreement" means a formal agreement between the affected program department(s), the respective university's chief facilities administrator, chief academic officer, chief financial officer, and chancellor, and the system office chief facilities officer documenting a common understanding of the programmatic need, project scope, and other matters related to the project; and includes amendments for any consequential changes to scope, schedule or budget throughout the project development and delivery process; an abbreviated project agreement may be used as set forth by the system office chief facilities officer.
- N. "project budget" means the assignable direct and indirect costs attributable to a project including professional services, construction, equipment and furnishings, and administrative costs, including fees paid to the system office for central planning and oversight activities that when added together equal the "total project cost;"

- O. "renewal and replacement" or "R&R" means the systematic repairs and replacements that extend the life and retain the usable condition of a facility, component or system; the modification of a facility so as to reduce or eliminate functional obsolescence can be completed under this category;
- P. "reportable leased facilities" means a lease of real property with an all-inclusive annual rental impact, including rent, utilities, related services, and leasehold improvements, referred to as "total lease related payments", that is anticipated to exceed \$500,000 per year, or with total lease related payments that exceed \$2.5 million over the full term of the lease including all renewal options that are defined in the lease as stated in AS 36.30.080 (c):
- Q. "significant change" means a change in the scope, program, schedule or budget for a project or a change or variance from a campus master plan, that in the judgment of the respective university's chief facilities administrator requires disclosure to the system office chief facilities officer;
- R. "statement of need" means a concise summary of the compelling facts derived from the mission area analysis document; is submitted to Statewide Academic Council as appropriate and to the Academic and Student Affairs Committee of the BoR as required. The statement of need is a component of the Program Resource Planning Process;
- S. "statement of requirements" means the detailed solution set, including options, that can satisfy the Statement of Need; includes identification of program personnel requirements; facility needs; furnishings, fixtures and equipment (FF&E) requirements; operations and maintenance (O&M) costs; and second order effects, such as planning for impacts to other space affected by a given project, personnel consolidation, opportunity gained or lost; is the document that identifies all the potential impacts and potential costs associated with a mission creation, expansion or change; is submitted to the board for review and acceptance. The statement of requirements is a component of the Program Resource Planning Process;
- T. "strategic planning" means a process that the university system engages in to guide a change in direction clearly expressing desired outcomes and establishing reporting metrics;
- U. "total project cost" means the assignable direct and indirect costs attributable to a project including professional services, construction, equipment and furnishings, and administrative costs, including fees paid for central planning and oversight activities;
- V. "variance" means significant changes, as determined by the respective university's chief facilities administrator, in a project program, scope, budget, deliverables associated with a design-build project, schedule, funding plan, operating cost impact or other cost considerations from that which was reported at the previous approval or reporting phase of the project.

(09-19-08)

#### P05.12.030. Delegation of Authority

Designated approval authority under this policy may be delegated. The system office chief finance officer and the system office chief facilities officer, in the officers' sole judgment, will prudently

delegate the authority vested with him or her by this policy to other finance officers and facilities administrators as may be necessary for effective and efficient administration and operations and maintenance of campus facilities.

#### P05.12.040. Program Resource Planning Process

#### A. Intent

The administration will integrate institution academic, research and student support programs, with the budgeting and facilities planning and project development activities. Components of this planning process include the Mission Area Analysis, Statement of Need, and Statement of Requirements. This process will ensure that the university mission drives the capital planning and project development processes.

#### B. Development

University administrators and academic leadership shall work together to develop the documents needed for the board, system office, and other approvals as may be necessary to create, expand or change academic, research and student support activities.

#### P05.12.050. Campus Master Plans

#### A. Intent and Purpose

The administration will develop and present to the board for adoption, a campus master plan for each campus. The purpose of a campus master plan is to provide an integrated framework for investment and implementation of the respective system and university campus academic, strategic and capital plans.

#### B. Function

When adopted by the board, the campus master plan governs the capital improvements plan and budget request for the campus.

#### C. Contents

A campus master plan will contain, at minimum, maps, plans, drawings or renderings, and text sufficient to portray and describe the intent of the campus to provide adequate facilities and infrastructure in support of the respective campus's mission. Projections will be developed for 10 years and other relevant intervals referencing and consistent with system office and other relevant campus plans such as those for housing (as required in P05.15.040), sustainability, energy, signage, utilities, residential life and others. Issues to be considered include enrollment, retention and completion rates and projections, space utilization measures, space types and deficits or overages, program and other needs to support degree completion and at a minimum the plan should include identification of short and long term investment priorities.

#### D. Development Process; Review and Update; Revision and Amendment

- 1. Development Process: The administration will implement a process for development of the campus master plan that allows for participation by the local government and members of the university community, including faculty, staff, and students.
- 2. Review and Update: A campus master plan will be reviewed and updated on a five to seven year cycle.
- 3. Revision and Amendment: A campus master plan may be revised or amended from time to time. An amendment to accommodate a proposed specific capital project shall be considered and approved by the board prior to consideration of the proposed capital project.

(09-19-08)

#### P05.12.060. Capital Planning and Budget Request.

- A. Annually, within the capital budget process, each university will prepare and update a long-range capital plan proposal. The university capital plan proposals will be consolidated into a systemwide long-range capital plan in accordance with procedures established by the system office chief finance officer and presented to the board for review and comments prior to board approval. Full identification of annual cost impacts shall be identified prior to a project being included in the long-range plan.
- B. The Long-range capital plans shall be reviewed and updated annually as part of the capital and operating budget submission process.

#### P05.12.061. Capital Expenditure Plan Approval.

- A. Projects which consist primarily of major maintenance work, including projects which reduce the backlog of deferred maintenance and renewal, will be approved by the board as an annual program of projects at the June meeting when the new fiscal year appropriation is accepted. Changes to the board-approved program must be approved by the system office chief finance officer, with notice to the board at its next meeting.
- B. If a subsequent transfer of funding between projects or to a new project is requested for an approved pooled distribution or annual program of projects, the system office chief finance officer shall determine the level of approval required based on the size and nature of the transfer.

(09-18-03)

#### P05.12.062. Capital Budgets, Capital Appropriations, and Spending Authority.

A. No spending or other commitment of state capital appropriations, grants, or the proceeds of revenue bonds or other debt financed funding for capital improvement projects will occur unless authorized in accordance with this chapter, and procedures established by the system office chief finance officer. Such authorizations will be specific to the project identified.

- B. Funds advanced for preliminary planning and design activities from operating, auxiliary, or restricted accounts may be reimbursed from capital appropriations effective for the fiscal year of the expenditure, from debt-financed sources in accordance with Internal Revenue Service requirements and notices of intent to reimburse, and from grant-funded sources in accordance with the terms of the respective grant. All reimbursements are subject to approval of the system office chief finance officer.
- C. No construction contract will be awarded for a capital project without the availability of sufficient funding on hand as outlined in the approved budget for the project, unless approved by the system office chief finance officer.

(09-18-03)

#### P05.12.070. Capital Project Development: General.

- A. Capital projects shall be developed through a series of approvals, reports, and other processes designed to provide various members of the campus, the local community, the system office administration, and the board with meaningful involvement in the planning and outcome of the projects. The approval and reporting processes are intended to identify significant decision points and changes in the projects, particularly decisions and changes that affect the project scope, budget or schedule, early enough for the respective approval authority to participate effectively in decision making. Projects with a Total Project Cost in excess of \$1.0 million exclusive of movable equipment will be developed and completed through the following approval and reporting phases and processes:
  - 1. Preliminary Administrative Approval Authorization to plan a project and to develop a Project Agreement documenting the programmatic need, scope and estimated cost of the project;
  - 2. Formal Project Approval Authorization to develop the basic design of the facility or project through creation of a schematic design;
  - 3. Schematic Design Approval Authorization to complete the design of the facility or project, to develop construction documents, and, subject to no material changes, bid and award a contract;
  - 4. Project Change Approval Authorization to modify the project budget or scope after schematic design approval;
  - 5. Project Completion Budget Status Report projected expenditure status for any remaining project balance not more than 90 days after substantial completion, and
  - 6. Final Project Report Report on wrap-up of the project.
- B. Regular construction in progress reports will provide information on the status of all projects that meet reportable requirements..
- C. Maintenance Projects

- 1. Projects which consist primarily of repair and renewal maintenance work, including projects which reduce the backlog of deferred maintenance and renewal, will be approved by the board as an annual program of projects at the June meeting when the new fiscal year appropriation is accepted. Changes to the board-approved program must be approved by the chief facilities officer, with notice to the board.
- 2. Maintenance Projects with a Total Project Cost in excess of \$0.5 million but not greater than \$5.0 million must be submitted for Schematic Design Approval.
- 3. Maintenance Projects with a Total Project Cost greater than \$5.0 million are subject to all approval and reporting requirements.

(04-12-03)

#### P05.12.071. Capital Project Development: Preliminary Administrative Approval.

- A. Preliminary administrative approval represents provisional approval of a project subject to further review and analysis of the programmatic need, budget and other factors. It also represents authorization to plan the project through the facility needs analysis, programming and scoping, including development of a project agreement. Preliminary administrative approval is a prerequisite for inclusion in the long-range capital plan unless otherwise approved by the board. Requests for preliminary administrative approval shall include the mission area analysis and statement of need that document the necessity for the project, a preliminary description of the project scope, the estimated total project cost, the proposed cost and funding sources for the next phase of the project and for eventual completion of the project, the estimated operating cost impact, other cost considerations, and proposed schedule for completion.
- B. The level of approval required for preliminary administrative approval shall be based upon estimated total project costs:
  - 1. Projects for new construction, expansion or significant remodel for reuse with an estimated total project cost of more than \$2.0 million will require approval by the president;
  - 2. Projects for new construction, expansion or significant remodel for reuse with an estimated total project cost of \$2.0 million or less will require approval by the system office chief finance officer.
- C. Notwithstanding the provisions of B. of this section, prior to requesting preliminary administrative approval a university may commit up to \$250,000 in unrestricted funds for initial planning, conceptualization, scoping, and design, including contracted architectural, engineering and consulting services.

(09-18-03)

#### P05.12.072. Capital Project Development: Formal Project Approval.

A. Formal project approval represents approval of the Project including the program justification and need, scope, the total project cost, and funding plan for the project. It also represents authorization to complete project development through the schematic design, targeting the approved scope and budget, unless otherwise designated by the approval authority.

For projects with an estimated total project cost in excess of \$5.0 million, formal project approval is a prerequisite for the inclusion of construction funding in the university's Year One capital budget request, unless otherwise approved by the board. Requests for formal project approval shall include a record of the Academic and Student Affairs Committee approval of the program proposal, a signed Project Agreement, the proposed cost and funding sources for the next phase of the project and for eventual completion of the project, identification of project delivery method, recommended level of investment in public art, and variances including any significant or material changes in scope, budget, schedule, deliverables or prescriptive criteria associated with the project, a business plan which identifies: the project phase(s), remodeling requirements due to vacancies created in existing buildings, funding plan for both program and capital project costs, operating cost impact, or other cost considerations from the time the project received preliminary administrative approval.

- B. If a project will include multiple phases of construction for funding or other reasons, it will describe all planned phases at this approval step. Requests submitted for Schematic Design Approval shall cover the particular phase(s) funded at the time that the request is submitted.
- C. The level of approval required for formal project approval shall be based upon estimated total project costs:
  - 1. Projects with an estimated total project cost in excess of \$5.0 million will require approval by the board based on recommendations from the BoR committee responsible for facilities;
  - 2. Projects with an estimated total project cost in excess of \$2.0 million but not more than \$5.0 million will require approval by the BoR committee responsible for facilities. The committee may delegate approval authority to the committee chair as it determines is convenient and appropriate;
  - 3. Projects with an estimated total project cost of \$2.0 million or less will require approval by the system office chief finance officer.

(09-18-03)

#### P05.12.073. Capital Project Development: Schematic Design Approval.

A. Schematic design approval represents approval of the location of the facility; its relationship to other facilities; the functional relationship of interior areas; the basic design including construction materials, mechanical, electrical, technology infrastructure and telecommunications systems; and any changes to the project since formal project approval. The board will not grant schematic design approval for a capital project unless it implements or amends the adopted campus master plan.

- B. Unless otherwise designated by the approval authority or a material change in the project is subsequently identified, Schematic Design Approval also represents approval of the proposed cost of the funded phase(s) of the project and authorization to complete the design development process, to bid and award a contract within the approved budget, and to proceed to completion of project construction. If a material change in the project is subsequently identified, such change will be subject to the approval process described in P05.12.074.
- C. Requests for schematic design approval shall include a narrative description of the project, a project budget, identification of the funding plan for construction and operations costs, statements affirming compliance with this policy, campus master plan and the Project Agreement and applicable design guidelines; drawings and cost estimates in sufficient detail to enable the approval authority to review site development, functional relationship of the interior areas, exterior design of the facility, principle building systems and materials used for construction, energy management, expected space utilization, and design efficiency rate.
- D. The request for schematic design approval shall identify any significant or material changes in scope, cost, schedule, funding plan, operating cost impact, or other cost considerations from the time the project received formal project approval.
- E. Schematic design approval levels shall be as follows:
  - 1. Projects with an estimated total project cost in excess of \$5.0 million will require approval by the board based on recommendations from the BoR committee responsible for facilities;
  - 2. Projects with an estimated total project cost of more than \$2.0 million but not more than \$5.0 million will require approval by the BoR committee responsible for facilities. The committee may delegate approval authority to the committee chair as it determines is convenient and appropriate;
  - 3. Projects with an estimated total project cost of \$2.0 million or less will require approval by the system office chief finance officer.

(06-07-12)

### P05.12.074. Capital Project Development: Approval Levels for Project Changes in Funding Sources, Total Project Cost, or Scope Subsequent to Schematic Design Approvals

Approval of project change(s) is required for projects which exceed the authority level delegated to the universities or cause a project to exceed that level. Approval levels required for material changes in the source of funds, increases in budget, or material changes in project scope identified subsequent to schematic design approval shall be determined by the system office chief facilities officer based on the extent of the change and other relevant circumstances. This determination will generally be based on the nature of the funding source, the amount, and the budgetary or

equivalent scope impact relative to the approved budget at the schematic design approval stage, and assigned as follows:

- A. Changes with an estimated or actual project budget impact in excess of the lesser of 1) 25% of the total project cost or 2) \$2.5 million will require approval by the BoR committee responsible for facilities;
- B. Changes to projects with a total project cost greater than \$0.5 million that do not require approval by the BoR committee responsible for facilities will require approval by the system office chief finance officer.

(06-07-12)

#### P05.12.075. Capital Project Development: Construction in Progress Reporting.

- A. On a regular basis the chief facilities administrator for each university shall prepare, in accordance with procedures established by the system office chief facilities officer, a status report for all projects with an estimated total project cost in excess of \$250,000 for community campuses and \$1.0 million for main campuses, or those projects that the system office chief facilities officer deems due to their location or complexity to be of particular interest to the board including both ongoing projects and those projects that were completed, abandoned or discontinued during the period.
- B. At each regular meeting of the BoR committee responsible for facilities, the system office chief facilities officer shall report on construction in progress, providing a status report on all projects that required formal project approval at the BoR committee responsible for facilities or higher level, and such other projects that are deemed by the system office chief finance officer to be of particular importance to the board.

(09-18-03)

#### P05.12.076. Capital Project Development: Post-Occupancy and Final Project Reports.

- A. The post-occupancy report shall be filed not more than 90 days after beginning occupancy of any board-approved projects of more than \$5.0 million, including new construction, expansion and significant remodel for reuse. The post-occupancy report shall identify project funding balances and expected priorities for spending any remaining balances, significant changes in scope, budget, schedule, funding plan, operating cost impact, anticipated expenditures and project balance through project closeout, or other cost considerations since issuance of the construction contract award report, and an explanation of any significant circumstances surrounding project completion, including lessons learned. Such reports shall be maintained in a manner so as to allow incorporation into the regular construction in progress report.
- B. The final project report shall be filed within 90 days after the end of the warranty period for all board-approved projects of more than \$5.0 million, including new construction, expansion and significant remodel for reuse. The final project report will update the post-occupancy report including a variance report identifying any significant changes in scope, budget, schedule, funding plan, operating cost impact, or other cost considerations since issuance of the construction contract award report, and an explanation of any significant

circumstances surrounding project completion or its discontinuance. Such reports shall be maintained in a manner so as to allow incorporation into the regular construction in progress report.

C. Upon determination that a project has been abandoned, discontinued or shelved with no further action anticipated for a considerable time, or consolidated with another project or projects, the responsible chief facilities administrator shall prepare a final project report and closeout the project.

(09-18-03)

### P05.12.077 Capital Project Development: Approval Levels for Projects That Have Not Been Subject to the Defined Planning and Approval Process.

- A. For projects that have not been subject to the normal planning, budget, and approval processes described in this chapter, the level of approval required for formal project approval shall be as follows:
  - 1. Projects with an estimated total project cost of in excess of \$5.0 million will require approval by the board based on recommendations from the BoR committee responsible for facilities.
  - 2. Projects with an estimated total project cost in excess of \$2.0 million but not more than \$5.0 million will require approval by the BoR committee responsible for facilities. The committee may delegate approval authority to the committee chair as it determines is convenient and appropriate.
  - 3. Projects with an estimated total project cost of \$2.0 million or less will require approval by the chief finance officer.
- B. If multiple projects are bundled in order to solicit lower prices or for efficiency or other purposes and the aggregate cost exceeds the normal approval level, the system office chief finance officer shall determine the level of approval required based on the funding sources and the size and nature of the projects.
- C. The system office chief finance officer approval will be required if a single appropriation is split into funding for multiple projects.

(09-18-03)

#### P05.12.080. Operations and Maintenance.

A. The annual need for maintenance and repair shall be fully funded in the annual operating budget of the university unless otherwise directed or approved by the board. In addition, the funding for facility renewal and replacement as well as elimination of accumulated deferred renewal will be included in the capital budget request and long range capital plan. At a minimum, an amount equal to the annual M&R need shall be expended on routine maintenance and repair, major repairs, whether current or deferred, and mandated improvements. Unless the scope of remodeling to accommodate tenant occupancy is minor, it may not be included when reporting on M&R annual stewardship expenditures

- and should be reported as expenses for alterations or capital improvements for asset reinvestment.
- B. An annual maintenance plan shall be developed by the chief facilities administrators of the respective universities and provided to the system office chief finance officer.
- C. On an annual basis, the system office chief finance officer will cause to be compiled a report identifying for each university and the system office the:
  - 1. prior fiscal year actual operating and capital expenditures for M&R and R&R support;
  - 2. current fiscal year's budgeted operating and capital commitment for M&R and R&R;
  - 3. current annual calculated need for M&R and R&R;
  - 4. current estimate of accumulated deferred renewal; and
  - 5. the status of ongoing deferred renewal projects.
- D. The annual R&R funding will be determined based on type of use and occupancy of a facility. For facilities that are leased to, or substantially utilized by, university auxiliaries or non-university entities, annual R&R requirements will be fully funded in the operating or capital budget unless otherwise approved by the system office chief finance officer.

### P05.12.090. Naming of Campus Facilities: Formal Naming of Campus Facilities and Infrastructure.

- A. Official naming of all "significant" buildings, building subcomponents such as wings, additions, auditoriums, and libraries, streets, parks, recreational areas, plazas and similar facilities or sites will be approved by the board. These facilities, improvements and areas will generally be named to honor or memorialize specific individuals, groups, events, places, or objects of historic, geographic, cultural, or local significance, including the following:
  - 1. Former members of the board and the University of Alaska Foundation's Board of Trustees;
  - 2. Distinguished former university presidents, chancellors, faculty, staff, and alumni of the university;
  - 3. Distinguished Alaskans and others who have made outstanding contributions to society, the nation, the state, or the university;
  - 4. Contributors of substantial financial or other support to the university, including donations provided for under P05.14.080; and

- 5. Alaska rivers, mountains, flora, fauna, cities, or communities.
- B. Each chancellor shall establish standing or ad hoc advisory committees to make recommendations on the naming of facilities, improvements and other areas of the campus, and to help identify naming opportunities for gifting and development purposes. Recommendations for a naming to honor or memorialize an individual shall be confidential to the maximum extent permitted by law.
- C. Unless otherwise directed by motion of the board, the name of an existing facility, improvement or area, which was named in honor of or to memorialize a specific individual, group, event, place, or an object of historic, geographic, cultural, or local significance, will remain for the life of the facility or improvement. Unless specifically authorized by the board, the name of a facility to be demolished will not be transferred to a new facility.
- D. The president is authorized to determine which namings will be considered "significant" for purposes of approval by the board. In making that determination, the president shall consider the type, location, usage, condition, and value of the facility or area to be named; the individual, event or other to be memorialized; and the compatibility of the name with the facility or other improvement.
- E. The board reserves the right to rename any facility when, in its sole discretion, it determines that the renaming is in the best interest of the university.

(06-10-04)

### P05.12.091. Functional, Descriptive, or Directional Naming of Facilities and Infrastructure Improvements.

Functional, descriptive, and directional naming of facilities, improvements, or areas shall be approved in accordance with university rules and procedures.

(06-10-04)

#### P05.12.092. Contractual Opportunities for Naming Facilities and Improvements.

Commercial contracts to name university facilities shall be in accordance with P05.14.080 and R05.14.080. Criteria for approval shall include compatibility of the contracting party's image and advertising with that of the university.

(06-10-04)

#### P05.12.100. Public Use of Facilities.

Facilities of the university will be open to the public for educational, recreational, cultural activities, and other use in accordance with use priorities and other requirements as may be set forth in university regulation and campus procedures.

(06-20-97)

#### P05.12.101. Campus Solicitation.

All canvassing, peddling, or solicitation on university grounds or in university buildings will be

subject to university regulation and campus procedures as to time, manner, and place.

(06-20-97)

#### P05.12.102. Smoking in University of Alaska Buildings.

Smoking will be prohibited in all nonresidential university facilities open to the public and all public areas of all residential university facilities.

(06-20-97)

#### P05.12.103. Alcoholic Beverages on Campus.

Persons who have reached the statutory drinking age are permitted to possess and consume alcoholic beverages on university campuses as provided by applicable university regulation, campus procedures, and all applicable laws and regulations.

(06-20-97)

#### P05.12.104. Marijuana and Other Illegal Substances.

Possession or use of marijuana or any other substance controlled pursuant to 21 U.S.C. 841 et seq. anywhere on university property is prohibited. Violations of this policy will result in disciplinary action, as provided by applicable university regulation, campus procedures, and all applicable laws and regulations.

(06-20-97)

#### P05.12.110. Art in University Facilities and Spaces.

- A. The university supports the Alaska State Council for the Arts and its public art program and has adopted the following goals for art in university facilities and other spaces:
  - 1. to be an educational resource for art to university students, employees, and visitors;
  - 2. to link, through imagery and symbolism, the art with the activities of a campus and its facilities;
  - 3. to foster Alaskan art and encourage Alaska's artists and craftsmen;
  - 4. to include representatives of the community, the campus, and occupants of the facility in the selection of art to be displayed; and
- B. Each capital project for new construction, expansion or significant remodel for reuse shall include separately identifiable amounts in the project budget with a target of between one-half and one percent of the construction budget, regardless of funding source.
- C. The selection of artwork purchased with capital appropriations shall be by a committee appointed by the university Chancellor where the capital project is located. Each selection committee will be governed by university regulations and have autonomy in the selection. The acceptance of donations of major works of art will be governed by university regulation.

D.Each university chancellor will make provisions for inventory management and maintenance of their public art collection.

STATEMENT OF INTENT
among
THE STATE OF ALASKA
DEPARTMENT OF NATURAL RESOURCES,
DIVISION OF MINING, LAND AND WATER
and
DIVISION OF FORESTRY,
and
The ALASKA MENTAL HEALTH TRUST LAND OFFICE,
and
The UNIVERSITY of ALASKA

This Statement of Intent (SOI) is hereby made and entered into by and between the State of Alaska, Department of Natural Resources, Division of Mining, Land and Water (DMLW), Division of Forestry (DOF), the Alaska Mental Health Trust Land Office (TLO) and the University of Alaska (UA) herein collectively referred to as the Alaska

#### I. PURPOSE

Forest Management Cooperative (AFMC).

The purpose of this SOI is to document the parties' intention to cooperate in order to further their separate missions concerning their forest resources. The parties to this SOI hereby express their intent to establish a reciprocal relationship to share facilities that support forest resource management and to waive the collection of use fees that any party to this SOI could charge to any other party for land use, for access across another party's land, or for any associated use fees in connection with land and forest resource management by any of the parties, to the extent permitted by law. Examples of such cooperation include, without limitations, those lands listed in Exhibit 1, and those items and activities listed in Exhibit 2, attached hereto.

#### II. STATEMENT OF MUTUAL BENEFIT AND INTERESTS

DMLW, DOF, TLO and UA have comparable and complementary missions concerning forest resources, and each professionally manages extensive lands bordering on or in proximity to the other parties' lands. In carrying out their separate missions, DMLW, DOF, TLO and UA may often need to use another party's real property or services for access, staging, communications, or similar temporary activities, for which each affected party might normally charge a fee. The fees for which DMLW, DOF, TLO and UA could charge each other are acknowledged to be of similar magnitude, but assessing and collecting these fees from each of the other parties would require significant administrative work and costs by the affected parties.

DMLW, DOF, UA and TLO agree that it will be mutually beneficial, and in each party's own best interests and, in the case of the TLO, in the interests of the beneficiaries of the Alaska Mental Health Trust, and in the case of UA to the benefit of the Land Grant Trust

Fund (LGTF), to waive the collection of these fees in order to avoid the administrative costs, to save employee time for more productive activity, and to better facilitate their separate but complementary missions. Further, this SOI reflects the collaborative working relationship desired by AFMC.

#### III. PUBLIC PROCESS REQUIREMENT

DMLW, DOF, UA and TLO agree that each party will execute and comply with its own internal requirements and regulations for public process necessary before any waiver of fees or sharing of facilities is allowed.

#### IV. DECISIONAL DOCUMENT

DMLW, DOF, UA and TLO agree that each party will write its own decisional document related to this SOI, and will publish it for public review. The decisional document written by each party will address the rationale used to decide that the sharing of facilities and waiving of fees among DMLW, DOF, UA and TLO is in the best interest of that individual party.

### V. IT IS MUTUALLY UNDERSTOOD AND AGREED BY AND BETWEEN THE PARTIES THAT:

- **A.** <u>LAWS or REGULATIONS.</u> Nothing in this SOI obligates any party to take or excuse any action that may be contrary to Federal or State of Alaska laws or regulations.
- **B.** <u>LAND OWNERSHIP.</u> Nothing in this SOI affects, alters or burdens the ownership of or title to any parcel or tract of land, or of any fixture to or item of property on any parcel or tract of land.
- C. <u>PARTICIPATION in ACTIVITIES WITH OTHERS.</u> This SOI in no way restricts DMLW, DOF, TLO or UA from participating in similar activities and memoranda of agreement with any other landowner that is not a party to this SOI.
- D. NON-BINDING AGREEMENT. This SOI creates no right, benefit or trust responsibility, substantive or procedural, enforceable at law or equity, by or on behalf of any of the parties to it. This SOI does not prevent each party from managing its lands, resources, and activities based on each party's individual legal or trust responsibilities. Nothing in this SOI authorizes any of the parties to obligate or transfer funds. Specific projects or activities that involve the transfer of funds, services, or property among any two or more of the parties shall require the execution of separate agreements. The negotiation, execution and administration of all such agreements shall comply with all applicable laws. Nothing in this SOI shall alter, limit, or expand the parties' statutory, trust or regulatory authorities or obligations.

- E. <u>TERMINATION.</u> Any party, in writing, may revoke this SOI in whole or in part as to that party.
- F. <u>AUTHORIZED REPRESENTATIVES</u>. By signature below, each party certifies its acceptance of this SOI, and certifies that the signer for each agency in this document is the authorized representative of that party, and who is authorized to act in matters related to, and within the scope of, this SOI.

IN WITNESS WHEREOF, the parties hereto have executed this SOI as of the last date written below, which shall be the effective date of this SOI.

STATE OF ALASKA, DEPARTMENT OF NATURAL RESOURCES, DIVISION OF MINING, LAND AND WATER (DMLW) **DIRECTOR DATE ADDRESS** STATE OF ALASKA, DEPARTMENT OF NATURAL RESOURCES, DIVISION OF FORESTRY (DOF) **DIRECTOR** DATE **ADDRESS** ALASKA MENTAL HEALTH TRUST LAND OFFICE (TLO) **EXECUTIVE DIRECTOR DATE ADDRESS** UNIVERSITY OF ALASKA (UA) **DATE** ASSOCIATE VICE PRESIDENT, FLM

**ADDRESS** 

University of Alaska Board of Regents, September 18-19, 2014

# IT Security Update

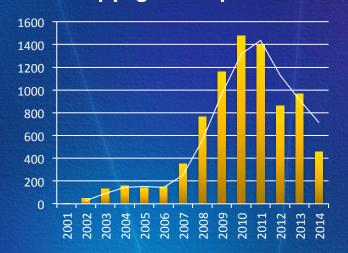


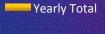
### **Credential Consolidation**

- Addition of Google Password Management through UA Easy Logon Maintenance Option (ELMO)
- General availability of UA Credentials through UA Online
- Single Sign On for Travel Expense Management

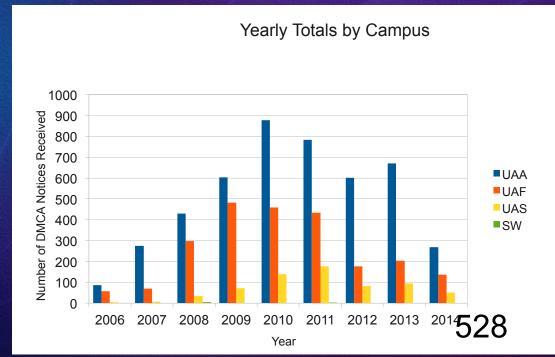
# Copyright /DMCA Complaints

**Copyright Complaint Totals By Year** 



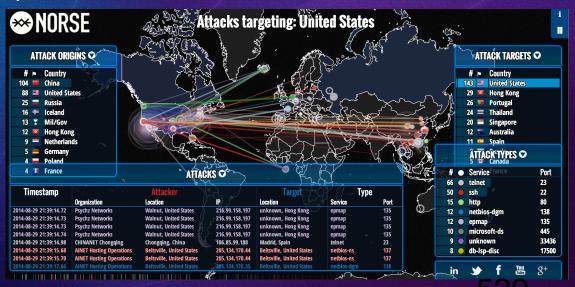


2 per. Mov. Avg. (Yearly Total)



## 2014 IT Security Threat Trends

- Increases in DDoS attacks globally
- Legacy systems as security risk
- Android malware on the rise
- Increased phishing attempts
- BYOD
- Hacking of Everything
- Increase in State-sponsored attacks



# Information Security in UA Confidential Hotline

- UA Confidential Hotline hosts IT security categories for reporting
- 2 primary investigators in OIT
- 0 reports to date
- Hotline reports will become a reported metric

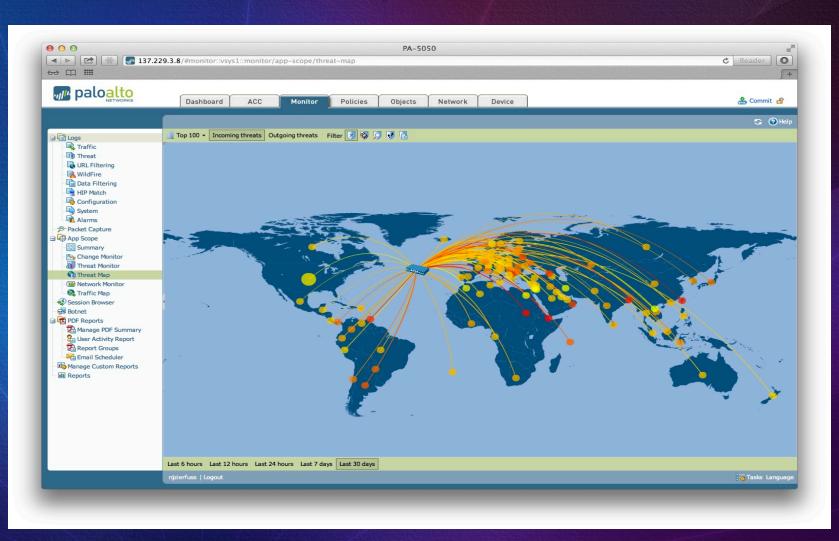
# Recent Fairbanks Area Network Outages

- UA information resources were used to perpetrate SNMP amplification attacks (DDoS) against other targets on the Internet
- The traffic volume overwhelmed our firewalls resulting in network outages for the Fairbanks campus
- Larger firewalls scheduled to be placed elsewhere will be re-tasked and leveraged as a mitigation
- Investigating DDoS attack specific services

### Ounce of Prevention....

- Keep your systems patched and up to date
- Standardize your web software
- Secure your browsers
- Use a strong password
- Use an effective web protection solution
- Utilize encryption whenever possible
- Both professional and personal systems
- Education and outreach

## Palo Alto Security Device



## Questions & Comments

University of Alaska Board of Regents, September 18-19, 2014

# State & Federal Broadband Updates



### **Universal Service Reform**

K-12 eRate Program

- Voice Services will be phased out over the next 5 years.
- FY2015 applicants will lose 20% of their matrix discount, FY2016 applicants will lose 40% of their matrix discount, FY2017 applicants will lose 60% of their matrix discount, etc.
- Cellular phone services will be eliminated for all applicants in FY 2015, with the exception of those only those who can prove it is their only means of accessing the internet.

### **Universal Service Reform**

K-12 eRate Program

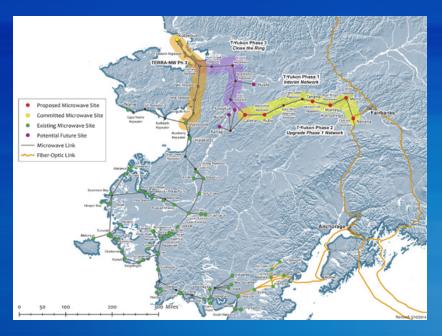
- Modernization of the program to reflect changes in technology
- Productive partnerships with K-12 Schools
- Community colleges as anchor institutions
- State of Alaska subsidy for K-12, unfunded eRate portion up to 10 Mbps connectivity
- Examine partnerships for potential savings

### **Universal Service Reform**

K-12 eRate Program

- Direct connections between schools and libraries will be eligible.
- If you have a public library near your school it will now be possible to share a connection (and apply as a consortium) for your schools.
- The State of Alaska would be very interested in this type of "anchor institution" savings, particularly for those locations that will be getting 10Mb broadband support or OWL library support through the state.

### **GCI Terra Northwest**



**TERRA Yukon** – Construction in 2014. Extending the network along the Yukon River. Installation of three mountain top repeater sites and one microwave tower in Galena. Expected

completion in 2015.

TERRA Northwest Phase 3 — Construction in 2014. Extending the network to Kotzebue. Installation of five repeater sites and one microwave tower in Kotzebue. Estimated completion in 2014.

**TERRA Northwest Phase 2** – Completed in 2013.

Installation of three remote repeaters and one microwave tower connecting Shaktoolik to Nome.

TERRA Northwest Phase 1 – Completed 2012. Installation of two new microwave towers and three remote repeaters connecting Grayling to Unalakleet and Unalakleet to Shaktoolik.

### **Arctic Fibre-Quintillion**



- Futaris, Inc., a subsidiary of Calista Corporation, announced its investment in Quintillion
- Working with Umiaq, a subsidiary of Barrow Native Village Corporation UIC, on the permitting process with Army Corps of Engineers
- Arctic Fibre already has the necessary licenses from the Canadian government.
- Quintillion in process of permitting landings with the FCC
- ASTAC is a member-owned cooperative, and has invested in the project
- Complete surveys this summer
- Lay cable summer 2015
- Active 1<sup>st</sup> Quarter 2016

# **Questions & Comments**

University of Alaska Board of Regents, September 18-19, 2014

# **Administrative IT Summit**



## **The Summit:**

NACUBO and Educause convened a joint working group in Chicago, Ill (June 2014) to explore how to optimize the cost-effectiveness of administrative services and systems.

- Vice Chancellors from all three Universities, business & IT leadership, and CITO
- Shaping Alaska's Future (SAF) "Accountability to the People of Alaska," challenges UA leaders to improve efficiency, effectiveness, quality of service and an improved ability to access UA programs through our services, support, collaborative decision-making and cooperation
- Frustrated with the pace at which we implement, enhance and optimize technology at UA for improved administrative processing
- Low and slow project completion history for system-wide collaboration efforts

## The Problem:

- Fragmented decision-making often represent an impediment to business process improvement
- Governance structure which is overly complex
- Is missing key components and is dated
- Current structure and approach to administrative technology planning and management
- Inadequate support for the ability to make operational changes at the campuses
- Behind the curve in efficient business process management

# The 3 Universities agree to:

- Work with SW to put in place a robust and adaptable administrative/IT governance structure that meets our immediate need for improved operational efficiencies and in service delivery as well as the long-term institutional goals
- Work collaboratively to set clear priorities on administrative/IT projects with reasonable time lines for completion
- Dedicate resources, as appropriate, to support completing the agreed upon administrative/IT projects
- Work within an appropriate framework that supports increased resource capacity by allowing campus based implementation access associated with our enterprise systems



# To enable the Universities' ability to deliver excellent service and support at every level, SW should embrace the following:

Support and facilitate the business of the Universities with a focus of top-tier customer service

Increase the level of system access and build a culture that measures the value and speed of business relative to control – in many cases, allowing access in order to move things forward and get the job done, outweighs associated risks

Review, in partnership with the Universities, the current administrative/IT governance structure and processes, and adjusti to assure that project implementations address the business service needs and move in a timely, efficient and effective manner

Collaborate with the Universities to gather business needs and requirements at the start of any project with systemwide impact that ensure timely reporting on the status of all projects with clear timelines for completion (inception-completion)

Decentralize the process through which the Universities can access enterprise systems (provisioning/administration of systems); this may include allowing campus experts the access/authority to make upgrades/changes in existing systems, to implement systems or tools based on specific campus needs or business processes, or bring in industry/vendor expertise to supplement internal resource limitations within an appropriate framework

# **Moving Ahead**

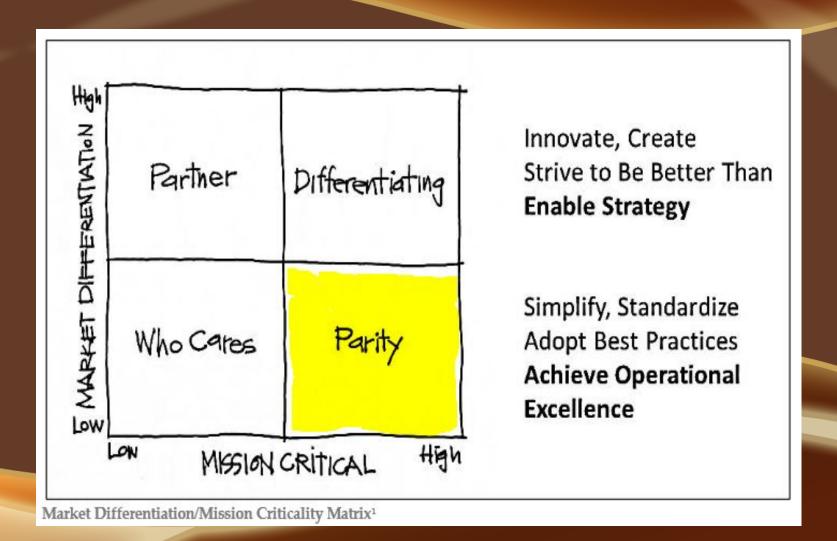


- More productive administrative/IT partnership can be established
- Review the UA-wide administrative/ IT governance approach

- Work together to explore new models that maximize and leverage existing UA expertise
- Balance risk and appropriate controls with forward-thinking and innovation



# **Building Bridges not walls...**



# **Project Plan:**

- Move to electronic payment (e-payment) or automated clearing house (ACH)
   Target date: June 2015
- Move to a "one card" procurement and travel system (ProCard/travel card)
   Target date: June 2015
- Improve travel expense management Target date: September 2014
- Move to an online travel booking system Target date: December 2015
- Conduct an assessment of enterprise systems and governance to increase access, improve operations and choose the appropriate level of control Target Date: November 2014
- Create an administrative/IT group focused on finding parity within administrative systems (i.e. the ability to simplify and streamline to achieve efficient operational processes) Target Date: August 2014

# **Questions & Comments** 550



#### **UAA Alaska Airlines Center Project Information Item**

#### PROJECT UPDATE

Traffic Management Plan for the Alaska Airlines Center, developed by Kittelson & Associates, has now been approved by the Municipality. This plan will be used by the University to manage event traffic at the arena Minor landscaping punch list items at the new Elmore roundabout as well as the roadwork on Sharon Gagnon Lane/Health Drive are complete. Remaining sidewalks, landscaping, and hardscape materials around the perimeter of the building began in late June and are now complete.

Thirty modifications have now been issued and fully executed since reconciliation of the final \$86M GMP contract. Total GMP contract currently stands at approximately \$89.9M. This includes Contract Modification #25 which added the Restaurant Tenant Improvements in the Mezzanine level (\$1.425M) - approved by the full BOR in April. The bid documents included a total of over 40 Additive Alternates and these alternates have been prioritized by the Athletic Dept. and the project Team. Five (5) Additive Alternates have been incorporated into the project since the last update including: CCTV system; Venue Size Management; Additional Seating in Aux. Gym; Provide Flooring (paint) in Basement; and Electrical Company Switches. Additional Additive Alternates have been incorporated directly thru the Athletic Dept. via fund raising efforts (aux. gym gymnastics scoreboard; courtside scoring table video boards; north/south video ribbon boards at balcony level; Video conferencing in Meeting & Conference Rooms; Misc. interior graphics packages. This work was all undertaken directly through the UAA Procurement Office. There is approximately \$100,000 remaining from the original \$1.3M Contractors contingency. Approximately \$250,000 is remaining in the Owners construction contingency.

With the Temporary Water Use Permit (TWUP) received from Dept. of Natural Resources, the required monthly/seasonal testing and monitoring has now begun. All work is being coordinated directly through R&M (civil sub-consultant) and is anticipated to continue until approximately mid-2015.

Overall construction, commissioning, and training for the building are nearly complete. Misc. punch list items (both interior and exterior) continue to be worked on as well as completion of the new mezzanine restaurant (recently named the Varsity Sports Grill). The restaurant completion is now anticipated in mid-October. Both a CCO and a final Certificate of Occupancy have been issued by the Municipality and the University has issued the Certificate of Beneficial Occupancy effective August 1, 2014. The Athletic Department began moving in a couple of key staff on July 21<sup>st</sup> and the majority of the staff started moving in August 10<sup>th</sup>.

Contract Award has been made to Think Office for all the building furniture. Approximately 95% of the contracted furniture has now been delivered / installed within the building. Delivery of all remaining furniture is anticipated for mid-late August.

Approximately 180 individual artist proposals were electronically received for the Art in Public Places piece for the building. The Selection Committee has reduced that list to 4 artists who will now visit the site and will then be asked to provide a site specific concept/proposal piece of art for preliminary approval by the Committee, final approval by the Chancellor, and with BOR concurrence.

Overall percentage of construction completion is approximately 99%.

The current schedule for completion is:

Planning & Design:

Construction, Ph 1:

Construction, Ph 2:

Occupancy:

August 2008 – Summer 2012

May 2012 – July 2014

October 2012 – July 2014

August 2014



#### **UAA Engineering and Industry Building Project Information Item**

The project components in the CMAR contract include: 1) a new 4- story, 75,000+ gross square foot laboratory/classroom building (funded) and 2) renovation of the existing 3 story, 40,000 gross square foot engineering building (unfunded).

In July, the FY15 funding required to fully fund the completion of the new Engineering & Industry Building, renovation of the existing engineering building and construction of the new parking garage. (\$45,600,000) was received.

Construction of the new building is in progress; the project is approximately 50% complete. Site work is in progress; concrete curbs and gutters being installed; construction of the material storage area with 1 inch thick concrete walls completed. The Contractor is demolishing and exporting existing asphalt paving in service yard location; excavating and backfilling for the service yard, including installation of geotextile fabric; installing service yard fence posts; and trenching for site lighting. Installation of the structural steel for the stairs is complete. The Contractor is welding handrail bracket supports on stairs on all floors; installing gypsum wall board on the 2<sup>nd</sup> and 3<sup>rd</sup> floors; and installing wall insulation on all floors. Installation of utilities is in progress on all four floors including installation roof drain piping, sanitary sewer piping, HVAC duct, hot/cold water piping, fire sprinkler system, electrical/telecommunications conduit, etc. The insulating of plumbing piping and installation of HVAC variable air volume boxes, controls and duct is in progress. Installation of roof mounted mechanical equipment and radome are complete. The installation of EPDM roof system for the main roof has been completed including the roof areas over the east and west end stairwells; working on roof parapets. Exterior wall assemblies including granite tile and composite metal siding has been completed on all sides except the east and west stairwells; sealing and grouting of exterior tile in progress; on the stairwells, installation of the exterior wall assembly including gypsum wall board/sheathing, zchannel, rigid/batt insulation, and air/vapor barrier is approximately 95% complete. Contractor is installing exterior glazing and framing; caulking of window framing in progress; approximately 99% of the framing of interior walls on the 3<sup>rd</sup> and 4<sup>th</sup> floors is complete; framing of interior walls on the 1<sup>st</sup> and 2<sup>nd</sup> floors is in progress. Installation of interior door frames on the 3<sup>rd</sup> and 4th floors is 95% compete and installation is in progress on the 1<sup>st</sup> and 2<sup>nd</sup> floors. The installation of the elevator is in progress including the installation of the elevator cab and doors, operators, panels and controllers, hoist-way, doors, sills, door bucks and power. Installation of the fire sprinkler system on all floors continues. The contractor is painting throughout the building. The contractor is aggressively working to complete the building by the fall of 2015.

The current schedule for construction of the new building and renovation of the existing building is as follows:

Design Review	New Building	November 2012-June 2013
	Existing Building	April 2014-July 2015
Permit (New Bldg)	Fill & Grade	April 2013
	Footings/Foundation	April-May 2013
	Structural Steel	August 2013
	Full Building	November 2013
Construction	New Building	May 2013-July 2015
	Existing Building	August 2015-June 2016
Occupancy	New Building	August 2015
•	Existing Building	July 2016

Design and construction services for the parking structure were not included in the CMAR contract. The parking structure will be constructed using the design-bid-build delivery system. The parking structure project was advertised on June 9, 2014 and closed on July 17, 2014. Three bids were received; the apparent low bidder was Neeser Construction, Inc. of Anchorage, Alaska. The contract was awarded on August 1, 2014, and site clearing and relocation of the Engineering portable buildings is in progress.

	Original Schedule	Projected Schedule
Design:	February 2012-March 2013	February 2012-March 2013
Permit:	April 2013	April 2014
Construction:	April 2013-February 2014	August 2014- September 2015
Occupancy	March 2014	October 2015



### <u>UAA ConocoPhillips Integrated Science Building Re-commissioning Project Information Item</u>

Construction of the Conoco Phillips Integrated Science Building (130,000 gsf) was completed in 2009. The building is very complex in terms of architectural, structural, civil, mechanical and electrical components. Since the building has been operating for nearly five years, some systems changes have occurred and this is a good opportunity to dial in the building. The intention of this re-commissioning project is to reevaluate building performance to: 1) provide a safe healthy facility for occupants, 2) improve energy performance, 3) reduce operating costs, and 4) improve orientation and user needs. The re-commissioning does not include modifications or suggestions of modifications to building uses but compares current performance to the as designed performance criteria.

In mid-2013, remaining project funds were identified and reserved for re-commissioning work. In November 2013, an initial meeting was held with representatives from PDC, Inc. Engineers, UAA Facilities Maintenance and Operations (FMO) staff, and UAA Facilities Planning and Construction (FP&C) to discuss scope of work and schedule. The contract was executed with PDC Inc. Engineers on December 23, 2013

The basic scope of work included planning, investigation and reporting. Systems that will be commissioned included ventilation systems, heating/cooling systems, heat recovery systems, humidification systems, domestic hot water systems, lab water systems, lighting/lighting control/exit lighting, security systems, heat trace and the generator. Systems that will not be commissioned include fire alarm, telecom, and elevator systems. PDC may recommend minor additional control strategies to improve efficiency not included in the original design.

FP&C provided PDC, Inc. Engineers with facility design drawings, specifications and operations/maintenance manuals for review. On January 7, 2014 a site visit was held with the building manager, project manager, maintenance staff, and consultants/subcontractors (controls, air balancing) for a coordination meeting and walkthrough of the facility.

On March 3, 2014 PDC began testing systems and collecting operational data. This process of testing systems and collecting data took PDC Engineers approximately two weeks. During data collection PDC also made adjustments to systems, which sub-consultant Alaska Air Balancing has completed pressure mapping/balancing.

The energy audit was completed in Late June 2014. The draft report was submitted to UAA for review on July 20, 2014. The report is currently being reviewed by UAA Facilities staff and a review meeting will be held in mid-August 2014 to discuss the report. Finalization of the report and response to recommendations is expected by late August 2014. Final report on this project will be made at the December 2014 meeting.



#### **UAF Engineering Facility Information Item**

#### PROJECT UPDATE

The UAF Engineering Facility is fully in construction mode with major milestones being completed through mid-November. Work under the current contract scope completed thus far include all steel erection, all concrete floors, major mechanical shaft ducting and piping, and about half of the exterior curtain wall. The building should be enclosed (dry and warm) by mid-November 2014.

Full funding was not received in FY15 to complete the project. UAF is continuing to analyze various options to move the project forward to completion while seeking the remaining funding. The overall Guaranteed Maximum Price was negotiated in February 2014 but only a portion of it could be awarded in July 2014. The funding on hand (\$70.3M in State GF. \$3.5M of the \$10M in UA Revenue Bond) will result in a completely dry and warm shell facility, build out major mechanical and electrical infrastructure to be ready for future tenant improvements, and complete the needed fire protection and life safety features of the design to allow adjacent Duckering and Bunnell Buildings to continue operating. Work will also include the common lobby egress pathway shared by the two existing and the new facilities.

The remaining funding will be requested in FY16. The capital request of \$31.3M includes the original remaining balance of \$28.3M and a modest increase of \$3M due to inflationary cost on the remaining material and labor and extended general conditions cost.

#### MILESTONES (based on receiving full funding effective July 1, 2015)

1:11225101:25 (0:0505 0:110001; 11g 10:11101011g 0:110001; 0:01)	
ECI/Hyer-NBBJ Design Contract	May 2011
Amended Project Approval	September 2011
Schematic Design	April 2012
Schematic Design Approval	June 2012
Design Development	November 2012
Final Design Work Package #A (foundation, structure, shell)	March 2013
Construction Start-Up	April 2013
Final Design Work Package #B (building completion)	January 2014
Construction under Contract (to date)	August 2015
Remaining Construction Complete	December 2016*
Design and Construction of Duckering Renovation Complete	December 2017*

Completion dates continent upon full funding.

	DM	and R&R I	Expenditure	s and Encu	mbrances by	y FY and Uı	niversity (S	tate Appr	opriations	in thousar	Add nds of \$)	endum 21
			Aug 2013	Nov 2013	Jan 2014	Mar 2014	May 2014		-	As of Aug 20		
		Auth.	%	%	%	%	%	# of	Current			%
FY	Univ.	Budget	Committed	Committed	Committed	Committed	Committed	Projects	Budget	Expend.	Encumb.	Committed
2007 Tota	l	48,587.1	99.71%	99.99%	99.99%	100.00%	100.00%	43	48,587.1	48,583.7	0.0	100.00%
2008 Tota	l	8,200.0	96.86%	100.00%	100.00%	100.00%	100.00%	14	8,200.0	8,200.0	0.0	100.00%
2009	UAA	8,678.8	99.95%	99.95%	99.95%	99.95%	99.96%	23	8,678.8	8,675.6	0.0	99.96%
	UAF	26,087.4	99.99%	99.99%	99.99%	99.99%	99.99%	7	26,087.4	26,084.1	0.0	99.99%
	UAS	10,556.4	69.48%	70.84%	70.68%	71.35%	73.96%	3	10,556.4	7,580.1	359.7	75.21%
	SW	500.0	100.00%	100.00%	100.00%	100.00%	100.00%	1	500.0	500.0	0.0	100.00%
2009 Tota	l	45,822.6	92.96%	93.27%	93.23%	93.38%	93.99%	34	45,822.6	42,839.8	359.7	94.28%
2010	UAA	831.7	99.56%	100.00%	100.00%	100.00%	100.00%	7	831.7	831.7	0.0	100.00%
	UAF	2,077.6	98.52%	98.65%	99.95%	99.97%	99.97%	4	2,077.6	2,077.6	0.0	100.00%
	UAS	224.1	89.69%	89.69%	99.34%	100.00%	100.00%	2	224.1	224.1	0.0	100.00%
	SW	66.6	100.00%	100.00%	100.00%	100.00%	100.00%	3	66.6	66.6	0.0	100.00%
<b>2010 Tota</b>	ıl	3,200.0	98.20%	98.54%	99.92%	99.98%	99.98%	16	3,200.0	3,200.0	0.0	100.00%
2011	UAA	15,719.7	95.35%	96.04%	96.08%	96.13%	96.64%	20	15,719.7	14,968.4	84.2	95.76%
	UAF	24,198.3	99.06%	99.06%	99.12%	99.17%	99.17%	16	24,198.3	24,097.1	2.2	99.59%
	UAS	2,852.4	99.87%	99.95%	99.96%	99.96%	99.97%	5	2,852.4	2,799.6	21.7	98.91%
	sw	765.4	55.78%	93.29%	91.88%	93.28%	93.31%	5	765.4	713.9	42.5	98.82%
<b>2011 Tota</b>	ıl	43,535.8	97.01%	97.94%	97.96%	98.03%	98.21%	46	43,535.8	42,579.0	150.6	98.15%
2012	UAA	11,378.0	81.03%	85.32%	88.47%	89.31%	89.85%	30	11,378.0	9,539.3	866.1	91.45%
	UAF	24,689.5	87.82%	89.27%	88.69%	88.77%	89.69%	22	24,689.5	22,565.0	504.2	93.44%
	UAS	2,800.5	90.64%	92.09%	93.08%	93.32%	99.39%	4	2,800.5	2,581.9	163.7	98.04%
	SW	632.0	99.15%	66.98%	69.12%	68.99%	69.16%	3	582.0	422.0	10.0	74.22%
2012 Tota	ıl	39,500.0	86.24%	87.98%	88.63%	88.93%	90.09%	59	39,450.0	35,108.2	1,544.1	92.91%
FY07-FY1	12 Total	188,845.5	94.57%	95.42%	95.57%	95.69%	96.12%		188,795.5	180,510.7	2,054.4	96.70%
2013	UAA	10,837.5	72.44%	68.81%	70.76%	68.45%	72.89%	17	10,837.5	6,807.7	2,639.3	87.17%
	UAF	23,925.0	51.83%	56.50%	58.38%	59.87%	61.09%	22	23,935.0	14,307.0	5,158.9	81.33%
	UAS	2,587.5	18.53%	20.38%	22.54%	29.25%	30.61%	4	2,587.5	1,133.6	982.2	81.77%
	sw	600.0	17.06%	17.74%	17.65%	0.00%	0.00%	1	179.0	0.0	0.0	0.00%
<b>2013 Tota</b>	l	37,950.0	54.89%	56.94%	58.83%	59.28%	61.41%	44	37,539.0	22,248.3	8,780.4	82.66%
2014	UAA	7,785.8	0.00%	0.00%	16.81%	16.90%	16.43%	13	7,785.8	1,043.7	846.2	24.27%
	UAF	18,829.2	0.33%	3.94%	8.39%	9.05%	14.29%	14	17,389.2	2,234.9	8,291.4	60.53%
	UAS	2,771.0	0.00%	0.00%	0.00%	0.00%	0.11%	2	2,771.0	3.3	230.0	8.42%
	SW	614.0	0.00%	0.00%	0.00%	0.00%	0.00%	0	0.0	0.0	0.0	0.00%
<b>2014 Tota</b>	ıl	30,000.0	0.19%	2.28%	8.91%	9.31%	12.25%	29	27,946.0	3,282.0	9,367.6	45.26%
2015	UAA	14,503.0						0	0.0	0.0	0.0	0.00%
	UAS	4,770.0						2	500.0	0.0	32.8	6.55%
<b>2015 Tota</b>	ıl	19,273.0						287	500.0	0.0	32.8	6.55%



#### **Construction In-Progress Reports**

#### **Capital Project Master Schedules:**

- 1. UAA
- 2. UAF
- 3. UAS

5. UAS	
<u>UAA:</u>	Procurement Method
1. Alaska Airlines Center (Seawolf Sports Arena)	CMAR
2. Beatrice McDonald Building Renewal	DBB
3. 1901 Bragaw Tenant Improvements	DBB
4. Engineering and Industry Building	CMAR
5. Engineering Parking Garage	DBB
6. Existing Engineering Building Renewal	CMAR
7. Health Campus Pedestrian Bridge	CMAR
8. MAC Housing Renewal	CMAR
9. Wells Fargo Sports Center Near Term R&R	DBB
10. Kodiak Siding Replacement Phase 3	DBB
11. KPC Career and Technical Center	DBB
12. KPC Career and Technical Center Paramedic an	nd Nursing DBB
13. KPC Career and Technical Center Ward Offices	DBB
14. KPC Soil Remediation	DBB
15. KPC Student Housing	DBB
16. Mat-Su Valley Center for Arts & Learning	DBB
<u>UAF:</u>	
<ol> <li>Akasofu Restoration</li> </ol>	DBB
2. Atkinson Power Plant Renewal	DBB
3. Critical Electrical Distribution Renewal Phase 2	CMAR
4. Combined Heat and Power Plant	CMAR
5. Engineering Facility	CMAR
6. Hess Village Hot Water Conversion	DBB
7. Patty Center Bleacher Installation	DBB
8. Patty Ice Arena Ceiling and Rubber Floor Replace	ement DBB
9. Road Improvements FMATS Street Light Convers	sion State Project
10. Student Dining Development	P3
11. Taku Parking Lot Stairs	DBB

12. Utilities Main Waste System Line Repairs	DBB/CMAR
13. West Ridge Animal Quarters Facilities Relocation	CMAR
14. Chatanika Bridge Repair	DBB
15. CTC Parking Lot and Landscaping	DBB
16. Kuskokwim Campus Deferred Maintenance	DBB
17. Northwest Campus Science Building Remodel	DBB
18. Toolik Field Station 2012 Capital Improvements	SS
UAS:	
1. Auke Lake Way Corridor Improvements and Reconstruction	DBB
2. Freshman Student Housing Phase 1 (Banfield Hall Addition)	DBB
3. Juneau Campus Modifications 2014-2016	DBB
4. Technical Education Center Renewal	DBB
Construction Procurement Method abbreviations:	
Construction Manager at Risk	CMAR
Design - Bid - Build	DBB
Design – Build	DB
Not Applicable	N/A
Not yet Determined	N/D
Public Private Partnership	P3
Sole Source	SS
Construction in Progress Report abbreviations:	
Construction Award Amount (Initial Award Amount)	CAA\$
Construction Contract Amount (Award Amount with additions for phases	•
Construction Manager at Risk	CMAR or CM@R
Deferred Maintenance and Renewal	DM&R
Formal Project Approval	FPA
Preliminary Administrative Approval	PAA
Project Change Request	PCR
Schematic Design Approval	SDA
Total Project Cost	TPC\$
Total i Toject Cost	TFCγ



# CAPITAL PROJECT MASTER SCHEDULE

Key to
Symbols:

Preliminary Administrative Approval
Formal Project Approval
Schematic Design Approval

Phased Project Approval (# indicates Phase)

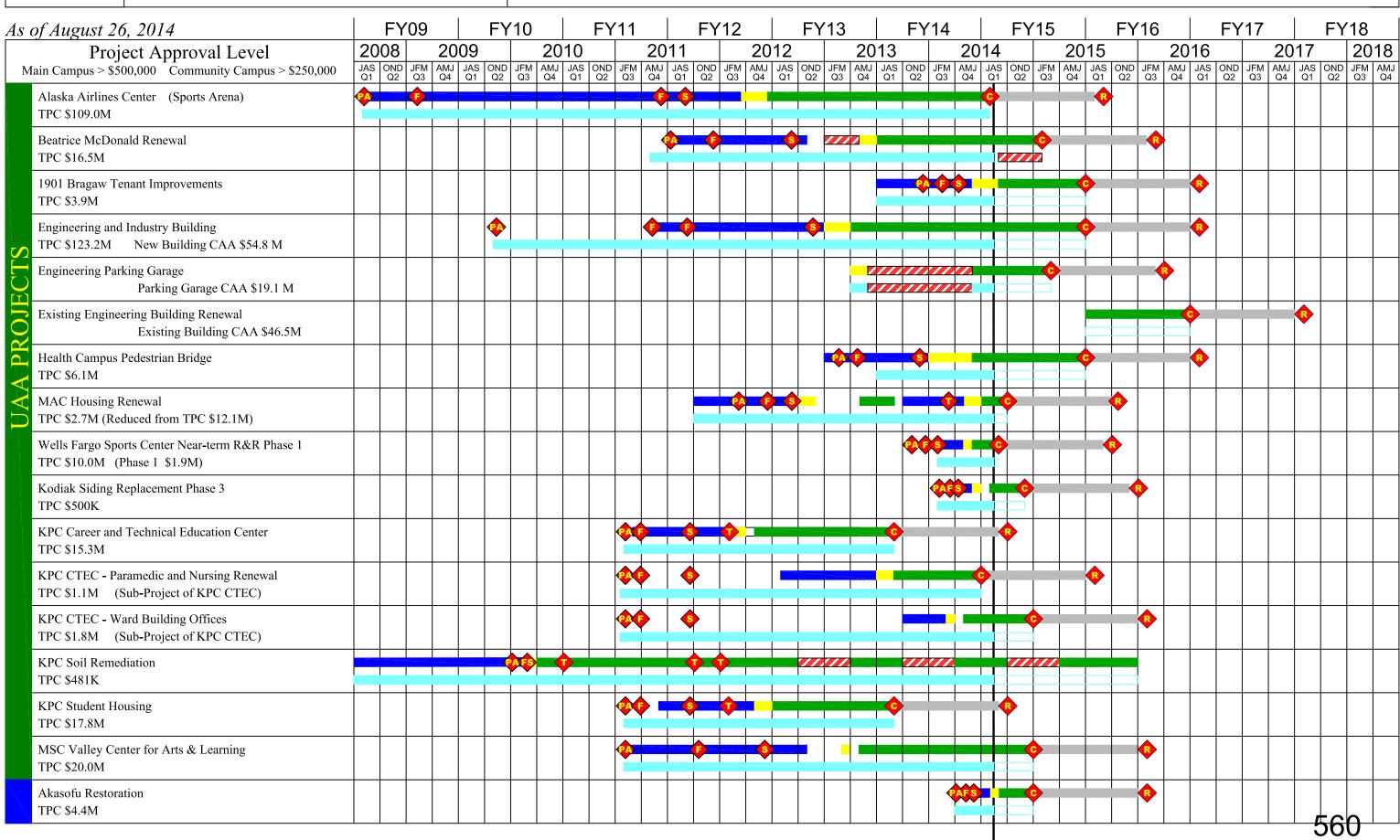
Formal Project/Schematic Design Approval

Total Project Cost / Scope Change

Construction Completion
Final Project Report

Design Bid Delays Construction Warranty

Progress Status





Key to
Symbols:

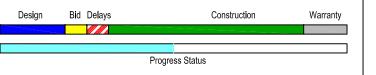
Preliminary Administrative Approval
Formal Project Approval
Schematic Design Approval

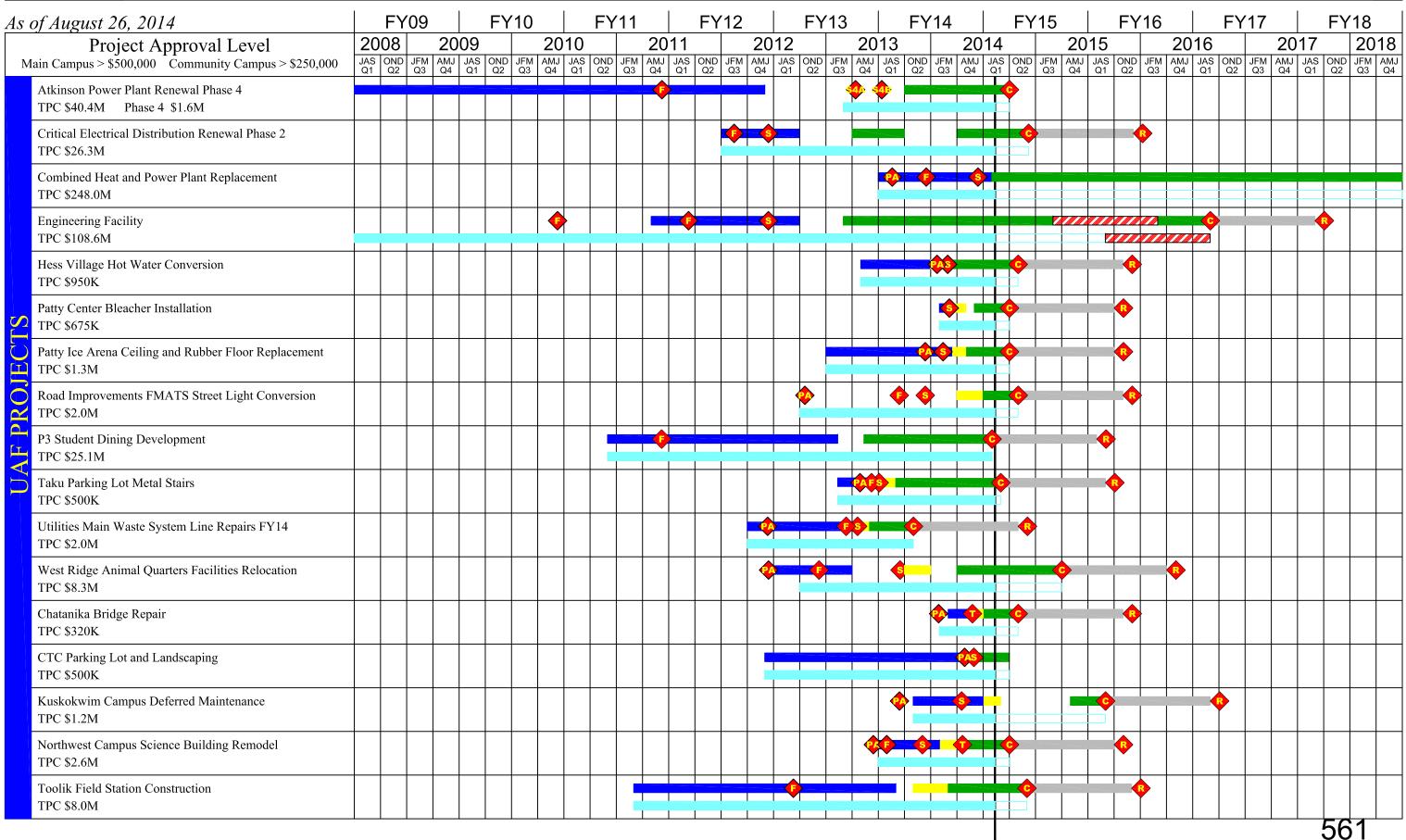
Phased Project Approval (# indicates Phase)

Formal Project/Schematic Design Approval

Total Project Cost / Scope Change

Construction Completion
Final Project Report

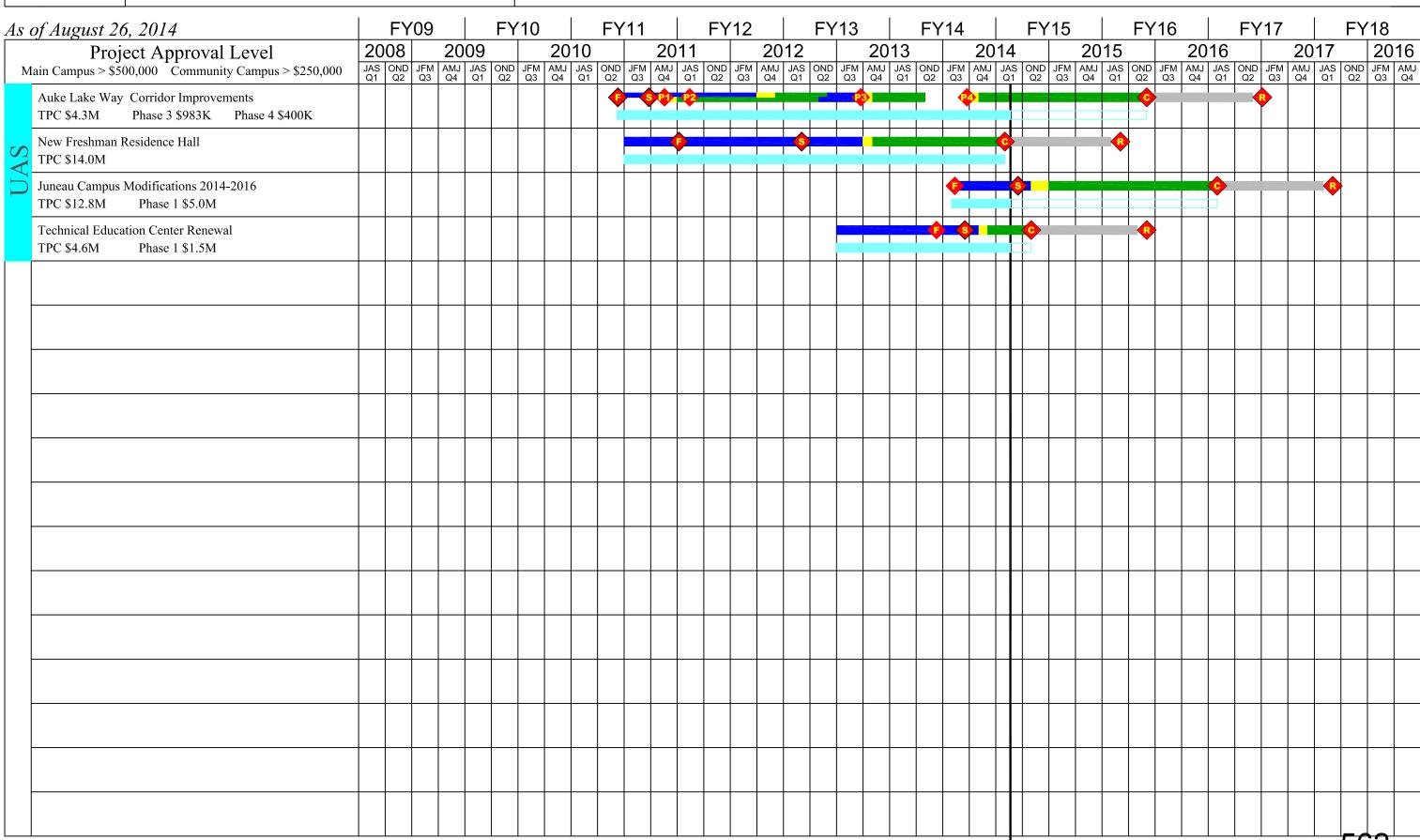






# CAPITAL PROJECT MASTER SCHEDULE

Design Bid Delays Preliminary Administrative Approval Phased Project Approval (# indicates Phase) Construction Construction Completion Warranty Formal Project Approval Formal Project/Schematic Design Approval Final Project Report Symbols: Progress Status Schematic Design Approval Total Project Cost / Scope Change

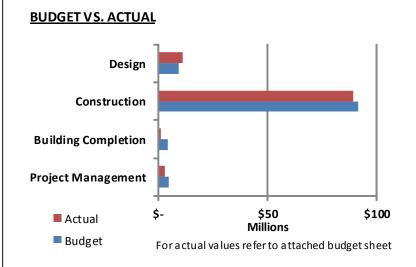


#### **UAA Alaska Airlines Center**



#### **Project Description:**

197,000 sf multi-use facility that will house a 5,000 seat performance gymnasium for basketball and volleyball; a practice and performance gym for the gymnastics program; support space consisting of a fitness and training room, administration/coaching offices, laundry, A/V production room, locker and team rooms for the basketball, volleyball, gymnastics, skiing, track, cross country and hockey programs, and Restaurant TI addition @ mezzanine.



#### PROJECT INFORMATION

**Designer:** MCG, Hastings-Chivetta, AMC,

R&M, BBFM

CM at Risk: Cornerstone General Contractor

**Board Approvals:** 

FPA: Feb '09/ June '11 SDA: June '09/ Sept '11

PCR: April 2014

 Total Cost:
 \$110,585,000

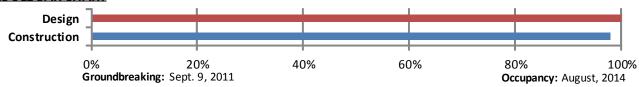
 Const. Cost:
 \$89,900,440

Occupancy: August, 2014

**Funding:** FY09/12 Capital /FY11GO Bond

FY14- NMS Contribution

#### **SCHEDULE BAR CHART**



Status Update: Overall completion of the original scope of work for the AK Airlines Center is 99% complete. A CCO was issued by the MOA 7/7/14 and the final CO was received the week of August 15, 2014. A Certificate of Substantial Completion was issued and UAA officially took over occupancy of the building effective 8/1/14. Work continues on misc. punch list items (interior & exterior) as well as numerous additive alternates that have been recently added. Work on the new Restaurant TI addition progresses satisfactorily with completion expected by mid-October.



#### **UAA Alaska Airlines Center**

**Construction in Progress Budget Report** 

UNIVERSITY OF ALASKA					
Project Name: UAA Alaska Airlines Center					
MAU: UAA					
Building: Alaska Airlines Center	Date: August 1, 20	14			
Campus: Anchorage	Prepared by: S.Vano				
Project #: 09-0006 Acct #(s): 512034 ; 564289 ; 564344 ; 590137 ; 515441					
Total GSF Affected by Project: 197,000 197,0					
PROJECT BUDGET Budget Expendi					
A. Professional Services		P			
Advance Planning, Program Development	\$3,126,000	\$3,126,000			
Consultant: Design Services	\$5,000,000	\$5,411,717			
Consultant: Construction Phase Services	\$750,000	\$1,407,440			
Consul: Extra Services (Grphcs/Furn/Equip/Audit)		\$182,605			
Site Survey	\$40,000	\$0			
Soils/Concrete Testing & Engineering	\$45,000	\$69,997			
Special Inspections	\$200,000	\$201,506			
Plan Review Fees / Permits	\$250,000	\$513,101			
Restaurant TI - Design/Constr. Admin	\$160,000	\$141,100			
Professional Services Subtota	\$9,571,000	\$11,053,466			
B. Construction					
General Construction Contract(s)- Incl. Restaurant TI	\$84,080,000	\$82,115,789			
Other Contractors (Site Clearing/Utilities Infrastructure)	\$435,000	\$269,874			
Construction Contingency	\$7,329,000	\$7,217,000			
Construction Subtota	\$91,844,000	\$89,602,663			
Construction Cost per GSF	\$466	\$455			
C. Building Completion Activity					
Equipment	\$2,400,000	\$458,373			
Fixtures	\$500,000	\$0			
Furnishings	\$775,000	\$128,975			
Signage not in construction contract		\$0			
Move-Out Costs	\$0	\$0			
Move-In Costs	\$70,000	\$0			
Art	\$700,000	\$0			
Other (Interim Space Needs or Temp Reloc. Costs)		\$559,066			
OIT Support		\$222,027			
Maintenance Operation Support	\$50,000	\$2,871			
Building Completion Activity Subtota	\$4,495,000	\$1,371,312			
D. Owner Activities & Administrative Costs					
Project Plng, Staff Support					
Project Management	\$4,675,000	\$2,850,917			
Misc. Expenses: Advertising, Printing, Supplies, Etc.		\$17,002			
Owner Activities & Administrative Costs Subtota	· · · · · ·	\$2,867,919			
E. Total Project Cost	\$110,585,000	\$104,895,360			
Total Project Cost per GSF	\$561	Remaining Budget			
F. Total Appropriation(s)	\$110,585,000	\$5,689,640			

#### **UAA Beatrice McDonald Hall Renewal**







#### **Project Description:**

Complete renovation of 1970's building on main campus. Will include HAZMAT abatement, replacement of boiler, roof, mechanical systems, electrical systems, and architectural and exterior improvements.

# Design Construction Building Completion Project Management Actual Budget For actual values refer to attached budget sheet

#### PROJECT INFORMATION

Designer: Architects Alaska Contractor: Lakeview General

#### **Board Approvals:**

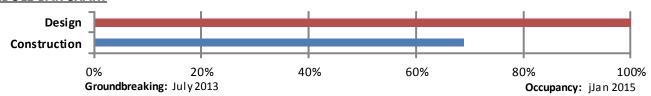
FPA: 12/07/11 SDA: 08/17/12

**Total Cost:** \$16,508,213 **Const. Cost:** \$11,869,777

Occupancy: Spring Semester 2015

Funding: Multi year capital funding

#### **SCHEDULE BAR CHART**



#### **Status Update:**

Excavation has begun for landscaping. Expanded landscape scope was added to project to include an irrigation system and sidewalk melt system. Opening for atrium was accomplished and pan decking has been installed. Roof is completed. All other work on schedule for completion in October.



#### **UAA Beatrice McDonald Hall Renewal**

Construction In Progress Budget Report

UNIVERSITY OF ALASKA	auget Report	
Project Name: UAA Beatrice McDonald Hall Renewal		
MAU: Anchorage		
Building: AS 103	Date:	7/15/2014
Campus: Main Campus	Prepared by:	7/13/2014 P. Baum
Project #: 08-0042		
Total GSF Affected by Project:	Acct #(s): N	1ulti- year capital funding 32,050
PROJECT BUDGET		Expenditure to Date
A. Professional Services	Budget	Experiulture to Date
Architects Alaskafull services	\$1,041,458	\$1,174,115
	\$1,041,436	\$1,174,113
Survey, Materials testing	\$100,000	\$100,000
HazMat testing	\$100,000	
Special Inspections		\$13,662
Bidding Permitting		\$10,000
Commissioning(PDC) Agent		\$48,827
Commissioning (AMC)Authority		\$84,800
Landscaping (all disciplines)		\$47,488
		\$38,971
Professional Services Subtota	61 141 450	¢1 E22 076
B. Construction	\$1,141,458	\$1,533,076
	¢11 960 777	\$9,000,000
General Construction Contract(s)	\$11,869,777	\$9,000,000
Other Contractors (List:)	ć4 40C 070	
Construction Contingency	\$1,186,978	ćo 000 000
Construction Cost per CSE	\$13,056,755 \$407	<b>\$9,000,000</b> <i>\$281</i>
Construction Cost per GSF  C. Building Completion Activity	\$407	\$201
	¢240.244	¢27F 222
Equipment	\$248,344	\$275,233
Fixtures	¢000,000	ć7F7 000
Furnishings	\$900,000	\$757,000
Signage not in construction contract	\$20,000	\$7,322
Move-Out Costs	\$225,000	\$106,741
Move-In Costs	\$225,000	¢420.000
Art Color (total in Second Louis Total Polor Color)	\$120,000	\$120,000
Other (Interim Space Needs or Temp Reloc. Costs)	440.000	42.000
OIT Support	\$10,000	\$3,000
Maintenance Operation Support	\$10,000	\$3,000
Building Completion Activity Subtota	\$1,758,344	\$1,272,296
D. Owner Activities & Administrative Costs		
Project Plng, Staff Support	4000 555	<b></b>
Project Management	\$800,000	\$550,000
Misc. Expenses: Advertising, Printing, Supplies, Etc.	4	
Owner Activities & Administrative Costs Subtota	· · · · · · · · · · · · · · · · · · ·	\$550,000
E. Total Project Cost	\$16,756,557	\$12,355,372
Total Project Cost per GSF	\$523	Remaining Budget
F. Total Appropriation(s)		\$4,401,185

#### **UAA 1901 Bragaw Street Tenant Preparation**



#### **Project Description:**

Plan, design and construct tenant improvements at the newly acquired 1901 Bragaw Street property necessary to accommodate the relocation of UAA occupants. Schedule and move UAA occupants from the UAA Diplomacy Building into the 1901 Bragaw Street facility prior to June 30<sup>th</sup>, 2015.

**Project Team:** 

Design Team Kumin & Associates

General Contractor Wolverine Supply, Inc. (Tent.)

Schedule: Total Project Cost:

Planning & Design: July 2013 – June 2014 \$ 3,850,000

Advertising & Award: June 2014 – August 2014 Construction: August 2014 – June 2015

#### **Board of Regents Approval & Motions:**

Preliminary Administrative Approval December 19, 2013 Formal Project Approval February 20, 2014 Schematic Design Approval April 3, 2014

**Status Update:** Kumin & Associates has completed the construction drawings. The project was advertised and bids received on 14 August. Wolverine Supply is the apparent low bidder. Statewide is separately contracting for additional work to correct existing building deficiencies.



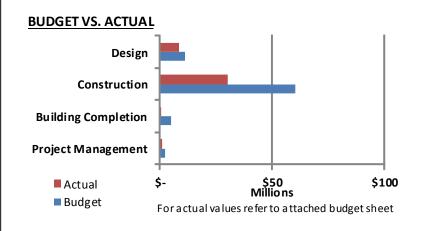
# UAA Engineering and Industry Building New Building





#### **Project Description:**

Planning, programming, design and construction of a 75,000 + gsf engineering laboratory and teaching areas not currently available on campus. The project includes: communications labs, electrical engineering labs, fluids labs, heat and mass transfer labs, soils mechanics labs, photogrammetry/cartography/GIS, seismic and earthquake labs, foundation engineering, transportation and highway engineering, land surveying, machine shop, wood shop, service yard and conferencing/collaborative learning areas. The project will also include renovation of the existing building and structured parking for the facility and any displaced park-



#### BASIC PROJECT INFORMATION:

**Designer:** Livingston Slone, Inc.

**Ayer Saint Gross** 

CM@Risk: Neeser Construction

**Board Approvals:** 

FPA September 2011

SDA June 2012 (Partial)

December 2012 (Full)

**Total Project Cost:** \$78,312,271 **Construction Cost:** \$60,244,011

Occupancy Date: June 2015

For actual values refer to attached budget sheet



0% 20% 40% 60% 80% 100% Groundbreaking: May 2013 Occupancy: June 2015

#### **Status Update:**

Site work in progress. Contractor demolishing and exporting existing asphalt paving in service yard location; installing service yard fence posts. Installation of concrete curbs/gutters in progress. One inch thick concrete walls for the material storage area installed. Exterior wall assemblies including granite tile and composite metal siding has been completed on all sides except the east and west end stair towers. Grouting and sealing of exterior granite tile in progress. Contractor installing exterior window framing and glazing. Interior wall partitions on 3rd and 4th floor approximately 95% complete; on the 1st and 2nd floors 35% complete. Interior painting in progress. Elevator installation in progress.



### UAA Engineering Industry Building New Building

UNI	VERSITY OF ALASKA		
Proj	ect Name: UAA Engineering & Industry Building		
	J: UAA		
	ding: Engineering & Industry Building	Date:	8/8/2014
	npus: UAA Main Campus	Prepared by:	J. L. Hanson
	ect #: 08-0024	•	Multi- year capital funding
	al GSF Affected by Project:	81,500	Traite year capital ramanig
	DIECT BUDGET	Budget	Expenditure to Date
A.	Professional Services		P
	Advance Planning, Program Development	\$412,750	\$412,750
	Consultant: Design Services	\$7,500,000	
	Consultant: Construction Phase Services	\$1,968,500	
	Consul: Extra Services (List:)	\$201,000	
	Site Survey		
	Soils Testing & Engineering		
	Special Inspections	\$219,075	\$102,627
	Plan Review Fees / Permits	\$738,120	\$295,219
	Other		
	Professional Services Subtotal	\$11,039,445	\$8,703,370
В.	Construction		
	General Construction Contract(s)	\$54,767,283	\$30,108,419
	Other Contractors (List:)		
	Construction Contingency	\$5,476,728	\$0
	Construction Subtotal	\$60,244,011	\$30,108,419
	Construction Cost per GSF	\$739	
C.	<b>Building Completion Activity</b>		
	Equipment	\$1,158,875	
	Fixtures		
	Furnishings	\$1,174,750	\$89,142
	Signage not in construction contract		
	Move-Out Costs	\$158,750	
	Move-In Costs	\$158,750	
	Art	\$547,673	
	Other (Interim Space Needs or Temp Reloc. Costs)	\$592,750	
	OIT Support	\$825,500	4444 600
	Maintenance Operation Support	\$190,500	\$111,638
_	Building Completion Activity Subtotal	\$4,807,548	\$200,780
D.	Owner Activities & Administrative Costs		
	Project Plng, Staff Support	62.204.605	64 457 400
	Project Management	\$2,204,605	\$1,157,183
	Misc. Expenses: Advertising, Printing, Supplies, Etc.	\$16,662	\$17,272
_	Owner Activities & Administrative Costs Subtotal	· · · · · ·	\$1,174,455
E.	Total Project Cost	\$78,312,271	\$40,187,024
_	Total Project Cost per GSF	\$961	Remaining Budget
F.	Total Appropriation(s)	\$78,312,271	\$38,125,247

# UAA Engineering and Industry Building Parking Structure





#### **BUDGET VS. ACTUAL**

# Construction Building Completion Project Management Actual Budget For a ctual values refer to attached budget sheet

For actual values refer to attached budget sheet

#### **BASIC PROJECT INFORMATION:**

**Designer:** Livingston Slone, Inc.

Aver Saint Gross

September 2015

**Design-Bid-Build:** Neeser Construction, Inc.

**Board Approvals:** 

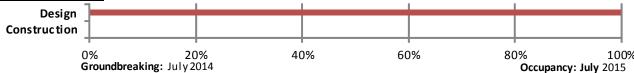
**Occupancy Date:** 

FPA September 2011 SDA June 2012 (Partial) December 2012 (Full)

**Total Project Cost:** \$28,331,274 **Construction Cost:** \$15,340,340

Funding Source: Multi-Year Capital Funding

#### **SCHEDULE BAR CHART**



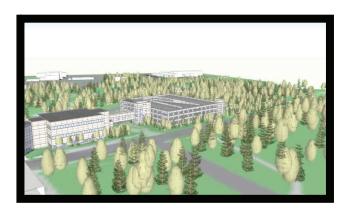
#### **Status Update:**

With the receipt of \$45,600,000 in the FY 15 capital appropriation, the parking structure project was advertised on June 19, 2014. The solicitation closed and bids were opened on July 17, 2014. Three bids were received; Neeser Construction, Inc. of Anchorage was the apparent low bidder. The contract, including the bid alternative for the re-alignment of Mallard Road, was awarded to Neeser Construction, Inc. on August 1, 2014. Site clearing began on 8 August and construction is scheduled for completion by September 30, 2015.

### UAA ENGINEERING INDUSTRY BUILDING Parking Structure

LINI	VERSITY OF ALASKA		
	VERSITE OF ALASKA		
Pro	ject Name: UAA Engineering & Industry Building		
	U: UAA		
Buil	ding: Parking Structure	Date:	8/8/2014
	npus: UAA Main Campus	Prepared by:	J. L. Hanson
	ject #: 08-0024	•	Лulti- year capital funding
	al GSF Affected by Project:	204,000	Tare year eaprear randing
	DJECT BUDGET	Budget	Expenditure to Date
Α.	Professional Services		Г
	Advance Planning, Program Development	\$150,150	\$150,150
	Consultant: Design Services	\$1,824,900	\$1,761,149
	Consultant: Construction Phase Services	\$716,100	\$0
	Consul: Extra Services (List:)		
	Site Survey		
	Soils Testing & Engineering		
	Special Inspections	\$79,695	\$0
	Plan Review Fees / Permits	\$300,000	\$115,733
	Other		
	Professional Services Subtotal	\$3,070,845	\$2,027,032
В.	Construction		
	General Construction Contract(s)	\$21,833,770	\$0
	Other Contractors		
	Construction Contingency	\$2,183,377.00	\$0
	Construction Subtotal	\$24,017,147	\$0
	Construction Cost per GSF	\$118	
C.	<b>Building Completion Activity</b>		
	Equipment	\$0	\$0
	Fixtures		
	Furnishings	\$0	\$0
	Signage not in construction contract		
	Move-In Costs	\$0	\$0
	Art	\$200,000	4.0
	Other (Interim Space Needs or Temp Reloc. Costs)	\$0	\$0
	OIT Support	\$50,000	\$0
	Maintenance Operation Support	\$50,000	\$0
_	Building Completion Activity Subtotal	\$300,000	\$0
D.	Owner Activities & Administrative Costs	6402.202	
	Project Plng, Staff Support	\$482,282	ć420.000
	Project Management  Miss Symposory Advertising Printing Symplies Sta	\$498,000	\$138,088
	Misc. Expenses: Advertising, Printing, Supplies, Etc.	\$3,000	\$913
_	Owner Activities & Administrative Costs Subtotal		\$139,001
E.	Total Project Cost per GSE	<b>\$28,371,274</b> <i>\$139</i>	\$2,166,033 Remaining Budget
_	Total Project Cost per GSF  Total Appropriation(s)	\$28,371,274	
F.	rotal Appropriation(s)	\$28,3/1,2/4	\$26,205,241

# UAA Engineering and Industry Building Existing Building Renewal



#### **BUDGET VS. ACTUAL**

# Construction Building Completion Project Management Actual Budget For a ctual values refer to a ttached budget sheet

For actual values refer to attached budget sheet

#### **BASIC PROJECT INFORMATION:**

**Designer:** Livingston Slone, Inc.

Ayer Saint Gross

CM@Risk: Neeser Construction

**Board Approvals:** 

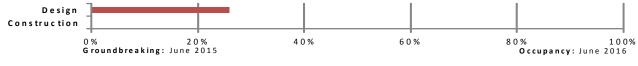
FPA September 2011
SDA June 2012 (Partial)
December 2012 (Full)

**Total Project Cost:** \$16,556,455 **Construction Cost:** \$12,683,209

Occupancy Date: June 2016

Funding Source: Multi-Year Capital Funds

#### SCHEDULE BAR CHART



#### **Status Update:**

The consultant and CMAR contractor have conducted preliminary site visits for scope of work development. Program review completed. Schematic design in progress. Building renovation is anticipated to start in July 2015 with occupancy scheduled June 2016.

#### UAA Engineering Industry Building Existing Building Renewal

UNI	VERSITY OF ALASKA		
Pro <sub>.</sub>	ect Name: UAA Engineering & Industry Building		
MΑ	U: UAA		
Buil	ding: Engineering Building (Existing), AS121	Date:	8/8/2014
Can	npus: UAA Main Campus	Prepared by:	J. L. Hanson
Pro	ject #: 08-0024	Acct #(s):	Multi- year capital funding
Tota	al GSF Affected by Project:	40,000	
PRC	DJECT BUDGET	Budget	Expenditure to Date
A.	Professional Services		
	Advance Planning, Program Development	\$87,100	\$87,100
	Consultant: Design Services	\$1,058,600	\$416,143
	Consultant: Construction Phase Services	\$415,400	\$0
	Consul: Extra Services (List:)		
	Site Survey		
	Soils Testing & Engineering		
	Special Inspections	\$46,230	\$0
	Plan Review Fees / Permits	\$577,808	\$60,943
	Other		
	Professional Services Subtotal	\$2,185,138	\$564,186
В.	Construction		
	General Construction Contract(s)	\$11,530,190	\$0
	Other Contractors (List:)	4	4 -
	Construction Contingency	\$1,153,019	\$0
	Construction Subtotal		\$0
_	Construction Cost per GSF	\$317	\$1
C.	Building Completion Activity	¢244.550	ćo
	Equipment	\$244,550	\$0
	Fixtures	¢2.47.000	ćo
	Furnishings	\$247,900	\$0
	Signage not in construction contract  Move-Out Costs	¢22 F00	ćo
		\$33,500	\$0
	Move-In Costs Art	\$33,500 \$115,327	\$0 \$0
	Other (Interim Space Needs or Temp Reloc. Costs)	\$115,327	\$0 \$0
	Off Support	\$167,300	\$0 \$0
	Maintenance Operation Support	\$40,200	\$0
	Building Completion Activity Subtotal		\$0 \$0
D.	Owner Activities & Administrative Costs	71,030,077	Ψ.
-	Project Plng, Staff Support		
	Project Management	\$627,915	\$36,358
	Misc. Expenses: Advertising, Printing, Supplies, Etc.	\$3,516	\$0,550
	Owner Activities & Administrative Costs Subtotal	·	\$36,358
E.	Total Project Cost	\$16,556,455	\$600,544
	Total Project Cost per GSF	\$414	Remaining Budget
F.	Total Appropriation(s)	\$16,556,455	\$15,955,911

Health Campus Pedestrian Bridge





TPC: \$6,121,730

#### **Project Description:**

Planning, programming, design, and construction of a 3,000 gsf pedestrian bridge linking the Health Sciences Building and the new Engineering and Industry Building. The gallery width is 14' - 8" (outside dimension) and gallery height is 17' - 4" (outside dimension). Height to bottom of gallery is approximately 25' above the roadway and the height to the apex of the arch is 60 feet above the roadway. The pedestrian bridge represents an opportunity to create a symbolic "gateway" to the University and provide a structure that reflects and celebrates the University as a driver for social, intellectual, and creative development. It will link the main campus and Health Sciences Campus enhancing academic collaboration and provide safe and secure circulation over Providence Drive.

Schedule: Total Project Cost:

Planning & Design: Dec 2012 – Dec 2013

Advertising & Award: Jan 2014 – May 2014

Construction: June 2014 – July 2015 CAA: \$ 4,562,568

**Project Team:** 

Design Team Livingston Slone, Inc. CM@Risk Neeser Construction, Inc.

#### **Board of Regents Approval & Motions:**

Preliminary Admin Approval February 2013 Formal Project Approval April 2013 Schematic Design Approval December 2013

#### **Status Update:**

The Guaranteed Maximum Price (GMP) was negotiated in late May 2014 for the amount of \$4,562,568. Excavation for footings/foundation work and relocation of an underground water line is scheduled to start mid-August 2014. Steel erection scheduled for December 2014-January 2015 during the Christmas holiday break. The gallery will be started in December 2014. Completion of the pedestrian bridge is scheduled for Summer 2015 to coincide with the completion of the Engineering and Industry Building.



#### **UAA MAC Housing Renewal**





#### **Project Description:**

The project scope includes the replacement of boilers and related mechanical and electrical equipment, upgrading the Fire Alarm Panel data lines to fiber, and the correction of additional life safety issues required to occupy the buildings while alternate housing approaches are evaluated.

Schedule: Total Project Cost:

Planning & Design: Mar 2012 - Dec 2012 TPC \$2,702,182 CAA \$1,118,182

Construction May 2013 – Sep 2013

**Project Team:** 

Design Team Bezek Durst Seiser CMAR Contractor Watterson Construction

#### **Board of Regents Approval & Motions:**

Preliminary Admin Approval

Formal Project Approval

Schematic Design Approval

Project Change Requests

October 2011

June 2012

September 2012

April 2013

#### **Status Update:**

The work to replace boilers in MAC 1, provide a new boiler in MAC 6, upgrade DDC panels, and upgrade data lines to fiber, was completed in January, 2014. The construction improvements on deteriorated exterior stair landings is underway, with expected completion by the end of August 2014.



#### UAA WFSC Near Term Renewal and Repurposing



#### **Project Description:**

The project is being designed and constructed in phases, to accommodate the funding availability. Phase 1 renovates the existing hockey offices, Phase 2 will address the electrical and fire alarm upgrades to meet current code, provide a new ice plant, ice sheet, and hockey locker rooms. Further phases will include renovation of the areas vacated by Athletics, Tanaina Daycare code deficiencies, and the correction of additional life safety issues required to occupy the building.

Schedule: Total Project Cost:

Phase 1 TPC \$10,000,000

Planning & Design: Feb 2014 – Apr 2014 Phase 1

Phase 1 CAA \$ 163,476

Construction Jun 2014 – Aug 2014

**Project Team:** 

Design Team Livingston Sloan, AMC, Accent Refrigeration

Phase 1 Contractor B & T Enterprises

#### **Board of Regents Approval & Motions:**

Preliminary Admin Approval

Formal Project Approval

Schematic Design Approval

November 2013

December 2013

February 2014

#### Status Update:

The construction to renovate the hockey office suite is underway, with expected completion by the middle of August 2014.



## Kodiak College Re-Siding Phase 3 Campus Center





#### **Project Description:**

The work consists of the installation of approximately 11,000 square feet of prefinished exterior steel siding, flashing sealants, exterior painting, replacement of exterior lighting with LED lighting, removal and installation of door hardware, removal and reinstallation of exterior fire alarm devices, project site clean-up, and all associated work for a complete and usable facility.

Schedule: Total Project Cost:

Planning & Design: February 2014 TPC\$ 500,135
Advertising & Award: June 2014 CAA\$ 347,000
Construction: August 2014 - November 2014

**Project Team:** 

Design Team McCool Carlson Green Architects

General Contractor Wolverine Supply, Inc.

#### **Board of Regents Approval & Motions:**

Preliminary Admin Approval February 2014
Formal Project Approval March 2014
Schematic Design Approval April 2014

#### **Status Update:**

Contractor is ordering materials and submittals are being reviewed at this time. Mobilization for the project and demolition of the existing siding is scheduled to start on August 25, 2014.



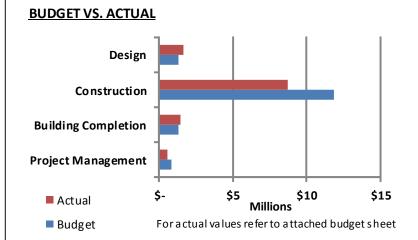
#### **KPC Career and Technical Center**





#### **Project Description:**

This building will be used for the Process Technology, Instrumentation and Electronics Programs. Three large labs for instrumentation, electronics and the simulation lab and a smaller fabrication lab are the main focus of the building. The building also contains three classrooms, a small conference room, eight offices for faculty, work area for an administrative assistant, workroom/break area, and student collaborative spaces. The entire building is 19,370 gsf.



#### **PROJECT INFORMATION**

Designer: McCool Carlson Green
Contractor: Blazy Construction

**Board Approvals:** 

FPA: 02/18/11 SDA: 09/23/11

PCA: 04/13/12, 12/12/13

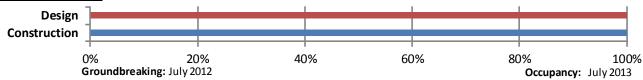
**Total Cost:** \$15,250,000

Const. Cost: \$ 10,905,000 (Not Including

renovation and reallocation)

Occupancy: Fall Semester 2013
Funding: Capital Funding

**SCHEDULE BAR CHART** 



**Status Update:** The project is complete and occupied. Backfill Phase 1 Paramedic is complete and Phase 2 Ward Offices is 20% complete. A project inspection was conducted prior to the expiration of the warranty period. Several items were identified for correction by the contractor. A project change request is being submitted with a plan for spending remaining funds.



#### UAA KPC Career and Technical Education Center Construction in Progress Budget Report

UNI	VERSITY OF ALASKA				
Pro.	ject Name: UAA KPC Career and Technical Education Cent	ter			
MA	U: UAA				
Buil	ding: New	Da	ate:		8/7/2014
	npus: Kenai River Campus	Pr	epared by:		S. Sauve
	ject #: 10-0013 Acct #:	51	12030, 59008	4,10	06210 FY11
Tota	al GSF Affected by Project: New Building		19,370		19,370
	Backfill		9,533		9,533
PRC	DJECT BUDGET		PCR #2	Ex	penditure to Date
A.	Professional Services				
	Advance Planning, Program Development				
	Consultant: Design Services (Including Backfill)	\$	1,180,500	\$	1,552,816
	Consultant: Construction Phase Services				
	Site Survey				
	Soils Testing & Engineering				
	Special Inspections	\$	80,000	\$	52,594
	Plan Review Fees / Permits	\$	50,000	\$	31,607
`	Services Subtotal	\$	1,310,500	\$	1,637,017
В.	Construction			١.	
	General Construction Contract(s) 19,370 sf	\$	8,082,500	\$	7,874,996
	Replace existing Septic/Storm System	\$	-	\$	-
	Backfill Phase 1 - Paramedic & Nursing	\$	1,100,000	\$	714,491
	Backfill Phase 2 - Ward Offices	\$	1,800,000	\$	113,599
	Construction Contingency	\$	855,000	\$	<u>-</u>
	Construction Subtotal	\$	11,837,500	\$	8,703,086
_	Construction Cost per GSF New Building		524		524
C.	Building Completion Activity	,	<b>5</b> 0.000	٠	F2 007
	Equipment	\$	50,000	\$	53,007
	Process Tech Equipment	\$	1,100,000	\$	1,380,670
	Furnishings	\$	50,000	\$	40,267
	Signage not in construction contract	\$	12,500	\$	-
	Move-In Costs	ہ	90.000	ہ	2 500
	Art Maintanance Operation Support	\$	80,000	\$	3,500
	Maintenance Operation Support	\$	1 202 500	۲	1 477 444
D.	Building Completion Activity Subtotal  Owner Activities & Administrative Costs	P	1,292,500	\$	1,477,444
υ.	Project Plng, Staff Support	\$	290,000	\$	289,269
	Project Management	\$	519,500	\$	282,279
	Misc. Expenses	\$	-	\$	25,702
	Owner Activities & Administrative Costs Subtotal	+	809,500	\$	597,250
E.	Total Project Cost		15,250,000	\$	12,414,797
<del></del> -	Total Project Cost per GSF	\$	717		maining Budget
F.	Total Appropriation(s)	+	15,250,000	\$	2,835,203

# KPC Career & Technical Education Center Backfill Phase 1 - Paramedic & Nursing



#### **Project Description:**

Backfill Phase 1 - moves Paramedic and Nursing from the Ward building to the rooms in the Goodrich Building vacated by the Process Technology program that has moved into the new Career & Technical Education Center. This backfill project was included in the SDA for the KPC Career & Technical Education Center project.

Schedule: Total Project Cost:

Planning & Design: July 2012-June 2013

Advertising & Award: July 2013 Ph.1 \$1,100,000

Construction: Sep 2013 - June 2014

#### **Board of Regents Approval & Motions:**

Preliminary Admin Approval Feb 2011 (KPC Career Tech Backfill)

Formal Project Approval Feb 2011 Schematic Design Approval Sep 2011 Project Change Requests None

**Project Team:** 

Design Team: MCG, RSA

General Contractor: Orion Construction

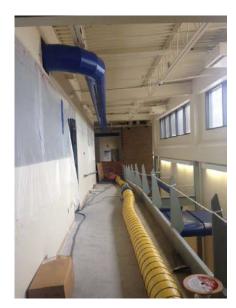
**Status Update:** 

Project is complete. This will be the final report on this project.



### KPC Career & Technical Education Center Backfill Phase 2 - Ward Offices





#### **Project Description:**

When the Backfill Phase 1 project was completed in May, the Paramedic and Nursing programs vacated space on the 2<sup>nd</sup> floor of the Ward building, allowing new offices to be built. This backfill project was included in a PCR for the KPC Career & Technical Education Center project.

Schedule: Total Project Cost:

Planning & Design: November 2013-March 2014

Advertising & Award: March 2014

Construction: May 2014 - December 2014 Ph. 2: \$1,800,000

#### **Board of Regents Approval & Motions:**

Preliminary Admin Approval Feb 2011 (KPC Career Tech Backfill)

Formal Project Approval Feb 2011 Schematic Design Approval Sep 2011 Project Change Requests Dec 2013

#### **Project Team:**

Design Team: MCG, RSA General Contractor: Orion

#### **Status Update:**

The Contractor is making good progress; demolition is complete and wall framing is complete. Mechanical and Electrical rough-in is in progress.



#### **KPC Soil Remediation**



#### **Project Description:**

This project is cleaning up a site off campus that was used for fire training in the 1980's.

Schedule: **Total Project Cost:** 

Planning & Design Thru January 2010 Advertising & Award February 2010 – Mar 2010 April 2010- July 2014 Construction Monitoring

May 2013 – November 2015

TPC \$ 634.864 Const. \$ 294,967

**Project Team:** 

Design Team Shannon & Wilson

General Contractor Foster Construction/ Great Northern

#### **Board of Regents Approval & Motions:**

Preliminary Admin Approval February 9, 2010 Formal Project Approval February 17, 2010 Schematic Design Approval February 17, 2010

Project Change Requests 6/1/10, 10/21/11, 1/10/11, 7/25/13, 1/31/14

#### Status Update:

In May 2013 the DEC requested the site be tested for PFOS/PFOA, contaminants from firefighting foam. The tests from the excavation came back higher than the ADEC cleanup criterion. In February 2014, UAA installed additional monitoring wells near the edge of our property and sampled these monitoring wells and adjacent Neighbor's drinking wells. The Neighbor's drinking wells all came back with no detectable PFOS/PFOA.

The new monitoring wells exceeded the limit for PFOS and ADEC has requested further monitoring over the next two years. Shannon and Wilson tested in July and the results are about the same as measured in March 2014.



The next monitoring will occur in November 2014, May 2015, and November 2015.

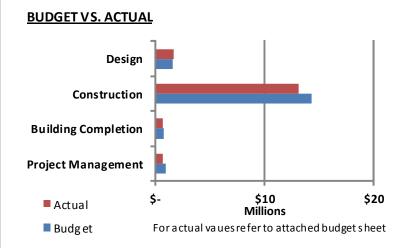
#### **KPC Student Housing**





#### **Project Description:**

Kenai River Campus student housing is a two story wood framed building with 24 suites for a total of 96 student beds. Four of the suites are ADA compliant. The suites have 4 bedrooms, two restrooms, small kitchen and living room. At the entrance there is a commons, multipurpose room, 2 offices, front desk, a kitchen and a maintenance area. On the second floor there is a study lounge, laundry room, and fitness room. The total sf is 39,875 sf.



#### PROJECT INFORMATION

Designer: Bettisworth, RSA, BBFM,

DOWL, HMS

Contractor: Bristol Environmental

**Remediation Services** 

**Board Approvals:** 

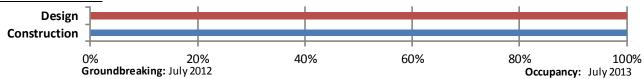
FPA: 02/19/11 SDA: 09/23/11

 Total Cost:
 \$17,800,000

 Const. Cost:
 \$14,350,000

Occupancy: Fall Semester 2013

#### **SCHEDULE BAR CHART**



#### **Status Update:**

The project is complete and occupied. A project inspection was conducted prior to the expiration of the warranty period. Several items were identified for correction by the contractor. A project change request is being submitted with a plan for spending remaining funds.



#### UAA KPC Kenai River Campus Student Housing Construction in Progress Report

UNI	IVERSITY OF ALASKA					
	ject Name: KPC Kenai River Campus Student	Housing	Con	nplex		
MA						<del></del>
	lding: New		Date			8/6/2014
	npus: Kenai			pared by:		S. Sauve
_	ject #: 10-0066		Fun	ding:		512031/564346
	Total GSF Affected by Project:			42,551		42,551
PROJECT BUDGET			S	DA Budget		Expend to Date
A.	Professional Services				١.	
	Advance Planning, Program Development		\$	30,000	\$	-
	Consultant: Design Services		\$	1,280,000	\$	1,512,055
	Site Survey		\$	15,000	\$	24,605
	Soils Testing & Engineering		\$	40,000	\$	92,629
	Special Inspections		\$ \$	150,000	\$	22,855
	Plan Review Fees / Permits			130,000	\$	39,069
	Other /Interior Design	C. bu susl	\$	-	\$	26,350
_	Professional Services	Subtotal	\$	1,645,000	\$	1,717,563
B.	Construction Construction Contract(c)		۲	12,800,000	۲	12 024 027
	General Construction Contract(s) Utilities, Water, Power, Sewer		\$	270,000	\$ ¢	12,934,027 162,299
	Clearing, South Central		\$ \$	270,000	\$ \$	60,017
	Construction Contingency		۶ \$	1,280,000	۶ \$	00,017
	Construction	Subtotal	۶ \$	14,350,000	۶ \$	13,156,343
	Construction Cost per GSF	Subtotai	Ą	337	7	309
C.	Building Completion Activity			337		303
-	Make Ready & Equipment - food prep area, pho	nes	\$	125,000	\$	157,597
	Furnishings	1103	\$	548,800	\$	555,653
	Art		۶ \$	128,000	۶ \$	-
	Other (Interim Space Needs or Temp Reloc. Cost	+c)	٧	128,000	۲	_
	Building Completion Activity	-	Ġ	801,800	\$	713,250
D.	Owner Activities & Administrative Costs	Jabiotal	7	331,300	7	713,230
	Project Plng, Staff Support		\$	417,200	\$	373,964
	Project Management		\$	576,000	\$	304,816
	Misc. Expenses: Advertising, Printing, Supplies, E	:tc	۶ \$	10,000	۶ \$	20,192
	Project Contingency		۶ \$	10,000	۶ \$	20,192
	Owner Activities & Administrative Costs	Subtotal	۶ \$	1,003,200	۶ \$	698,972
	Owner Activities & Administrative Costs	Jabioidi	<u>,                                     </u>	1,003,200	٠	030,372
E.	Total Project Cost		\$	17,800,000	\$	16,286,128
	Total Project Cost per GSF		\$	418	Re	maining Budget
F.	Total Appropriation(s)			17,800,000		1,513,872

#### MSC Valley Center for Arts & Learning





#### **Project Description:**

The project will design and construct a new facility that will provide a classroom, drama lab, music space and instrument storage, display areas, gathering/study spaces and a 500 seat auditorium for lectures, public gatherings and conferences.

# Design Construction Building Completion Project Management Actual Budget For a ctual vaues refer to attached budget sheet

#### **PROJECT INFORMATION**

Designer: Kumin Associates Inc.

Contractor: Roger Hickel Contracting, Inc.

#### **Board Approvals:**

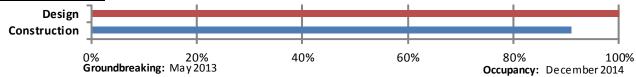
FPA: 11/02/11 SDA: 06/08/12

**Total Cost:** \$20,000,000 **Const. Cost:** \$16,5000,000

Occupancy: Spring Semester 2015

Funding: Capital Funding

#### **SCHEDULE BAR CHART**



#### **Status Update:**

Interior finishes are the main focus of work: all rough-in has been completed; the stage rigging is being installed; electrical and mechanical finishes are being installed; audio/visual and theatrical wiring is being installed; exterior siding is scheduled to be completed in early September; all paving and sidewalks are completed; and landscaping will be completed by the end of August.



#### MSC Valley Center for Arts and Learning Construction in Progress Report

UNIV	ERSITY OF ALASKA		
Proie	ct Name: MSC Valley Center for Arts & Learning		
MAU			
	ing: New	Date:	August 2014
	ous: Mat-Su	Prepared by:	H Morse
	ct #: 07-0035	Acct #:	512032
_	GSF Affected by Project:	30,000	30,000
	ECT BUDGET	Budget	Expenditure to date
	Professional Services	20.0800	
	Advance Planning, Program Development	\$200,000	\$200,000
	Consultant: Design Services	\$1,200,000	\$1,382,723
	Consultant: Construction Phase Services	\$300,000	\$243,159
	Consul: Extra Services (Theater & A/V & Acoustical Consultants	!	
	Site Survey	\$8,500	\$8,634
	Soils Testing & Engineering	\$30,000	\$30,000
	Special Inspections	\$13,500	\$83,186
	Plan Review Fees / Permits	\$8,000	\$17,160
	Other	, ,	
	Professional Services Subtotal	\$1,760,000	\$1,964,862
В. (	Construction		
(	General Construction Contract(s)	\$15,000,000	\$13,991,286
(	Other Contractors (List:)		\$0
(	Construction Contingency	\$1,500,000	\$992,852
	Construction Subtotal	\$16,500,000	\$14,984,138
(	Construction Cost per GSF	\$550	\$499
C. B	uilding Completion Activity		
1	Equipment	\$340,000	\$89,417
1	Fixtures		
1	Furnishings	\$200,000	\$62,000
!	Signage not in construction contract		
I	Move-In/Move-Out Costs		
,	Art	\$200,000	\$0
(	Other (Interim Space Needs or Temp Reloc. Costs)		
(	OIT Support		
1	Maintenance Operation Support		
	Building Completion Activity Subtotal	\$740,000	\$151,417
	Owner Activities & Administrative Costs		
	Project Plng, Staff Support	\$400,000	\$130,116
	Project Management	\$600,000	\$320,867
	Misc. Expenses: Advertising, Printing, Supplies, Etc.		
	Owner Activities & Administrative Costs Subtotal		\$450,983
E. 1	Total Project Cost	\$20,000,000	\$17,551,400
	Total Project Cost per GSF	\$667	Remaining Budget
F. 1	Total Appropriation(s)	\$20,000,000	\$2,448,600

#### Akasofu Restoration



#### **Project Description:**

This project will restore and renovate approximately 61,937 square feet of office space and common areas throughout the entire 103,229 square foot Akasofu Building, with a majority of the work occurring on the fourth floor. Worn flooring, paint, ceiling tiles and other finishes will be replaced. Repairs will be made to the damaged/degraded bathroom fixtures, door hardware, stair hand rails, elevators, fume hoods, lighting fixtures and other miscellaneous HVAC and electrical systems.

Schedule: Total Project Cost:

Planning & Design: March 2014—August 2014 TPC \$4,400,000
Advertising & Award: August 2014—September 2014 CAA \$1,652,759
Construction: September 2014

**Project Team:** 

Design Team: Stantec Architecture, Inc.

General Contractor: TBD

#### **Board of Regents Approval & Motions:**

Preliminary Admin Approval: March 12, 2014
Formal Project Approval: April 9, 2014
Schematic Design Approval: June 6, 2014

#### **Status Update:**

The project is currently out to bid under a design-bid-build procurement method.



#### Atkinson Power Plant Renewal





#### **Project Description:**

As a result of the full funding for the replacement of the Power Plant that was received in June of 2014, the scope of this project will be reduced to the minimum required. The reduction of scope will keep the portion of the existing plant that will remain in operation in good working order. The Atkinson Plant was built in 1964 and the equipment is nearing the end of its life. A list of items was developed to increase the life and reliability of the plant that supplies all of the heat and most of the electricity for the UAF campus. Variable Frequency Drives (VFD's) have been a source of boiler outages. Phase 3 replaces all of the critical VFD's in the Atkinson Plant. Phase 4A consists of replacing a failed boiler feed pump, installing a new air compressor and installing a new steam pressure reducing station for the Atkinson Plant. Phase 4B will install a new ash mixer and additional water treatment equipment to comply with new drinking water regulations.

Project Team: Total Project Cost:

Design Team: Design Alaska, Inc; Evergreen Engineering TPC \$40,400,000

General Contractor: Fulford Electric, Inc. (Phase 4 \$920,000)

Board of Regents Approval & Motions:

Formal Project Approval: June 3, 2011

Schematic Design Approval (Ph1): August 12, 2011 (\$1,630,000)

Schematic Design Approval (Ph2): February 10, 2012 (\$1,927,500)

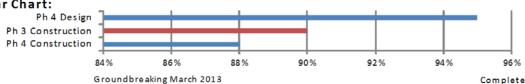
Schematic Design Approval (Ph3): February 10, 2013 (\$1,900,000)

Project Change Approval (Ph3): January 9, 2013 (1,100,000) decrease \$800,000

Schematic Design Approval (Ph4A): August 26, 2013 (\$920,000) Schematic Design Approval (Ph4B): January 2014 (\$720,000)

Completion Date: Phase 3 - May 2014 Phase 4A - June 2014 Phase 4B - September 2014

#### Schedule Bar Chart:



#### **Status Update:**

Phase 3 work (VFD replacement) is nearly complete. Utilities has requested to defer the installation of one VFD. Phase 4A work (air compressor) is 98% complete. Phase 4B work (Ash Mixer and new Pressure Reducing Station) is scheduled to be completed in October of 2014. The ash mixer has been installed and is operating. The TPC for this project will be reduced in the future Project Change Request (PCR) to reflect the recent funding of the new Combined Heat and Power Plant. Items that will no longer be needed, such as repairs to the existing coal boilers, will be deleted from the project scope.



Equipment \$510,000

#### **Atkinson Power Plant Renewal**

(All Phases)

University of Alaska Fairbanks

Project Name: Atkinson Power Pla	nt Renewal		
MAU: UAF		The state of the s	
Building: 0	Date:		August 11, 2014
Campus: Fairbanks	Prepared By:		Mike Ruckhaus
Project #: 2010140 BARN	Account No.:		571297-50216
Total GSF Affected by Project:	N/A	W.S	
PROJECT BUDGET		Budget	Actual
A. Professional Services			
Advance Planning, Program Developme	ent	\$0	
Consultant: Design Services		\$3,000,000	\$455,000
Consultant: Construction Phase Service	s	\$400,000	\$140,000
Consul: Extra Services (List:	)	\$40,000	
Site Survey	**	\$0	
Soils Testing & Engineering		\$0	
Special Inspections		\$50,000	
Plan Review Fees / Permits		\$0	
Other		\$0	
Profession	al Services Subtotal	\$3,490,000	\$595,00
B. Construction			
General Construction Contract (s)		\$16,166,000	\$4,828,27
Other Contractors (List:	)	\$0	
Construction Contingency		\$1,527,000	\$400,00
	Construction Subtotal	\$17,693,000	\$5,228,27
Construction Cost per GSF		N/A	XV-Vi-
C. Building Completion Activity			
Equipment		\$0	\$920,00
Fixtures		\$0	
Furnishings		\$0	
Signage not in construction contract		\$0	
Move-Out Cost/Temp. Reloc. Costs		\$0	
Move-In Costs		\$0	
Art		\$0	
Other (List:	_)	\$0	
OIT Support		\$0	
Maintenance/Operation Support		\$0	
Building Completi	on Activity Subtotal	\$0	\$920,00
D. Owner Activities & Administrativ			
Project Planning and Staff Support		\$1,655,978	\$360,00
Project Management		\$1,500,000	\$240,000
Misc Expenses: Advertising, Printing, Su	ipplies	\$80,000	\$5,00
Owner Activities & Administ.	2.3	\$3,235,000	\$605,000
E. Total Project Cost		\$24,688,000	\$7,348,274
Total Project Cost per GSF		N/A	Remaining Budge
			\$17,339,726



#### Critical Electrical Distribution Renewal Phase 2



#### **Project Description:**

Phase 1 of the project constructed a central switchgear facility and utilidors needed for distributing power to the campus at the new distribution voltage of 12,470v. Phase 2 converts the buildings on campus to the new distribution system. This includes replacement or conversion of cables, switches and building transformers throughout the UAF Fairbanks Campus.

Project Team: Total Project Cost:

Designer: PDC Inc. Engineers TPC \$26,250,000
CM@Risk: Kiewit Building Group CAA \$9,945,000

#### **Board of Regents Approval & Motions:**

Formal Project Approval: February 16, 2012

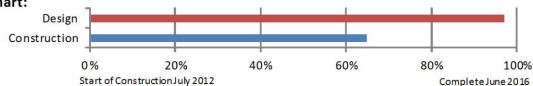
Schematic Design Approval: June 8, 2012 (\$14,325,000)

Project Change Approval: September 27, 2013 (\$17,880,000)

Project Change Approval: April 9, 2014 (\$19,880,000)

Completion Date: Summer 2016

#### Schedule Bar Chart:



#### **Status Update:**

The transformers that were ordered in March are delayed and will not arrive until mid-September, which will delay completion until roughly November 1. The switchgear for the Elvey building conversion to the new system has also been delayed and completion is not expected until roughly November 1. Research has indicated that delayed deliveries of electrical equipment are common throughout the construction industry. Medium voltage cable installation is complete. The Gruening Building conversion to the new system was completed mid-August. FY16 funding is needed to complete the project.

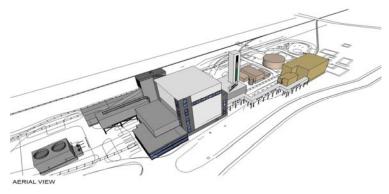


#### Critical Electrical Distribution Renewal Phase 2

NIVERSITY OF ALASKA		
	1.01	
roject Name: Critical Electrical Distribution Rene	ewal Phase 2	
IAU: UAF		
uilding: N/A Date:		May 7, 2014
ampus: UAF Prepared B		M. Ruckhaus
roject #: 2012108 UTER2 Account No	).:	514449-50216
otal GSF Affected by Project: N/A		
ROJECT BUDGET	SDA Budget	Actual
. Professional Services		
Advance Planning, Program Development	0.0%	\$0
Consultant: Design Services	\$2,055,000	\$2,055,000
Consultant: Construction Phase Services	\$500,000	\$500,000
Consul: Extra Services (List:)	\$0	\$0
Site Survey	\$100,000	\$100,000
Soils Testing & Engineering	\$15,000	\$15,000
Special Inspections	\$0	\$0
Plan Review Fees / Permits	\$5,000	\$5,000
Other	\$0	\$0
Professional Services Subt	otal \$2,675,000	\$2,675,000
Construction	440 500 000	<u> </u>
General Construction Contract (s)	\$18,500,000	\$12,090,000
Other Contractors (List: GVEA)	\$1,000,000	44 200 000
Construction Contingency	\$1,200,000	\$1,200,000
Construction Sub		\$13,290,000
Construction Cost per GSF	N/A	N/A
Building Completion Activity	¢1 500 000	¢1 500 000
Equipment	\$1,500,000	\$1,500,000
Fixtures	\$0	\$0
Furnishings	\$0	\$0
Signage not in construction contract	\$0	\$0
Move-Out Cost/Temp. Reloc. Costs	\$0	\$0
Move-In Costs	\$0	\$0
Art	\$0	\$0
Other (List:)	\$0	\$0
OIT Support	\$150,000	\$0
Maintenance/Operation Support	\$150,000	\$150,000
Building Completion Activity Subt  Owner Activities & Administrative Cost	otal \$150,000	\$1,650,000
	\$1,058,625	\$1,058,625
Project Planning and Staff Support	\$1,176,250	\$1,176,250
Project Management	\$1,176,250	\$1,176,250
Misc Expenses: Advertising, Printing, Supplies		\$30,000
Owner Activities & Administrative Cost Subt		\$2,264,875
Total Project Cost  Total Project Cost per GSF	\$25,789,875 N/A	Remaining Budget
Total Appropriation(s)	\$26,250,000	
rotal Appropriation(s)	\$20,250,000	\$6,370,000



#### **UAF Combined Heat and Power Plant**



#### **Project Description**

The Combined Heat and Power Plant consists of two 140,000 lb/hr circulating fluidized bed boilers that will use coal and biomass to provide 17 MW of electric power and steam for heating the UAF Campus.

**Designer:** Stanley Consultants, Inc.

Contractor: CM@Risk

**Board of Regents Approval & Motions:** 

Preliminary Project Approval

Formal Project Approval December 13, 2013

Schematic Design Approval June 6, 2014

Construction Start Date: August 2014

Construction Completion Date: June 2018

**Total Project Cost:** 

TPC \$248,000,000

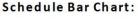
CAA \$TBD

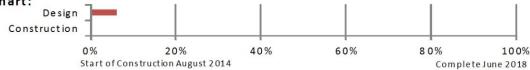
**Funding Source:** 

Capital Funds

**Municipal Bond Funds** 

**UA Revenue Bond** 





August 13, 2013

#### **Status Update:**

On Friday, July 25, a Notice of Intent to Award for \$37,680,000 was issued to Babcock and Wilcox for the purchase of the new boilers. The boiler purchase was estimated between \$35M and \$40M, so this fits within the budget. The B&W bid was ranked first on technical compliance with the RFP, and ranked second on price. The price evaluation included an adjustment for boiler operating efficiency. The B&W boiler was the most efficient and overall ranked #1. The Steam Turbine bids were received on July 18 and are being evaluated. A design contract is being negotiated with Stanley Consultants and a contract was executed in August. A solicitation for a CM@Risk is being advertised and proposals were due August 19, 2014.

Air Permit: A request for Informal Review of approximately 20 items was submitted to ADEC and minor corrections are being made to the permit.

A Site Preparation contract has been awarded to Alcan Builders for approximately \$550,000. This contract will remove existing buildings on the site and relocate a steam line that provides heat to old U-Park and Hutchinson High School. Most of the work will be completed fall 2014 with a small portion to be completed May 2015. The 35% design is expected in December 2014 and a comprehensive cost estimate will be available January 2015.



#### **UAF Combined Heat and Power Plant**

MAU: Buildi	ct Name: UAF Heat and Power Plant Major				
MAU: Buildi		r Upgrade			
Buildi	: UAF	1.5			
		Date:			5-May-1
Lamn	pus: Fairbanks	Prepared by:		Mike F	Ruckhaus
	ct #: 2012031 CPHR	Acct #: 514552, 5145			(dekila d3
	GSF Affected by Project: N/A	Acct #. 514552, 5145	37, 371300-3021		
	ECT BUDGET		FPA Budget	:   SI	DA Budget
	Professional Services		117 Duaget	<del>                                     </del>	on Daaget
	Advance Planning, Program Development,	Permitting	\$3,000,000	. <del> </del>	\$3,000,000
	Consultant: Design Services	Crimitang	\$14,600,000		\$14,600,000
	Consultant: Design Services  Consultant: Construction Phase Services		\$2,000,000		\$2,000,000
	Consultant:		\$2,000,000	}	\$2,000,000
	Site Survey (included above)			}	
		_\		}	
	Soils Testing & Engineering (Included above Special Inspections	e)	\$100,000	}	\$100,000
	Special Inspections Plan Review Fees / Permits		\$100,000 \$200,000		\$200,000
			\$200,000	¦	\$200,000
	Other	Professional Ser-			
		vices Subtotal	\$19,900,000	J	\$19,900,000
В. С	Construction	vices Subtotal	\$19,900,000	<del>' </del>	313,300,000
	General Construction Contract(s)	-	\$135,600,000	ł	\$140,500,000
			\$1,000,000	• • • • • • • • • • • •	\$140,500,000
	Site Preparation Contract Other Contractors (List:		31,000,000	¦	
	Other Contractors (List: Construction Contingency (includes 4 years		\$31,200,000	}	¢21 200 000
	construction contingency (includes 4 years	Construction Subto-	\$51,200,000	<del>' </del>	\$31,200,000
		tal	\$167,800,000	J	\$171,700,000
	Construction Cost per GSF		N/A	N/A	<b>\$272,700,000</b>
	uilding Completion Activity			.,,,,	
	CFB Boilers (2)		\$35,000,000	i	\$35,000,000
	Turbine		\$6,500,000		\$6,500,000
	Air Cooled Condenser		\$3,800,000		\$3,800,000
	Controls		\$4,000,000		\$3,000,000
	Move-Out Costs		74,000,000	}	
	Move-Out costs		\$50,000	}	\$50,000
	Art		350,000	}	\$30,000
	Other (Interim Space Needs or Temp Reloc	Costs		ł	
	Other (internit space Needs of Terrip Reloc	Costs)	\$25,000	}	\$25,000
					\$100,000
	Maintenance Operation Support	Building Completion	\$100,000	<del>' </del>	\$100,000
		Activity Subtotal	\$49,475,000	J	\$45,475,000
D (	Dumar Astivitias & Administrativa Casta	Activity Subtotal	343,473,000	<del>' </del>	343,473,000
	Owner Activities & Administrative Costs Project Ping, Staff Support		\$7,350,000		\$7.250.000
			\$3,500,000	•	\$7,350,000 \$3,500,000
	Project Management Misc. Expenses: Advertising, Printing, Supp	lies Etc	\$3,300,000		\$3,300,000
I'	iviise. Expenses. Advertising, Printing, Supp	-,	\$75,000	<del> </del>	\$ / 5,000
		Owner Activities & Administrative			
		Costs Subtotal	\$10,925,000		\$10,925,000
E. T	Total Project Cost	Costs Subtotal	\$248,100,000		\$248,000,000
'	Total Project Cost per GSF		N/A	N/A	72-13,000,000
	TOTAL FLORES COST DEL COST		/ V/ /\	/ V/ /A	



#### **UAF Engineering Facility**





#### **Project Description**

The Engineering Facility project will be building 119,000gsf of new space and renovate about 30,000gsf of existing space in the Duckering Building in support of the UAF College of Engineering and Mines. The 6-story building will provide space for engineering learning and discovery and will feature open lab concepts and a high-bay area for practical application of engineering know how.

ECI Hyer, NBBJ, PDC Inc, AMC Designer:

CM@Risk: **Davis Constructors** 

#### **Board of Regents Approval & Motions:**

**Preliminary Project Approval** September 9, 2006

Formal Project Approval June 4, 2010

Amended Formal Project Approval September 23, 2011

Schematic Design Approval June 8, 2012

**Project Change Request** September 27, 2013

**Project Change Request:** June 7, 2014

**Occupancy Date:** TBD, contingent upon funding

#### **Total Project Cost:**

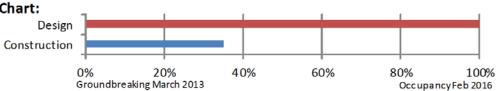
TPC \$108,600,000 CAA \$ 78,000,000

#### **Funding Source:**

State Capital Appropriation &

**UA Revenue Bond** 





#### **Status Update:**

Steel erection and concrete deck pours are complete. The curtain wall and parapet walls are being constructed and installed quickly. Roofing is on-going with the goal to dry in the facility prior to the end of September. Mechanical and electrical rough-in continues in the basement and has begun in the Penthouse. Full funding for the project was not received for FY15 and the completion date of the project is unknown.



#### **UAF Engineering Facility**

UNIVERSITY	JF ALASKA			
Project Name:	UAF Engineering F	acility		
MAU:	UAF			
Building:	New	Date:	May 2, 2014	
Campus:	UAF	Prepared By:	Wohlford	
Project #:	2011122 ENNF	Account No.:	90216-571304,571308,571339,571380	
Total GSF Affect	ted by Project:	149000		
PROJECT BUDG	<del>-</del>		PCR Budget	Actual
A. Professiona	al Services		-	
Advance Plan	ning, Program Developm	ent	\$737,198	\$737,198
	esign Services		\$7,504,556	\$7,504,556
Consultant: C	onstruction Phase Service	es	\$2,167,091	\$1,093,294
CMAR Precon	struction Services		\$466,858	\$466,858
Misc Consulti	ng and Peer Reviews		\$400,000	\$168,317
Soils Testing	_		\$0	\$0
Special Inspec	tions		\$25,000	\$0
Plan Review F	ees / Permits		\$40,000	\$0
Other			\$0	\$0
1	Professional Services	Subtotal Estimated	\$11,340,703	\$9,970,223
B. Construction	on			
General Cons	truction Contract (s)		\$78,000,000	\$47,764,420
Other Contrac	tors (List:Sewer, Ducke	ring Renovations)	\$7,346,698	\$802,939
Construction	Contingency		\$1,733,667	\$0
		Construction Subtotal	\$87,080,365	\$48,567,359
Constructio	n Cost per GSF		\$584.43	\$325.96
C. Building Co	mpletion Activity			
Equipment			\$450,000	\$0
Fixtures			\$150,000	\$0
Furnishings			\$850,000	\$0
Signage not in	construction contract		\$37,500	\$0
Move-Out Cos	st/Temp. Reloc. Costs		\$185,000	\$0
Move-In Cost	S		\$350,000	\$0
Art			\$250,000	\$0
Other (List: A	udio/Video)		\$700,000	\$0
OIT Support			\$500,000	\$3,191
Maintenance/	Operation Support		\$350,000	\$89,302
	Building Completi	on Activity Subtotal	\$3,822,500	\$92,493
D. Owner Acti	ivities & Administra	tive Cost		
Project Planni	ng and Staff Support		\$3,564,737	\$1,000,975
Project Manag	gement		\$1,951,695	\$410,951
	s: Advertising, Printing,		\$840,000	\$151,564
Owner.	Activities & Administ	rative Cost Subtotal	\$6,356,432	\$1,563,491
E. Total Projec	t Cost		\$108,600,000	\$60,193,565
Total Pro	ject Cost per GSF		\$728.86	Remaining Budget
	priation(s)		\$108,600,000	\$48,406,435



#### Hess Village Water Heater Conversion - Electric to Steam



#### **Project Description:**

This project will convert the sixty-five (65) electric hot water heaters located in individual apartments into a centralized steam generated hot water system. Individual electric powered hot water heaters will no longer be used in the complex.

Schedule: Total Project Cost:

Planning & Design: August 2013 to March 2014
Advertising & Award: April 2014 to May 2014
Construction: June 2014 to October 2014

TPC \$950,000 CAA \$615,000

**Project Team:** 

Design Team: Design Alaska, Inc.

General Contractor: Western Mechanical, Inc.

#### **Board of Regents Approval & Motions:**

Preliminary Admin Approval: May 2, 2014

Formal Project Approval: N/A

Schematic Design Approval: May 28, 2014

Project Change Requests: N/A

#### **Status Update:**

The contractor is onsite installing mainline and distribution piping. Long lead materials are currently being shipped and the scheduled completion date is October 2014.



# Substantially Complete

#### Patty Center Bleacher Installation



#### **Project Description:**

This project will replace the non-code compliant wooden bleachers in the Patty Gym with plastic stadium and bench seating. The project will demolish the existing bleachers, provide electrical upgrades for the new bleachers, refinish newly exposed areas of wood flooring due to the slightly different configuration of the new bleachers, and paint the gym walls.

Schedule: Total Project Cost:

Planning & Design: February 2014—March 2014 TPC \$675,000
Advertising & Award: March 2014—April 2014 CAA \$126,260
Construction: June 2014—September 2014

**Project Team:** 

Design Team: UAF Design and Construction

General Contractor: TBD

#### **Board of Regents Approval & Motions:**

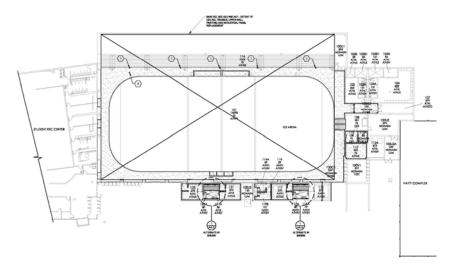
Preliminary Admin Approval: March 18, 2014
Formal Project Approval: March 20, 2014
Schematic Design Approval: March 25, 2014

#### **Status Update:**

The prep work was completed on-time prior to the bleacher arrival. The bleachers also arrived on-time and the construction was completed on schedule. Substantial completion was August 7, 2014.



#### Patty Ice Arena Ceiling and Rubber Floor Replacement



#### **Project Description:**

This project will protect and preserve the Patty Ice Arena ceiling and structural components by removing rust and repainting it. It will remove flooring related tripping hazards by replacing and repairing flooring and it will increase the longevity and improve the usefulness of the locker rooms.

Schedule: Total Project Cost:

Planning & Design: January 2013—March 2014
Advertising & Award: March 2014—April 2014
Construction: April 2015—August 2015

**Project Team:** 

Design Team: USKH General Contractor: TBD

#### **Board of Regents Approval & Motions:**

Preliminary Admin Approval: December 19, 2013 Schematic Design Approval: February 11, 2014

#### **Status Update:**

Design of this project is now complete. Construction has been delayed until 2015 to mitigate impacts to the skating community while the Fairbanks Borough completes much needed improvements to the Big Dipper Ice Arena.



TPC \$ 1,347,000

CAA \$ TBD

# Substantially Complete

#### **Campus Wide Student Dining Development**





#### **Project Description:**

Design and build a new student dining facility adjacent to the Wood Center through a public-private partnership.

Schedule: Total Project Cost:

 Planning & Design:
 March 22, 2011 to February 18, 2013
 TPC \$25,070,000

 Advertising & Award:
 N/A
 CAA \$19,365,000

Construction: May 1, 2013 to August 8, 2014

**Project Team:** 

Design Team: Perkins & Will

General Contractor: GHEMM Company

#### **Board of Regents Approval & Motions:**

Preliminary Admin Approval: N/A

Formal Project Approval: June 2, 2011

Schematic Design Approval: September 28, 2012

#### **Status Update:**

The project is substantially complete and the food service vendor is serving students out of the new facility. Work to finalize punch list items will be on-going through the end of September. It is anticipated that a 'lessons learned' briefing to the FLM committee will take place at the December meeting.



### Road Improvements FMATS Street Light Conversion Stage III



#### **Project Description:**

The Alaska Department of Transportation and Public Facilities (DOT&PF) and the Alaska Division Office of the Federal Highway Administration (FHWA), in cooperation with UAF, will convert campus roadway illumination fixtures to light emitting diode (LED) or other appropriate technology under Stage III of the FMATS Streetlight Conversion Project. Funding will come from DOT&PF and FHWA with a small match from UAF.

Schedule: Total Project Cost:

Planning & Design: July 2013 to March 2014 Advertising & Award: June 2014 to August 2014

Construction: September 2014 to September 2015

**Project Team:** 

Architect / Engineer: Design Alaska, Inc.
General Contractor: B & B Electric

#### **Board of Regents Approval & Motions:**

Preliminary Admin Approval: October 8, 2012
Formal Project Approval: September 26, 2013
Schematic Design Approval: December 12, 2013

#### **Status Update:**

Design is now complete and Alaska DOT has advertised and awarded this project. UAF Division of Design and Construction is working on a plan to include installation of an energy saving lighting control system as part of the construction phase of this project. Actual construction activities are not expected until the 2015 construction season due to the late season award of the project.



#### ൈ

TPC \$2,030,983 (\$220k from UAF)

CAA \$1,068,628

#### Taku Parking Lot Metal Stairs Design & Installation





TPC \$500,000

CAA \$311,000

#### **Project Description:**

The proposed metal stairs will replace the existing steep sidewalk with safe, functional and low maintenance metal stairs. The stairs will significantly minimize the amount of slips and falls on the route to and from Taku and Ballaine Parking lots.

Schedule: Total Project Cost:

Planning & Design: February to June 2013
Advertising & Award: July to August 2013

Construction: September 2013 to August 2014

**Project Team:** 

Design Team: Stantec, Inc.

General Contractor: Tatitlek Construction, Inc

#### **Board of Regents Approval & Motions:**

Preliminary Admin Approval: May 30, 2013
Formal Project Approval: July 16, 2013
Schematic Design Approval: July 18, 2013

#### **Status Update:**

Construction is substantially complete and the stairwell is open for use.



#### **Utilities Main Waste System Line Repairs**





#### **Project Description:**

This project will serve to continue the Fairbanks Campus Utilities Main Wasteline Repairs. Current projects under the Fairbanks Campus Utilities Main Wasteline Repairs include the Main Wasteline Replacement Wood Center to Hess Village phased construction and Sewer Main Relining construction on West Ridge. Design work continues for storm drain rerouting at various buildings and at strategic locations for continued system operations.

Schedule: **Total Project Cost:** 

Planning & Design: TPC \$ 1,200,000 2013 to March 2014 Advertising & Award: March 2014 to June 2014 CAA \$ 904,750 Construction:

**Project Team:** 

Design Team: PDC, Inc. Engineers

General Contractor: R & D Environmental and Construction Unlimited

2014-2015 Season

#### **Board of Regents Approval & Motions:**

Preliminary Admin Approval: FY 14 Capital Project Formal Project Approval: February 2014 March 2014 Schematic Design Approval: **Project Change Requests:** None

#### **Status Update:**

The 2014 construction season is nearly complete. The main wasteline replacement from the Wood Center to Hess Village is operational and the project is in warranty. The sewer main relining on West Ridge is on schedule for a September completion date.



#### West Ridge Animal Resources Facilities Relocation





#### **Project Description**

The West Ridge Animal Resources Facility Relocation project will complete shelled space in the UAF Biological Research and Diagnostics Facility (BiRD) and the UAF portion of the State Virology Lab. The completed space will be constructed to house the animal care facility currently in Irving 1. The current animal housing in Irving 1 has surpassed its useful life by many years, has a large maintenance backlog, and struggles to maintain compliance with codes and regulations related to employee safety and

**Designer:** Bettisworth North Architects and Planners Inc.

CM@Risk: GHEMM Company, Inc
Board of Regents Approval & Motions:

Preliminary Project Approval June 2012 Formal Project Approval December 2012

Schematic Design Approval September 27, 2013

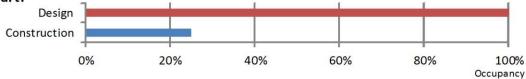
Project Change request: May 2014

Occupancy Date: February 2015

**Total Project Cost:** 

TPC \$8,700,000 CAA \$ 6,465,313

#### Schedule Bar Chart:



#### **Status Update:**

Construction is well underway with underground plumbing and slab construction in the Virology portion of the work area and wall framing in the BiRD Building area. Walls are being erected and the ceiling joist for the hard lid will be going in before the end of September. The project team is working through submittal review and a few maintenance oriented design changes with steam and condensate piping to the cage washing machines. The project remains on schedule for occupancy in February 2015.



#### West Ridge Animal Resources Facilities Relocation

Project Name:	West Ridge Animal	Resources Facility R	elocation	
MAU:	UAF			
Building:	BiRD/Virology	Date:	August 12, 2014	
Campus:	Fairbanks	Prepared By:	Wohlford	
-	WRARF 2013174	Account No.:	571345/571371-50216	
Total GSF Affect		8000	5:25:5,6:25:25:25	
PROJECT BUDG			SDA Budget	Actual
A. Professiona			02.124341	7,012
	ing, Program Developm	ent	so	Ś
Consultant: De			\$645,551	\$642,94
	Instruction Phase Service	-e	\$165,930	\$163,71
Consul: Extra 5		1	\$63,500	\$62,65
Site Survey			\$0	\$02,03
Soils Testing 8	Engineering		so l	 \$
Special Inspec			so	Š
Plan Review Fo			śo	<u> </u>
Other			\$0	Ş.
Julei	Professional	Services Subtotal	\$874,981	\$869,31
3. Constructio			7	***************************************
	ruction Contract (s)		\$6,465,313	\$6,465,31
Other Contract	• •	,	\$0.	\$5,103,51
Construction C			\$429,919	Š
COIDCIGCTOTIC		Construction Subtotal	\$6,895,232	\$6,465,31
Construction	n Cost per GSF	CO.20 00 00 00 00 00 00 00 00 00 00 00 00 0	\$861.90	\$808.16
	mpletion Activity		7552.55	pooling
Equipment			\$175,000	\$(
Fixtures			\$0	<u> </u>
Furnishings			\$0	<del></del>
	construction contract		\$5,000	\$(
	t/Temp. Reloc. Costs		\$0	Ś
Move-In Costs			\$20,000	\$
Art			\$0	Š
Other (List:		,	\$0	\$
OIT Support			\$35,000	Š.
	Operation Support		\$75,000	\$4,09
	Building Completion	n Activity Subtotal	\$310,000	\$4,09
). Owner Activ	vities & Administral		. ,	,
	ng and Staff Support		\$271,957	\$271,95
Project Manag			\$292,673	\$43,04
	s: Advertising, Printing, S	upplies	\$55,157	\$10,55
	ctivities & Administra	1	\$619,787	\$325,55
E. Total Project			\$8,700,000	\$7,664,27
	ect Cost per GSF		\$1,087.50	Remaining Budge
				\$1,035,72



#### Chatanika Bridge Repair





TPC \$320,800

CAA \$172,500

#### **Project Description:**

This project will temporarily jack the bridge to repair abutment, replace cribbing and construct tension anchor walls to prevent additional erosion. It will also harden the bank with riprap and vegetation to prevent scouring and re-grade the gravel road and establish a ditch line to redirect runoff away from bridge.

Schedule: Total Project Cost:

Planning & Design: March 2014—June 2014

Advertising & Award: June 2014

Construction: July 2014—August 2014

**Project Team:** 

Design Team: PDC, Inc. Engineers

General Contractor: Weber, Inc.

#### **Board of Regents Approval & Motions:**

Project Assignment & VCAS Approval: February 10, 2014
Project Change Requests: June 6, 2014

#### **Status Update:**

The current plan is to wait until the river level drops sufficiently to work in the river. It is anticipated that by mid-September this work can occur.



#### CTC Parking and Landscaping



#### **Project Description:**

This project will demolish the existing pavement base and lighting, and reconstruct a new pavement section and lighting to provide uniform surfacing, site drainage and illumination. The parking lot is approximately 26,500 square feet and has two existing lights. Surface reconstruction consists of installing sub base and base course gravels and 2 inches of asphalt concrete surfacing along with concrete curb, gutter and sidewalk replacement in selected locations. Rehabilitation of the existing manhole for steam heat connection will be included. The two existing light fixtures will be removed and replaced with two energy saving LED fixtures to provide adequate lighting coverage.

Schedule: Total Project Cost:

Planning & Design: May 2012 to April 2014 Advertising & Award: May 2014

Construction: June 2014 to September 2014

**Project Team:** 

Design Team: Design Alaska, Inc.
General Contractor: Great Northwest, Inc.

#### **Board of Regents Approval & Motions:**

Preliminary Admin Approval: May 6, 2014

Formal Project Approval: N/A

Schematic Design Approval: May 29, 2014

#### **Status Update:**

This project reconstructed 26,000 square feet of parking around the CTC Barnette Street Facility. The project included new gravel, sub base and asphalt concrete paving along with landscaping, lighting and ADA compliant walkways. In mid August the project added \$50,000 in a Project Change Request to upgrade ADA access to the main entry and large conduit under the new pavement was installed for future head bolt heater upgrades. This project is scheduled to be 100% complete by the September 2014 BOR meeting.



TPC \$500,000

CAA \$361,000

#### Kuskokwim Campus Deferred Maintenance Phase 2





TPC \$1,195,000

CAA \$TBD

#### **Project Description:**

Continued major deferred maintenance and code upgrades to over 50,000 square feet of space. Work will include upgrades to the electrical distribution system, correcting plumbing systems including providing two ADA compliant restrooms, installation of code compliant ventilation systems, and some finish work of interior surfaces.

Schedule: Total Project Cost:

Planning & Design: November 2013—June 2014

Advertising & Award: July—August 2014
Construction: May 2015—August 2015

**Project Team:** 

Design Team: LSI
General Contractor: TBD

#### **Board of Regents Approval & Motions:**

Preliminary Admin Approval: FY12 Operating and Capital Budget Distribution

Schematic Design Approval: April 16, 2014

#### **Status Update:**

100% design documents were received in the middle of July and are currently being checked for accuracy. Construction is still anticipated as being in the Spring/Summer of 2015.



#### Northwest Campus Science Building Remodel





#### **Project Description:**

This project is the second half of a two year Department of Education Title III grant and will remodel the Art/ Science Building, Building NW007, at the Northwest Campus in Nome, Alaska. The project will accomplish the goal of providing a safe and functional biology/natural science lab configuration that complies with the University of Alaska Fairbanks standards. The lab will be upgraded to include a fume hood, shower and eyewash station, proper downdraft wet lab table, flammable storage, current ventilation and spill controls.

Schedule: Total Project Cost:

Planning & Design: July 2013—January 2014 TPC \$ 2,260,000
Advertising & Award: February 2014—March 2014 CAA \$ 1,556,398
Construction: May 2014—September 2014

**Project Team:** 

Design Team: Bezek Durst Seiser, Inc. General Contractor: TBI Construction, Inc.

#### **Board of Regents Approval & Motions:**

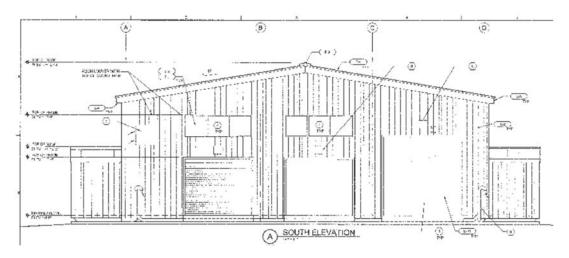
Preliminary Admin Approval: May 5, 2013
Formal Project Approval: July 20, 2013
Schematic Design Approval: October 16, 2013
Project Change Requests: April 2, 2014

#### Status Update:

Construction is nearing completion. Painting and other building finishes are being installed and the lab casework and mechanical room equipment is being set. Substantial Completion is anticipated to be on September 16, 2014.



#### **Toolik Field Station Capital Improvements**



#### **Project Description:**

This is a NSF managed and funded project. Construction could start as early as March of 2015. There are four projects currently planned as part of the capital improvement program. They are a combination of housing, science and support facilities that are needed to support the research at TFS. It is anticipated that funding will be phased and Schematic Design Approvals will be requested for each individual project as funding is identified. It is anticipated that funding will occur over a 2-4 year period for all of the projects.

Schedule: Total Project Cost:

Planning & Design: March 2011 to November 2014 TPC \$13,500,000

Advertising & Award: November 2014 to January 2015 Construction: March 2015 to September 2015

**Project Team:** 

Design Team CH2M Hill

General Contractor TBD

#### **Board of Regents Approval & Motions:**

Formal Project /Schematic Design Approval September 27, 2012 (\$8,000,000)

#### **Status Update:**

Bids were received in May of 2014 and were above funding available. The project is being re-designed to fit within the available funding. The project will be re-bid November 2014.



#### **Auke Lake Way Corridor Improvements & Reconstruction**





#### **Project Description (Phase 4):**

New campus entry signage

Reconstruction of pedestrian path and crossing

Reconstructed bus stop at main entry

**Total Project Cost:** \$4,500,000 **Phase 4 = \$485,800** 

**Project Engineer: R&M Engineering** 

**Project Contractor: Arete Construction (Phase 4)** 

<b>Project Schedule:</b>	Phase 1	Phase 2	Phase 3	Phase 4
Planning & Design	1/2011 – 9/2011	8/2011-3/2012	10/2012 -	11-2013-5/2014
			3/2013	
Bid & Award	5/2011 – 6/2011	4/2012	4 & 5/2013	6/2014
Construction	4/2011 -10/2012	5/2012-1/2012	5/2013 -10/2013	7/2014-10-2015

#### **Project Approvals**

Formal Project Approval
Schematic Approval (Phase 1)
Schematic Approval (Phase 2)
Schematic Approval (Phase 2)
Schematic Approval (Phase 3)
Schematic Approval (Phase 4)
May 2014

December 2010
April 2011
March 2013
May 2014

#### **Status Update:**

A portion of phase 4, the bus stop reconstruction, is under contract. The other elements are awaiting right-of-way and land agreements.



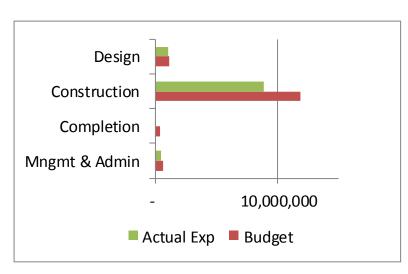
#### **New Freshman Residence Hall**





#### **Project Description**

This project will construct a 35,000 gsf, 120 bed residential facility for freshman students.



#### **BASIC PROJECT INFORMATION:**

**Designer:** MRV Architects **Contractor:** ASRC/McGraw

**Board Approvals:** 

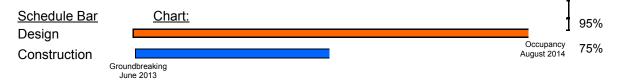
FPA 6/2011 SDA 9/2012 PCR 4/2013

**Total Project Cost**: 14,040,000 **Construction Cost**: 11,830,000

Occupancy Date: Fall 2014

Funding Source: GF/Debt

For actual values refer to attached budget sheet



#### **Status Update:**

Substantial completion and occupancy are scheduled Fall 2014.



UNIVERSITY OF A	LASKA
-----------------	-------

Project Name: New Freshman Residence Hall

MAU: UAS

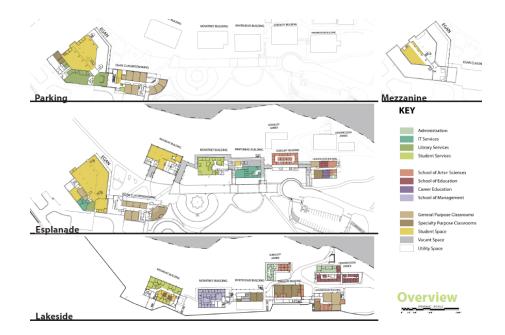
Building:

Campus: Juneau Prepared by: WK Gerken

Project #: 04-26 Acct #:

Wetlands mitigation SEALTrust 12,018 Construction Contingency 6.0% 658,445  Construction Subtotal 11,829,212  Construction Cost per GSF  C. Building Completion Activity  Equipment Fixtures Furnishings 400,000 Move-Out Costs Move-In Costs Art Other (Interim Space Needs or Temp Reloc. Costs) OIT Support Maintenance Operation Support Building Completion Activity Subtotal D. Owner Activities & Administrative Costs Project Plng, Staff Support Project Management 1.5% 200,252 CIP Indirect Support 3.5% 467,256 Owner Activities & Administrative Costs Subtotal		Ject #. 04-26	ACCI #.	1	
PROJECT BUDGET A. Professional Services Advance Planning, Program Development Consultant: Design Services Consultant: Construction Phase Services Consultant: Construction Consultant: Construction Site Survey Consultant: Construction Consultant: Construction C	Tot	al GSF Affected by Project:	34,768	,	
A. Professional Services Advance Planning, Program Development Consultant: Design Services Consultant: Construction Phase Services Site Survey Soils Testing & Engineering Special Inspections Plan Review Fees / Permits Other Professional Services Subtotal B. Construction Dorm Construction Dorm Construction  Dorm Construction  Dorm Construction  Dorm Construction  Dorm Construction SEALTrust Construction Construction SEALTrust Construction Contingency Construction Subtotal Construction Subtotal Construction Contingency Construction Subtotal Construction Cost per GSF Construction Subtotal Construction Cost per GSF Construction Costs Move-Out Costs Move-Out Costs Art Other (Interim Space Needs or Temp Reloc. Costs) OIT Support Maintenance Operation Support Building Completion Activity Subtotal Conservativities & Administrative Costs Project Plng, Staff Support Project Management Project Management Professional Services Subtotal Staff Support Professional Services Subtotal Subtotal Costs Subtotal Construction Cost Subtotal Construction					•
Advance Planning, Program Development Consultant: Design Services Consultant: Construction Phase Services Consultant: Construction Site Survey Constructions Plan Review Fees / Permits Other Professional Services Subtotal B. Construction Dorm Construction Dorm Construction  Auard Auath 295,906 Alt#1 295,906 Alt#3 40,000 Alt#4 3,284,845 Utility Charges (AEL&P) Wetlands mitigation SEALTrust Construction Contingency Construction Subtotal Construction Contingency Construction Subtotal Construction Cost per GSF Construction Subtotal Construction Cost per GSF C				Total Project	to Date
Consultant: Design Services	Α.				
Consultant: Construction Phase Services			velopment		
Consul: Extra Services     Site Survey     Soils Testing & Engineering     Soils Testing & Engineering     Sopecial Inspections     Plan Review Fees / Permits     Other		_			
Site Survey			e Services	310,000	288,732
Soils Testing & Engineering   42,966   5pecial Inspections   65,000   21,515   Plan Review Fees / Permits   0				0	0
Special Inspections		•			0
Plan Review Fees / Permits				•	*
Other		·		65,000	21,515
Professional Services Subtotal   1,132,966   1,068,213					0
B. Construction       award       7,419,998       8,732,742         alt#1       295,906       0       0         alt#3       40,000       0       0         alt#4       3,284,845       0       0         Wetlands mitigation       SEALTrust       12,018       12,018         Construction Contingency       6.0%       658,445       12,018         Construction Cost per GSF       \$ 340.23       8,828,283         C. Building Completion Activity       Equipment       \$ 340.23       725         Equipment       Fixtures       400,000       725         Move-Out Costs       Art       400,000       725         Other (Interim Space Needs or Temp Reloc. Costs)       017 Support       400,000       725         Maintenance Operation Support       Building Completion Activity Subtotal       400,000       725         D. Owner Activities & Administrative Costs       200,252       172,467         CIP Indirect Support       3.5%       467,256       328,357         Owner Activities & Administrative Costs Subtotal       667,508       500,824					0
Dorm Construction   award   alt#1   295,906   alt#3   40,000   alt#4   3,284,845   Construction Contingency   6.0%   658,445   Construction Contingency   Construction Subtotal   11,829,212   Equipment   Fixtures   Furnishings   400,000   Art   Cother (Interim Space Needs or Temp Reloc. Costs)   OIT Support   Building Completion Activity Subtotal   Avoid Subto			fessional Services Subtotal	1,132,966	1,068,213
Alt#1	B.				
Alt#3		Dorm Construction			8,732,742
alt#4 3,284,845 Utility Charges (AEL&P) 118,000 Wetlands mitigation SEALTrust 12,018 Construction Contingency 6.0% 658,445 Construction Subtotal 11,829,212 Construction Cost per GSF \$ 340.23  C. Building Completion Activity Equipment Fixtures Furnishings 400,000 Move-Out Costs Move-In Costs Art Other (Interim Space Needs or Temp Reloc. Costs) OIT Support Maintenance Operation Support Building Completion Activity Subtotal 400,000  D. Owner Activities & Administrative Costs Project Plng, Staff Support Project Management 1.5% 200,252 CIP Indirect Support 3.5% 467,256 Owner Activities & Administrative Costs Subtotal 667,508					0
Utility Charges (AEL&P) Wetlands mitigation SEALTrust Construction Contingency 6.0% 658,445 Construction Subtotal 11,829,212 Construction Cost per GSF Sado.23  C. Building Completion Activity Equipment Fixtures Furnishings Move-Out Costs Move-In Costs Art Other (Interim Space Needs or Temp Reloc. Costs) OIT Support Maintenance Operation Support Building Completion Activity Subtotal D. Owner Activities & Administrative Costs Project Plng, Staff Support Project Management 1.5% 200,252 CIP Indirect Support Owner Activities & Administrative Costs Subtotal Owner Activities & Administrative Costs Subtotal Owner Activities & Administrative Costs Subtotal SEALTrust 12,018 1				·	0
Wetlands mitigation SEALTrust 12,018 Construction Contingency 6.0% 658,445  Construction Subtotal 11,829,212  Construction Cost per GSF  C. Building Completion Activity  Equipment Fixtures Furnishings 400,000 Move-Out Costs Move-In Costs Art Other (Interim Space Needs or Temp Reloc. Costs) OIT Support Maintenance Operation Support Building Completion Activity Subtotal D. Owner Activities & Administrative Costs Project Plng, Staff Support Project Management 1.5% 200,252 CIP Indirect Support 3.5% 467,256 Owner Activities & Administrative Costs Subtotal			alt#4		0
Construction Contingency Construction Subtotal Construction Subtotal Construction Cost per GSF  Construction Cost per GSF  Suilding Completion Activity Equipment Fixtures Furnishings Move-Out Costs Move-In Costs Art Other (Interim Space Needs or Temp Reloc. Costs) OIT Support Maintenance Operation Support Building Completion Activity Subtotal Downer Activities & Administrative Costs Project Plng, Staff Support Project Management CIP Indirect Support Owner Activities & Administrative Costs Subtotal Owner Activities & Administrative Costs Subtotal Owner Activities & Administrative Costs Subtotal Construction Subtotal Advances A					83,523
Construction Subtotal  Construction Cost per GSF  C. Building Completion Activity  Equipment Fixtures Furnishings Move-Out Costs Move-In Costs Art Other (Interim Space Needs or Temp Reloc. Costs) OIT Support Maintenance Operation Support Building Completion Activity Subtotal  D. Owner Activities & Administrative Costs Project Plng, Staff Support Project Management CIP Indirect Support Owner Activities & Administrative Costs Subtotal  S,828,283  400,203  400,000  725  400,000  725  00  725  00  725  00  725  00  725  00  725  00  725  00  725  00  725  00  725  00  725		<del>-</del>			12,018
C. Building Completion Activity Equipment Fixtures Furnishings Move-Out Costs Move-In Costs Art Other (Interim Space Needs or Temp Reloc. Costs) OIT Support Maintenance Operation Support Building Completion Activity Subtotal D. Owner Activities & Administrative Costs Project Plng, Staff Support Project Management 1.5% 200,252 CIP Indirect Support Owner Activities & Administrative Costs Subtotal Owner Activities & Administrative Costs Subtotal Owner Activities & Administrative Costs Subtotal		Construction Contingency			
C. Building Completion Activity Equipment Fixtures Furnishings Move-Out Costs Move-In Costs Art Other (Interim Space Needs or Temp Reloc. Costs) OIT Support Maintenance Operation Support Building Completion Activity Subtotal D. Owner Activities & Administrative Costs Project Plng, Staff Support Project Management 1.5% CIP Indirect Support Owner Activities & Administrative Costs Subtotal Owner Activities & Administrative Costs Subtotal Owner Activities & Administrative Costs Subtotal			Construction Subtotal	·	8,828,283
Equipment Fixtures Furnishings Move-Out Costs Move-In Costs Art Other (Interim Space Needs or Temp Reloc. Costs) OIT Support Maintenance Operation Support Building Completion Activity Subtotal D. Owner Activities & Administrative Costs Project Plng, Staff Support Project Management 1.5% 200,252 CIP Indirect Support 3.5% 467,256 Owner Activities & Administrative Costs Subtotal  Fixtures 400,000 725  400,000 725  200,000 725  328,357 Owner Activities & Administrative Costs Subtotal		·		\$ 340.23	
Fixtures Furnishings Move-Out Costs Move-In Costs Art Other (Interim Space Needs or Temp Reloc. Costs) OIT Support Maintenance Operation Support Building Completion Activity Subtotal  D. Owner Activities & Administrative Costs Project Plng, Staff Support Project Management 1.5% 200,252 CIP Indirect Support Owner Activities & Administrative Costs Subtotal	C.	• •			
Furnishings 400,000  Move-Out Costs  Move-In Costs  Art Other (Interim Space Needs or Temp Reloc. Costs) OIT Support Maintenance Operation Support  Building Completion Activity Subtotal  D. Owner Activities & Administrative Costs Project Plng, Staff Support Project Management 1.5% 200,252 CIP Indirect Support 3.5% 467,256 Owner Activities & Administrative Costs Subtotal Owner Activities & Administrative Costs Subtotal Owner Activities & Administrative Costs Subtotal  400,000  725  400,000  725  328,357		• •			
Move-Out Costs Move-In Costs Art Other (Interim Space Needs or Temp Reloc. Costs) OIT Support Maintenance Operation Support Building Completion Activity Subtotal  D. Owner Activities & Administrative Costs Project Plng, Staff Support Project Management 1.5% 200,252 CIP Indirect Support 3.5% 467,256 Owner Activities & Administrative Costs Subtotal  Owner Activities & Administrative Costs Subtotal  Move-Out Costs 400,000  725  220,252 172,467 328,357		Fixtures			
Move-In Costs Art Other (Interim Space Needs or Temp Reloc. Costs) OIT Support Maintenance Operation Support Building Completion Activity Subtotal  D. Owner Activities & Administrative Costs Project Plng, Staff Support Project Management 1.5% 200,252 CIP Indirect Support 3.5% 467,256 Owner Activities & Administrative Costs Subtotal Owner Activities & Administrative Costs Subtotal		_		400,000	725
Art Other (Interim Space Needs or Temp Reloc. Costs) OIT Support Maintenance Operation Support Building Completion Activity Subtotal  D. Owner Activities & Administrative Costs Project Plng, Staff Support Project Management 1.5% 200,252 CIP Indirect Support 3.5% 467,256 Owner Activities & Administrative Costs Subtotal Owner Activities & Administrative Costs Subtotal					
Other (Interim Space Needs or Temp Reloc. Costs) OIT Support Maintenance Operation Support Building Completion Activity Subtotal  D. Owner Activities & Administrative Costs Project Plng, Staff Support Project Management 1.5% CIP Indirect Support Owner Activities & Administrative Costs Subtotal Owner Activities & Administrative Costs Subtotal  Owner Activities & Administrative Costs Subtotal  Other (Interim Space Needs or Temp Reloc. Costs)  400,000  725  200,252 172,467 328,357		Move-In Costs			
OIT Support Maintenance Operation Support Building Completion Activity Subtotal  D. Owner Activities & Administrative Costs Project Plng, Staff Support Project Management 1.5% CIP Indirect Support Owner Activities & Administrative Costs Subtotal Owner Activities & Administrative Costs Subtotal  Our Activities & Administrative Costs Subtotal		Art			
Maintenance Operation Support  Building Completion Activity Subtotal 400,000 725  D. Owner Activities & Administrative Costs  Project Plng, Staff Support 200,252  Project Management 1.5% 200,252  CIP Indirect Support 3.5% 467,256  Owner Activities & Administrative Costs Subtotal 667,508 500,824		Other (Interim Space Needs or	Temp Reloc. Costs)		
Building Completion Activity Subtotal  D. Owner Activities & Administrative Costs Project Plng, Staff Support Project Management 1.5% CIP Indirect Support Owner Activities & Administrative Costs Subtotal Owner Activities & Administrative Costs Subtotal  725  200,252 172,467 328,357		OIT Support			
D. Owner Activities & Administrative Costs Project Plng, Staff Support Project Management 1.5% 200,252 CIP Indirect Support 3.5% 467,256 Owner Activities & Administrative Costs Subtotal 667,508		Maintenance Operation Suppo	rt		
Project Plng, Staff Support Project Management 1.5% 200,252 172,467 CIP Indirect Support 3.5% 467,256 Owner Activities & Administrative Costs Subtotal 667,508 500,824		Building Co	mpletion Activity Subtotal	400,000	725
Project Management       1.5%       200,252       172,467         CIP Indirect Support       3.5%       467,256       328,357         Owner Activities & Administrative Costs Subtotal       667,508       500,824	D.		tive Costs		
CIP Indirect Support 3.5% 467,256 328,357 Owner Activities & Administrative Costs Subtotal 667,508 500,824					0
Owner Activities & Administrative Costs Subtotal 667,508 500,824		Project Management	1.5%	200,252	172,467
		CIP Indirect Support	3.5%	467,256	328,357
E. Total Project 14,029,686 10,398,044		Owner Activities & Adr	ninistrative Costs Subtotal	667,508	500,824
	E.	Total Project		14,029,686	10,398,044

### **Juneau Campus Modifications 2014-2016**



**Project Description:** Renewal of the Whitehead and Hendrickson Buildings including replacement of heating, ventilation, lighting and electrical distribution systems. Building envelopes will be significantly improved to reduce energy consumption. Work will include relocations of current occupants and work outside of these two buildings that is required to accommodate occupant relocations.

Phase 1 includes moving current Hendrickson Building tenants to other spaces during the Spring of 2015 and beginning remodel of the Hendrickson Building in the fall of 2015.

Total Project Cost: \$12,771,000 Phase 1 - \$5,300,000

**Project Architect: Northwind Architects** 

**Project Contractor: TBD** 

**Project Schedule: Phase 1** 

Planning & Design 2/2014 – 11/2014 Bid & Award 11/2014 – 12/2014 Construction 1/2015 - 7/2016

**Project Approvals** 

Formal Project Approval February 2014

Schematic Approval Phase 1 (Pending)

**Status Update:** 

Schematic design for Phase 1 is completed.



## **Juneau Campus Site Lighting Replacement**





**Grounds inspector** 

### **Project Description:**

Replacement of all underground wiring, lighting bases and lighting fixtures within the central campus roads and parking areas.

Total Project Cost: \$1,487,000

Project Engineer: Begenyi Engineering

**Project Contractor:** Chatham Electric

**Project Schedule:** 

Planning & Design 10/2013 – 9/2014 Bid & Award 4/2014 Construction 5/2014 -10/2014

**Project Approvals:** 

Formal Project Approval June 2013 Schematic Approval March 2014

**Status Update:** 

Construction is 75% complete.



### **Technical Education Center Renewal**





#### **Project Description:**

- Reconfigure program areas to reflect current demands, increase capacity of diesel education and mine training;
- Replacement or renewal of building mechanical, electrical systems to meet current codes, replace worn out equipment and reduce energy consumption and long term operating costs;

Total Project Cost: \$4,620,000 Phase 1 - \$1,500,000

**Project Architect: JYL Architects** 

Project Contractor: Alaska Commercial Contractors, Inc.

**Project Schedule: Phase 1** 

Planning & Design 1/2013 – 5/2014 Bid & Award 6/2014 – 6/2014 Construction 7/2014 - 10/2014

**Project Approvals** 

Formal Project Approval December 2013 Schematic Approval (Phase 1) May 2014

#### **Status Update:**

Phase 1 of the project is under construction with substantial completion anticipated for late August 2014.



# Common Issues & Risks For Audit Committee Focus

# Presentation to: Audit Committee

**September 19, 2014** 

Ashok K. Roy, Ph.D., CIA, CFSA, CBA Vice President for Finance & Administration/ CFO



"The Audit Committee serves as the institution's first line of defense when considering financial reporting, internal control, compliance, and risk management."

-AGB Effective Committee Series



September 19, 2014 3

# Financial Risks – Functional Areas

### **Control Environment**

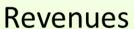
Integrity & Ethical Values Risk Management

Commitment to excellence
System Policies & Procedures

# Financial Management

Financial Health Indicators
Banking & Cash controls
Regulatory compliance

Budgeting & Allocation Standards User Security – Financial Systems Grant Management



Tuition & Fees
Accounts receivable
Service revenue
Grants & Contracts
Foundations
Customized Training
IP Licensing



Employee payroll
Accounts payable
Procurement
Purchase cards
Capital projects
Financial Aid
Student payroll

### Other

Auxiliary
Athletics & Students
Capital Assets
Activity Fund
Document Imaging
Revenue Fund

# Institution Financial Risks: Metrics

Metric Category	Factors Measured
Financial Condition (Points = 300)	<ul> <li>Operating gains or the size of losses</li> <li>Composite Financial Index (CFI)</li> <li>Overall materiality of financial transactions</li> </ul>
Audit (Points = 350)	<ul> <li>Time since last internal control and compliance audit and the volume of findings</li> <li>Whether the institution has an annual financial statement audit; volume of findings from the last audit</li> <li>Number of outstanding unsatisfactory audit findings</li> </ul>
Business Operations (Points = 275)	<ul> <li>Change or loss in key personnel, knowledge, or skill</li> <li>Diversity or complexity of operations</li> <li>Number of incomplete security access rights</li> </ul>
Other (Points = 100)	Use of professional judgment to make or adjust for a significant financial risks at a specific institution

# **Broad Themes**

• The key component to manage global risk is taking a <u>holistic</u> view (i.e. a total picture of risk correlations and aggregations) to <u>connect</u> <u>the risk dots</u>.

What are the known unknowns?

# 1) <u>Financial Statements</u>, <u>Adequacy of Internal</u> <u>Controls</u>, <u>Compliance</u>, and <u>Strategic Risks</u>

1.1. Challenges/ vulnerabilities (could be possible game changers)

Revenue Trends (5 years)

- Steps we are taking to address declining enrollment, flat GF budget revenue?
- Grants... will we see growth? How? Where?
- Endowment/ Gifts... will we see growth? How?

Costs Trends (5 years)

Plot the following samples:

- Expenditures per 100 headcount of students.
- Cost associated with raising \$1.
- Number of staff FTEs per 100 headcount of students.
- Facilities expenditures per 2,000 gross square feet.
- Research expenditures per researcher FTE.

### 1.2. New accounting standards:

• What are the new GASB requirements for FY15? How will new GASB requirements impact the university?

### 1.3. Compliance:

 Is the university in compliance with federal and state laws and regulations (including FERPA, PCI, Red Flag, OSHA, Form 990T tax returns, A-133, NCAA, financial statements audits, granting agency audits, HIPPA)?

## 1.4. Component units:

 Which of the university's component units (Nanook Innovation Corporation, Seawolf Holdings) have benefited from significant activity?

## 1.5. Enterprise risk management

- What risks are associated specifically with Shaping Alaska's Future?
- Has our risk tolerance changed? How much more/less financial risk is the university willing to take? Identify risks that we are clearly unwilling to take.
- Do our perceived risks and our risk tolerance limits reflect the real UA operating environment?
- Have we clearly identified leadership's decision-making responsibilities with regard to risk?
- Can the UA System satisfactorily seek out conflicts of interest among campuses?

September 19, 2014 10

 What risks are impacted or created by accepting new academic or business models, new student loan programs, federal funding, grants, accreditation, and meeting unfunded federal mandates?

# 2) Education Trust of Alaska and the University Pension Funds

- Trusts must be in compliance with the Internal Revenue Code and regulatory requirements.
- Investments managed, not set on autopilot.
- Assessing potential investment liabilities is a continuous process of oversight by experts.

# 3) Land Grant & Inflation Proofing Funds

- Can the investment portfolio return support continued levels of scholarship spending in a persistent down market?
- Is the process for setting the spending rate appropriate?

September 19, 2014 13

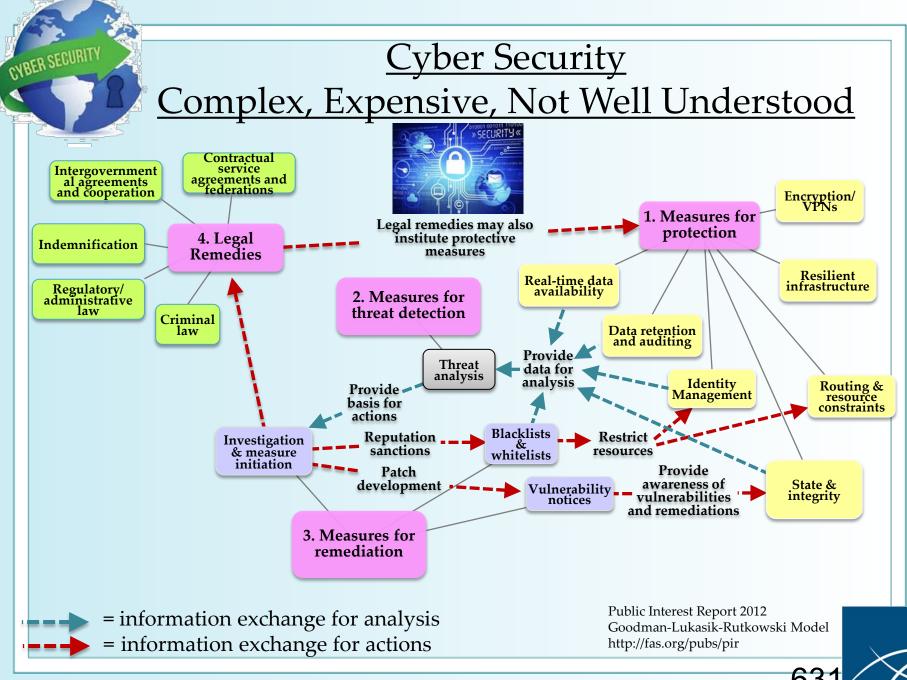
# 4) Operating Funds

- Needs continuous review.
- Does setting appropriate risk in the portfolio follow BOR policy?
- Can "adequate liquidity" actually be too conservative?

5) Cyber Security. Potential risk to university finances, reputation, are huge. Cyber attacks against universities are occurring daily across the U.S.

- What is our cyber risk?
- Cyber threats and risks to the university's highest value assets originate from where?
- Should we use something like a cyber security scoreboard to assess principal cyber risk areas/incidents/ trending, etc.?
- Is cyber-risk mitigation sensitive to cost of mitigation? Are we maxing our capability to afford?

September 19, 2014 15



September 19, 2014 16



# A Few Examples of Breaches in Higher Education

- University of Maryland Data breach (February 2014)
  - Over 300,000student and employee records dating as far back as 1998.
  - Cost is unknown as per industry experts cost is couple of million.
- Indiana University (February 2014)
  - 140,000 student records exposed for 11 months because of an employee error.
  - Known costs: \$75K for call centers, \$6k on mailings, & 700 hours of staff time.
- Maricopa County Community College District (April 2013)
  - 2.4 M student, employee and vendor records going as far back as 30 years.
  - Approx. \$10 M in notification, credit monitoring, and remediation. \$2.5 M in legal fees. \$7 M in hardware update. Class action suites & settlements.
- North Dakota University (March 2014)
  - Over 290,000 student and employee records.
  - Known costs include \$200,000 on identity theft protection.

September 19, 2014 17 632

# 6) External Auditor

- Compares university's financial health to last year.
- Audit opinion "unqualified?"
- Looks for internal-control issues.
- Assesses management's accounting estimates.
- Looks for any management issues.

# 7) Internal Audits

- Powerful tool for management oversight.
- Provides trends.
- Cycle-time to get reports out must be reasonable.
- Must track major findings and closure rates.
- How well does our team search for evidence of fraud?

# 8) Title IX: Sexual Misconduct

- Bottom line: Has to stop.
- 55 higher education institutions are currently under review by the U.S. Department of Education Office of Civil Rights\*.
- Potentially large unfunded mandates.
- Potentially large liability, fines.
- Bottom line: Take this <u>very</u> seriously!

\*Two separate offices within DOE handle campus sexual assault investigations(OCR handles Title IX & Federal Student Aid office oversees investigations under the Clery Act.

Addendum 24

Russell O'Hare, Ed.D, MBA, CRM Chief Records Officer (907) 450 - 8078 (907) 450 - 8071 fax rjohare@alaska.edu



Office of the Vice President for Finance and Administration 910 Yukon Drive Ste. 208 Fairbanks, AK 99775-5120

#### **MEMORANDUM**

Date: August 4, 2014

To: Dr. Ashok Roy, Vice President for Finance and Administration

From: Dr. Russ O'Hare, Chief Records Officer/

RE: 2014 UA Identity Theft Prevention Program & Transcript Credit Evaluation Update
University of Alaska Identity Theft Prevention Program Red Flags Annual Report

Board Policy mandates that once a year we have to report compliance under the UA Identity Theft Prevention Program (Red Flags).

This memorandum provides a summary of program information provided by representatives from UAA, UAF, and UAS.

- There were no reported instances of suspicious activities of covered accounts or incidents of identity theft.
- The campuses report the program procedures have strengthened the protection of the university's customer information.

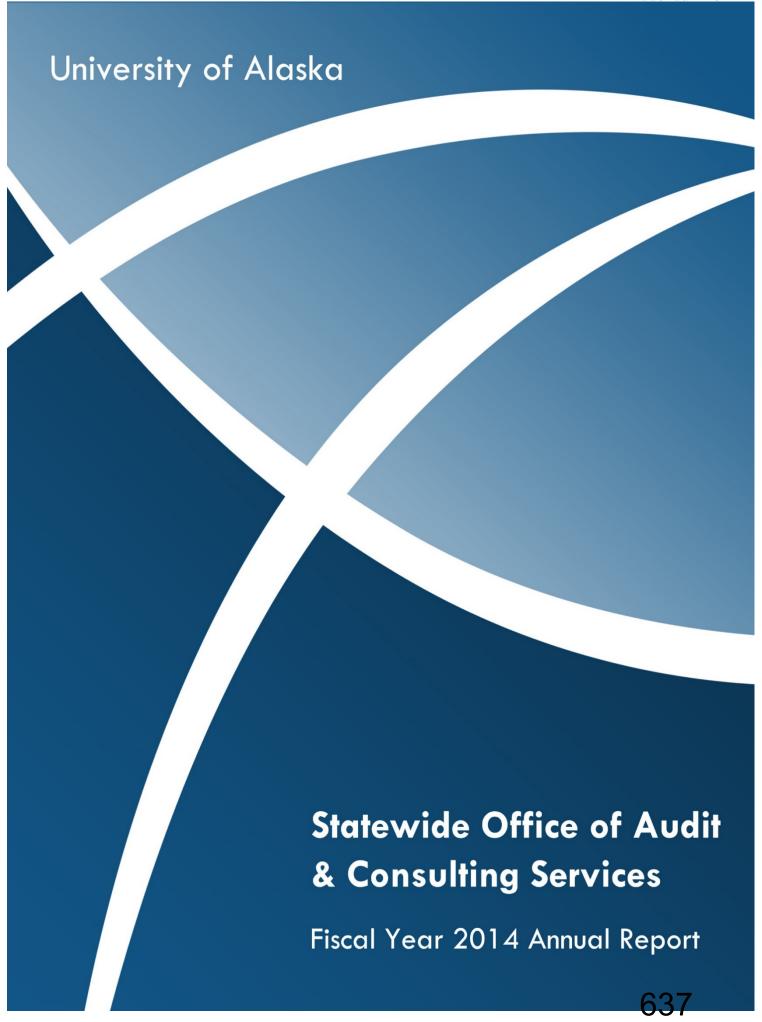
Additional information and recommendations follow:

- Campuses recommend identifying job families where responsibilities would include access to and handling of personally identifiable information to take the identity theft prevention training.
- UAF recommends that identity theft training be offered as part of the mandatory training expected during the employee on-boarding process.
- UAS noted experiencing a small number of cases where the identity of individuals requesting student FERPA protected information could not be verified when challenge questions were posed. Information was not released.

### OnBase Transcript Credit Capture Solution Update

We are pleased to inform that members of the UAF and UAS Registrar offices, in conjunction with the Records and Information office have completed development, training, and testing of the OnBase Transfer Credit Capture Integration solution. The solution automates the transcript review process by capturing and transferring transcript information electronically to Banner for credit articulation.

Previously the evaluations of student transcripts took a significant amount of time, now with the implementation of this solution the processing time will be greatly reduced. Initial testing showed that transcripts processing 60% faster using preconfigured templates in OnBase than the current manual data entry process for the same transcript.



### **Table of Contents**

Executive Summary	
Organizational Chart and Staff Profile	2
Audits and Projects Completed in FY14	4
Final Status of the FY14 Audit Plan	5
2014 Fiscal Year Goals and Accomplishments	6
Audit Engagement Tasks	6
Staffing Tasks	7
Quality Assurance Tasks	8
Outreach Tasks	8
Status of FY2015 Annual Audit Plan	10
External Financial Audit Support:	10
Audits and Projects:	10
Function and System Reviews	10
Information Systems Reviews	10
Ongoing Audits	10

### **Executive Summary**

The Board of Regents of the University of Alaska has oversight responsibility of internal and external audit functions, and for ascertaining the existence and adequacy of accounting and internal control systems and safeguards over University assets. The mission of the Office of Audit and Consulting Services (A&CS) is to "Assist the board and management in the effective discharge of their fiduciary and administrative responsibilities by providing analysis, appraisals, counsel, information and recommendations concerning activities reviewed and by promoting effective controls for the recording and reporting of operational activities and for the custody and safeguarding of assets."

This report contains an overview of the A&CS organization, a summarization of the internal reports issued over the past fiscal year and progress made toward completing the FY2014 audit plan. This report is being provided in accordance with the audit charter, which states:

- P05.03.020. Organization.
  - A. The chief audit executive shall report administratively to the chief finance officer and functionally to the chair of the Audit Committee of the board.
- P05.03.026. Audit Planning.
  - A. The chief audit executive shall independently develop the annual audit plan using a risk-based prioritization of the audit universe.
  - B. The chief audit executive shall present the audit plan to the Audit Committee for review and approval.
  - C. Significant deviation from the formally approved plan will be communicated to senior management and the Audit Committee through periodic status reports.

The A&CS charter was developed in accordance with the internal auditing standards promulgated by the International Institute of Internal Auditors:

Standard 2010 "The chief audit executive must establish risk-based plans to determine the priorities of the internal audit activity, consistent with the organization's goals. The internal audit activity's plan of engagements must be based on a documented risk assessment, undertaken at least annually. The input of senior management and the board must be considered in this process."

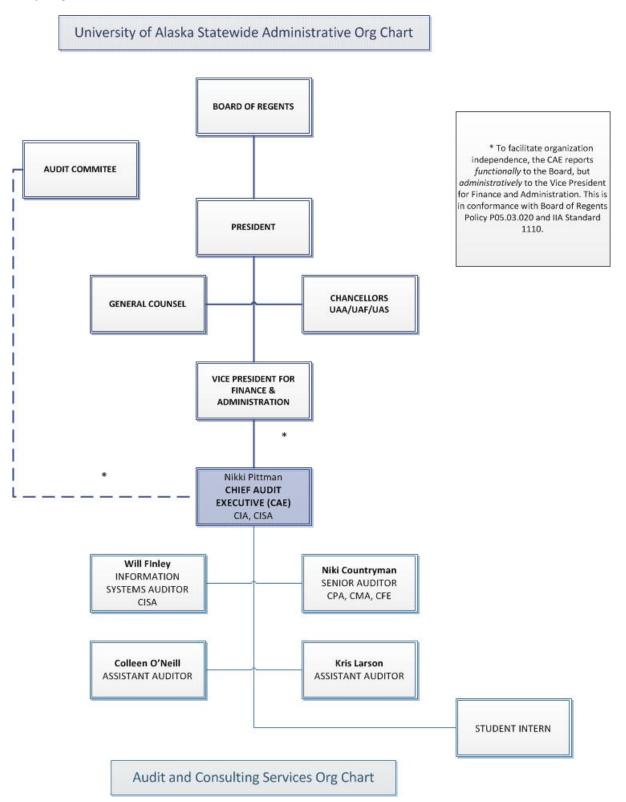
Standard 2020 "The chief audit executive must communicate the internal audit activity's plans and resource requirements, including significant interim changes, to senior management and the board for review and approval."

Standard 2060 "The chief audit executive must report periodically to senior management and the board on the internal audit activity's purpose, authority, responsibility, and performance relative to its plan..."

The information provided documents how A&CS assists management in mitigating risk and identifying improvements to UA operations. Management responded to the audit report recommendations made over the past year with adequate action plans or accepted the risk of not taking action. Action plans and acceptance of risk were communicated via final audit reports.

### Organizational Chart and Staff Profile

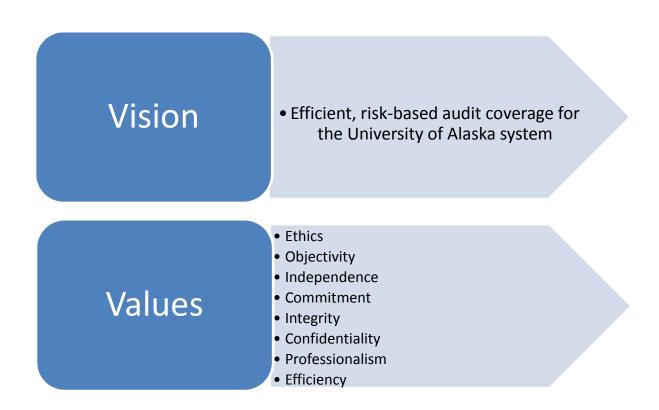
As of August 2014



Five professional audit staff serve all locations within the UA system. The staff has a variety of specialized subject matter expertise:

- 2 Certified Information Systems Auditors (CISA)
- 2 Masters of Business Administration (MBA)
- 1 Certified Public Accountant (CPA)
- 1 Certified Internal Auditor (CIA)
- 1 Certified Management Accountant (CMA)
- 1 Certified Fraud Examiner (CFE)

Auditors continue to pursue professional certifications such as the CPA, CIA and CFE. Achievement of professional certifications supports A&CS achieve its *Vision and Values*:



### Audits and Projects Completed in FY14

During the period from July 2013 to June 2014 the following engagements were completed:

Institution	Engagement Title	Assurance Provided Based Upon Procedures Performed
UAA	Restricted Funds Departmental Budget and Expenditure Monitoring	Evaluated processes for compliance with federal requirements. Control improvements are in process for the approval of invoices charged to awards.
UAA	Mat-Su College Phase II	Reviewed accounts payable processes for compliance with university procedures. Control improvements are in process for use of vendor charge accounts and documentation maintained for travel mileage reimbursement and nonrepresentational expenditures.
UAA	Disability Support Services	Reviewed the department's contract administration and budget processes. Control improvements were recommended for contracted interpreters to certify their hours, update written procedures, and emphasize budget monitoring duties.
UAF	Electronic Research Administration	Evaluated project and contact administration for an electronic research administration application and services. Control improvements were recommended for contract administration oversight and systems implementation governance.
UAS	Sitka Campus Title III Compliance	Evaluated processes for compliance with federal requirements. Control improvements were recommended for maintaining documentation that supports data used in the proposal.
UA System	Student Enrollment Data Integrity	Evaluated the integrity of data input during student enrollment and through three student systems. A memo was distributed to communicate informational items to management.
UA System	Procurement Card	Evaluated processes for adequacy, efficiency and compliance with established university guidance. As a result, credit limits were reduced, effectively reducing UA's risk exposures. Control improvements have occurred or are in progress to identify authorization for establishing credit limits and improve training for cardholders, reconcilers and approving officials, which should in effect reduce the risk posed by other control issues noted in the report.

### Final Status of the FY14 Audit Plan

The following outlines the status of planned activities for fiscal year 2014. These activities were presented and approved by the Board of Regents Audit Committee in June 2013.

	Status of FY14 Activities	
Scheduled Projects Completed	FYE14 External Audit	
	o Payroll	
	o Journal Entries	
	o Disbursements	
	o Wires	
	o Tuition and Fees	
	o Procurement Card	
	State of Alaska Executive Travel and Compensation Report for	
	CY2013	
	UAA Disability Support Services	
	UAA Mat-Su Campus Phase II	
Added Projects Completed	Procurement Card	
	Electronic Research Administration	
	Confidential reviews (10)	
Projects Completed from Prior	Sitka Campus Title III Compliance	
Years	UAA Restricted Funds Departmental Budget and Expenditure	
	Monitoring	
	Data Integrity	
Projects Deferred**	UAF Restricted Funds Departmental Budget and Expenditure	
	Monitoring	
	UAF Student	
	UAF Athletics	
	SW Training	
	System-wide Budget	
	System-wide Contract Authorization and Administration	
	System-wide Risk Management	
	Mobile Technology Security	
	Records Management and Data Disposal	
Other Activities	Follow-up Auditing	
	Continuous Controls Auditing	
	Tracked 7 external audits and consultant reviews	
	Participated with Business Continuity Implementation	
	Fraud policy and regulation development	
	System-wide hotline selection and implementation	
In Progress	OnBase Access Controls	
	FYE14 External Audit	
	o Cash	

<sup>\*\*</sup> Throughout the year, new risks and competing priorities arise, resulting in the need to revise the original audit plan.

### 2014 Fiscal Year Goals and Accomplishments

### **Audit and Consulting Services Mission Statement**

The mission of the audit and consulting services department is to assist the board and management in the effective discharge of their fiduciary and administrative responsibilities by providing analysis, appraisals, counsel, information and recommendations concerning activities reviewed and by promoting effective controls for the recording and reporting of operational activities and for the custody and safeguarding of assets.

The International Institute of Internal Auditors (IIA) defines internal auditing as "...an independent, objective assurance and consulting activity designed to add value and improve an organization's operations. It helps an organization accomplish its objectives by bringing a systematic, disciplined approach to evaluate and improve the effectiveness of risk management, control and governance processes."

To meet the department's mission and the definition of internal auditing, the Office of Audit and Consulting Services establishes annual goals in four strategic areas: Audit Engagements, Staffing, Quality Assurance and Outreach.

### Accomplishments achieved in fiscal year 2014 are outlined as follows:

Audit Engagement Goals		Accomplishments
-	Effectively identify the best use of limited audit resources to maximize broad-based coverage in key risk areas.	Used a risk-based process to identify engagements for the audit plan. The process included am executive management survey and discussion, Board of Regents discussion and input, industry analysis and results from prior audits: internal, external and agency. The resulting audit plan was presented to the President, executive management, and to the Audit Committee for their approval.  A&CS completed 7 engagements and issued 7 reports with recommendations related to grants and contracts compliance, regulatory compliance, data security, systems access, disbursements, accounts payable.  Set up the audit risk universe to link electronically to the audits related to universe entities or processes.
2.	Maximize benefit of all external audit services.	Acted as a liaison with all external auditors in relation to federal compliance and financial statement audits.
3.	Make value-added recommendations that identify strengths and system improvements to better meet objectives.	Completed follow-up audit procedures on 67 open recommendations from prior audits, noting that 48 were implemented and the remaining 19 were in-progress.
4. Identify and effectively respond		8 investigations completed.

to risks associated with fraud, waste and abuse.

3 instances where the potential for fraud, waste or abuse were reviewed and deemed that an investigation was not necessary, or the determination is in-progress.

Assisted with the development of a proposed fraud, waste and abuse policy and regulation, following best practices.

Assisted with the review, selection and implementation of a system-wide hotline:



#### Toll Free 855-251-5719

Hired a senior auditor that recently achieved the Certified Fraud Examiner credential.

Audit-level risk assessments include a fraud risk assessment.

### **Staffing Goals**

Employ highly-effective personnel that possess the technical and effective communication skill sets necessary to ensure successful identification and implementation of value-added recommendations.

#### Accomplishments

Annual career development planning was conducted with all audit staff.

Performance evaluations were conducted for all audit staff.

All staff received continuing professional education (minimum of 40 CPEs per year) to improve their performance as required by governmental auditing standards and certifying organizations.

Hired a senior auditor that is a Certified Public Accountant, Certified Management Accountant, and just received her designation as Certified Fraud Examiner.

All staff participated in professional organizations to remain current on industry and technical trends as well as to facilitate networking opportunities. Staff member participation included:

- Systems of Higher Education Chief Audit Executive forum
- Association of College and University Auditors forum conference track coordinator, membership committee and distance learning committee
- Pacific Northwest Higher Education Internal Auditors

	regional conference presenter.
Quality Assurance (QA) Goals	Accomplishments
Employ internal operational practices that comply with auditing standards and promote efficient use of limited resources.	Received a rating of partially conforms from a peer review conducted by a representative of the University of North Texas in fiscal year 2012. The majority of recommendations have been addressed successfully, as reported to the Audit Committee during regular meetings. The next peer review is scheduled to begin in fiscal year 2016.
	Modified the audit planning and reporting templates and numerous work paper templates to improve efficiency and meet the needs of executive management.
	Monitored continuing professional education (CPEs) to ensure the auditors received training that aligned with and enhanced their knowledge on topics related to the audit profession, higher education, and their specific audits and projects.
Aid the internal audit profession and gain ideas to improve QA methods by volunteering to conduct peer reviews of other university internal audit departments.	Conducted a peer review for the Montana State University at Bozeman Internal Audit department.
Outreach Goals	Accomplishments
Provide valuable resources through system-wide communication and education to assist campuses in meeting their objectives.	Regularly attended board and executive leadership team meetings to keep informed of changes and provide consultation on current issues and initiatives.  Presented on internal auditing and the UA Confidential Hotline at different forums consisting of fiscal and administrative staff, executive leadership and accounting students.
	Provide:  • Resources on risk assessment processes and enterprise risk management theory.
	<ul> <li>A web application that campuses can use at no cost to monitor their open audit recommendations and submit updates to the A&amp;CS.</li> </ul>
	Up-to-date information on the department website:
	www.alaska.edu/audit

Discussed compliance and information security within ad-hoc
and formal committees.

### Status of FY2015 Annual Audit Plan

As of August 2014

*Italic Items* - have been completed or are in progress

### External Financial Audit Support:

Payroll Journal Entries Cash Disbursements Cash Tuition and Fees
Wires
Procurement Card
Search for Unrecorded Liabilities

### Audits and Projects:

#### Function and System Reviews\*:

- 1. Student Enrollment
- 2. Payroll and Human Resources
- 3. Travel and Travel Card
- 4. Accounts Receivable

### Information Systems Reviews:

- 1. Mobile Technology Security\*\*
- Records Management and Data Disposal\*\*
- 3. New Systems Governance
- 4. OnBase Access Controls (FY14)

#### Ongoing Audits:

Follow-up Auditing Continuous Controls Auditing

#### Legend:

\* Specific departments/areas to be determined during planning for specified audit or project. These will be selected from any of the universities or system offices.

\*\*Carried forward from FY14



Chair Jacobson reports the following:

The ACPE meeting was held at their office in Anchorage (Dimond Mall) on July 22, 2014.

Jerry Covey, ACPE commissioner and Former Alaska Commissioner of Education and Early Development provided an update on Alaska's Small Rural High Schools and Residential High Schools.

Dr. Dana Thomas, VP of Academic Affairs & Research, UA Statewide provided a briefing on the UA Shaping Alaska's Future Initiative.

Diane Barrans, ACPE director, provided a Legislative Recap and Next steps report. ACPE's FY15 budget was funded in HB 266 at the Governor's requested appropriation level ensuring stable funding for the organization.

-Program legislation – Re: SB 195 – Sponsored by Senator Anna Fairclough and cosponsored by Senator Gary Stevens, relating to the Commission, Corporation, loan and grant programs and to postsecondary educational institutions did pass the House with all members present in unanimous support of the bill. One amendment occurred in House Finance where it was amended to increase the annual loan limit for career ed programs to \$10,000. Neither the sponsor nor Ms Barrans objected as proposed by Finance Committee Co-Chair Stoltze. It was accepted by the committee with no objection.

-HB278, the Governor's Education Opportunity Act, enacts numerous changes to the law's regulating or impacting K-12 schools on an extremely broad array of areas which include school construction, funding and tax credits, charter, residential schools and correspondence study; special programs; and dual credit and high school exit exams.

-ACPE will be working with EED leadership to understand potential implications of the new high school exit exam requirements under which high school students may take any of the SAT, ACT or WorkKeys exams.

#### Compliance Activities report:

-Information was provided indicating that there are 623 exempt institutions or providers offering postsecondary education or training in Alaska. (I believe it was a little over 100 when I first became a commissioner nearly eight years ago). 483 of those are exempted due to offering distance or online instruction without a physical presence in the state,

-Staff has been providing technical support to the Program Director of the Alaska campus of the Seattle University School of Law relative to authorization to operate in Alaska. The School will be opening a satellite campus in Anchorage, scheduled for summer 2015.

-Staff investigated a formal complaint against Charter College relative to a discontinued program. Charter College complied with staff's recommendation of refunding the complainant's program expenses, and the investigation was closed.

-On May 13, 2014, ACPE was informed that the WICHE State Authorization Reciprocity Agreement (W-SARA) Steering Committee approved Alaska's request for membership in W-SARA, joining four other member states in the WICHE region. An informational webinar is scheduled for late July, to be delivered to institutions expressing interest in W-SARA participation.

-ACPE proposes to notice for public comment regulations changes in Title 20 Chapters 15, 16, 17 of the AK Administrative code dealing with the regulation of Alaska state financial aid programs and postsecondary institutions, including areas such as revising loan deferment eligibility.



#### **UAA Athletics Highlights**

The UAA Department of Athletics has successfully transitioned its offices from the Wells Fargo Sports Complex to the brand-new Alaska Airlines Center. Meanwhile, construction is still under way at the WFSC to expand the Seawolves' on-campus Hockey facilities.

Grand Opening Ceremonies for the Alaska Airlines Center are set for Sept. 5-14. The festivities will begin with ribbon-cutting and the Seawolves' own SpringHill Suites Invitational Volleyball tournament and continue all week, culminating with the inaugural 'Howlapalooza' music festival on the 14<sup>th</sup>.

UAA Hockey has added an exciting new opportunity for local children with the founding of Spirit's SeaPups Club. Designed to get younger fans engaged with the Seawolf program, the SeaPups membership will include admission to all 16 home games at Sullivan Arena, a special seating section and extra opportunities to interact with team.

UAA head ski coach Sparky Anderson made an impressive addition to his staff recently with the hiring of two-time Olympian Sara Studebaker as the Seawolves' new Nordic assistant coach. A biathlete from Boise, Idaho, Studebaker competed collegiately at Dartmouth and last spring was part of Team USA in the Sochi Olympics.



#### Dear Board of Regents,

Over the summer UAA completed the first phase of the prioritization process, with all academic and administrative functions assessed in terms of alignment with mission and Shaping Alaska's Future. This fall UAA will conduct the post-prioritization process, to assess all academic programs and administrative functions that have been placed in the "transform" and "further review" categories. Those responsible for the programs in these categories will be asked to provide additional input and recommendations in light of the prioritization report. Chancellor's Cabinet will hold a series of meetings and make final recommendations, which will be available by the end of Fall 2014. Implementation of the recommendations will coincide with the development of FY17 budget priorities and PBAC.

Although the prioritization process has caused a sense of uncertainty in some quarters, this across-the-board effort is giving UAA an opportunity to focus future efforts and become a stronger institution. The alignment between prioritization and Shaping Alaska's Future will also provide a good foundation for moving forward in alignment with the University of Alaska's strategic direction.

I am saddened by Provost and Executive Chancellor for Academic Affairs Elisha "Bear" Baker's decision to retire at the end of this year. Bear has provided exemplary leadership during his many years at UAA and I have benefited greatly from his counsel. I am pleased he will continue to see the post-prioritization process through.

As we begin the 2014-2015 academic year, there is much to be proud of at UAA. The Alaska Airlines Center (AAC) opens in early September, the Engineering & Industry Building is on schedule and on budget, our alumni chapters and involvement are growing and UAA faculty and staff are providing customer service that is truly remarkable. As one parent remarked to me: "I want you to know that all of the staff that I dealt with at UAA were exemplary in all of their communications.... If these individuals represent the level of professionalism at UAA, I am very grateful my daughters have chosen this university to pursue their higher education."

Best regards,

Tom Case, Chancellor

Campus Kick-Off 2014 was a great success; the excitement

of a new year beginning was everywhere.





# The U.S. Department of Homeland Security Science and Technology Directorate

selected UAA and the Stevens Institute of Technology as co-leads for a new Center for Excellence for Maritime Research. Each partner will

receive an initial \$1 million cooperative agreement for operations through June 2015.





#### Green & Gold Gala Sept. 27

This year UAA honors Alumni of Distinction Ted Trueblood, president of Tryck Nyman Hayes Inc. (Ret.), M.S. Engineering '80; Cristy Hickel, program director of Sports Programs for Youth Develop-

ment, Education & Recreation, B.Ed. Physical Education '86, M.S. Interdisciplinary Studies '80; and Virginia Groeschel, aviation design engineer, B.S. Civil Engineering '06.

#### Title IX and collegiality

More than 1,000 UAA faculty and staff have completed Title IX training since June. UAA has also provided Title IX training to UAS.

### **UAA Shaping Alaska's Future**

### Law School partnership with Willamette

The official signing of the MOU between Willamette School of Law and UAA establishing a 3 + 3 law school takes place Sept. 11.

#### **Leadership transitions**



Bart Quimby is the interim dean for the College of Engineering. Bart was also awarded emeritus professor status in May.



Bonnie Nygard is the interim dean for the Community & Technical College. Bonnie has served as associate and interim dean for CTC and assistant pro-

vost for Workforce Development & Career Pathway Planning.



John Mouracade, Ph.D., is interim dean for the University Honors College. John has been a faculty member in the Department of Philosophy since arriv-

ing at UAA in 2005.



Dan O'Connor is the new college director for Prince William Sound Community College.

Jim MacKenzie is the new assistant vice chancellor for Development. Jim has more than 20 years in non profit, public and private sector management and leadership experience in Alaska.

Dr. John R. Nofsinger holds the William H. Seward Endowed Chair in Finance.

Mark Trahant will return to UAA for a second year as the Atwood Chair of Journalism.

#### **Student Achievement and Success**

UAA's Office of Student Affairs developed an online One-Stop Advising page that reduces 38 different banner screens to one screen and 23 clicks to two clicks, to aid advisors and students.

Beginning in fall 2014, advising and orientation are mandatory for all first- and secondyear degree-seeking students.

UAA students in kinesiology-related majors placed fifth in the American College of Sports Medicine Student Bowl.

Matt Ostrander won the 2014 Global Debate and Public Policy Challenge in Budapest, Hungary.

#### Partnerships with K-12

The Anchorage School District held the 2014 Elementary Cross-District In-Service at UAA during which ASD and UAA leadership emphasized their partnership.

UAA signed an MOU with the Anchorage School District paving the way for students enrolled in high school to receive dual credit (high school and college) for college courses at UAA.

UAA's 2014 Summer Engineering Academies, sponsored by BP, served 362 middle and high school students, with waiting lists of more than 50.

Prince William Sound Community College expanded its dual credit program with the Copper River School District.

#### **Public and private partnerships**

The Alfred P. Sloan Foundation awarded a three-year, \$2.4-million grant to a network of universities (including UAA and UAF), to increase the number of American Indian and Alaska Native students obtaining advanced degrees in STEM disciplines.

Kenai Peninsula College provided support to firefighters and support personnel during the Funny River Horse Trail Fire, including housing, classroom and IT support.

Mary Jane and Ed Phelps established the Frank and Jennie (Kalkman) Clark Scholarship with a gift of \$26,000, to support local students at Mat-Su College.

Mat-Su College celebrated the establishment of a new Mat-Su area alumni chapter with a barbecue in July.

#### **Research supporting Alaska**

Dr. Betty Monsour served as executive chair, and UAA researchers presented work at the 20th International Epidemiological Association's World Congress, held in Anchorage in August.

UAA briefed the incoming chair of the Arctic Research Council, Admiral Robert Papp, on social, economic, health and biological Arctic research at UAA as well as work being done by ANSEP.

#### **Accountability to the state of Alaska**

The College of Business and Public Policy's experimental economics program has been ranked in the top 10 percent of programs of its kind worldwide.

\$avvy \$eawolf- Financial Literacy@UAA provides workshops about student loans.

The UAA/APU Consortium Library received grants to make library resources and tutoring services available to all residents of Alaska.

8-28-2014 653



## **CHANCELLOR'S REPORT**

UNIVERSITY OF ALASKA FAIRBANKS

September 2014



**UAF took delivery of the Research Vessel Sikuliaq** on Lake Michigan in June. The *Sikuliaq*, pictured here during winter testing in the Great Lakes, is now en route via the Panama Canal to Hawaii, where it will pick up a team for its first funded science cruise in October. The ship will operate in the Pacific Ocean until January, when it heads to Alaska. Bering Sea ice trials begin in March. The ship will be available for funded operations in Alaska waters in summer 2015. (Photo by Val Ihde.)

#### ACHIEVEMENTS \_\_\_\_\_

**UAF received an \$18.8 million award** from the National Institutes of Health to fund statewide biomedical research and student training focused on the interface of health, disease and the environment in people and animals. The five-year award will continue support for an NIH Institutional Development Award Network of Biomedical Research Excellence linking university-based researchers and students from UA's campuses in Fairbanks, Anchorage and Juneau to meet both the research and workforce needs of Alaska's cities and rural communities. Brian Barnes with the Institute of Arctic Biology will serve as the principal investigator.

**Researchers with the International Arctic Research Center** collaborated with the National Park Service to produce a 24-page booklet, *State of Change*, describing climate change in Alaska's national parks. The guide is available in Alaska's 15 national parks and online.

**UAF graduate student Laura Starr** is pairing ecology and economics in her research. Starr, who is studying natural resources management, was recently awarded a \$25,000 Sustainable Agriculture Research and Education grant to continue her work. She is only the second UAF student to receive a SARE award from the U.S. Department of Agriculture in the past 26 years.

**The Alaska Center for Unmanned Aircraft Systems Integration** planned to host the Alaska Unmanned Aircraft Systems Interest Group annual meeting Sept. 15–18 in Anchorage. The meeting was open to interested government, industry and private participants in the field of unmanned aircraft systems and robotics, and potential users.

**The UAF Alaska Satellite Facility** celebrated the opening of its newest NASA-sponsored antenna, AS3, in June. Satellite observations monitored by AS3 will contribute to detailed mapping, observation of sea ice and severe weather patterns, and global climate change research. The 11-meter dish antenna supports the NASA Near Earth Network, which provides various satellite information services. The Alaska Satellite Facility is part of UAF's Geophysical Institute.

#### IN PROGRESS

**UAF's Office of Diversity and Equal Opportunity** offered a series of trainings on sexual harassment and the federal Title IX law during the summer. Materials are being distributed throughout the campuses to inform students, staff and faculty of their legal rights and responsibilities. The federal Office of Civil Rights is conducting a compliance review of UA campuses.

The review of UAF's Sustainability Master Plan draft is underway. The report identifies ways to make buildings more efficient, cut electricity consumption and reduce vehicle traffic to campus.

#### WHAT'S NEXT .

**The 2014 Arctic Science Conference,** sponsored by the Arctic Division of the American Association for the Advancement of Science, will take place Sept. 27–28 in the Murie Building on UAF's West Ridge.

Nanook Rendezvous Alumni Reunion will take place Sept. 26–27 in Fairbanks. We will celebrate all classes, with special recognition for the classes of 1964 and 1989. The weekend will take place in conjunction with Starvation Gulch, a Nanook tradition going back to 1923.

The UAF Launchpad initiative provides university software programmers with an e-commerce platform to distribute their software. The initiative, developed by the nonprofit Nanook Innovation Corp., builds on the efforts at the Office of Intellectual Property and Commercialization to license technology and create startups. Through this initiative, inventors are able to gauge the marketability of their products with the advantage of learning what consumers want. They can then modify their technology to quickly adapt to a changing market.

## THROUGH THE LENS: RECENT IMAGES

UNIVERSITY OF ALASKA FAIRBANKS

September 2014



The leading edge of Frozen Debris Lobe A has crept to within 142 feet of the Dalton Highway, pictured here in the central Brooks Range in June. The trans-Alaska pipeline's buried route through the Dietrich River valley is visible at lower left.

UAF and state researchers have identified 23 frozen debris lobes less than a mile uphill of the highway. Lobe A, the closest, could hit the highway within a decade. Another lobe is visible on the mountainside behind Lobe A.

The lobes are made of rock, gravel, sand, silt and organic matter. Researchers also were surprised to find liquid water at below freezing temperatures inside Lobe A.

Read more at www.uaf.edu/aurora/.









The University of Alaska Fairbanks is accredited by the Northwest Commission on Colleges and Universities. UAF is an affirmative action/equal opportunity employer and educational institution. Produced by UAF Marketing and Communications. UAF photos by Todd Paris unless otherwise indicated.

Chancellor Brian Rogers • uaf.chancellor@alaska.edu • www.uaf.edu/chancellor/

Photos, clockwise from left

**Students and staff** of the Alaska Business Week summer camp pose on the Fairbanks campus in August.

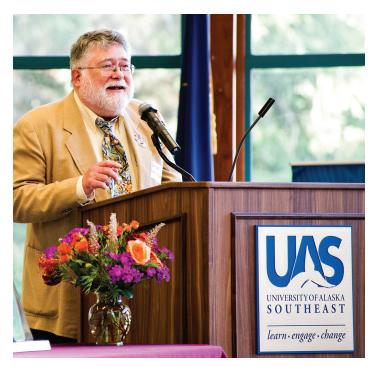
Current and former firefighters with the University Fire Department march in the 2014 Golden Days parade through downtown Fairbanks in July. The department celebrated its 50th anniversary this year.

Michael Cook, with UAF's Alaska Center for Unmanned Aircraft Systems Integration, holds a quadcopter with Alaska State Trooper Elondre Johnson after a demonstration in July of how emergency responders can use unmanned aerial vehicles.

**Toolik Field Station**, on Alaska's North Slope, bustles with activity in June. The UAF Institute of Arctic Biology operates the station, which hosts scientists from around the world who conduct Arctic-based research.

# Chancellor's Report Chancellor John Pugh

uas.alaska.edu/chancellor September 2014 Meeting



Richard Dauenhauer receiving the University of Alaska Foundation's Edith R. Bullock Prize for Excellence at the Egan Library in June, 2013.

Photo credit: Henry Masters

# Richard Dauenhauer: Scholar, Poet, Translator and Historian

The University of Alaska Southeast mourns the passing of retired faculty Richard "Dick" Dauenhauer. Dauenhauer passed on from inoperable pancreatic cancer the morning of August 19, 2014 at the age of 72. A Russian Orthodox service was held at St. Paul's Catholic Church in Juneau August 28 followed by burial at the Alaska Memorial Park. A celebration of his life and contributions to Alaska was held August 30 at the Elizabeth Peratrovich Hall.

As President's Professor and a former Alaska Poet Laureate, Dr. Dauenhauer was instrumental in developing the Alaska Native Language program and inspiring creative writing at UAS. He was the 2013 recipient of the University of Alaska Foundation's Edith R. Bullock Prize for Excellence, the largest single award made annually by the UA Foundation's Board of Trustees. Dauenhauer began teaching at the university in 1984. He was jointly appointed President's Professor of Alaska Native Languages and Culture at UAS and UAF in 2005. He retired from UAS in 2011.

During his tenure he designed and taught courses leading to the Tlingit language minor. He created several joint educational programs between the university and Alaska Native tribal organizations in an effort to preserve Alaska Native languages and cultures. Dauenhauer served as the state's poet laureate from 1981 to 1985, an honor his wife Nora holds through 2014. They are the first couple in Alaska

to have both been named state writer laureates. Dauenhauer was recognized twice with the Before Columbus Foundation's American Book Award for Anóoshi Lingít Aaní Ká, Russians in Tlingit America: The Battles of Sitka, 1802 and 1804 and Haa Tuwunáagu Yis, for Healing our Spirit: Tlingit Oratory.

In an obituary in the *Los Angeles Times*, writer Jill Leovy writes, "Dauenhauer made recording, transcribing and advocating for the Tlingit language his life's work. He trained a cadre of teachers and translators to continue his efforts. He sought not just to revive the fast-disappearing tongue, largely relegated to the thoughts of a few surviving tribal elders, but to win acceptance for its use."

Dauenhauer gave Tlingit oral history the status of literature, "the same as the highest forms of English literature," according to Alaska Native languages faculty and program head Lance Twitchell.

# Brand New Residence Hall Opens for Fall Semester



Project Manager Nathan Leigh leads staff including Chancellor John Pugh assisting students on move-in day

The University of Alaska Southeast Juneau campus opened its first on-campus first residence hall on Fall Semester move-in day, August 27, 2014.

UAS Director of Campus Life Eric Scott told *Juneau Empire* reporter Katie Moritz that "he hopes excellent student experiences in the new residence hall lead to even more freshmen in coming years. The hall has 110 student beds and is not full for the semester. He said more students are supposed to move in for the spring semester.

Even without all the new beds full, this crop of freshmen wouldn't have fit in the old residence hall — Banfield Hall has only about 80 beds, freshman adviser Nathan Bodenstadt said.

The new hall boasts huge picture windows with views of Auke Lake and the surrounding mountains. A common area, closed-off study spaces and a meeting room offer different environments within the building. The rooms are suite-style, with two sets of two roommates sharing a bathroom and a kitchen. The building was designed by MRV Architects.

The hall's first inhabitants come to the Auke Bay campus from across the country. Most are from Alaska but others hail from about 20 other states, including Missouri, Texas, Utah, Pennsylvania, Washington, Oregon and California.

Freshman Dallin Jones moved to Alaska sight-unseen for the biology program at UAS. His friend and roommate moved from Boise with him.

As for Alaska, freshmen flocked from all over the state to UAS this year. A group of six young men from Scammon Bay took a long, multi-leg flight from their Western Alaska community of 474 people to move in. With a graduating class of only 19, almost a third of the town's seniors are attending UAS and living in the residence hall.

UAS recruiters came to Scammon Bay and 'talked to us and convinced us to come,' Norman Charlie said."



Keni Campbell

### New Chancellor's Special Assistant

Keni Campbell began working as the Special Assistant to UAS Chancellor John Pugh in July 2014. Ms. Campbell was hired as Alumni Relations Manager in 2006, eventually adding the fundraising duties of Annual Fund Manager. Prior to coming to UAS, she worked for the Alaska Council of School Administrators and the Juneau School District. She has a Bachelor

of Elementary Education from Alaska Pacific University, and has earned the designation of Certified Fund Raising Executive (CFRE). Ms. Campbell has lived in Juneau since 1995 with her husband and two grown children. She is a member of Rotary International, the Association of Fundraising Professionals, and the Council for the Advancement and Support of Education.



Chris Washko

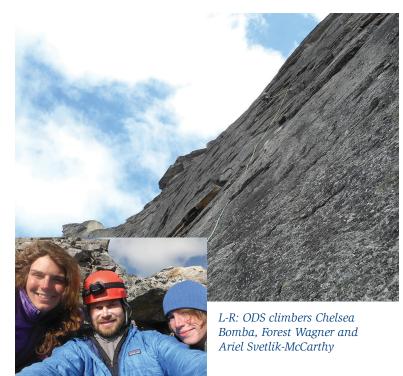
### New Director of Sitka Student Success Center

Chris Washko was previously Director of Residence Life and Wellness Education at UAS on the Juneau campus and shouldered a wide variety of administrative responsibilities in the UAA system, including Director of Student Services and VP of Academic Affairs at Prince William Sound Community College. "I got

interested in Student Affairs while I was still a student. I loved being involved, and so I stayed at my college in Alma Michigan as a hall director. But I wanted to move to Alaska, so I took a job in Galena working with students in that remote location," he said.

Chris has a BS and a BA from Alma College, a Master's in Student Affairs and Higher Ed Administration from Indiana State, and is currently finishing his Ph.D. in Interdisciplinary Studies and Counseling from UAF. He is excited to be in Sitka and to work with the excellent Student Success Center staff.

He loves hiking, teaching travel courses, and reading to his young son.



# Outdoor Studies Central Brooks Range Climb

Outdoor Studies program head Forest Wagner and student climbers Chelsea Bomba and Ariel Svetlik-McCarthy climbed the West Ridge of Shot Tower in the Arrigetch Region of the Central Brooks Range August 9-10, 2014. The climb took place over a period of 24 hours and consisted of 12 pitches over 1,300 feet. To approach Shot Tower, the group flew from Coldlfoot, Alaska 100 miles west to the Alatna River, then backpacked with 90-pound packs into the Arrigetch peaks. The full course took place from July 31 to August 17, 2014. The expedition was the focus of the Expedition Rock Climbing class, offered as an upper division elective for students in the BLA program's Outdoor and Adventure Studies emphasis.

### **Convocation 2014**



Chancellor John Pugh addreses staff and faculty at Fall Semester Convocation, August 14, 2014. Photo Credit: Joel Mundy





### System Governance Report

September 2014



### **Coalition of Student Leaders**Victoria Daniels, Student Representative

The Coalition of Student Leaders will hold its annual onboarding retreat on October 10 and 11. In addition to electing 2014-15 officers, the retreat will include discussion and review of the UA president's proposal for a 4% increase in tuition for FY16 and the UA-wide facilities fee proposed by the Summit Team.

In June, President Gamble approved the Coalition's charter revision, which included changing the title from "speaker" to "chair" and creating new officer positions for secretary, treasurer and historian, among other various revisions. The charter can be viewed online at: <a href="https://www.alaska.edu/governance/student-coalition/">www.alaska.edu/governance/student-coalition/</a>.

Victoria Daniels is the current president of the United Students of UAS – Juneau.



**Faculty Alliance**Dr. David Valentine, Chair

The Faculty Alliance will hold its annual summer retreat August 21-23 at UAF. There will be five major foci:

- 1. Prioritize and plan system-wide activities during the coming year, including development of faculty initiatives to implement Shaping Alaska's Future.
- 2. Propose committee composition and strategy for development of a common academic calendar, pursuant to BOR Policy 10.04.100 as amended.
- 3. Propose committees and strategies in response to the BOR Resolution concerning BOR Policy 10.04.040, specifically to address the following:
  - a. develop common Math and English GERs, including course descriptions, numbers, and titles, as well as common placement tools and scores

- b. move toward adoption of common learning outcomes as proposed by the General Education Learning Outcomes (GELO) Committee
- c. begin development of a strategy to achieve a transparent set of system-wide GERs within the humanities and fine arts, natural sciences, and social sciences
- 4. move toward adoption of a common set of minimum admissions standards
- 5. develop a productive working relationship with the UA Summit Team

Items 2 and 3 require additional elaboration.

At its April 2014 meeting, the UA Board of Regents voted to move the UA system toward a common calendar and a common set of General Education Requirements. Development of a common calendar poses challenges to the collaborative spirit of the Faculty Alliance as faculty and students at each institution perceive threats to long-held practices and traditions. To meet these challenges, it is vital that a legitimate process involving faculty, administrators, and input from students be developed and followed. The adoption of a common calendar will be disruptive but, if done well, may also provide opportunities for development of "value added" scheduling that we do not currently have. These issues will form the sideboards for how the UA Faculty Alliance will discuss and recommend a path forward.

The BOR resolution urging development of common GERs coincided closely with an expansive set of common General Education Learning Outcomes developed and proposed by the eponymous Faculty Alliance committee (GELO). These learning outcomes, which were based on the American Association of Colleges and Universities' LEAP (Liberal Education and America's Promise) outcomes, extend beyond the traditional "broadening" set of general education requirements to include skills developed and reinforced throughout the curriculum and during students' entire program. We do not believe that making the means to achieve all those ends common across the UA system would be feasible or even desirable, as they involve upper division integration within programs and majors that harbor much of the uniqueness of each institution. Moreover, we believe the intent of the Board of Regents' resolution was to focus on the traditional emphasis of general education, i.e., to provide a common foundation for more specialized study and to ensure a broad education that includes the humanities, arts, and sciences for everyone. We plan to explore ways and develop a strategy toward meeting that intent, while still encouraging each institution to develop its own pathways to achieve the more expansive LEAP-based learning outcomes.

Dr. David Valentine is a professor of Forest Soils and chair of the Department of Forest Sciences in the School of Natural Resources and Extension Agricultural Sciences. He earned his MSc and PhD from Duke University (1984 and 1990, respectively) in ecosystem ecology. He worked as a Postdoctoral Fellow and Research Associate at the Natural Resource Ecology Laboratory at Colorado State University. During that time, he was also awarded a Distinguished Postdoctoral Fellowship from the Department of Energy, and worked at the Agricultural Research Service. He joined the UAF faculty in 1996.



**Staff Alliance**Monique Musick, Chair

The 2014-15 Staff Alliance governance group met Thursday, July 31 for a full-day annual retreat. We elected officers and welcomed new members. Our full team going into the new academic year is:

- Chris Beks, President UAF Staff Council
- Faye Gallant, Vice President UAF Staff Council
- Tom Langdon, Representative SAA
- Dayna Mackey, Vice President UAS Staff Council
- Kathleen McCoy, President UAA APT Council
- Monique Musick, Vice President SAA
- Gwenna Richardson, President UAS Staff Council
- Liz Winfree, President UAA Classified Council

#### Elected officers include:

- Monique Musick, chair
- Kathleen McCoy, vice chair
- Dayna Mackey, secretary (pending change to Staff Alliance constitution)
- Faye Gallant, treasurer (pending change to Staff Alliance constitution)

We developed three goals for the year:

#### 1. Increased engagement with the Board of Regents

The Staff Alliance Chair makes regular reports to, and testifies before, the Board of Regents during their regular meetings. While staff often show up in great numbers in reaction to specific topics of interest, there is little regular testimony to the board highlighting the great work that staff do on a regular basis. We want to see more testimony about how staff across the system are implementing positive change, helping achieve cost-containment goals and overall improving the University of Alaska. We plan to send a letter of introduction outlining this goal and our intention for an even greater working relationship with the BOR, the university's governing body.

#### 2. Recognition of staff achievement

Staff Spotlights will be integrated into Chair reports and featured during public testimony at BOR meetings. We will be gathering information on staff achievements through local governance groups. Staff council presidents all agreed to add an agenda item to each meeting to collect information on staff achievements and highlights to add to Staff Alliance's regular reports. UA staff accomplish amazing things every day and we seek greater recognition for the efforts of so many.

#### 3. Constituent communication

Improved communication is always a need and always a goal. Staff Alliance members are committed to providing their constituents with timely and relevant information on matters

affecting staff and the university community. In addition, we want to increase the collection of feedback and staff testimonials. We will use our blog Ally, Staff Alliance Dispatch on a more frequent basis and add functionality to the Governance Website for gathering staff feedback. We will be taking a few staff surveys in order to gain insight and make informed decisions on matters affecting staff.

During our work session at the July retreat, we:

- reviewed proposals on FY16 compensation and discussed a review of geographic differential pay across the system, work prepared by SAA's Compensation Working Group (SAA's FY16 staff compensation proposals were submitted to university administration in time for the Aug. 7 budget meeting)
- drafted a motion with suggested wording changes to the proposed furlough policy
- appointed new representatives to Alliance and administrative committees and councils
- read proposed revisions to the Staff Alliance Constitution (including adding two new officers: secretary and treasurer)
- reviewed UAF resolutions on sick leave and bereavement leave share eligibility requirements

President Gamble and Vice President Dana Thomas addressed our group to discuss shared governance and the important role we play in the work of the university. We also discussed the newly formed Summit Team, Shaping Alaska's Future, the university budget and the reputation of the University of Alaska.

We're looking forward to the year ahead!

Monique Musick was born and raised in Ester, Alaska. After attending ICS and later Lathrop High School, she received a bachelor's of art in journalism from UAF. She currently works for UA Public Affairs doing what she enjoys most: photography, communications and graphic design. She became involved with governance in 2009 shortly after coming to UA Statewide and has enjoyed being an active member of System Governance ever since. She values the opportunity afforded through shared governance to support co-workers, participate in policy review, advise on changes in the university and to advocate on behalf of staff throughout the system. She recently purchased her old family home in Ester and enjoys gardening, photography, motor sports, outdoor activities, prospecting, music and time with friends.

#### Acronyms commonly used in reporting Labor Relations activities:

ALRA	Alaska Labor Relations Agency
CBA	Collective Bargaining Agreement
LMC	Labor-Management Committee
MAU	Major Academic Unit (UAA, UAF, UAS)
ULP	Unfair Labor Practice Charge
<u>Unions</u> :	
Adjuncts	United Academic – Adjuncts
Local 1324	Fairbanks Fire Fighters Union (UAF Fire Fighters)
Local 6070	Alaska Higher Education Crafts and Trades Employees
UAFT	University of Alaska Federation of Teachers (Community college and extended campus faculty)
UNAC	United Academics

#### (BOLD text indicates updated information)

#### LABOR - MANAGEMENT COMMITTEES/EVENTS

The University and UAFT have not met as a committee since May 07, 2013.

The University and UNAC representatives have not met as a committee since April 2013.

The Joint Health Care Committee meets on a monthly basis. All the labor unions representing university employees may participate.

#### **GRIEVANCE and ARBITRATION ACTIVITY**

#### **University of Alaska Federation of Teachers (UAFT)**

- <u>UAF College of Rural and Community Development</u>: The union filed a Step 2 grievance on October 02, 2009, alleging the University violated Article 9.1 of the CBA by placing two new faculty members at an extended site into the United Academics bargaining unit rather than into the UAFT unit. The University responded to the union on November 11, 2009, recommending that the substance of the grievance be reviewed and determined by the ALRA as part of the unit clarification proceeding; see below. On December 18, 2013, ALRA issued Decision and Order # 301, granting the University's petition and mooting UAFT's grievance. However, UAFT filed an appeal in Superior Court on January 17, 2014, and the court has issued a stay. Grievance timelines continue to be held in abeyance pending the outcome of the UAFT's appeal.
- Statewide Office of Labor and Employee Relations: UAFT filed a Step 2 grievance on July 25, 2012, alleging the University violated Article 1.3.A of the CBA by demanding that the union agree in writing to pay all costs associated with a request for information prior to providing them with the information. The union further alleges that the University violated the implied duty of good faith and fair dealing. The parties met on March 04, 2013, and continue to work to resolve the matter.

#### **United Academics (UNAC)**

No grievances are pending.

#### **Local 6070**

• No grievances are pending.

#### **United Academic – Adjuncts**

• No grievances are pending.

#### FFFU Local 1324

• No grievances are pending.

#### ISSUES BEFORE THE ALASKA LABOR RELATIONS AGENCY

Unit Clarification Petition: On October 17, 2007, UAFT filed an Unfair Labor Practice (ULP) charge with the Alaska Labor Relations Agency (ALRA) alleging that the University violated the CBA by its placement of new faculty with upper-division teaching assignments into the UNAC bargaining unit. In response, the University filed a Unit Clarification Petition. On August 25, 2009, the ALRA accepted the University's petition for unit clarification and placed the ULP complaints in abeyance pending the determination of that petition. The ALRA hearing began on April 05, 2010, and lasted until April 22, 2010. Post hearing briefs and response briefs were filed and the issue was before the Agency for a decision. On October 04, 2011, the ALRA notified the parties that they wanted briefings on the appropriateness of one unit of non-adjunct faculty at the University. File briefs were submitted to ALRA on December 21, 2011. The ALRA issued its final decision and order on December 18, 2013, granting UA's petition as modified. On January 17, 2014, UAFT appealed the ALRA's decision to Superior Court and requested a stay of the ALRA Decision pending appeal. On February 11, 2014, the Superior Court for the State of Alaska granted UAFT's request to stay ALRA's Decision and Order #301. The court has issued a pre-hearing order and briefing schedule. The University's brief is due November 7, 2014.

<u>Unfair Labor Practice</u>: On May 31, 2013, Local 6070 filed an ULP with the (ALRA) with regard to an employee initiated reclassification action at UAA. The ULP contains 37 allegations. The University responded on July 1, 2013 to ALRA. The University believes the ULP is without merit. The parties are waiting for ALRA to schedule the hearing. On May 14, 2014, the University received ALRA's notice of preliminary finding of probable cause and partial dismissal. The University has 15 days to appeal, present a defense, or request a hearing by filing a notice of defense to the agency. On July 15, 2014, ALRA held a prehearing conference with the parties with the Hearing Officer at the agency. November 4, 2014, was confirmed as the hearing date. The parties agreed to attempt mediation and scheduled a resolution meeting with ALRA for August 7, 2014. On August 7 the parties participated in a five and a half hour mediation and reached a settlement.

Petition for Declaration of Impasse/Order to Engage in Mediation: On January 8, 2014, the Alaska Higher Education Crafts & Trades Employees, Local 6070 petitioned the ALRA for a declaration of impasse and an order to engage in mediation. Negotiations have been on-going since September 11, 2012. On January 29, 2014, Local 6070 agreed to ask the ALRA to hold the petition in abeyance and the parties agreed to jointly request mediation services from the Federal Mediation and Conciliation Services (FMCS). The ALRA agreed and the parties reached agreement, mooting this matter. (See section on negotiations below for more detail on status of bargaining.)

#### **NEGOTIATIONS**

LOCAL 6070: The University started negotiations with Local 6070 on September 12, 2012. The CBA expired on December 31, 2012, but continues in force until superseded by a new Agreement. The parties have reached tentative agreement on eight of fifteen articles. The UA has taken the position of last and final on two additional articles. Consequently five articles remain outstanding. Negotiating sessions were conducted on November 6, 7, and 8, 2013. At the conclusion of negotiations on November 8, 2013 the union chief spokesperson announced they would not return to the negotiating table until sometime in January 2014. Attempts by the UA to resume negotiations sooner and with specificity were not fruitful. The parties returned to the negotiating table on January 7, and January 8, 2014. On January 8, 2014 the union walked out of negotiations and contacted ALRA stating they were at impasse and requested mediation.

The University strongly disagreed that the parties were at impasse. As a compromise the University agreed to enter mediation with Local 6070 provided the union withdrew its allegation of impasse. Local 6070 agreed to withdraw its allegation of impasse and the parties participated in two days of mediation with a mediator from the Federal Mediation and Conciliation Service. The mediation was conducted on February 6 and 7, 2014. Modest progress was made during mediation. The mediator announced he was unavailable until March 19, 2014. The University suggested to Local 6070 that negotiations should resume. Local 6070 delayed until the mediator was available in March. (See prior note regarding ALRA proceeding.) On March 19, 2014, the parties participated in mediation for over 18 **continuous** hours. A tentative agreement was subsequently reached. The union membership ratified the contract on April 14, 2014. The Board of Regents approved the contract on April 29, 2014.

University of Alaska Federation of Teachers (UAFT): The CBA expires on December 31, 2014. On February 04, 2014, the University issued a written notice to UAFT of our desire for changes in the current CBA. Negotiations shall begin no later than August 15, 2014. Collective negotiations between the University and UAFT began on August 13. At the onset of negotiations, the University team presented a comprehensive proposal that modified every article save one in the existing CBA. The University's proposals focus on four categories; (1) maintain existing management rights, (2) regain compromised management rights, (3) treat all faculty alike, and (4) cease doing clerical work that rightfully is the responsibility of the union. On August 21, 2014 the union made counterproposals to four articles. Negotiating sessions are scheduled weekly on Wednesdays and Thursdays in Anchorage. According to the current CBA, if the parties have not reached agreement by December 15, 2014 mediation is mandated.

# REGENTS' POLICY PART I - MISSION AND GENERAL PROVISIONS Chapter 01.01 - Mission

#### P01.01.010. University of Alaska Mission Statement.

The University of Alaska inspires learning, and advances and disseminates knowledge through teaching, research, and public service, emphasizing the North and its diverse peoples. (10-06-00)

#### P01.01.020. University of Alaska Anchorage Mission Statement.

The mission of the University of Alaska Anchorage is to discover and disseminate knowledge through teaching, research, engagement, and creative expression.

Located in Anchorage and on community campuses in Southcentral Alaska, UAA is committed to serving the higher education needs of the state, its communities, and its diverse peoples.

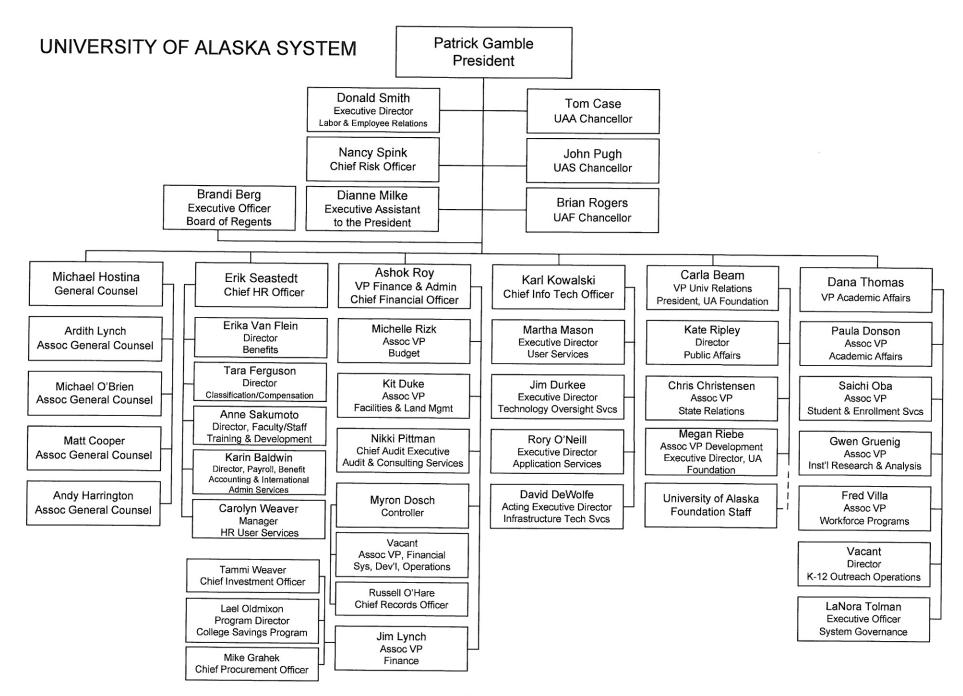
The University of Alaska Anchorage is an open access university with academic programs leading to occupational endorsements; undergraduate and graduate certificates; and associate, baccalaureate, and graduate degrees in a rich, diverse, and inclusive environment. (09-18-07)

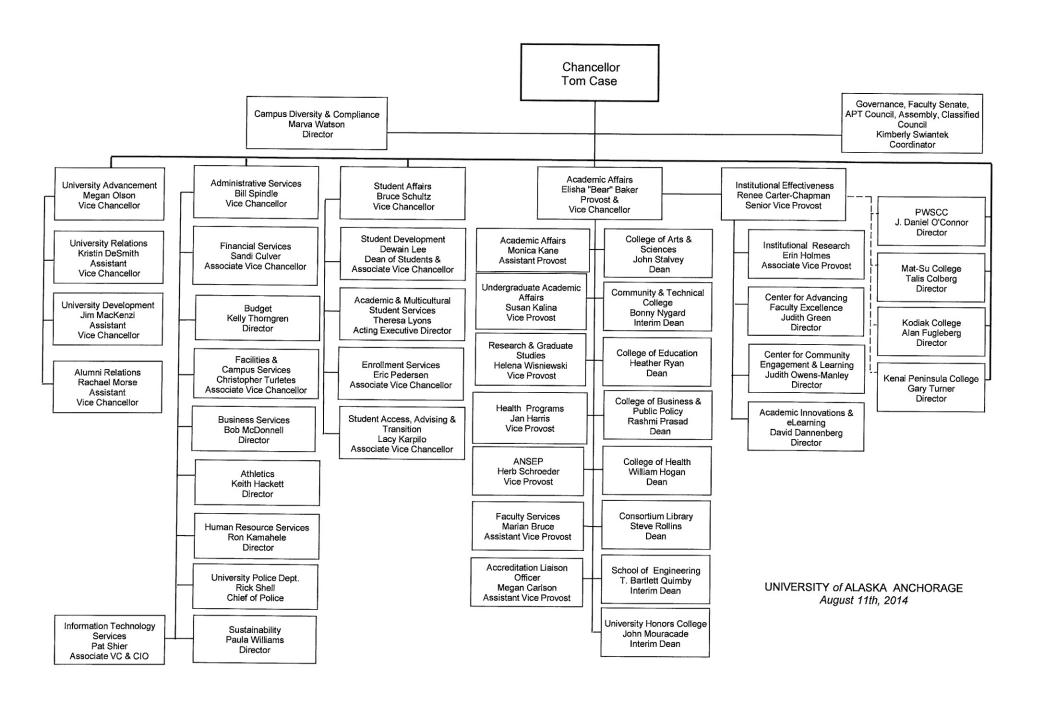
#### P01.01.030. University of Alaska Fairbanks Mission Statement.

The University of Alaska Fairbanks is a Land, Sea, and Space Grant university and an international center for research, education, and the arts, emphasizing the circumpolar North and its diverse peoples. UAF integrates teaching, research, and public service as it educates students for active citizenship and prepares them for lifelong learning and careers. (06-08-12)

#### P01.01.040. University of Alaska Southeast Mission Statement.

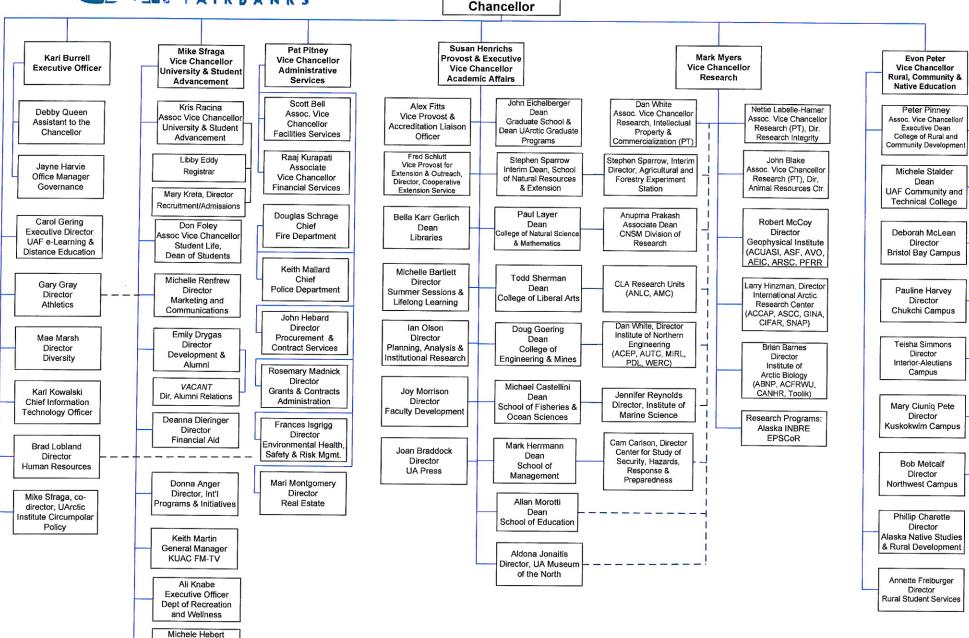
The mission of the University of Alaska Southeast is student learning enhanced by faculty scholarship, undergraduate research and creative activities, community engagement, and the cultures and environment of Southeast Alaska. (06-03-11)







Director, Office of Sustainability



**Brian Rogers** 

