

UNIVERSITY OF ALASKA
(A Component Unit of the State of Alaska)
Single Audit Report
For the Year Ended June 30, 2012

UNIVERSITY OF ALASKA
(A Component Unit of the State of Alaska)
Audit in Accordance with OMB A-133
June 30, 2012

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Report on Internal Control over Financial Reporting and on Compliance and Other Matters Based on an Audit of Financial Statements Performed in Accordance with *Government Auditing Standards*

The Board of Regents
The University of Alaska:

We have audited the financial statements of the University of Alaska and its discretely presented component unit as of and for the year ended June 30, 2012, and have issued our report thereon dated October 23, 2012. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States.

Internal Control over Financial Reporting

Management of the University of Alaska is responsible for establishing and maintaining effective internal control over financial reporting. In planning and performing our audit, we considered the University of Alaska's internal control over financial reporting as a basis for designing our auditing procedures for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the University of Alaska's internal control over financial reporting. Accordingly, we do not express an opinion on the effectiveness of the University of Alaska's internal control over financial reporting.

A deficiency in internal control over financial reporting exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct misstatements on a timely basis. A material weakness is a deficiency, or combination of deficiencies, in internal control over financial reporting, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis.

Our consideration of internal control over financial reporting was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over financial reporting that might be deficiencies, significant deficiencies, or material weaknesses. We did not identify any deficiencies in internal control over financial reporting that we consider to be material weaknesses, as defined above.

Compliance and Other Matters

As part of obtaining reasonable assurance about whether the University of Alaska's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an



opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

This report is intended solely for the information and use of management, the Board of Regents, others within the entity, and federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

KPMG LLP

October 23, 2012



KPMG LLP
Suite 600
701 West Eighth Avenue
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Independent Auditors' Report on Compliance With Requirements That Could Have A Direct and Material Effect on Each Major Program and on Internal Control Over Compliance in Accordance with OMB Circular A-133

The Board of Regents
The University of Alaska:

Compliance

We have audited the University of Alaska's compliance with the types of compliance requirements described in the U.S. Office of Management and Budget (OMB) *Circular A-133 Compliance Supplement* that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2012. The University of Alaska's major federal programs are identified in the summary of auditors' results section of the accompanying schedule of findings and questioned costs. Compliance with the requirements of laws, regulations, contracts, and grants applicable to each of its major federal programs is the responsibility of the University of Alaska's management. Our responsibility is to express an opinion on the University of Alaska's compliance based on our audit.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the University of Alaska's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination of the University of Alaska's compliance with those requirements.

In our opinion, the University of Alaska complied, in all material respects, with the requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2012.

Internal Control over Compliance

Management of the University of Alaska is responsible for establishing and maintaining effective internal control over compliance with the requirements of laws, regulations, contracts, and grants applicable to federal programs. In planning and performing our audit, we considered the University of Alaska's internal control over compliance with the requirements that could have a direct and material effect on a major federal program in order to determine our auditing procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the effectiveness of internal control



over compliance. Accordingly, we do not express an opinion on the effectiveness of the University of Alaska's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. A material weakness in internal control over compliance is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be deficiencies, significant deficiencies or material weaknesses. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses, as defined above.

Schedule of Expenditures of Federal Awards

We have audited the basic financial statements of the University of Alaska and its discretely presented component unit as of and for the year ended June 30, 2012, and have issued our report thereon dated October 23, 2012 which contained an unqualified opinion on those financial statements. Our audit was conducted for the purpose of forming our opinion on the financial statements that collectively comprise the University's basic financial statements. We have not performed any procedures with respect to the audited financial statements subsequent to October 23, 2012. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by OMB Circular A-133 and is not a required part of the basic financial statements. Such information is the responsibility of management and was derived from and relates directly to the underlying accounting and other records used to prepare the basic financial statements. The information has been subjected to the auditing procedures applied in the audit of the basic financial statements and certain additional procedures, including comparing and reconciling such information directly to the underlying accounting and other records used to prepare the basic financial statements or to the financial statements themselves, and other additional procedures in accordance with auditing standards generally accepted in the United States of America. In our opinion, the schedule of expenditure of federal awards is fairly stated in all material respects in relation to the basic financial statements as a whole.

This report is intended solely for the information and use of management, the Board of Regents, others within the entity, federal awarding agencies, and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

KPMG LLP

November 19, 2012

University of Alaska
 Schedule of Expenditures for Federal Awards
 Year Ended June 30, 2012

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
STUDENT FINANCIAL AID			
Department of Education			
Office of Student Financial Assistance Programs			
84.007	Federal Supplemental Educational Opportunity Grants		\$ 589,540
84.033	ARRA - Federal Work - Study Program		593,335
84.063	ARRA - Federal Pell Grant Program		(1,080)
	Federal Pell Grant Program		25,707,271
84.268	Federal Direct Student Loans		79,458,973
84.376	National Science and Mathematics Access to Retain Talent (SMART) Grants		(5,500)
84.379	Teacher Education Assistance for College and Higher Education Grants (TEACH Grants)		97,700
84.408	Postsecondary Education Scholarships for Veteran's Dependents		8,325
TOTAL STUDENT FINANCIAL AID			106,448,564
RESEARCH AND DEVELOPMENT			
Department of Agriculture			
10.000	Miscellaneous		21,364
Agricultural Research Service			
10.001	Agricultural Research, Basic and Applied Research		1,576,185
Animal and Plant Health Inspection Service			
10.025	Plant and Animal Disease, Pest Control, and Animal Care		59,153
	Pass-through The Nature Conservancy - Alaska Field Service	AKFO-080311-CS	30,411
National Institute of Food and Agriculture			
10.200	Grants for Agricultural Research, Special Research Grants		225,524
	Pass-through University of Hawaii	Z936926	(5,240)
	Pass-through University of Hawaii	Z985770	45,448
10.202	Cooperative Forestry Research		495,544
10.203	Payments to Agricultural Experiment Stations Under the Hatch Act		1,378,936
10.217	Higher Education Challenge Grants		
	Pass-through Ohio State University	RF01257884/60025469	2,192
10.228	Alaska Native Serving and Native Hawaiian Serving Institutions Education Grants		246,822
10.310	Agriculture and Food Research Initiative (AFRI)		348,000
Foreign Agricultural Service			
10.600	Foreign Market Development Cooperator Program		1,631
Forest Service			
10.664	Cooperative Forestry Assistance		8,134
10.680	Forest Health Protection		54,828
	Pass-through Anchorage Park Foundation	PROJECT 147	60,520
Natural Resources Conservation Service			
10.901	Resource Conservation and Development		22,570
10.907	Snow Survey and Water Supply Forecasting		5,394
Total Department of Agriculture			4,577,416
Department of Commerce			
11.000	Miscellaneous		2,036
National Oceanic and Atmospheric Administration (NOAA)			
11.011	Ocean Exploration		118,114

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2012

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
11.012	Integrated Ocean Observing System (IOOS)		
	Pass-through Alaska Ocean Observing System	H2300-50	18,153
	Pass-through Alaska Ocean Observing System	H2300-51	39,605
	Pass-through Alaska Ocean Observing System	H2300-52	44,121
	Pass-through Alaska Ocean Observing System	H2300-59	11,582
	Pass-through University of Maryland - UMCES	CA 12-1007-5-25684	19,481
11.400	Geodetic Surveys and Services (Geodesy and Applications of the National Geodetic Reference System)		274,404
11.417	Sea Grant Support		204,931
11.420	Coastal Zone Management Estuarine Research Reserves		26,458
11.430	Undersea Research		516,395
11.431	Climate and Atmospheric Research		935,699
11.432	National Oceanic and Atmospheric Administration (NOAA) Cooperative Institutes		1,953,985
11.438	Pacific Coast Salmon Recovery - Pacific Salmon Treaty Program		
	Pass-through State of Alaska	RSA 1115959	10,863
	Pass-through State of Alaska	ADN 1115958	74,353
	Pass-through State of Alaska	RS 1115951	76,065
	Pass-through State of Alaska	ADN112595	10,411
	Pass-through State of Alaska	1125952	19,090
11.439	Marine Mammal Data Program		432,956
	ARRA - Pass through National Fish and Wildlife Foundation	0312.12.031930	72,635
11.460	Special Oceanic and Atmospheric Projects		901,935
11.463	ARRA - Habitat Conservation		
	Pass-through National Fish & Wildlife Foundation	2008-0066-008	46,033
11.468	Applied Meteorological Research		
	Pass-through University of Mississippi	UM 09-09-024	32,586
11.472	Unallied Science Program		
	Pass-through North Pacific Research Board	PROJECT B53	90,632
	Pass-through North Pacific Research Board	PROJECT B67	12,076
	Pass-through North Pacific Research Board	PROJECT B68	(978)
	Pass-through North Pacific Research Board	PROJECT B77	(194)
	Pass-through North Pacific Research Board	PROJECT G81	250,572
	Pass-through North Pacific Research Board	PROJECT G84	82,748
	Pass-through North Pacific Research Board	PROJECT G85	105,813
	Pass-through North Pacific Research Board	PROJECT 520	(90)
	Pass-through North Pacific Research Board	PROJECT 714	85,489
	Pass-through North Pacific Research Board	PROJECT 814	36,454
	Pass-through North Pacific Research Board	PROJECT 828	105,568
	Pass-through North Pacific Research Board	PROJECT 904	13,862
	Pass-through North Pacific Research Board	PROJECT 908-B	17,083
	Pass-through North Pacific Research Board	PROJECT 909-A	10,389
	Pass-through North Pacific Research Board	PROJECT 912	13,376
	Pass-through North Pacific Research Board	PROJECT 915	377
	Pass-through North Pacific Research Board	PROJECT 918	6,149
	Pass-through North Pacific Research Board	PROJECT 920	17,968
	Pass-through North Pacific Research Board	PROJECT 927	10,977
	Pass-through North Pacific Research Board	PROJECT 1004	34,194
	Pass-through North Pacific Research Board	PROJECT 1005	54,952
	Pass-through North Pacific Research Board	PROJECT 1008	37,989

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2012

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
	Pass-through North Pacific Research Board	PROJECT 1012	42,049
	Pass-through North Pacific Research Board	PROJECT 1021	3,545
	Pass-through North Pacific Research Board	PROJECT 1022	32,529
	Pass-through North Pacific Research Board	PROJECT 1023	8,104
	Pass-through North Pacific Research Board	PROJECT 1106	2,142
	Pass-through North Pacific Research Board	PROJECT 1110	19,787
	Pass-through North Pacific Research Board	PROJECT 1120	194,916
	Pass-through North Pacific Research Board	PROJECT B3877	2,217
	Pass-through North Pacific Research Board	PROJECT F4116	43,591
	Pass-through North Pacific Research Board	PROJECT F4977	1,821
	Pass through Prince William Sound Science Center	12-81-06	709
	Pass through Prince William Sound Science Center	12-81-07	39,046
11.473	Coastal Services Center		
	Pass-through Seward Association for Advancement of Science	H-2201	(126)
	Pass-through Alaska Ocean Observing System	H-2211	2,014
	Pass-through Alaska Ocean Observing System	H-2223	(1,341)
	Pass-through Alaska Ocean Observing System	H-2301-51	6,168
	Pass-through Alaska Ocean Observing System	H222400/PENDING	68,254
	Pass-through University of Maryland	CA 10-27	96,316
11.481	Educational Partnership Program		
	Pass-through North Carolina Agricultural and Technical State University	SUBAGREEMENT 270040E	20,315
National Telecommunications and Information Administration			
11.558	ARRA - Broadband Technology Opportunities Program (BTOP)		
	Pass through Connected Nation, Inc.	ARRA 02-50-M09071	38,071
11.558	ARRA - State Broadband Data and Development Grant Program		
	Pass through Connect Alaska	02-50-M09071	94,078
National Institute of Standards and Technology (NIST)			
11.611	Manufacturing Extension Partnership		
	Pass-through Alaska Manufacturing Extension Partnership, Inc.	AMEP-001	239,860
Total Department of Commerce			7,781,362
Department of Defense			
12.000	Miscellaneous		2,955,257
	Pass-through University of Florida	UF11138	53,550
Department of the Army, Office of the Chief of Engineers			
12.114	Collaborative Research and Development		10,000
	Pass-through University of Mississippi	UM 07-11-041	210,650
Department of the Navy, Office of the Chief of Naval Research			
12.300	Basic and Applied Scientific Research		1,241,306
	Pass-through Woods Hole Oceanographic Institution	A100585	87
	Pass-through Woods Hole Oceanographic Institution	A100694	99,679
U.S. Army Medical Command			
12.420	Military Medical Research and Development		1,767,817
U.S. Army Material Command			
12.431	Basic Scientific Research		603,110
	Pass-through Mississippi State University	060803-360295-01	163,956
	Pass-through Oklahoma State University	AE-5-48940	4,257

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2012

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
Office of the Secretary of Defense			
12.557	Invitational Grants for Military-Connected Schools		
	Pass-through State of Alaska	11-1401	36,723
12.630	Basic, Applied, and Advanced Research in Science and		257,215
Department of the Air Force, Material Command			
12.800	Air Force Defense Research Sciences Program		1,066,920
Advanced Research Projects Agency			
12.910	Research and Technology Development		198,227
	Pass-through University of Florida	UF-EIES_1005018_UAF	50,323
Total Department of Defense			8,719,077
Department of the Interior			
15.000	Miscellaneous		719,926
	Pass-through Rutgers	PO#1449197 / SA#4199	83,454
	Pass-through State of Alaska	ADN#1078006/45-7-0805	(165)
Bureau of Land Management			
15.224	Cultural Resource Management		19,718
15.225	Recreation Resource Management		17,386
15.228	National Fire Plan - Wildland Urban Interface Community Fire Assistance		237
15.230	Invasive and Noxious Plant Management		18,411
15.231	Fish, Wildlife and Plant Conservation Resource Management		328,308
	ARRA - Pass-through NatureServe	BLM0F029	33,814
	ARRA - Pass-through NatureServe	BLM0F021-BLM0F025 TO#1	168,943
15.232	Wildland Fire Research and Studies Program		
	Pass-through University of Nevada, Reno	UNR-11-75 / PO 111GC000031	36,375
15.236	ARRA - Environmental Quality and Protection Resource		131,429
	Pass-through Michigan Technological University	100259Z1 MOD. 2	44,297
15.237	Rangeland Resource Management		35,823
National Park Service			
15.406	National Park Service Centennial Challenge		32,966
The Bureau of Ocean Energy Management, Regulation, and Enforcement			
15.421	Alaska Coastal Marine Institute		795,567
15.423	Minerals Management Service (MMS) Environmental Studies Program (ESP)		1,205,461
	Pass-through University of Texas at Austin	UTA-09-000282	82,793
	Pass-through University of Texas at Austin	UTA11-000973	132,783
	Pass-through University of Texas at Austin	UTA11-000873	385
15.426	Coastal Impact Assistance Program (CIAP)		
	Pass-through Alaska SeaLife Center	AGREEMENT #L2226.02	18,750
	Pass-through State of Alaska	821192 ADN 45-12-0852	55,385
Fish and Wildlife Service			
15.608	Fish and Wildlife Management Assistance		31,511
15.634	State Wildlife Grants		
	Pass-through State of Alaska	ADN#1125064	989
	Pass-through State of Alaska	RS 1125012	126,965
	Pass-through State of Alaska	1175097	(927)
15.649	Service Training and Technical Assistance (Generic Training)		13,003
15.650	Research Grants (Generic)		239,688

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2012

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
15.663	National Fish and Wildlife Foundation		98,637
15.669	Cooperative Landscape Conservation		152,601
U.S. Geological Survey			
15.805	Assistance to State Water Resources Research Institutes		74,320
15.807	Earthquake Hazards Reduction Program		700,423
15.808	U.S. Geological Survey - Research and Data Collection		2,819,140
15.811	Gap Analysis Program		333,499
15.812	Cooperative Research Units Program		2,103,845
15.816	Minerals Resource External Research Program		7,871
15.818	Volcano Hazards Program Research and Monitoring		274,782
15.820	National Climate Change and Wildlife Science Center		22,226
National Park Service			
15.900	Disposal of Surplus Wildlife		61,799
15.916	Outdoor Recreation_Acquisition, Development and Planning		56,354
15.923	National Center for Preservation Technology and Training		28,135
15.945	Cooperative Research and Training Programs_Resources of the National Park System		1,456,060
Total Department of the Interior			<u>12,562,967</u>
Department of Justice			
Office of Juvenile Justice And Delinquency Prevention			
16.548	Title V_Delinquency Prevention Program Pass-through State of Alaska	EN 0611405	152
Bureau of Justice Statistics			
16.550	State Justice Statistics Program for Statistical Analysis Centers		45,719
National Institute of Justice			
16.560	National Institute of Justice Research, Evaluation, and Development Project Grants		48,892
Total Department of Justice			<u>94,763</u>
Department of Transportation			
20.000	Miscellaneous Pass-through Oil Spill Recovery Institute	10/10/2007	28,500
Federal Aviation Administration (FAA)			
20.109	Air Transportation Centers of Excellence		78,009
Research and Innovative Technology Administration			
20.701	University Transportation Centers Program Pass-through Portland State University	GCA 6384	2,941,152 6,155
20.762	Research Grants Pass-through University of Hawaii	PO # Z894269	45,043 30,925
Total Department of Transportation			<u>3,129,784</u>
Department of the Treasury			
21.000	Miscellaneous		76,670
General Services Administration			
39.009	Federal Citizen Information Center		10,084

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2012

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
National Aeronautics and Space Administration			
43.000	Miscellaneous		12,282,193
	Pass-through Bermuda Institute of Ocean Sciences	10-4100 / PENDING	66,390
	Pass-through Hampton University	SUBC #03-12	1
	Pass-through The John Hopkins University	957706	232,219
	Pass through Jet Propulsion Laboratory	SUB 1418562	179,630
	Pass-through Montclair State University	NNX09AL03G/PO-S102366	19,932
	Pass-through University of New Hampshire	SUB 09-046	1,259
	Pass-through University of Pittsburgh	SUB 0008149	29,896
	Pass-through University of South Florida	PO 7000025845	28,912
	Pass-through University of Washington	SUBCONTRACT 486547	7,089
43.001	Science		2,047,582
	Pass through University of Texas Austin	UTA12-000234	8,796
	Pass through Jet Propulsion Laboratory	1241499	62,525
	Pass through Jet Propulsion Laboratory	1485171	74,021
	Pass-through Prince William Sound Science Center	10-65-10	175,888
	Pass through Louisiana State University	SUB NO.65687/CFMS#712215	2,150
	Pass-through University of Maryland	SUB Z631501	26,493
	Pass-through University of Pittsburgh	SUB 0020157 (407134-2)	26,792
	Pass-through University of California Berkeley	SUBAGREEMENT NO:00007605	127,339
43.002	Aeronautics		
	Pass-through University of Colorado	1545830REF:PO 1000027866	111,922
	Pass-through University of Colorado	1548571 PO#1000017674	15,589
Total National Aeronautics and Space Administration			15,526,618
National Science Foundation			
47.000	Miscellaneous		
	Pass-through National Center for Atmospheric Research	Z11-87895	18,579
47.041	Engineering Grants		102,873
	Pass-through Georgia Institute of Technology	RA0693-G1 / PO #2720014478	41,436
47.049	Mathematical and Physical Sciences		298,122
47.050	Geosciences		11,606,532
	Pass-through Cornell University	62144-9481	24,618
	Pass-through Northwest Research Associates, Inc.	NWRA-07-S-104	13,842
	Pass-through Rutgers	PO 4150 / S1409651	40,381
	Pass-through University of Southern California	149701	219,097
	Pass-through University of Southern California	SUB 152425	24,000
	Pass-through Virginia Polytech Institute	478052-19099	23,557
	Pass-through Woods Hole Oceanographic Institution	SUBAWARD # A100638	51,187
	Pass through SRI International	SUBCONTRACT #119-000221	225,315
	Pass-through Woods Hole Oceanographic Institution	SUB A100870	22,631
47.070	Computer and Information Science and Engineering		93,181
47.074	Biological Sciences		2,880,586
	Pass-through South Dakota State University	3TC097	11,917
	Pass-through Texas Tech University	21P225-02	22,801
	Pass-through University of Notre Dame	SUBAWARD # 201717	28,580
	Pass-through University of Washington	592485	(4,700)
47.075	Social, Behavioral, and Economic Sciences		403,232
	Pass-through University of New Hampshire	SUB AWARD #12-037	3,908
47.076	Education and Human Resources		2,231,282

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2012

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
47.078	Polar Programs		10,328,004
	Pass through Oklahoma State University	AA531200-S1	155,640
	Pass-through Arctic Research Consortium of the U.S. (ARCUS)	ARCUS CONTRACT8.26.04	5,933
	Pass through University of Chicago	FP042787	21,406
	Pass-through Purdue University	4101-44885	16,719
	Pass-through University of Maryland, UMCES	CA 12-12 07-5-25679	36,337
	Pass-through Woods Hole Oceanographic Institution	A100869	20,390
47.079	International Science and Engineering (OISE)		73,003
	Pass-through University of Hawaii, Manoa	Z946967 / PO 660191	2,805
47.082	ARRA - Trans-NSF Recovery Act Research Support		67,347,328
	Pass through University of Washington	ARRA ARC-0908262 / 657596Z	15,599
	Pass-through University of Colorado	ARRA SPO 0000069542	97,221
Total National Science Foundation			<u>96,503,342</u>
Small Business Administration			
59.050	Prime Technical Assistance		<u>118,779</u>
Environmental Protection Agency			
66.000	Miscellaneous		
	Pass-through State of Alaska	RSA 1820188	9
	Pass-through NatureServe	EP-W-07-080 (WA 3-22)	164,318
Office of Water			
66.419	Water Pollution Control State, Interstate, and Tribal Program		
	Pass-through State of Alaska	RS1810168/EN 1810521	(1,320)
	Pass-through State of Alaska	RS1810207/EN1810525	85,806
	Pass-through State of Alaska	EN 1820501	32,875
Office of Research and Development			
66.509	Science To Achieve Results (STAR) Research Program		
	Pass-through Arizona State University	SUBAWARD 12-866	2,188
66.516	P3 Award: National Student Design Competition for		<u>3,052</u>
Total Environmental Protection Agency			<u>286,928</u>
Department of Energy			
81.000	Miscellaneous		65,000
	Pass-through Sandia National Laboratories	SPO 966269	(15)
	Pass-through Battelle Pacific Northwest Lab	NO. 9064	23,049
	Pass-through Battelle Pacific Northwest Lab	17560	22,579
81.049	Office of Science Financial Assistance Program		1,893,659
	Pass through Univ. of Illinois at Chicago	DOC# 0411/DE-SC0006607	13,827
	Pass-through Alliance For Sustainable Energy	ZAM-0-40876-01	34,734
81.087	ARRA - Renewable Energy Research and Development		1,559,653
	Pass-through Electric Power Research Institute	EP-P37092/C16755	29,287
	Pass-through Electric Power Research Institute	EP-P36535/C16572	50,995
81.089	Fossil Energy Research and Development		651,672
	Pass-through Sandia National Laboratories	STANDARD PO: 746154	31,797
	Pass-through Sandia National Laboratories	CONTRACT 643168	87,882
	Pass-through Sandia National Laboratories	PO# 1197534	88,796

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2012

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
81.128	ARRA - Energy Efficiency and Conservation Block Grant Program (EECBG)		
	Pass-through State of Alaska	400114 /EEC-10-ISR-1	2,497
81.133	ARRA - Geologic Sequestration Training and Research Grant		(26,740)
Total Department of Energy			<u>4,528,672</u>
Department of Education			
84.000	Miscellaneous		
	Pass through Alaska Humanities Forum	AGRMNT DTD 6/06 AMEND	(1)
Office of Elementary and Secondary Education			
84.356	Alaska Native Educational Programs		
	Pass-through Association of Alaska School Boards	AMENDED PO 100-ISER-06	(3,868)
Total Department of Education			<u>(3,869)</u>
Denali Commission			
90.100	Denali Commission Program		2,484,966
	Pass-through Chaninik Wind Group	CWG2011-001	46,503
	Pass-through Cordova Electric Cooperative	PO 00000971	39,613
	Pass-through Kotzebue Electric Association	11-131	57,515
	Pass-through Ocean Renewable Power Company - Alaska	UAF11-0017	90,524
Total Denali Commission			<u>2,719,121</u>
Department of Health and Human Services			
93.000	Miscellaneous		
	Pass-through Alaska Native Tribal Health Consortium	ANTHC-10-C29939 MOD 1	4,505
	Pass-through American Public Health Association	AGREEMENT DTD 10/14/11	92,746
	Pass-through Mount Sinai School of Medicine	HHSN266200700010C	136,253
	Pass-through South East Alaska Regional Health Consortium	78399 PO16523	35,030
Administration on Aging			
93.048	Special Programs for the Aging_ Title IV_ and Title II_ Discretionary Projects		93,118
National Institutes of Health			
93.113	Environmental Health		689
	Pass-through Alaska Community Action on Toxics	NIEHS R01 UAA	70,623
93.172	Human Genome Research		515,900
Agency for Healthcare Research and Quality			
93.226	Research on Healthcare Costs, Quality and Outcomes		199,654
Office of the Secretary			
93.239	Policy Research and Evaluation Grants		
	Pass-through Massachusetts Institue of Technology	5710003131	231,222
National Institutes of Health			
93.242	Mental Health Research Grants		729,417
Substance Abuse and Mental Health Services Administration			
93.243	Substance Abuse and Mental Health Services_ Projects of Regional and National Significance		60,750
	Pass-through State of Alaska	EN# 0629000	46,082
	Pass-through Rural Alaska Comunity Action Program	11TI23541A	42,726
Centers for Disease Control and Prevention			
93.262	Occupational Safety and Health Program		163,141

University of Alaska
 Schedule of Expenditures for Federal Awards
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CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
National Institutes of Health			
93.273	Alcohol Research Programs		131,078
93.279	Drug Abuse and Addiction Research Programs		323,856
	Pass through Northwest Indian College	24216	33,493
	Pass-through Pacific Institute for Research and Evaluation, Louisville Center	0049.02.01 MOD 2 DTD 7/9/11	26,323
Health Resources and Services Administration			
93.300	National Center for Health Workforce Analysis		90,556
National Institutes of Health			
93.307	Minority Health and Health Disparities Research		541,002
93.389	National Center for Research Resources		2,817,164
93.701	ARRA - Trans-NIH Recovery Act Research Support		1,840,145
	Pass-through Harvard College	149728.386555.0207	46,263
	Pass-through University of Washington	725216Z	11,014
	Pass-through University of Washington	720648Z	37,259
93.847	Diabetes, Digestive, and Kidney Diseases Extramural Research		529,250
93.853	ARRA - Extramural Research Programs in the Neurosciences and Neurological Disorders		125,836
	ARRA - Pass-through Alaska Native Tribal Health Consortium	3U1NS048069-04S3	126,050
	ARRA - Pass-through Alaska Native Tribal Health Consortium	11-U-37632	30,709
93.859	Biomedical Research and Research Training		4,748,512
	Pass-through University of Washington	697269	3,898
	Pass-through University of Washington	724313	203,875
Health Resources and Services Administration			
93.912	Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement Program		
	Pass-through S.E. AK Regional Health Consortium	31199	41,820
Indian Health Service			
93.933	Demonstration Projects for Indian Health		
	Pass-through Alaska Native Tribal Health Consortium	ANTHC-11-U-33434 DTD7/28/11	8,350
Total Department of Health and Human Services			14,138,309
Department of Homeland Security			
97.061	Centers for Homeland Security		
	Pass-through University of Hawaii	Z884255	423,603
	Pass-through University of Hawaii	Z884255 AMEND #5	66,903
Total Department of Homeland Security			490,506
TOTAL RESEARCH AND DEVELOPMENT			171,260,529
OTHER PROGRAMS			
Department of Agriculture			
10.000	Miscellaneous		94,609
	Pass through Kansas State University	KSU S11106	11,135

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2012

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
National Institute of Food and Agriculture			
10.200	Grants for Agricultural Research, Special Research Grants		409,146
	Pass-through University of California, Davis	09-002101-UAF1	3,583
10.206	Grants for Agricultural Research - Competitive Research Grants		182,736
10.215	Sustainable Agriculture Research and Education		
	Pass-through Utah State University	90758036	34,172
10.225	Community Food Projects		68,208
10.226	Secondary and Two-Year Postsecondary Agriculture Education Challenge Grants		85,830
10.228	Alaska Native Serving and Native Hawaiian Serving Institutions Education Grants		1,309,750
10.303	Integrated Programs		
	Pass-through University of Idaho	BJKH15 SB005	65,526
10.304	Homeland Security - Agricultural		
	Pass-through University of California, Davis	07-002558-12/PENDING	15,084
10.310	Agriculture and Food Research Initiative (AFRI)		34,152
	Pass-through University Of Hawaii	MA110010 / Z986079-01	409,561
10.311	Beginning Farmer and Rancher Development Program		182,824
10.315	ARRA - Trade Adjustment Assistance for Farmers Training Coordination Program (TAAF)		
	Pass-through University of Minnesota	H001344228	12,767
Departmental Management			
10.443	Outreach and Assistance for Socially Disadvantaged Farmers and Ranchers		102,381
10.500	Cooperative Extension Service		1,707,525
	Pass-through Kansas State University	2007-48661-03868 (S08023.03)/P	33,688
	Pass-through Kansas State University	S11143	35,606
	Pass-through Kansas State University	2010-48661-21868	50,362
	Pass-through Kansas State University	S12200	23,446
	Pass-through Kansas State University	S12068	9,236
	Pass-through Kansas State University	S12139	21,234
	Pass-through Purdue University	8000041653	15,830
	Pass-through Purdue University	8000047643	62,532
	Pass-through Kansas State University	S08107.01/.02/.03	(7)
	Pass-through University of Arizona	Y560309	3,144
	Pass through University of Idaho	BSK701-SB-001 / PO#0028400	1,626
	Pass through University of Nebraska Lincoln	25-6365-0040-112	612
	Pass through University of Nebraska Lincoln	25-6329-0059-801	10,135
	Pass through University of Wyoming	1000782	8,738
	Pass through Utah State University	100906004	15,481
Food and Nutrition Service			
10.557	Special Supplemental Nutrition Program for Women, Infants, and Children		
	Pass-through Oklahoma State Department of Health	PO3409015400 (ORIG 3409014142)	13,940
	Pass-through State of Alaska	EN 0629001 AMEN #4 DTD 4/10/12	66,362
	Pass-through State of Alaska	EN 611458	(13)

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Schedule of Expenditures for Federal Awards
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CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
Forest Service			
10.662	Forestry Research		2,186
10.664	Cooperative Forestry Assistance		111,454
10.680	Forest Health Protection		153,678
10.682	National Forest Foundation		981
Rural Development			
10.769	Rural Business Enterprise Grants		219,785
10.771	Rural Cooperative Development Grants		177,662
Total Department of Agriculture			5,766,687
Department of Commerce			
11.000	Miscellaneous Pass-through Consortium for Oceanographic Research & Education	LETTER 11/14/2005	(50)
National Oceanic and Atmospheric Administration (NOAA)			
11.012	Integrated Ocean Observing System (IOOS) Pass-through University of Maryland - UMCES Pass through Alaska SeaLife Center	CA10-19 SUB-AWARD NO H2300.56	84,741 54,450
Economic Development Administration			
11.303	Economic Development - Technical Assistance		136,373
National Oceanic and Atmospheric Administration			
11.407	Interjurisdictional Fisheries Act of 1986		(19,859)
11.417	Sea Grant Support Pass-through University of Mississippi	10-03-058	1,778,342 4,993
11.420	Coastal Zone Management Estuarine Research Reserves		1,025
11.438	Pacific Coast Salmon Recovery - Pacific Salmon Treaty Program Pass-through State of Alaska	ADN 820047	34,600
11.452	Unallied Industry Projects		154,796
11.472	Unallied Science Program		38,929
National Telecommunications and Information Administration			
11.557	ARRA - Broadband Technology Opportunities Program (BTOP) Pass-through State of Alaska	ADN52008/EN520000	1,824,335 99,589
Total Department of Commerce			4,192,264
Department of Defense			
Defense Logistics Agency			
12.002	Procurement Technical Assistance For Business Firms		250,121
Department of the Navy, Office of the Chief of Naval Research			
12.300	Basic and Applied Scientific Research Pass-through University of Texas San Antonio	0201-15UAF	22,358 11,595
U.S. Army Material Command			
12.431	Basic Scientific Research		86,706
Office of the Secretary of Defense			
12.630	Basic, Applied, and Advanced Research in Science and		20,029
National Security Agency			
12.902	Information Security Grant Program		12,464
Total Department of Defense			403,273

University of Alaska
 Schedule of Expenditures for Federal Awards
 Year Ended June 30, 2012

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
Department of Housing and Urban Development			
Office of Policy Development and Research			
14.515	Alaska Native / Native Hawaiian Institutions Assisting Communities		1,237,068
Total Department of Housing and Urban Development			<u>1,237,068</u>
Department of the Interior			
15.000	Miscellaneous		358
	Pass-through State of Alaska	PENDING	(53,828)
Bureau of Indian Education			
15.026	Indian Adult Education		15,543
Bureau of Land Management			
15.224	Cultural Resource Management		1,322,671
15.231	Fish, Wildlife and Plant Conservation Resource Management		25,008
15.232	Wildland Fire Research and Studies Program		187,205
The Bureau of Ocean Energy Management, Regulation, and Enforcement			
15.421	Alaska Coastal Marine Institute		128
15.426	Coastal Impact Assistance Program (CIAP)		
	Pass-through State of Alaska	1021200	241,706
Fish and Wildlife Service			
15.630	Coastal Program		35,702
15.649	Service Training and Technical Assistance (Generic Training)		9,412
15.650	Research Grants		160,451
15.663	National Fish and Wildlife Foundation		(60)
	Pass-through National Fish and Wildlife Foundation	2003-0265-018	237
U.S. Geological Survey			
15.807	ARRA - Earthquake Hazards Reduction Program		65,403
15.808	US Geological Survey_Research and Data Collection		73,403
National Park Service			
15.900	Disposal of Surplus Wildlife		11,065
15.904	Historic Preservation Fund Grants-In-Aid		18,555
15.922	Native American Graves Protection and Repatriation Act		71,408
15.944	Natural Resource Stewardship		24,531
15.945	Cooperative Research and Training Programs – Resources of the National Park System		343,867
15.946	Cultural Resources Management		25,182
Total Department of the Interior			<u>2,577,947</u>
Department of Justice			
16.000	Miscellaneous		65,049
Office of Juvenile Justice and Delinquency Prevention			
16.726	Juvenile Mentoring Program		
	Pass-through National 4H Council	2011-TY-FX-0031	404
	Pass-through National 4H Council	3/8/12 AGREEMENT	24,828
Total Department of Justice			<u>90,281</u>
Department of Labor			
Employment Training Administration			
17.268	H-1B Job Training Grants		(2,512)
17.269	Community Based Job Training Grants		584,228

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2012

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
17.275	ARRA - Program of Competitive Grants for Worker Training and Placement in High Growth and Emerging Industry Sectors		
	Pass-through State of Alaska	ASESP-12-007	15,833
17.282	Trade Adjustment Assistance Community College and Career Training (TAACCCT) Grants		229,319
	Mine Safety and Health Administration		
17.600	Mine Health and Safety Grants		33,854
Total Department of Labor			860,722
Department of Transportation			
Federal Aviation Administration			
20.109	Air Transportation Centers of Excellence		
	Pass-through Embry-Riddle Aeronautical University	61077-UAA PO 142015	7,327
Federal Highway Administration			
20.200	Highway Research and Development Program		
	Pass-through University of Idaho	KLK262 SB 002	46,468
20.215	Highway Training and Education		285,835
Total Department of Transportation			339,630
National Aeronautics and Space Administration			
43.000	Miscellaneous		95,846
	Pass-through George Mason University	SUB 200707-E	(3)
	Pass-through Alaska Challenger Center for Space Science Technology Inc.	S12985	121,831
	Pass-through University of Tennessee, Knoxville	A11-0338-S001/NNX10AT66G	7,605
43.001	Science		109,650
Total National Aeronautics and Space Administration			334,929
Institute of Museum and Library Services			
45.025	Promotion of the Arts_Partnership Agreements		
	Pass through State of Alaska	FY12 CAD0022	3,500
45.301	Museums for America		48,234
45.310	Grants to States		
	Pass-through State of Alaska	EN 520869/ILC-12-156-139	1,662
	Pass-through State of Alaska	ADN 520015	2,131
	Pass-through State of Alaska	LS-00-11-0002-11	25,429
	Pass-through State of Alaska	GRANT DTD 7/14/10	108,936
	Pass-through State of Alaska	RSA EN-520422	10,004
	Pass-through State of Alaska	EN # 520423	78,805
	Pass-through State of Alaska	ADN 520170	13,691
Total Institute of Museum and Library Services			292,392
National Science Foundation			
47.000	Miscellaneous		139,313
47.041	Engineering Grants		204,414
47.050	Geosciences		701,219
47.070	Computer and Information Science and Engineering		12,275
	Pass-through Carnegie Mellon University	1120822-184882	7,332

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2012

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
47.074	Biological Sciences		250,579
	Pass-through University of New Mexico	SUBAWARD NO. 04803T-873U	17,471
47.076	Education and Human Resources		1,385,966
	Pass through Tennessee Technological University	P0006343	5,299
47.078	Polar Programs		721,498
	Pass-through Columbia University	3 (ACCT 5-24906)	52,022
47.080	Office of Cyberinfrastructure		84,639
47.081	Office of Experimental Program to Stimulate Competitive Research		586,739
47.082	ARRA - Trans-NSF Recovery Act Research Support		451,217
Total National Science Foundation			<u>4,619,983</u>
Small Business Administration			
59.006	8(a) Business Development Program		102,927
59.009	Procurement Assistance to Small Businesses		(29,720)
59.037	Small Business Development Centers		627,799
59.058	Federal and State Technology Partnership Program		6,553
Total Small Business Administration			<u>707,559</u>
Environmental Protection Agency			
66.000	Miscellaneous		
	Pass-through Venetie Village Council	S00013808	9,451
Office of the Chief Financial Officer			
66.202	Congressionally Mandated Projects		34,531
66.605	Performance Partnership Grants		9,451
Total Small Business Administration			<u>53,433</u>
Department of Energy			
81.000	Miscellaneous		
	Pass through State of Alaska	PENDING	(1,933)
81.049	Office of Science Financial Assistance Program		
	Pass-through Battelle Pacific Northwest Lab	SUBCONTRACT#4000098845	251,498
81.089	Fossil Energy Research and Development		100,923
81.122	ARRA - Electricity Delivery and Energy Reliability, Research, Development and Analysis		
	Pass-through University of Minnesota	A000211596	1,585
81.128	ARRA - Energy Efficiency and Conservation Block Grant Program (EECBG)		
	Pass-through State of Alaska	2/10/2001	118,264
Total Department of Energy			<u>470,337</u>
Department of Education			
84.000	Miscellaneous		
	Pass-through National Writing Project Corporation	CONTRACT 11-AK03	43,618
Office of Vocational and Adult Education			
84.002	Adult Education - Basic Grants to States		
	Pass-through State of Alaska	EN 725604	24,601
	Pass-through State of Alaska	ADN#720336	121,347
	Pass-through State of Alaska	ADN720335; FY2012-09	237,432
	Pass-through State of Alaska	EN 715603	13

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2012

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
	Pass-through State of Alaska	EN 725602	30,001
	Pass-through State of Alaska	EN# 715601	442
	Pass-through State of Alaska	EN# 725601	61,326
Office of Postsecondary Education			
84.031	Higher Education Institutional Aid		11,166,561
	Pass-through National Writing Project Corporation	CONTRACT 09-AK02	39,749
Office of Vocational and Adult Education			
84.048	Career and Technical Education - Basic Grants to States		
	Pass-through State of Alaska	EL 10.159.01 & EL 11.159.01	99,605
	Pass-through State of Alaska	ADN 45-12-0720 / EL 12-157-03	19,945
	Pass-through State of Alaska	ADN 520052	105,832
	Pass-through State of Alaska	EL 10/11/12.159.02	31,491
	Pass-through State of Alaska	EN 520151	15,678
	Pass-through State of Alaska	EN 520384	49,281
	Pass-through State of Alaska	EN 520612	3,920
	Pass-through State of Alaska	EN# 520774	109,999
	Pass-through State of Alaska	RSA EN 520385 EL 12.156.01	88,919
Office of Postsecondary Education			
84.116	Fund for the Improvement of Postsecondary Education		282,144
Office of Vocational and Adult Education			
84.243	Tech-Prep Education		
	Pass-through State of Alaska	EN 510108	(131)
	Pass-through State of Alaska	EN 500150	129,486
Office of Elementary and Secondary Education			
84.299	Indian Education - Special Programs for Indian Children		347,017
Office of Special Education and Rehabilitative Services			
84.323	Special Education - State Personnel Development		
	Pass through State of Alaska	ADN 510312	(853)
Office of Elementary and Secondary Education			
84.356	Alaska Native Educational Programs		2,897,227
	Pass-through Project GRAD Kenai Peninsula	MOA DTD 08/11/11	17,500
	Pass-through Project GRAD Kenai Peninsula	MOA DTD 08/17/10	694
	Pass through Northwest Arctic Borough	11928110690	109,687
84.362	Native Hawaiian Education		
	Pass-through Pacific Tsunami Museum, Inc.	MOA PTM 09/01/10	292,839
84.365	English Language Acquisition Grants		13,009
Office of Postsecondary Education			
84.382	Strengthening Minority-Serving Institutions		237,559
84.407	Transition Programs for Students with Intellectual Disabilities into Higher Education		366,909
Office of Innovation and Improvement			
84.411	Investing in Innovation (i3) Fund		73,010
Total Department of Education			17,015,857
Denali Commission			
90.100	Denali Commission Program		438,311
	Pass-through Alaska Public Broadcasting, Inc.	174-05 DENALI COMMISSION	59,972
Total Denali Commission			498,283

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2012

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
U.S. Election Assistance Commission			
90.401	Help America Vote Act Requirements Payments Pass-through State of Alaska	RS#0112001	204,457
Department of Health and Human Services			
93.000	Miscellaneous Pass-through Iowa State University	PARTNERSHIP AGREEMENT I.S.	4,354 29,362
Centers for Disease Control and Prevention			
93.073	Birth Defects and Developmental Disabilities - Prevention and Surveillance		230,877
Health Resources and Services Administration			
93.107	Area Health Education Centers Point of Service Maintenance and Enhancement Awards		100,204
93.110	Maternal and Child Health Federal Consolidated Programs Pass-through State of Alaska	RSA EN0629012	455,779 16,475
National Institutes of Health			
93.113	Environmental Health		595,676
93.242	Mental Health Research Grants		286,355
Centers for Disease Control and Prevention			
93.283	The Affordable Care Act: Centers for Disease Control and Prevention_ Investigations and Technical Assistance		164,371
Health Resources and Services Administration			
93.358	Advanced Nursing Education Traineeships		17,471
National Institutes of Health			
93.389	National Center for Research Resources Pass through Institute of Translational Health Sciences	UW SUB NO.738223 DTD 5/8/12	911
Health Resources and Services Administration			
93.417	ARRA - Nursing Workforce Diversity		324,155
Administration for Children and Families			
93.632	University Centers for Excellence in Developmental Disabilities Education, Research, and Service Pass-through University of Kansas Center For Research	FY2011-074	450,870 10,000
	Pass-through University of Kansas Center For Research	SUBCONTRACT NO. 2012-051	10,891
93.658	Foster Care_ Title IV-E Pass-through State of Alaska	EN# 0611406 AMEND 1	507
93.669	Child Abuse and Neglect State Grants Pass-through State of Alaska	EN 0629008	842,012
National Institutes of Health			
93.702	ARRA - National Center for Research Resources, Recovery Act Construction Support		3,956,599
Office of the Secretary			
93.721	ARRA - Health Information Technology Professionals in Health Pass-through Dakota State University	DS1000003-2-ALASKA	28,010
Health Resources and Services Administration			
93.824	Area Health Education Centers Infrastructure Development		867,313
93.888	Specially Selected Health Projects		179,085
93.912	Rural Health Care Services Outreach, Rural Health Network Development and Small Health Care Provider Quality Improvement Program Pass-through State of Alaska	EN629003	8,330

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2012

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
Indian Health Service			
93.933	Demonstration Projects for Indian Health Pass-through Alaska Native Tribal Health Consortium	ANTHC - 11-U-34569 MOD 002	116,300
Substance Abuse and Mental Health Services Administration			
93.959	Block Grants for Prevention and Treatment of Substance Abuse Pass-through University of Washington	SUB 724425	4,444
Total Department of Health and Human Services			<u>8,700,351</u>
Corporation for National and Community Service			
94.005	Learn and Serve America - Higher Education		281
94.013	Volunteers in Service to America		12,425
Total Corporation for National and Community Service			<u>12,706</u>
Social Security Administration			
96.008	Social Security - Work Incentives Planning and Assistance		89,364
TOTAL OTHER PROGRAMS			<u>48,467,523</u>
ECONOMIC DEVELOPMENT			
Department of Commerce			
Economic Development Administration			
11.300	ARRA - Investments for Public Works and Economic Development Facilities		354,380
WORKFORCE INVESTMENT ACT (WIA)			
Department of Labor			
Employment Training Administration			
17.259	WIA Youth Activities Pass-through State of Alaska	RS 726126	113,445
HIGHWAY PLANNING AND CONSTRUCTION			
Department of Transportation			
Federal Highway Administration (FHWA)			
20.205	Highway Planning and Construction		66,673
SPECIAL EDUCATION			
Department of Education			
Office of Special Education and Rehabilitative Services			
84.027	Special Education_ Grants to States Pass-through State of Alaska	EN 510035	(8,312)
	Pass-through State of Alaska	EN 510262	(1,077)
TOTAL SPECIAL EDUCATION			<u>(9,389)</u>
EARLY INTERVENTION SERVICES (IDEA)			
84.393	Early Intervention Services (IDEA) Pass-through State of Alaska	EN 0611455	2,240
	Pass-through State of Alaska	EN 0611464	(1,014)
TOTAL EARLY INTERVENTION SERVICES			<u>1,226</u>

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CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
TRIO			
Department of Education			
Office of Postsecondary Education			
84.042	TRIO_Student Support Services		476,381
84.044	TRIO_Talent Search		425,692
84.047	TRIO_Upward Bound		945,013
84.066	TRIO_Educational Opportunity Centers		181,774
TOTAL TRIO			<u>2,028,860</u>
TEACHER QUALITY PARTNERSHIP GRANTS (TQPG)			
Department of Education			
Office of Innovation and Improvement			
84.336	Teacher Quality Partnership Grants		<u>5,116</u>
GRAND TOTAL			<u><u>\$ 328,736,927</u></u>

University of Alaska
Notes to Schedule of Expenditures of Federal Awards
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(1) General

The accompanying Schedule of Expenditures of Federal Awards presents the activity of all federal financial assistance programs of the University of Alaska. The University of Alaska is a constitutionally created corporation and a component unit of the State of Alaska. Federal financial assistance received directly from federal agencies as well as federal financial assistance passed through other government agencies is included on the schedule.

(2) Basis of Accounting

The accompanying Schedule of Expenditures of Federal Awards is presented using the economic resources measurement focus and accrual basis of accounting, which is described in note 1 to the University of Alaska's financial statements.

(3) Subrecipients

The University of Alaska passed through \$7,598,342 of federal awards to subrecipients for the year ended June 30, 2012. Expenditures incurred by the subrecipients are listed below by federal program. These amounts are included in the Schedule of Expenditures of Federal Awards.

<i>Federal Agency and Program</i>	<i>CFDA#</i>	<i>Expenditures</i>
Department of Agriculture		
Agricultural Research_Basic and Applied Research	10.001	\$ 531,956
Grants for Agricultural Research, Special Research Grants	10.200	52,665
Secondary and Two-Year Postsecondary Agriculture Education Challenge Grants	10.226	19,653
Agriculture and Food Research Initiative (AFRI)	10.310	54,661
Beginning Farmer and Rancher Development Program	10.311	12,000
Outreach and Assistance for Socially Disadvantaged Farmers and Ranchers	10.443	18,025
Cooperative Extension Service	10.500	15,903
		<u>704,863</u>
Department of Commerce		
Geodetic Surveys and Services (Geodesy and Applications of the National Geodetic Reference System)	11.400	233,751
Sea Grant Support	11.417	167,822
Undersea Research	11.430	110,572
National Oceanic and Atmospheric Administration (NOAA) Cooperative Institutes	11.432	153,930
Unallied Industry Projects	11.452	13,449
Unallied Science Program	11.472	27,482
ARRA - Broadband Technology Opportunities Program (BTOP)	11.557	729,889
		<u>1,436,895</u>
Department of Defense		
Basic and Applied Scientific Research	12.300	408,593
Military Medical Research and Development	12.420	(21)
Basic Scientific Research	12.431	97,043
		<u>505,615</u>
Department of Housing and Urban Development		
Alaska Native / Native Hawaiian Institutions Assisting Communities	14.515	<u>386,075</u>
Department of the Interior		
Miscellaneous	15.000	204,650
Minerals Management Service (MMS) Environmental Studies Program (ESP)	15.423	67,900
Cooperative Research Units Program	15.812	21,709
Minerals Resources External Research Program	15.816	3,954
Cooperative Research and Training Programs – Resources of the National Park System	15.945	61,165
		<u>359,378</u>
Department of Labor		
Community Based Job Training Grants	17.269	<u>42,627</u>

University of Alaska
Notes to Schedule of Expenditures of Federal Awards
Year Ended June 30, 2012

Department of Transportation		
University Transportation Centers Program	20.701	<u>526,624</u>
General Services Administration		
Federal Citizen Information Center	39.009	<u>10,326</u>
National Aeronautics and Space Administration		
Miscellaneous	43.000	\$ 141,781
Science	43.001	<u>86,558</u>
		<u>228,339</u>
National Science Foundation		
Engineering Grants	47.041	69,323
Geosciences	47.050	333,022
Computer and Information Science and Engineering	47.070	325
Biological Sciences	47.074	67,193
Social, Behavioral, and Economic Sciences	47.075	32,855
Polar Programs	47.078	335,987
		<u>838,705</u>
Department of Education		
Higher Education Institutional Aid	84.031	36,843
TRIO - Upward Bound	84.047	151,827
Career and Technical Education -- Basic Grants to States	84.048	21,552
Teacher Quality Partnership Grants	84.336	100
Alaska Native Educational Programs	84.356	517,570
		<u>727,892</u>
Department of Energy		
Miscellaneous	81.000	42,017
Fossil Energy Research and Development	81.089	26,665
ARRA - Energy Efficiency and Conservation Block Grant Program (EECBG)	81.128	36,750
		<u>105,432</u>
Denali Commission		
Denali Commission Program	90.100	<u>4,603</u>
Department of Health and Human Services		
Miscellaneous	93.000	1,076
Area Health Education Centers Point of Service Maintenance and Enhancement Awards	93.107	75,463
Maternal and Child Health Federal Consolidated Programs	93.110	11,030
Environmental Health	93.113	264,501
Human Genome Research	93.172	231,120
Research on Healthcare Costs, Quality and Outcomes	93.226	71,331
Mental Health Research Grants	93.242	21,535
Occupational Safety and Health Program	93.262	4,927
Minority Health and Health Disparities Research	93.307	80,481
National Center for Research Resources	93.389	7,256
ARRA - Trans-NIH Recovery Act Research Support	93.701	152,884
Area Health Education Centers Infrastructure Development Awards	93.824	630,954
Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847	75,738
Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853	61,276
		<u>1,689,572</u>
Department of Homeland Security		
Centers for Homeland Security	97.061	<u>31,396</u>
Total Federal Expenditures - Subrecipients		<u><u>\$ 7,598,342</u></u>

UNIVERSITY OF ALASKA
(A Component Unit of the State of Alaska)
Schedule of Findings and Questioned Costs
Year ended June 30, 2012

(1) Summary of Auditors' Results

Financial Statements

Type of auditors' report issued Unqualified

Internal control over financial reporting:

- Material weakness(es) identified? _____ yes x no
- Significant deficiency(ies) identified that are not considered to be material weakness(es)? _____ yes x none reported

Noncompliance material to the financial statements noted? _____ yes x no

Federal Awards

Internal control over major programs:

- Material weakness(es) identified? _____ yes x no
- Significant deficiency(ies) identified that are not considered to be material weaknesses? _____ yes x none reported

Type of auditors' report issued on compliance for major programs Unqualified

Any audit findings disclosed that are required to be reported in accordance with section 510(a) of OMB Circular A-133? _____ yes x no

CFDA number(s)	Name of federal program or cluster
Various	Research and Development Cluster
Various	Student Financial Assistance Cluster
11.557	ARRA – Broadband Technology Opportunities Program
47.082	Trans – NSF Recovery Act Research Support
93.702	National Center for Research Resources, Recovery Act Construction Support

Dollar threshold used to distinguish between type A and type B programs: \$3,000,000

Auditee qualified as low-risk auditee? x yes _____ no

(2) Financial Statement Findings Section

No matters are reportable.

(3) Federal Awards Findings and Questioned Cost Section

No matters are reportable.