



UNIVERSITY OF ALASKA
(A Component Unit of the State of Alaska)
Audit in Accordance with OMB A-133
For the Year Ended June 30, 2011

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(A Component Unit of the State of Alaska)
Audit in Accordance with OMB A-133
June 30, 2011

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Independent Auditors' Report on Compliance With Requirements That Could Have A Direct and Material Effect on Each Major Program and on Internal Control Over Compliance in Accordance with OMB Circular A-133

The Board of Regents
The University of Alaska:

Compliance

We have audited the University of Alaska's compliance with the types of compliance requirements described in the U.S. Office of Management and Budget (OMB) *Circular A-133 Compliance Supplement* that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2011. The University of Alaska's major federal programs are identified in the summary of auditors' results section of the accompanying schedule of findings and questioned costs. Compliance with the requirements of laws, regulations, contracts, and grants applicable to each of its major federal programs is the responsibility of the University of Alaska's management. Our responsibility is to express an opinion on the University of Alaska's compliance based on our audit.

We conducted our audit of compliance in accordance with auditing standards generally accepted in the United States of America; the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States; and OMB Circular A-133, *Audits of States, Local Governments, and Non-Profit Organizations*. Those standards and OMB Circular A-133 require that we plan and perform the audit to obtain reasonable assurance about whether noncompliance with the types of compliance requirements referred to above that could have a direct and material effect on a major federal program occurred. An audit includes examining, on a test basis, evidence about the University of Alaska's compliance with those requirements and performing such other procedures as we considered necessary in the circumstances. We believe that our audit provides a reasonable basis for our opinion. Our audit does not provide a legal determination of the University of Alaska's compliance with those requirements.

In our opinion, the University of Alaska complied, in all material respects, with the requirements referred to above that could have a direct and material effect on each of its major federal programs for the year ended June 30, 2011.

Internal Control over Compliance

Management of the University of Alaska is responsible for establishing and maintaining effective internal control over compliance with the requirements of laws, regulations, contracts, and grants applicable to federal programs. In planning and performing our audit, we considered the University of Alaska's internal control over compliance with the requirements that could have a direct and material effect on a major federal program in order to determine our auditing procedures for the purpose of expressing our opinion on compliance and to test and report on internal control over compliance in accordance with OMB Circular A-133, but not for the purpose of expressing an opinion on the effectiveness of internal control



over compliance. Accordingly, we do not express an opinion on the effectiveness of the University of Alaska's internal control over compliance.

A deficiency in internal control over compliance exists when the design or operation of a control over compliance does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct, noncompliance with a type of compliance requirement of a federal program on a timely basis. A material weakness in internal control over compliance is a deficiency, or combination of deficiencies, in internal control over compliance, such that there is a reasonable possibility that material noncompliance with a type of compliance requirement of a federal program will not be prevented, or detected and corrected, on a timely basis.

Our consideration of internal control over compliance was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over compliance that might be deficiencies, significant deficiencies or material weaknesses. We did not identify any deficiencies in internal control over compliance that we consider to be material weaknesses, as defined above.

Schedule of Expenditures of Federal Awards

We have audited the basic financial statements of the University of Alaska and its discretely presented component unit as of and for the year ended June 30, 2011, and have issued our report thereon dated October 19, 2011. Our audit was performed for the purpose of forming an opinion on the basic financial statements taken as a whole. The accompanying schedule of expenditures of federal awards is presented for purposes of additional analysis as required by OMB Circular A-133 and is not a required part of the basic financial statements. Such information has been subjected to the auditing procedures applied in the audit of the basic financial statements and, in our opinion, is fairly stated, in all material respects, in relation to the basic financial statements taken as a whole.

This report is intended solely for the information and use of management, the Board of Regents, others within the entity, federal awarding agencies, and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

KPMG LLP

December 7, 2011,
except as to the paragraph relating to the
schedule of expenditures of federal awards,
which is as of October 19, 2011

University of Alaska
 Schedule of Expenditures for Federal Awards
 Year Ended June 30, 2011

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
STUDENT FINANCIAL AID			
Department of Education			
84.379	Teacher Education Assistance for College and Higher Education Grants (TEACH Grants)		\$ 63,569
Office of Student Financial Assistance Programs			
84.007	Federal Supplemental Educational Opportunity Grants		980,262
84.033	ARRA - Federal Work - Study Program		420,147
84.063	ARRA - Federal Pell Grant Program		(509,486)
	Federal Pell Grant Program		24,572,867
84.268	Federal Direct Student Loans		76,097,269
84.376	National Science and Mathematics Access to Retain Talent (SMART) Grants		516,039
Office of Postsecondary Education			
84.375	Academic Competitiveness Grants		154,111
TOTAL STUDENT FINANCIAL AID			<u>102,294,778</u>
RESEARCH AND DEVELOPMENT			
Department of Agriculture			
10.000	Miscellaneous		442,003
	Pass-through Alaska Association of Conservation Districts	AGREEMENT DATED 7/20/10	66,666
Agricultural Research Service			
10.001	Agricultural Research, Basic and Applied Research		1,186,115
Animal and Plant Health Inspection Service			
10.025	Plant and Animal Disease, Pest Control, and Animal Care		31,974
National Institute of Food and Agriculture			
10.200	Grants for Agricultural Research, Special Research Grants		878,516
	Pass-through University of Hawaii	Z936926	5,240
	Pass-through University of Hawaii	ADAP-121-UAF	2,054
	Pass-through Utah State University	61553007	1,676
10.202	Cooperative Forestry Research		498,297
10.203	Payments to Agricultural Experiment Stations Under the Hatch Act		1,395,854
10.206	Grants for Agricultural Research - Competitive Research Grants		52,519
10.310	Agriculture and Food Research Initiative		156,404
10.500	Cooperative Extension Service		72,309
10.600	Foreign Market Development Cooperator Program		47,357
Forest Service			
10.652	Forestry Research		4,845
10.664	Cooperative Forestry Assistance		53,520
10.680	Forest Health Protection		62,174
	Pass-through Anchorage Park Foundation	PROJECT 147	1,955
Natural Resources Conservation Service			
10.901	Resource Conservation and Development		29,447
10.902	Soil and Water Conservation		10,460
	Pass-through University of Idaho	REQ 0015680	10,460
Total Department of Agriculture			<u>4,999,385</u>

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2011

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
Department of Commerce			
11.000	Miscellaneous		65,370
National Oceanic and Atmospheric Administration (NOAA)			
11.011	Ocean Exploration		10,009
11.400	Geodetic Surveys and Services (Geodesy and Applications of the National Geodetic Reference System)		309,728
11.417	Sea Grant Support		126,595
11.419	Coastal Zone Management Administration Awards		
	Pass-through State of Alaska	RSA ADN 45-09-0805	(840)
11.420	Coastal Zone Management Estuarine Research Reserves		26,756
11.427	Fisheries Development and Utilization Research and Development Grants and Cooperative Agreements Program		125,298
11.430	Undersea Research		1,088,465
11.431	Climate and Atmospheric Research		752,186
11.432	National Oceanic and Atmospheric Administration (NOAA) Cooperative Institutes		1,867,979
11.438	Pacific Coast Salmon Recovery - Pacific Salmon Treaty Program		
	Pass-through State of Alaska	RSA 1115951	32,864
	Pass-through State of Alaska	ADN 1115958	48,281
	Pass-through State of Alaska	RSA 1115959	28,132
11.439	Marine Mammal Data Program		674,967
11.460	Special Oceanic and Atmospheric Projects		212,553
11.463	ARRA - Habitat Conservation		
	Pass-through National Fish & Wildlife Foundation	2008-0066-008	69,033
11.467	Meteorologic and Hydrologic Modernization Development		2,796
11.468	Applied Meteorological Research		
	Pass-through University of Mississippi	UM 09-09-024	161,030
11.472	Unallied Science Program		8,680
	Pass-through North Pacific Research Board	PROJECT B51	94,241
	Pass-through North Pacific Research Board	PROJECT B52	25,394
	Pass-through North Pacific Research Board	PROJECT B53	109,179
	Pass-through North Pacific Research Board	PROJECT B67	50,268
	Pass-through North Pacific Research Board	PROJECT B68	(18,584)
	Pass-through North Pacific Research Board	PROJECT B75	(39,562)
	Pass-through North Pacific Research Board	PROJECT B77	64,906
	Pass-through North Pacific Research Board	PROJECT G81	120,880
	Pass-through North Pacific Research Board	PROJECT G84	16,633
	Pass-through North Pacific Research Board	PROJECT 614	(993)
	Pass-through North Pacific Research Board	PROJECT 702	(3,086)
	Pass-through North Pacific Research Board	PROJECT 708	(223)
	Pass-through North Pacific Research Board	PROJECT 714	17,240
	Pass-through North Pacific Research Board	PROJECT 804	(787)
	Pass-through North Pacific Research Board	PROJECT 805	142,587
	Pass-through North Pacific Research Board	PROJECT 814	42,928
	Pass-through North Pacific Research Board	PROJECT 821	18,995
	Pass-through North Pacific Research Board	PROJECT 828	10,116
	Pass-through North Pacific Research Board	PROJECT 901	3,867

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2011

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
11.472	Unallied Science Program-Continued		
	Pass-through North Pacific Research Board	PROJECT 904	16,138
	Pass-through North Pacific Research Board	PROJECT 908-A	41,790
	Pass-through North Pacific Research Board	PROJECT 908-B	33,682
	Pass-through North Pacific Research Board	PROJECT 909	9,012
	Pass-through North Pacific Research Board	PROJECT 912	20,582
	Pass-through North Pacific Research Board	PROJECT 913	12,072
	Pass-through North Pacific Research Board	PROJECT 915	8,269
	Pass-through North Pacific Research Board	PROJECT 916	22,697
	Pass-through North Pacific Research Board	PROJECT 918	46,775
	Pass-through North Pacific Research Board	PROJECT 920	74,900
	Pass-through North Pacific Research Board	PROJECT 923	18,608
	Pass-through North Pacific Research Board	PROJECT 927	75,721
	Pass-through North Pacific Research Board	PROJECT 928	10,288
	Pass-through North Pacific Research Board	PROJECT 929	28,646
	Pass-through North Pacific Research Board	PROJECT 1004	152,990
	Pass-through North Pacific Research Board	PROJECT 1005	106,029
	Pass-through North Pacific Research Board	PROJECT 1008	15,773
	Pass-through North Pacific Research Board	PROJECT 1012	5,250
	Pass-through North Pacific Research Board	PROJECT 1022	53,789
11.473	Coastal Services Center		
	Pass-through Seward Association for Advancement of Science	H-2201	557
	Pass-through Alaska Ocean Observing System	H2221-00	79,243
	Pass-through Alaska Ocean Observing System	H2223-00	140,349
	Pass-through Alaska Ocean Observing System	H222400/PENDING	136,760
	Pass-through University of Maryland	CA- 07-11 Z7315-1	24,830
	Pass-through University of Maryland	CA 10-27	228,275
11.481	Educational Partnership Program		
	Pass-through North Carolina Agricultural and Technical State University	SUBAGREEMENT 270040E	64,250
Total Department of Commerce			<u>7,691,156</u>
Department of Defense			
12.000	Miscellaneous		2,873,591
12.557	Invitational Grants for Military-Connected Schools		
	Pass-through Anchorage School District	11-1401	16,714
Department of the Army, Office of the Chief of Engineers			
12.114	Collaborative Research and Development		
	Pass-through University of Mississippi	UM 07-11-041	118,451
Department of the Navy, Office of the Chief of Naval Research			
12.300	Basic and Applied Scientific Research		761,407
	Pass-through Woods Hole Oceanographic Institution	A100585	68,842
	Pass-through Woods Hole Oceanographic Institution	A100694	116,974
U.S. Army Medical Command			
12.420	Military Medical Research and Development		1,035,312
U.S. Army Material Command			
12.431	Basic Scientific Research		1,126,289
	Pass-through Mississippi State University	060803-360295-01	208,206
	Pass-through Oklahoma State University	AE-5-48940	21,643

University of Alaska
 Schedule of Expenditures for Federal Awards
 Year Ended June 30, 2011

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
Office of the Secretary of Defense			
12.630	Basic, Applied, and Advanced Research in Science and Engineering		92,719
Department of the Air Force, Material Command			
12.800	Air Force Defense Research Sciences Program		452,298
Advanced Research Projects Agency			
12.910	Research and Technology Development		671,040
	Pass-through University of Florida	1005018/1905-1100068429	13,461
Total Department of Defense			7,576,947
Department of the Interior			
15.000	Miscellaneous		1,165,201
	Pass-through Hoonah Indian Association	MOA DATED 02/01/10	912
	Pass-through National Fish & Wildlife Foundation	PO S953776 0000326714-21833	33,744
	Pass-through Rutgers	419914-32767	815
	Pass-through University of Vermont	20118 INITIAL 2002	1,175
Bureau of Land Management			
15.224	Cultural Resource Management		84,047
15.225	Recreation Resource Management		78,081
15.230	Invasive and Noxious Plant Management		55,986
15.231	Fish, Wildlife and Plant Conservation Resource Management		30,712
	ARRA - Pass-through National Fish & Wildlife Foundation	2009-0035-010	24,362
	Pass-through National Fish & Wildlife Foundation		4,829
	ARRA - Pass-through NatureServe	BLM0F021-BLM0F025 TO 1	289,009
15.232	Wildland Fire Research and Studies Program		
	Pass-through University of Nevada, Reno	UNR-11-75 / PO 111GC000031	5,792
15.236	Environmental Quality and Protection Resource Management		132,243
15.237	Rangeland Resource Management		81,619
Bureau of Ocean Energy Management, Regulation, and Enforcement			
15.421	Alaska Coastal Marine Institute		535,683
15.423	Minerals Management Service (MMS) Environmental Studies Program (ESP)		669,867
	Pass-through Continental Shelf Association, Inc.	UTA-09-000282	58,337
	Pass-through University of Texas at Austin	CSA JOB 2253	(3,857)
Fish and Wildlife Service			
15.608	Fish and Wildlife Management Assistance		19,146
15.642	Challenge Cost Share		15,818
15.650	Research Grants (Generic)		899,202
U.S. Geological Survey			
15.805	Assistance to State Water Resources Research Institutes		80,941
15.807	Earthquake Hazards Reduction Program		25,590
	U.S. Geological Survey - Research and Data		1441068
15.80	Collection		
	Pass-through America View, Inc.		29,779
	ARRA - U.S. Geological Survey - Research and Data Collection		8,869
15.810	National Cooperative Geologic Mapping Program		15,639
15.811	Gap Analysis Program		235,535

University of Alaska
 Schedule of Expenditures for Federal Awards
 Year Ended June 30, 2011

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
15.812	Cooperative Research Units Program		1,555,075
15.816	Minerals Resource External Research Program		39,658
15.818	ARRA - Volcano Hazards Program Research and Monitoring		1,042,014
National Park Service			
15.900	Cooperative Ecosystem Studies Units		118,859
15.916	Outdoor Recreation_Acquisition, Development and Planning		39,737
15.923	National Center for Preservation Technology and Training		4,467
Total Department of the Interior			<u>8,819,954</u>
Department of Justice			
Office of Juvenile Justice And Delinquency Prevention			
16.548	Title V Delinquency Prevention Program Pass-through State of Alaska	EN 0611405	6,889
Bureau of Justice Statistics			
16.550	State Justice Statistics Program for Statistical Analysis Centers		53,346
Total Department of Justice			<u>60,235</u>
Department of Transportation			
Federal Aviation Administration (FAA)			
20.109	Air Transportation Centers of Excellence Research and Innovative Technology Administration		169,821
20.701	University Transportation Centers Program Pass-through Portland State University	GCA 6384	2,390,119 13,336
20.762	Research Grants Pass-through University of Hawaii		15,814
Total Department of Transportation			<u>2,589,090</u>
Department of the Treasury			
21.000	Miscellaneous		<u>378,739</u>
General Services Administration			
39.009	Federal Citizen Information Center		<u>8,922,406</u>
National Aeronautics and Space Administration			
43.000	Miscellaneous		13,816,717
	Pass-through Bermuda Institute of Ocean Sciences	10/1/2000	66,908
	Pass-through The John Hopkins University	957706	173,561
	Pass-through JPL	SUB 1418562	55,413
43.000	Miscellaneous - Continued		
	Pass-through Montclair State University	NNX09AL03G/PO-S102366	66,196
	Pass-through SETI Institute	PO 08-SC-1019	8,700
	Pass-through University of California, Berkeley	1-0001080910	(446)
	Pass-through University of Maryland	Z627201	16,557
	Pass-through University of New Hampshire	SUB 09-046	175,193
	Pass-through University of Pittsburgh	SUB 0008149	64,336
	Pass-through University of South Florida	2500-1441-00-B	1,656
	Pass-through University of Washington	SUBCONTRACT 486547	101,360
43.001	Science		372,554
	ARRA -Science		240,747
	Pass-through The Aerospace Corporation	PO 4600003770	25,091

University of Alaska
 Schedule of Expenditures for Federal Awards
 Year Ended June 30, 2011

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
43.001	Science - Continued		
	Pass-through JPL	1241499	92,989
	Pass-through JPL	SUB 1322658	34,022
	Pass-through Prince William Sound Science Center	10-65-10	173,159
	Pass-through University of Maryland	SUB Z631501	100,480
43.002	Aeronautics		
	Pass-through University of Colorado	1545830REF:SPO70172	21,537
Total National Aeronautics and Space Administration			15,606,730
National Science Foundation			
47.000	ARRA - Miscellaneous		24,702
47.003	Development Testbed Center Visitor Program		
	Pass-through National Center for Atmospheric	Z11-87895	5,371
47.041	Engineering Grants		8,393
	Pass-through Georgia Institute of Technology	RA0693-G1 / PO 2720014478	35,657
47.049	Mathematical and Physical Sciences		428,658
	Pass-through Stanford University	SUBAWARD NUMBER 30891-A	8,118
47.050	Geosciences		5,939,930
	Pass-through Cornell University	62144-9481	14,988
	Pass-through Rutgers, The State University of New	PO 4150 / S1409651	457
	Pass-through SRI International	59-001127	462,279
	Pass-through University of Southern California	149701	51,462
	Pass-through Virginia Polytech Institute	478052-19099	45,163
	Pass-through Woods Hole Oceanographic Institution	SUBAWARD NUMBER A100638	25,974
47.070	Computer and Information Science and Engineering		257,638
47.074	Biological Sciences		2,671,241
	Pass-through South Dakota State University	3TC097	15,900
	Pass-through University of Notre Dame	SUBAWARD NUMBER 201717	11,312
	Pass-through University of Washington	592485	18,312
	Pass-through Wright State University	DEB-0926162	12,590
47.075	Social, Behavioral, and Economic Sciences		291,712
47.076	Education and Human Resources		2,534,777
47.078	Polar Programs		12,871,251
	ARRA - Polar Programs		214,859
	Pass-through Bermuda Institute of Ocean Sciences	BIOS 072145	19,801
	Pass-through San Diego State University	53702A 7807 E006811	12,040
	Pass-through University of Maryland, UMCES	CA 08-09	145
	Pass-through University of Washington	SUBCONTRACT 573685 MOD 1	12,560
	Pass-through Woods Hole Oceanographic Institution	PO S115043	1,179
47.079	International Science and Engineering (OISE)		274,372
	Pass-through U.S. Civilian Research & Development Foundation	RUB22991NO10	13,490
	Pass-through University of Hawaii, Manoa	Z946967 / PO 660191	3,250
47.082	ARRA - Trans-NSF Recovery Act Research Support		31,579,697
	Pass-through Lehigh University	542068-78001	38,254
	Pass-through University of Colorado	ARRA SPO 0000069542	150,103
	Pass-through University of Washington	ARRA ARC-0908262 / 657596Z	7,216
Total National Science Foundation			58,062,851
Small Business Administration			
59.050	Prime Technical Assistance		164,508

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2011

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
Environmental Protection Agency			
66.000	Miscellaneous		
	Pass-through Kenai Watershed Forum	KWF-UAF-001	(34)
	Pass-through NatureServe	EPW-07-080(WA2-11&WA2-14)	24,770
	Pass-through NatureServe	EP-W-07-080 (WA 3-20)	47,747
Office of Water			
66.419	Water Pollution Control State, Interstate, and Tribal Program Support		
	Pass-through State of Alaska	RS/1800017/EN 1800502	289
	Pass-through State of Alaska	RS1810168/EN 1810521	20,722
Office of Research and Development			
66.516	P3 Award: National Student Design Competition for Sustainability		6,718
Total Environmental Protection Agency			<u>100,212</u>
Department of Energy			
81.000	Miscellaneous		217,776
	Pass-through Battelle Pacific Northwest Lab	9064	12,875
	Pass-through Battelle Pacific Northwest Lab	17560	51,903
	Pass-through Battelle Pacific Northwest Lab	128750	58,004
81.049	Office of Science Financial Assistance Program		895,182
81.087	ARRA - Renewable Energy Research and Development		529,734
	Pass-through Electric Power Research Institute	EP-P36535/C16572	48,754
	Pass-through Electric Power Research Institute	EP-P37092/C16755	90,613
	Pass-through Ocean Renewable Power Company - Alaska	AGREEMENT SIGNED 06/10/2010	8,903
81.089	Fossil Energy Research and Development		955,291
	Pass-through Sandia National Laboratories	STANDARD PO: 746154	19,698
	Pass-through Sandia National Laboratories	CONTRACT 643168	145,740
81.128	ARRA - Energy Efficiency and Conservation Block Grant Program (EECBG)		
	Pass-through State of Alaska	400114 /EEC-10-ISR-1	37,766
	Pass-through Fairbanks North Star Borough	PO 114239	18,839
81.133	ARRA - Geologic Sequestration Training and Research Grant Program		241,009
Total Department of Energy			<u>3,332,087</u>
Department of Education			
84.000	Miscellaneous		
	Pass-through Anchorage School District	PR/PO 9P13709 AMEND 1	(188)
	Pass-through Alaska Humanities Forum	DATED 6/06 AMEND 05/09	53,154
Office of Elementary and Secondary Education			
84.356	Alaska Native Educational Programs		
	Pass-through Association of Alaska School Boards	AMENDED PO 100-ISER-06	(3,893)
	Pass-through Alaska Pacific University	AGREEMENT DATED 04/21/08	3714
Total Department of Education			<u>52,787</u>

University of Alaska
 Schedule of Expenditures for Federal Awards
 Year Ended June 30, 2011

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
Denali Commission			
90.100	Denali Commission Program		4,119,619
	Pass-through Chaninik Wind Group	CWG2011-001	8,008
	Pass-through Ocean Renewable Power Company - Alaska	UAF11-0017	35,430
	Pass-through Cordova Electric Cooperative	PO 000000971	80,176
Total Denali Commission			<u>4,243,233</u>
Department of Health and Human Services			
93.000	Miscellaneous		
	Pass-through Alaska Native Tribal Health Consortium	ANTHC-10-C29939 MOD 001	120,706
	Pass-through Mount Sinai School of Medicine	HHSN266200700010C	758,774
	Pass-through South East Alaska Regional Health Consortium	78399-YR3 PO16523	116,239
Administration on Aging			
93.048	Special Programs for the Aging_Title IV_and Title II Discretionary Projects		190,794
National Institutes of Health			
93.172	Human Genome Research		356,521
93.242	Mental Health Research Grants		694,081
93.273	Alcohol Research Programs		
93.279	Drug Abuse and Addiction Research Programs		519,825
	Pass-through Pacific Institute for Research and Evaluation, Louisville Center	0049.02.01 MOD 2 DTD 5/16/11	17,293
93.307	Minority Health and Health Disparities Research		553,634
93.389	National Center for Research Resources		4,722,010
93.701	ARRA - Trans-NIH Recovery Act Research Support		
	Pass-through Alaska Native Tribal Health Consortium	ANTHC-10-P-28245(ARRA)	21,678
	ARRA - Trans-NIH Recovery Act Research Support - Continued		2,197,712
	Pass-through Harvard College	149728.386555.0207	255,852
93.847	Diabetes, Digestive, and Kidney Diseases Extramural Research		492,870
93.853	Extramural Research Programs in the Neurosciences and Neurological Disorders		283,619
	Pass-through Alaska Native Tribal Health Consortium	3U1NS048069-04S3	6,382
93.855	Allergy, Immunology and Transplantation Research		
	Pass-through J. Craig Venture Institute	IGSP AGREEMENT 12/02/09	21,528
93.859	Biomedical Research and Research Training		
	Pass-through University of Washington	697269/PENDING	114,790
Agency for Healthcare Research and Quality			
93.226	Research on Healthcare Costs, Quality and Outcomes		234,950
Centers for Disease Control and Prevention			
93.262	Occupational Safety and Health Program		201,021
Health Resources and Services Administration			
93.300	National Center for Health Workforce Analysis		34,300
Administration for Children and Families			
93.605	Family Connection Grants		
	Pass-through OnTrack, Inc.	AGREEMENT DATED 01/19/10	19,734
Total Department of Health and Human Services			<u>11,934,313</u>

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2011

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
Department of Homeland Security			
97.061	Centers for Homeland Security Pass-through University of Hawaii	Z884255/PENDING	545,773
TOTAL RESEARCH AND DEVELOPMENT			135,080,406
OTHER PROGRAMS			
Department of Agriculture			
10.000	Miscellaneous Pass-through Kansas State University	KSU S11106	51,464 22,975
National Institute of Food and Agriculture			
10.200	Grants for Agricultural Research, Special Research Grants Pass-through University of California, Davis	09-002101-UAF1	376,300 417
10.206	Grants for Agricultural Research - Competitive Research Grants		218,084
10.215	Sustainable Agriculture Research and Education Pass-through Utah State University	90758036	14,325
10.225	Community Food Projects		64,395
10.226	Secondary and Two-Year Postsecondary Agriculture Education Challenge Grants		51,122
10.228	Alaska Native Serving and Native Hawaiian Serving Institutions Education Grants		1,408,901
10.303	Integrated Programs Pass-through University of California, Davis Pass-through University of Idaho	07-001492-UAK BJKH15 SB005	9,334 83,568
10.304	Homeland Security - Agricultural Pass-through University of California, Davis	07-002558-12/PENDING	32,596
10.310	Agriculture and Food Research Initiative (AFRI) Pass-through University Of Hawaii		1,622 25,782
10.311	Beginning Farmer and Rancher Development Program		59,218
10.315	ARRA - Trade Adjustment Assistance for Farmers Training Coordination Program (TAAF) Pass-through University of Minnesota	H001344228	62,193
10.500	Cooperative Extension Service Pass-through Kansas State University Pass-through Kansas State University Pass-through Kansas State University Pass-through Kansas State University Pass-through Purdue University Pass-through University of Arizona Pass-through University of Idaho Pass-through University of Wyoming Pass-through University of Wyoming Pass-through University of Wyoming Pass-through University of Wyoming Pass-through Utah State University Pass-through Washington State University	S10144/P-THRU 2007-48661-03868 S08107.01/.02/.03 2007-48661-03868 (S08023.03)/P S11143 8000041653 Y560309 BSK701-SB-001 / PO#0028400 UTSTUNV46467AK2 1000782 1000781 100906004 SUBCONT 08-0861062, FW10-609 104777-G00189_0	1,806,390 32,677 19,123 87,561 14,056 77,733 6,855 7,188 694 877 2,415 7,393 1,998 (1,717)

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2011

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
Departmental Management			
10.443	Outreach and Assistance for Socially Disadvantaged Farmers and Ranchers		80,199
Food and Nutrition Service			
10.557	Special Supplemental Nutrition Program for Women, Infants, and Children		
	Pass-through State of Alaska	PO3409015400 (ORIG 3409014142)	14,297
	Pass-through State of Alaska	EN 0611458	7,153
	Pass-through State of Alaska	EN 0611422	32,080
Forest Service			
10.652	Forestry Research		4,056
10.664	Cooperative Forestry Assistance		234,042
10.680	Forest Health Protection		19,510
Rural Development			
10.769	Rural Business Enterprise Grants		259,286
10.771	Rural Cooperative Development Grants		224,044
Total Department of Agriculture			<u>5,420,206</u>
Department of Commerce			
11.000	Miscellaneous		93,033
	Pass-through Consortium for Oceanographic Research & Education	SA 10-34	17,500
Economic Development Administration			
11.303	Economic Development - Technical Assistance		134,848
National Oceanic and Atmospheric Administration			
11.407	Interjurisdictional Fisheries Act of 1986		(2,602)
11.417	Sea Grant Support		2,112,111
	Pass-through State of Alaska	ADN510407/EN511099	9,557
	Pass-through University of Mississippi	10-03-058	16,629
11.419	Coastal Zone Management Administration Awards		
	Pass-through State of Alaska	ADN 901017	3,353
11.420	Coastal Zone Management Estuarine Research Reserves		13,710
11.438	Pacific Coast Salmon Recovery - Pacific Salmon Treaty Program		
	Pass-through State of Alaska	RS 1105970	150,000
11.452	Unallied Industry Projects		19,953
11.472	Unallied Science Program		50,767
	Pass-through North Pacific Research Board	503	(174)
11.481	Educational Partnership Program		(114)
National Telecommunications and Information Administration			
11.557	ARRA - Broadband Technology Opportunities Program (BTOP)		804,003
Total Department of Commerce			<u>3,422,574</u>
Department of Defense			
12.000	Miscellaneous		25,502
Defense Logistics Agency			
12.002	Procurement Technical Assistance For Business Firms		345,239

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2011

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
U.S. Army Material Command			
12.431	Basic Scientific Research		
	Pass-through Academy of Applied Science (AAS)	SBGRNT; 08-48,49,50 DATE 8.7.8	456
Total Department of Defense			<u>371,197</u>
Department of Housing and Urban Development			
Office of Policy Development and Research			
14.515	Alaska Native / Native Hawaiian Institutions		
	Assisting Communities		1,371,703
Total Department of Housing and Urban Development			<u>1,371,703</u>
Department of the Interior			
15.000	Miscellaneous		349,355
	Pass-through Michigan Technological University	100259Z1 PO083070	40,946
	Pass-through National Fish and Wildlife Foundation	2003-0265-018	10,848
Bureau of Indian Affairs			
15.114	Indian Education Higher Education Grant Program		40,940
Bureau of Land Management			
15.224	Cultural Resource Management		1,178,498
15.231	Fish, Wildlife and Plant Conservation Resource		
	Management		154
15.232	Wildland Fire Research and Studies Program		42,260
Fish and Wildlife Service			
15.608	Fish and Wildlife Management Assistance		
	Pass-through National Fish & Wildlife Foundation	2007-0140-000	102
15.630	Coastal Program		29,830
15.642	Challenge Cost Share		61,683
15.649	Service Training and Technical Assistance (Generic		
	Training)		3,639
15.650	Research Grants		20,338
U.S. Geological Survey			
15.807	Earthquake Hazards Reduction Program		659,666
15.808	US Geological Survey Research and Data Collection		82,323
	ARRA - US Geological Survey, Research and Data		308,854
National Park Service			
15.900	Backlog Cataloging		101,449
15.904	Historic Preservation Fund Grants-In-Aid		38,571
15.922	Native American Graves Protection and Repatriation		18,588
Total Department of the Interior			<u>2,988,044</u>
Department of Justice			
16.000	Miscellaneous		54,504
Office on Violence Against Women			
16.529	Education, Training, and Enhanced Services to End		
	Violence Against and Abuse of Women with		
	Disabilities		
	Pass-through State of Alaska	EN 693070 RD 6312	(2,584)
Total Department of Justice			<u>51,920</u>
Department of Labor			
Employment Training Administration			
17.268	H-1B Job Training Grants		72,388

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2011

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
17.269	Community Based Job Training Grants		587,093
Mine Safety and Health Administration			
17.600	Mine Health and Safety Grants		1,686
Total Department of Labor			<u>661,167</u>
Department of Transportation			
20.000	Miscellaneous		
	Pass-through Oil Spill Recovery Institute	10/10/2007	17,500
Federal Aviation Administration			
20.109	Air Transportation Centers of Excellence		
	Pass-through Embry-Riddle Aeronautical University	61077-UAA PO 142015 AMEND 1	7,302
Federal Highway Administration			
20.200	Highway Research and Development Program		
	Pass-through University of Idaho	KLK262 SB 002	45,667
20.215	Highway Training and Education		295,416
Federal Motor Carrier Safety Administration			
20.232	Commercial Driver's License Program Improvement Grant		
	Pass-through State of Alaska	EN 0211034	70,180
Total Department of Transportation			<u>436,065</u>
National Aeronautics and Space Administration			
43.000	Miscellaneous		459,864
	Pass-through Alaska Challenger Center for Space Science Technology Inc.	S12985	94,451
	Pass-through University of Tennessee, Knoxville	A11-0338-S001	4,812
43.001	Science		184,385
Total National Aeronautics and Space Administration			<u>743,512</u>
National Endowment for the Arts			
45.025	Promotion of the Arts - Partnership Agreements		
	Pass-through State of Alaska	FY11CAD0033	3,500
	Pass-through State of Alaska	FY10CAD0040	73
Total National Endowment for the Arts			<u>3,573</u>
Institute of Museum and Library Services			
45.301	Museums for America		49,726
45.310	Grants to States		
	Pass-through State of Alaska	INTERLIBRARY COOP GRANT	12,459
	Pass-through State of Alaska	EN510264	9,023
	Pass-through State of Alaska	EN 510263	52,066
	Pass-through State of Alaska	GRANT DATED 7/14/10	85,028
	Pass-through State of Alaska	ADN510238	12,571
	Pass-through State of Alaska	LS-00-10-0002-10/PENDING	19,716
Total Institute of Museum and Library Services			<u>240,589</u>
National Science Foundation			
47.000	Miscellaneous		151,111
47.041	Engineering Grants		146,456
47.050	Geosciences		898,334
	Pass-through WGBH Educational Foundation	2008000779A	7,392
47.070	Computer and Information Science and Engineering		161,964
	Pass-through Carnegie Mellon University	1120822-184882	29,400

University of Alaska
 Schedule of Expenditures for Federal Awards
 Year Ended June 30, 2011

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
47.074	Biological Sciences		284,487
47.075	Social, Behavioral, and Economic Sciences		88,968
47.076	Education and Human Resources		1,858,873
47.078	Polar Programs		660,031
	Pass-through Columbia University	3 (ACCT 5-24906)	6,843
47.079	International Science and Engineering (OISE)		1219
47.081	Office of Experimental Program to Stimulate Competitive Research		93,021
47.082	ARRA - Trans-NSF Recovery Act Research Support		878,836
Total National Science Foundation			<u>5,266,935</u>
Small Business Administration			
59.006	8(a) Business Development Program		84,783
59.037	Small Business Development Centers		722,845
Total Small Business Administration			<u>807,628</u>
Environmental Protection Agency			
66.000	Miscellaneous		
	Pass-through Venetie Village Council	S00013808	4,288
Office of the Chief Financial Officer			
66.202	Congressionally Mandated Projects		209,346
Total Small Business Administration			<u>213,634</u>
Department of Energy			
81.000	Miscellaneous		3,000
	Pass-through Battelle Pacific Northwest Lab	SUBCONTRACT#4000098845	39,218
	Pass-through State of Alaska	PENDING	1,933
81.089	Fossil Energy Research and Development		257,460
81.128	ARRA - Energy Efficiency and Conservation Block		
	Pass-through State of Alaska	2/10/2001	2,884
Total Department of Energy			<u>304,495</u>
Department of Education			
84.000	Miscellaneous		
	Pass-through National Writing Project Corporation	CONTRACT 09-AK02	89,997
	Pass-through State of Alaska	PNWPC001	38,154
	Pass-through WestEd	S11-030	56,156
Office of Vocational and Adult Education			
84.002	Adult Education - Basic Grants to States		
	Pass-through State of Alaska	ADN 770016	(5)
	Pass-through State of Alaska	EN 715603	24,653
	Pass-through State of Alaska	ADN 710564	119,885
	Pass-through State of Alaska	EN 715601	58,826
	Pass-through State of Alaska	EN 715602	30,025
	Pass-through State of Alaska	EN 705601	(286)
Office of Vocational and Adult Education			
84.048	Career and Technical Education - Basic Grants to States		
	Pass-through State of Alaska	45-11-0805	1,850
	Pass-through State of Alaska	EN 510107 AMEND 1	2,745
	Pass-through State of Alaska	EN 510429	98,327
	Pass-through State of Alaska	EN 510590	47,994

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2011

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
84.048	Career and Technical Education - Basic Grants to States - Continued		
	Pass-through State of Alaska	EN 510145	12,969
84.243	Tech-Prep Education		
	Pass-through State of Alaska	EN 510108	279,058
	Pass-through State of Alaska	EN 500150	882
Office of Postsecondary Education			
84.031	Higher Education - Institutional Aid		12,033,767
84.116	Fund for the Improvement of Postsecondary Education		463,520
84.407	Transition Programs for Students with Intellectual Disabilities into Higher Education	P407A100043	57,808
Office of Elementary and Secondary Education			
84.299	Indian Education - Special Programs for Indian Children		246,751
84.356	Alaska Native Educational Programs		4,339,381
	Pass-through Alaska Native Heritage Center	AGREEMENT DATED 12/08/10	9,225
	Pass-through Project GRAD Kenai Peninsula	MOA DTD 07/14/10	7,972
	Pass-through Project GRAD Kenai Peninsula	MOA DTD 08/17/10	8,306
	Pass-through Project GRAD Kenai Peninsula	MOA DTD 11/09 EMAIL DTD5/10	832
	Pass-through Project GRAD Kenai Peninsula	MOA DTD 11/09 EMAIL DTD5/10	1,175
84.362	Native Hawaiian Education		
	Pass-through Pacific Tsunami Museum, Inc.	MOA PTM 09/01/10	28,658
84.367	Improving Teacher Quality State Grants		
	Pass-through State of Alaska	HE 11.159.01	16,448
84.369	Grants for State Assessments and Related Activities		
	Pass-through State of Alaska	EN 510669	2,414
	Pass-through State of Alaska	EN 510294	5,119
Office of Special Education and Rehabilitative Services			
84.323	Special Education - State Personnel Development		
	Pass-through State of Alaska	ADN 510312	216,527
	Pass-through State of Alaska	ADN 0500138/FS10.168.01	2,230
Institute of Education Sciences			
84.305	Education Research, Development and Dissemination		452,343
Office of Innovation and Improvement			
84.215	Fund for the Improvement of Education		(85)
Total Department of Education			18,753,621
Denali Commission			
90.100	Denali Commission Program		576,291
	Pass-through Alaska Public Broadcasting, Inc.		44,108
Total Denali Commission			620,399
Department of Health and Human Services			
93.000	Miscellaneous		34,883
	Pass-through Alaska Network on Domestic Violence & Sexual Assault	CONTRACT DTD 2/25/11	4,050
	Pass-through Iowa State University	PARTNERSHIP AGREEMENT I.S.	23,743
	Pass-through University of Texas, San Antonio	3902-65UAF	13,920

University of Alaska
 Schedule of Expenditures for Federal Awards
 Year Ended June 30, 2011

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
Administration for Children and Families			
93.632	University Centers for Excellence in Developmental Disabilities Education, Research, and Service		561,526
	Pass-through University of Kansas	SUBCONTRACT FY2009-034	(2,567)
93.658	Foster Care - Title IV-E		
	Pass-through State of Alaska	EN 0604850	7,404
	Pass-through State of Alaska	EN 0611406 AMEND 1	119,241
	Pass-through State of Alaska	EN 0683053 AMDMT 1	(22)
Administration on Aging			
93.013	Ambassadors for Change		
	Pass-through State of Alaska	EN 0604882	(3,205)
	Pass-through State of Alaska	EN 693076	(2,354)
Centers for Disease Control and Prevention			
93.283	Centers for Disease Control and Prevention_ Investigations and Technical Assistance		294,415
Centers for Medicare and Medicaid Services			
93.768	Medicaid Infrastructure Grants to Support the Competitive Employment of People with Disabilities		
	Pass-through State of Alaska	RSA 0610626	100,078
Health Resources and Services Administration			
93.110	Maternal and Child Health Federal Consolidated Programs		137,671
	Pass-through Children's Hospital Los Angeles	SUB AGRMNT 228 AMEND 2	(1,390)
93.358	Advanced Nursing Education Traineeships		26,795
93.359	Nurse Education, Practice and Retention Grants		4,315
	Pass-through University of Washington	699947	
93.411	ARRA - Equipment to Enhance Training for Health		295,144
93.417	ARRA - Nursing Workforce Diversity		311,879
93.969	Geriatric Education Centers		40,325
93.822	Health Careers Opportunity Program		
	Pass-through University of Washington	SUBCONTRACT 169916	(438)
93.824	Area Health Education Centers Infrastructure Development Awards		1,477,244
93.888	Specially Selected Health Projects		419,383
National Institutes of Health			
93.113	Environmental Health		534,523
93.242	Mental Health Research Grants		434,509
93.273	Alcohol Research Programs		67
93.279	Drug Abuse and Addiction Research Programs		4,784
93.389	National Center for Research Resources		312,398
93.701	ARRA - Trans-NIH Recovery Act Research Support		659,320
93.702	ARRA - National Center for Research Resources,		570,338
Office of the Secretary			
93.721	ARRA - Health Information Technology Professionals in Health Care		
	Pass-through Dakota State University	DS1000003-2-ALASKA	31,685
Substance Abuse and Mental Health Services Administration			
93.959	Block Grants for Prevention and Treatment of Substance Abuse		
	Pass-through State of Alaska	602-11-009	60,082
	Pass-through University of Washington	660357	1,136
Total Department of Health and Human Services			6,470,882

University of Alaska
Schedule of Expenditures for Federal Awards
Year Ended June 30, 2011

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
Corporation for National and Community Service			
94.005	Learn and Serve America - Higher Education Pass-through Princeton University	SUB 00001316 MOD 3	7,493
94.013	Volunteers in Service to America		3,723
Total Corporation for National and Community Service			<u>11,216</u>
Social Security Administration			
96.008	Social Security - Work Incentives Planning and Assistance Program		76,471
Department of Homeland Security			
97.005	State and Local Homeland Security National Training Program Pass-through University Of Hawaii	Z976302	15,677
TOTAL OTHER PROGRAMS			<u>48,251,508</u>
PUBLIC WORKS AND ECONOMIC DEVELOPMENT			
Department of Commerce			
Economic Development Administration			
11.307	ARRA - Economic Adjustment Assistance		155,054
WORKFORCE INVESTMENT ACT (WIA)			
Department of Labor			
Employment Training Administration			
17.259	WIA Youth Activities Pass-through State of Alaska	EN 716102	112,391
17.260	WIA Dislocated Workers Pass-through State of Alaska	EN 716119	250,000
	Pass-through State of Alaska	EN 796100	(68)
	Pass-through State of Alaska	EN706113/700012/700531	76
	Pass-through State of Alaska	ADN710649	222,453
TOTAL WORKFORCE INVESTMENT ACT (WIA)			<u>584,852</u>
HIGHWAY PLANNING AND CONSTRUCTION			
Department of Transportation			
Federal Highway Administration (FHWA)			
20.205	Highway Planning and Construction Pass-through State of Alaska	EN.2573008	72,588 775
20.219	Recreational Trails Program Pass-through State of Alaska	10795961	123,982
TOTAL HIGHWAY PLANNING AND CONSTRUCTION			<u>197,345</u>
SPECIAL EDUCATION			
Department of Education			
Office of Special Education and Rehabilitative Services			
84.027	Special Education Grants to States Pass-through State of Alaska	EN 510035	32,111
	Pass-through State of Alaska	ADN 0500136/10.157.02	(26)
	Pass-through State of Alaska	EN0510658 FS11.156.03	28,475
	Pass-through State of Alaska	EN 510262	6,614
TOTAL SPECIAL EDUCATION			<u>67,174</u>

University of Alaska
 Schedule of Expenditures for Federal Awards
 Year Ended June 30, 2011

CFDA NUMBER	FEDERAL PROGRAM TITLE	PASS-THROUGH ID#	EXPENDITURES
EARLY INTERVENTION SERVICES (IDEA)			
84.393	Early Intervention Services (IDEA)		
	Pass-through State of Alaska	EN 0611455	119,029
	Pass-through State of Alaska	EN 0611465	20,000
	Pass-through State of Alaska	EN 0611464	34,501
TOTAL EARLY INTERVENTION SERVICES			<u>173,530</u>
TQPG			
Department of Education			
Office of Innovation and Improvement			
84.336	Teacher Quality Partnership Grants		<u>864,706</u>
TRIO			
Department of Education			
Office of Postsecondary Education			
84.042	TRIO Student Support Services		488,551
84.044	TRIO Talent Search		700,623
84.047	TRIO Upward Bound		1,338,373
84.066	TRIO Educational Opportunity Centers		634,188
TOTAL TRIO			<u>3,161,735</u>
CSBGC			
Department of Health and Human Services			
Administration for Children and Families			
93.710	ARRA - Community Services Block Grant		
	Pass-through Rural Alaska Community Action Program	PROJECT 1781	<u>15,288</u>
GRAND TOTAL			\$ <u>290,846,376</u>

University of Alaska
Notes to Schedule of Expenditures of Federal Awards
Year Ended June 30, 2011

(1) General

The accompanying Schedule of Expenditures of Federal Awards presents the activity of all federal financial assistance programs of the University of Alaska. The University of Alaska is a constitutionally created corporation and a component unit of the State of Alaska. Federal financial assistance received directly from federal agencies as well as federal financial assistance passed through other government agencies is included on the schedule.

(2) Basis of Accounting

The accompanying Schedule of Expenditures of Federal Awards is presented using the economic resources measurement focus and accrual basis of accounting, which is described in note 1 to the University of Alaska's financial statements.

(3) Subrecipients

The University of Alaska passed through \$7,073,196 of federal awards to subrecipients for the year ended June 30, 2011. Expenditures incurred by the subrecipients are listed below by federal program. These amounts are included in the Schedule of Expenditures of Federal Awards.

<i>Federal Agency and Program</i>	<i>CFDA#</i>	<i>Expenditures</i>
Department of Agriculture		
Agricultural Research Basic and Applied Research	10.001	\$ 452,132
Grants for Agricultural Research, Special Research Grants	10.200	84,306
Outreach and Assistance for Socially Disadvantaged Farmers and Ranchers	10.443	10,000
Cooperative Extension Service	10.500	72,165
		<u>618,603</u>
Department of Commerce		
Geodetic Surveys and Services (Geodesy and Applications of the National Geodetic Reference System)	11.400	234,387
Sea Grant Support	11.417	137,203
Undersea Research	11.430	505,216
National Oceanic and Atmospheric Administration Cooperative Institutes	11.432	46,457
ARRA - Broadband Technology Opportunities Program (BTOP)	11.557	79,599
		<u>1,002,862</u>
Department of Defense		
Miscellaneous	12.000	12,616
Basic and Applied Scientific Research	12.300	133,918
Military Medical Research and Development	12.420	9,144
Basic Scientific Research	12.431	408,893
		<u>564,571</u>
Department of Housing and Urban Development		
Alaska Native / Native Hawaiian Institutions Assisting Communities	14.515	522,509
Department of the Interior		
Miscellaneous	15.000	88,351
Research Grants	15.650	35,000
Assistance to State Water Resources Research Institutes	15.805	14,712
Cooperative Research Units Program	15.812	6,593
Minerals Resources External Research Program	15.816	12,686
		<u>157,342</u>
Department of Labor		
Community Based Job Training Grants	17.269	78,711
Department of Transportation		
University Transportation Centers Program	20.701	260,907

University of Alaska
Notes to Schedule of Expenditures of Federal Awards
Year Ended June 30, 2011

General Services Administration		
Federal Citizen Information Center	39.009	58,309
 National Aeronautics and Space Administration		
Miscellaneous	43.000	155,014
 National Science Foundation		
Engineering Grants	47.041	49,529
Geosciences	47.050	244,351
Computer and Information Science and Engineering	47.070	31,426
Biological Sciences	47.074	188,252
Social, Behavioral, and Economic Sciences	47.075	0
Polar Programs	47.078	165,806
ARRA - Trans-NSF Recovery Act Research Support	47.082	0
		679,364
 Department of Energy		
Fossil Energy Research and Development	81.089	48,008
 Department of Education		
Miscellaneous	84.000	10,979
Higher Education_Institutional Aid	84.031	22,500
TRIO - Upward Bound	84.047	161,905
Teacher Quality Partnership Grants	84.336	250,390
Alaska Native Educational Programs	84.356	277,647
		723,421
 Denali Commission		
Denali Commission Program	90.100	33,223
 Department of Health and Human Services		
Miscellaneous	93.000	5,896
Maternal and Child Health Federal Consolidated Programs	93.110	7,726
Environmental Health	93.113	116,343
Human Genome Research	93.172	107,851
Research on Healthcare Costs, Quality and Outcomes	93.226	67,473
Mental Health Research Grants	93.242	30,268
Occupational Safety and Health Program	93.262	15,959
Minority Health and Health Disparities Research	93.307	39,611
National Center for Research Resources	93.389	17,640
ARRA - Trans - NIH Recovery Act Research Support	93.701	320,319
Area Health Education Centers Infrastructure Development Awards	93.824	1,207,135
Diabetes, Digestive, and Kidney Diseases Extramural Research	93.847	108,158
Extramural Research Programs in the Neurosciences and Neurological Disorders	93.853	101,205
		2,145,584
 Department of Homeland Security		
Centers for Homeland Security	97.061	24,767
 Total Federal Expenditures - Subrecipients		\$ 7,073,196

UNIVERSITY OF ALASKA
(A Component Unit of the State of Alaska)
Schedule of Findings and Questioned Costs
Year Ended June 30, 2011

(1) Summary of Auditors' Results

Financial Statements

Type of auditor's report issued

unqualified

Internal control over financial reporting:

- Material weakness(es) identified? _____ yes X no
- Significant deficiencies identified that are not considered to be material weakness(es)? _____ yes X none reported
- Noncompliance material to financial statements noted? _____ yes X no

Federal Awards

Internal control over major programs:

- Material weakness(es) identified? _____ yes X no
- Significant deficiencies identified that are not considered to be material weakness(es)? _____ yes X none reported

Type of auditor's report issued on compliance for major programs

unqualified

Any audit findings disclosed that are required to be reported in accordance with section 510(a) of Circular A-133?

_____ yes X no

CFDA

<u>Number(s)</u>	<u>Name of Federal Program or Cluster</u>
Various	Research and Development Cluster
84.031	Higher Education - Institutional Aid
84.356	Alaska Educational Programs
11.417	Sea Grant Support
11.557	ARRA - Broadband Technology Opportunities Program

Dollar threshold used to distinguish between type A and type B programs:

Auditee qualified as low-risk auditee? \$ 3,000,000
 X yes _____ no

(1) There were no findings relating to the financial statements reported in accordance with *Government Auditing Standards*

(2) There were no findings relating to Federal Awards



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Anchorage, AK 99501

**Report on Internal Control over
Financial Reporting and on Compliance and Other Matters
Based on an Audit of Financial Statements Performed in
Accordance with *Government Auditing Standards***

The Board of Regents
The University of Alaska:

We have audited the financial statements of the University of Alaska and its discretely presented component unit as of and for the year ended June 30, 2011, and have issued our report thereon dated October 19, 2011. We conducted our audit in accordance with auditing standards generally accepted in the United States of America and the standards applicable to financial audits contained in *Government Auditing Standards*, issued by the Comptroller General of the United States.

Internal Control over Financial Reporting

Management of the University of Alaska is responsible for establishing and maintaining effective internal control over financial reporting. In planning and performing our audit, we considered the University of Alaska's internal control over financial reporting as a basis for designing our auditing procedures for the purpose of expressing our opinion on the financial statements, but not for the purpose of expressing an opinion on the effectiveness of the University of Alaska's internal control over financial reporting. Accordingly, we do not express an opinion on the effectiveness of the University of Alaska's internal control over financial reporting.

A deficiency in internal control over financial reporting exists when the design or operation of a control does not allow management or employees, in the normal course of performing their assigned functions, to prevent, or detect and correct misstatements on a timely basis. A material weakness is a deficiency, or combination of deficiencies, in internal control over financial reporting, such that there is a reasonable possibility that a material misstatement of the entity's financial statements will not be prevented, or detected and corrected on a timely basis.

Our consideration of internal control over financial reporting was for the limited purpose described in the first paragraph of this section and was not designed to identify all deficiencies in internal control over financial reporting that might be deficiencies, significant deficiencies, or material weaknesses. We did not identify any deficiencies in internal control over financial reporting that we consider to be material weaknesses, as defined above.



Compliance and Other Matters

As part of obtaining reasonable assurance about whether the University of Alaska's financial statements are free of material misstatement, we performed tests of its compliance with certain provisions of laws, regulations, contracts, and grant agreements, noncompliance with which could have a direct and material effect on the determination of financial statement amounts. However, providing an opinion on compliance with those provisions was not an objective of our audit, and accordingly, we do not express such an opinion. The results of our tests disclosed no instances of noncompliance or other matters that are required to be reported under *Government Auditing Standards*.

This report is intended solely for the information and use of management, the Board of Regents, others within the entity, and federal awarding agencies and pass-through entities and is not intended to be and should not be used by anyone other than these specified parties.

KPMG LLP

October 19, 2011